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## Haftungsausschluss

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written, it is assumed to be the integers. There are two forms of duality available which we will use. First, Poincaré duality asserts that cap product with the fundamental class $[X] \in H_{4}(X)$ gives an isomorphism $D: H^{2}(X) \rightarrow H_{2}(X)$. There is a similar isomorphism when we use $\mathbf{Z}_{2}$ coefficients which we will also denote by $D$. For coefficient group $\mathbf{Z}_{2}$ there is an isomorphism $H: H^{2}\left(X ; \mathbf{Z}_{2}\right) \rightarrow \operatorname{Hom}_{\mathbf{Z}_{2}}\left(H_{2}\left(X ; \mathbf{Z}_{2}\right), \mathbf{Z}_{2}\right)$ with image the dual space of the vector space $H_{2}\left(X ; \mathbf{Z}_{2}\right)$ over the field $\mathbf{Z}_{2}$. A basis $b_{1}, \ldots, b_{n}$ of a finite dimensional vector space $V$ determines an isomorphism between $V$ and its dual $V^{*}$ by sending $b_{i}$ to the homomorphism $B_{i}$ which sends $b_{i}$ to 1 and $b_{j}$ to 0 for $j \neq i$. The elements $B_{i}$ and $b_{i}$ are said to be Hom duals. This isomorphism depends on a choice of basis. However, if we are given any elements $b \in V, B \in V^{*}$, with $B(b)=1$, then we can always extend $b=b_{1}$ to a basis of $V$ so that $b$ is the Hom dual of $B$ - just extend $b$ to any basis and then subtract off appropriate multiples of $b$ to get $B$ to evaluate 0 on the other basis elements. The composition of the isomorphism $H$ and the isomorphism determined by the basis gives an isomorphism

$$
\bar{H}: H^{2}\left(X ; \mathbf{Z}_{2}\right) \simeq \operatorname{Hom}_{\mathbf{Z}_{2}}\left(H_{2}\left(X ; \mathbf{Z}_{2}\right), \mathbf{Z}_{2}\right) \simeq H_{2}\left(X ; \mathbf{Z}_{2}\right)
$$

which will be called Hom duality. We will call $x \in H_{2}\left(X ; \mathbf{Z}_{2}\right)$ a Hom dual of $h \in H^{2}\left(X ; \mathbf{Z}_{2}\right)$ if $H(h)(x)=1$ since we can always choose a basis of $H_{2}\left(X ; \mathbf{Z}_{2}\right)$ so that $\bar{H}(h)=x$.

We next explore briefly the notions of an even intersection form, spin structure, and $\operatorname{spin}^{c}$ structure for a compact, oriented smooth 4 -manifold $X$. For more details see [B, p. 366-378], [K, p. 20-26, 33-37], [A, p. 95-101], [M, p. 20-25]. The intersection form $H_{2}(X) \times H_{2}(X) \rightarrow \mathbf{Z}$ is defined by using the intersection product $a \cdot b$ of two homology classes. If the homology classes are represented by smoothly embedded oriented surfaces $A, B$ (i.e. the inclusion maps induce $\left.\left(i_{A}\right)_{*}[A]=a,\left(i_{B}\right)_{*}[B]=b\right)$, then $a \cdot b$ may be computed by perturbing $A, B$ up to isotopy to be transversely embedded and summing up the intersections with signs $\pm 1$ according to whether the orientation framing of $A$ followed by the orientation framing of $B$ agrees or disagrees with the orientation framing of $X[B, p .375]$. It is always the case that a 2 -dimensional homology class in an oriented 4 -manifold may be represented by an embedded surface [K, p. 20]. The product $a \cdot b$ may also be computed using Poincaré duality as $a \cdot b=\alpha \cup \beta[X]=\alpha(b)$, where $D \alpha=a, D \beta=b$. There are similar formulas with $\mathbf{Z}_{2}$ coefficients. A two dimensional $\mathbf{Z}_{2}$ homology class is not always represented by an embedded oriented surface, but it always may be represented by an embedded nonorientable surface [G, p. 165-166], and there is a similar interpretation of the intersection form in terms of counting
geometric transverse intersections. The map $H_{2}(X) \rightarrow H_{2}\left(X ; \mathbf{Z}_{2}\right)$ is surjective exactly when every $\mathbf{Z}_{2}$ homology class can be represented by an orientable surface.

The universal coefficient sequences with integral and $\mathbf{Z}_{2}$ coefficients lead to the following diagram.


The homomorphisms $h_{1}$ and $h_{2}$ are related to the intersection form:

$$
h_{1}(\alpha)(b)=a \cdot b, \quad h_{2}(\alpha)(b)=a \cdot b \quad \bmod 2
$$

where $D(\alpha)=a$ with either $\mathbf{Z}$ or $\mathbf{Z}_{2}$ coefficients. The homomorphisms $\rho_{i}$ come from reduction mod 2 . The intersection form is called even if $x \cdot x$ is an even number for all $x \in H_{2}(X)$. An integral class $a \in H_{2}(X)$ so that $a \cdot x=x \cdot x \bmod 2$ for all $x$ is called characteristic for the intersection form. $a$ is characteristic if the homomorphism $S(x)=x \cdot x \bmod 2$ is the image of $a$ under the homomorphism $k: H_{2}(X ; \mathbf{Z}) \longrightarrow \operatorname{Hom}\left(H_{2}(X), \mathbf{Z}_{2}\right)$ where $k(a)(x)=a \cdot x \bmod 2$. If $a$ is a characteristic class, and $\alpha$ is its Poincaré dual, then $h_{1}(\alpha)(x)=a \cdot x=x \cdot x \bmod 2$. Thus $a$ is characteristic iff its Poincaré dual $\alpha$ satisfies $h_{2} \rho_{1}(\alpha)=\rho_{2} h_{1}(\alpha)=S$. Since the form is even iff $S=0$, this means that the form is even iff for $a$ characteristic, $D \alpha=a$, then $h_{2}\left(\rho_{1}(\alpha)\right)=0$.

