Zeitschrift:	L'Enseignement Mathématique
Herausgeber:	Commission Internationale de l'Enseignement Mathématique
Band:	44 (1998)
Heft:	1-2: L'ENSEIGNEMENT MATHÉMATIQUE
Artikel:	THREE DISTANCE THEOREMS AND COMBINATORICS ON WORDS
Autor:	Alessandri, Pascal / Berthé, Valérie
Kapitel:	4. The three gap theorem
DOI:	https://doi.org/10.5169/seals-63900

### Nutzungsbedingungen

Die ETH-Bibliothek ist die Anbieterin der digitalisierten Zeitschriften auf E-Periodica. Sie besitzt keine Urheberrechte an den Zeitschriften und ist nicht verantwortlich für deren Inhalte. Die Rechte liegen in der Regel bei den Herausgebern beziehungsweise den externen Rechteinhabern. Das Veröffentlichen von Bildern in Print- und Online-Publikationen sowie auf Social Media-Kanälen oder Webseiten ist nur mit vorheriger Genehmigung der Rechteinhaber erlaubt. <u>Mehr erfahren</u>

### **Conditions d'utilisation**

L'ETH Library est le fournisseur des revues numérisées. Elle ne détient aucun droit d'auteur sur les revues et n'est pas responsable de leur contenu. En règle générale, les droits sont détenus par les éditeurs ou les détenteurs de droits externes. La reproduction d'images dans des publications imprimées ou en ligne ainsi que sur des canaux de médias sociaux ou des sites web n'est autorisée qu'avec l'accord préalable des détenteurs des droits. <u>En savoir plus</u>

#### Terms of use

The ETH Library is the provider of the digitised journals. It does not own any copyrights to the journals and is not responsible for their content. The rights usually lie with the publishers or the external rights holders. Publishing images in print and online publications, as well as on social media channels or websites, is only permitted with the prior consent of the rights holders. <u>Find out more</u>

# Download PDF: 09.07.2025

ETH-Bibliothek Zürich, E-Periodica, https://www.e-periodica.ch

• The three distance theorem is a geometric illustration of the properties of good approximation of the n-Farey points. Indeed, the two intervals containing 0 are of distinct lengths and their lengths are the two smallest. We thus have

$$\alpha q^{(1)} - p^{(1)} = \inf \{k\alpha, \text{ for } 0 \le k \le n\}$$

and

$$p^{(2)} - \alpha q^{(2)} = 1 - \sup \{k\alpha, \text{ for } 0 \le k \le n\}.$$

• For a deeper study of the rational case, the reader is referred for instance to [51].

## 4. The three gap theorem

The following theorem, called the *three gap theorem*, is in some sense the dual of the three distance theorem. This theorem was first proved by Slater (see [49] and see also [50, 51]), in the early fifties, whereas the first proofs of the three distance theorem date back to the late fifties. For other proofs of the three-gap theorem, see also [25], and more recently, [58] and [35].

The formulation of the three gap theorem quoted below is due to Slater. Following the notation of [51], let  $k_i$  be the sequence of integers k satisfying  $k\alpha < \beta$ . Then any difference  $k_{i+1}-k_i$  is called a gap. Moreover, the frequency of a gap is defined as its frequency in the sequence of the successive gaps  $(k_{i+1}-k_i)_{i\in\mathbb{N}}$ .

THREE GAP THEOREM. Let  $\alpha$  be an irrational number in ]0,1[ and let  $\beta \in ]0,1/2[$ . The gaps between the successive integers j such that  $\{\alpha j\} < \beta$  take at most three values, one being the sum of the other two.

More precisely, let  $\left(\frac{p_k}{q_k}\right)_{k\in\mathbb{N}}$  and  $(c_k)_{k\in\mathbb{N}}$  be the sequences of the convergents and partial quotients associated to  $\alpha$  in its continued fraction expansion. Let  $\eta_k = (-1)^k (q_k \alpha - p_k)$ . There exists a unique expression for  $\beta$  of the form

$$\beta = m\eta_k + \eta_{k+1} + \psi \,,$$

with  $k \ge 0$ ,  $0 < \psi \le \eta_k$ , and if k = 0 then  $1 \le m \le c_1 - 1$ ; otherwise,  $1 \le m \le c_{k+1}$ . Then the gaps between two successive j such that  $\{j\alpha\} \in [0, \beta[$  satisfy the following:

- the gap  $q_k$  has frequency  $(m-1)\eta_k + \eta_{k+1} + \psi$ ,
- the gap  $q_{k+1} mq_k$  has frequency  $\psi$ ,
- the gap  $q_{k+1} (m-1)q_k$  has frequency  $\eta_k \psi$ .

Remarks.

• Suppose that  $\alpha$  is an irrational number. By density of the sequence  $(\{n\alpha\})_{n\in\mathbb{N}}$ , this theorem still holds when considering the gaps between the successive integers k such that  $\{\alpha k\} \in I$ , where I denotes any interval of the unit circle of length  $\beta$ .

• Furthermore, the third gap, which is the largest, can have frequency 0, when  $\eta_k = \psi$ , with the above notation. This means that this gap does not appear at all, as a consequence of the uniform distribution of the sequence  $(\{n\alpha\})_{n\in\mathbb{N}}$  in the circle.

• The other two gaps do always appear (infinitely often, in fact, because of their positive frequencies) and are shown to be equal to the smallest positive integers  $l_1$  and  $l_2$  such that  $\{l_1\alpha\} < \beta$  and  $\{l_2\alpha\} > 1 - \beta$  (see [51]).

• The study of the rational case proves the equivalence between the three distance and the three gap theorems, as observed by Slater [51] in the case of an open interval and by Langevin, for any interval, in [35].

# 4.1 CONNECTEDNESS INDEX

Let  $u = (u_n)_{n \in \mathbb{N}}$  be a coding of a rotation by irrational angle  $0 < \alpha < 1$  with respect to the partition

$$\mathcal{P} = \{ [\beta_0, \beta_1[, [\beta_1, \beta_2[, \dots, [\beta_{p-1}, \beta_p[] ] ] \} \}$$

We have seen in Section 2.1 that the sets  $I(w_1, \ldots, w_n) = \bigcap_{j=0}^{n-1} R^{-j}(I_{w_{j+1}})$ , where  $I_k = [\beta_k, \beta_{k+1}]$ , for  $0 \le j \le p-1$ , are connected except for  $w_1 \cdots w_n$ of the form  $a_K^n$ , where K denotes the index of the interval of  $\mathcal{P}$  (if such an interval exists) of length greater than  $\sup(\alpha, 1 - \alpha)$ .

Let us suppose that there exists an interval of  $\mathcal{P}$  of length L greater than  $1 - \alpha$  and index K, say. We deduce from the three gap theorem that the set of integers n such that  $a_K^n$  is a factor of the sequence u is bounded. More precisely, let us define  $n^{(1)}$  as the largest integer n such that  $a_K^n$  is a factor of the sequence u. We will call the integer  $n^{(1)}$  the *index of connectedness* of the sequence u. (If every interval of  $\mathcal{P}$  has length smaller than or equal to  $\sup(\alpha, 1 - \alpha)$  then the connectedness index of u is equal to 1.) The three gap theorem enables us to give an exact expression for the connectedness index. Indeed  $n^{(1)} + 1$  is the largest gap between the consecutive values of k for which  $0 < \{k\alpha\} < 1 - L$ . We thus have the following

THEOREM 9. Let  $u = (u_n)_{n \in \mathbb{N}}$  be a coding of the rotation by irrational angle  $\alpha$ . Suppose that there exists an interval of  $\mathcal{P}$  of length  $L > \sup(\alpha, 1-\alpha)$ . Let  $\left(\frac{p_k}{q_k}\right)_{k \in \mathbb{N}}$  and  $(c_k)_{k \in \mathbb{N}}$  be the sequences of convergents and partial quotients associated to  $\alpha$  in its continued fraction expansion. Let  $\eta_k = (-1)^k (q_k \alpha - p_k)$ . Write

$$1-L=m\eta_k+\eta_{k+1}+\psi\,,$$

with  $k \ge 1$ ,  $0 < \psi \le \eta_k$  and  $1 \le m \le c_{k+1}$ . The connectedness index  $n^{(1)}$  of the sequence u satisfies

$$n^{(1)} = q_{k+1} - (m-1)q_k - 1, \text{ if } \psi \neq \eta_k,$$
  

$$n^{(1)} = q_{k+1} - mq_k - 1, \text{ if } \psi = \eta_k \text{ and } m < c_{k+1},$$
  

$$n^{(1)} = q_k - 1, \text{ if } \psi = \eta_k \text{ and } m = c_{k+1}.$$

# 4.2 APPLICATIONS

Precise knowledge of the connectedness index is useful, as shown by the following. Indeed Lemma 1 can be rephrased as follows.

LEMMA 3. Let u be a coding of an irrational rotation on the unit circle with respect to the partition  $\{[\beta_0, \beta_1[, [\beta_1, \beta_2[, ..., [\beta_{p-1}, \beta_p[]\}]\}$ . The frequencies of factors of u of length  $n \ge n^{(1)}$ , where  $n^{(1)}$  denotes the connectedness index, are equal to the lengths of the intervals bounded by the points

$$\{k(1-\alpha)+\beta_i\}, \text{ for } 0 \le k \le n-1, \ 0 \le i \le p-1.$$

The complexity of a coding on p letters of an irrational rotation ultimately has the form p(n) = an + b, where  $a \le p$ , and depends on the algebraic relations between the angle and the lengths of the intervals of the coding. More precisely, we have the following theorem proved in [1].

THEOREM 10. Let  $u = (u_n)_{n \in \mathbb{N}}$  be a coding of the irrational rotation R of irrational angle  $\alpha$  with respect to the partition

$$\mathcal{P} = \{ [\beta_0, \beta_1[, [\beta_1, \beta_2[, \dots, [\beta_{p-1}, \beta_p[] ] ] .$$

Let  $(k_n)_{n \in \mathbb{N}}$  be the sequence defined by

$$k_0 = p = \operatorname{card}(F),$$
  
$$k_n = \operatorname{card}\left\{\beta_i \in F; \ \forall k \in [1, \dots, n], \ R^{-k}(\beta_i) \notin F\right\}$$

Let a be the limit of this sequence,  $n^{(2)}$  the smallest index such that  $k_n = a$ , and let

$$b = \sum_{i=0}^{n^{(2)}-1} (k_i - a).$$

Let  $n^{(1)}$  denote the connectedness index of u. If  $n \ge \max(n^{(1)}, n^{(2)})$ , then the complexity of the sequence u satisfies

$$p(n) = an + b \, .$$

REMARKS.

• Note that if  $1, \alpha, \beta_1, \ldots, \beta_p$  are rationally independent, then  $n^{(2)} = 0$ , b = 0 and a = p.

• Theorem 10 answers the question of the existence of sequences of ultimately affine complexity (for more details, the reader is referred to [1], see also the result of Cassaigne in [11]).

# 4.3 BEATTY SEQUENCES

The connections between the three gap theorem and the Beatty sequences have been investigated by Fraenkel and Holzman in [26]. Let us recall that a Beatty sequence is a sequence  $u(\alpha, \rho) = (u_n)_{n \in \mathbb{N}}$  of the form  $u_n = \lfloor \alpha n + \rho \rfloor$ , where  $\alpha$  and  $\rho$  are real numbers such that  $\alpha \ge 1$ . The number  $\alpha$  is called the *modulus* and  $\rho$  is called the *residue* or *intercept*. For an impressive bibliography on the subject, we refer the reader to [27] and [54]. Fraenkel and Holzman have noticed in [26] that the three gap theorem answers the question of the gaps in the intersection of a Beatty sequence and an arithmetical sequence  $(an + c)_{n \in \mathbb{N}}$ , for a a positive integer and c an integer. This result has been obtained independently by Wolff and Pitman in [58]. By intersection of the two Beatty sequences  $s = (s_n)_{n \in \mathbb{N}}$  and  $t = (t_n)_{n \in \mathbb{N}}$ , we mean the strictly increasing sequence u defined as:

 $\{u_n, n \in \mathbf{N}\} = \{u, \exists k, l \in \mathbf{N} \text{ such that } u = s_k = t_l\}$ .

Hence a gap in the intersection denotes the difference between two distinct elements of the intersection.

Note that Beatty sequences and Sturmian sequences are related: let u be a Beatty sequence of modulus  $\alpha$  and residue  $\rho$ ; the characteristic sequence  $(v_n)_{n \in \mathbb{N}}$  of u defined as

 $v_n = 1$  if and only if there exists m such that  $n = \lfloor \alpha m + \rho \rfloor$ 

is the Sturmian sequence obtained as the coding of the orbit of  $-\rho/\alpha$  under the rotation by angle  $1/\alpha$ , with respect to the partition

$$\{ ]0, 1-1/\alpha], ]1-1/\alpha, 1] \}$$
.

Indeed, if  $n = \lfloor \alpha m + \rho \rfloor$ , then  $\lceil 1/\alpha(n+1) - \rho/\alpha \rceil = m+1 = 1 + \lceil n/\alpha - \rho/\alpha \rceil$ , and if  $\lfloor \alpha m + \rho \rfloor < n < \lfloor \alpha(m+1) + \rho \rfloor$ , then  $\lceil 1/\alpha(n+1) - \rho/\alpha \rceil = \lceil n/\alpha - \rho/\alpha \rceil$ .

# 5. The recurrence function

Let us deduce now from the three distance and three gap theorems a simple proof of the following result originally due to Morse and Hedlund concerning the recurrence function of a Sturmian sequence (see [40]).

Recall that a sequence u is called *minimal* or *uniformly recurrent* if every factor of u appears infinitely often and with bounded gaps or, equivalently, if for any integer n, there exists an integer m such that every factor of u of length m contains every factor of u of length n. Note that it is equivalent (see [30]) to the *minimality* of the dynamical system  $(\overline{\mathcal{O}(u)}, T)$ , i.e., the orbit of every element of  $\overline{\mathcal{O}(u)}$  is dense, or equivalently every sequence in the orbit closure of u has the same set of factors as u.

The recurrence function  $\varphi$  of a minimal sequence u is defined by

 $\varphi(n) = \min \{ m \in \mathbb{N} \text{ such that } \forall B \in L_m, \forall A \in L_n, A \text{ is a factor of } B \}$ 

where  $L_n$  denotes the set of factors of u of length n, i.e.,  $\varphi(n)$  is the size of the smallest window that contains all factors of length n whatever its position in the sequence.

THEOREM 11. Let u be a Sturmian sequence with angle  $\alpha$ . Let  $(q_k)_{k \in \mathbb{N}}$  denote the sequence of denominators of the convergents of the continued fraction expansion of  $\alpha$ . The recurrence function  $\varphi$  of this sequence satisfies for any non zero integer n:

$$\varphi(n) = n - 1 + q_k + q_{k-1}, \text{ where } q_{k-1} \le n < q_k.$$

Proof of Theorem 11. Let  $u \in \{0,1\}^N$  be a Sturmian sequence. There exist a real number x and an irrational number  $\alpha$  in ]0,1[ such that  $u_n = 0 \Leftrightarrow \{x + n\alpha\} \in I_0$ , with  $I_0 = [0, \alpha[$  or  $I_0 = ]0, \alpha]$  (see Section 2.1). Let  $I_1 = [\alpha, 1[$  (respectively,  $]\alpha, 1]$ ) if  $I_0 = [0, \alpha[$  (respectively,  $I_0 = ]0, \alpha]$ ). Let us denote by R the rotation of the circle by angle  $\alpha$ . Assume we are given