The existence of characteristic classes uses the nondegeneracy of the intersection form and Poincaré duality with $\mathbf{Z}_{2}$ coefficients. The intersection pairing $H_{2}(X ; \mathbf{Z}) \times H_{2}(X ; \mathbf{Z}) \longrightarrow \mathbf{Z}$ factors through $\Gamma \times \Gamma \longrightarrow \mathbf{Z}$ where $\Gamma=H_{2}(X ; \mathbf{Z}) /$ Tors, and when we reduce $\bmod 2$, through $\Gamma_{2} \times \Gamma_{2} \longrightarrow \mathbf{Z}_{2}$ where $\Gamma_{2}=\Gamma \otimes \mathbf{Z}_{2}$. The existence follows from $\Gamma \longrightarrow \Gamma_{2}$ being surjective and $\Gamma_{2} \longrightarrow \operatorname{Hom}\left(\Gamma_{2}, \mathbf{Z}_{2}\right)$ being an isomorphism. For this last isomorphism, note both sides are $\mathbf{Z}_{2}$-vector spaces and have dimension equal to $\operatorname{rank} H_{2}(X ; \mathbf{Z})$. The isomorphism is established once the map is seen to be injective. This follows from the fact that the intersection form is nondegenerate due to Poincaré duality: for each $v, \exists w$ with $w \cdot v=1$; in fact, $w=D \psi$ where $\psi$ is the Hom dual of $v$ :

$$
w \cdot v=D \psi \cdot v=H(\psi)(v)=1
$$

The second Stiefel-Whitney class $w_{2}(X) \in H^{2}\left(X ; \mathbf{Z}_{2}\right)$ belongs to a family of characteristic classes. A good reference for properties of the Stiefel-Whitney
classes and characteristic classes in general is [MS]. For our discussion here we need to know three of its properties. First, it is related to the characteristic classes discussed above in that its Poincaré dual $D\left(w_{2}(X)\right)$ satisfies the characteristic property for the $\mathbf{Z}_{2}$ intersection form:

$$
H\left(w_{2}(X)\right)(z)=D\left(w_{2}(X)\right) \cdot z=z \cdot z
$$

for all $z \in H_{2}\left(X ; \mathbf{Z}_{2}\right)$. When we restrict to the image of integral classes, we get the statement that $h_{2}\left(w_{2}(X)\right)(x)=x \cdot x \bmod 2$. This means that if $D\left(\alpha_{1}\right)$ is an integral characteristic class, then $h_{2}\left(w_{2}-\rho_{1}\left(\alpha_{1}\right)\right)=0$. The second property that $w_{2}(X)$ satisfies is that an oriented manifold $X$ has a spin structure iff $w_{2}(X)=0$. A spin structure on $X$ is a lifting of the structure group of the tangent bundle of $X$ from $S O(4)$ to its universal (double)cover spin(4). The third property which $w_{2}(X)$ possesses relates to $\operatorname{spin}^{c}$ structures. The group $\operatorname{spin}^{c}(4)$ is the double cover $\operatorname{spin}(4) \times S^{1} / \pm 1$ of $S O(4) \times S^{1}$ induced from the double cover on each factor. A spin ${ }^{c}$ structure on $X$ consists of a lifting of the structure group of the product of the tangent bundle of $X$ and a chosen line bundle $L$ over $X$ from $S O(4) \times S^{1}$ to $\operatorname{spin}^{c}(4)$. The 4 -manifold $X$ has a $\operatorname{spin}^{c}$ structure exactly when the second Stiefel-Whitney class $w_{2}(X)=\rho_{1}(\alpha)$ for some integral class $\alpha$ ([HH, p. 169], [M, p. 25]).

We now give the argument why $w_{2}(X)$ always lifts to an integral class from the excellent expository account of Seiberg-Witten invariants by S. Akbulut [A, p. 95]. We saw above that the existence of an integral characteristic class means there is an integral class $\alpha_{1}$ so that $h_{2}\left(w_{2}(X)-\rho_{1}\left(\alpha_{1}\right)\right)=0$. Hence $w_{2}-\rho_{1}\left(\alpha_{1}\right)$ comes from $\operatorname{Ext}\left(H_{1}(X), \mathbf{Z}_{2}\right)$. But the map $\operatorname{Ext}\left(H_{1}(X), \mathbf{Z}\right) \longrightarrow \operatorname{Ext}\left(H_{1}(X), \mathbf{Z}_{2}\right)$ is surjective since the first group gives the torsion subgroup of $H_{1}(X)$ and the latter the 2-torsion subgroup. Hence $\exists \alpha_{2} \in \operatorname{Ext}\left(H_{1}(X), \mathbf{Z}\right) \hookrightarrow H^{2}(X ; \mathbf{Z})$ with $\rho_{1}\left(\alpha_{2}\right)=w_{2}-\rho_{1}\left(\alpha_{1}\right)$. This implies $w_{2}=\rho_{1}\left(\alpha_{1}+\alpha_{2}\right)$ is the image of an integral cohomology class. Note that this also means that the Poincaré dual $D\left(w_{2}\right)$ is the image of an integral homology class.

With this background, we return now to our initial example $M$. To see that $w_{2}(M) \neq 0$, Habegger $[\mathrm{H}]$ notes that if $\mathbf{R P}^{2}=\{[(x, x)]\}$ is the image of the diagonal $\triangle$ in $S^{2} \times S^{2}$ under the quotient, then $[\triangle] \cdot[\triangle]=2$ in $S^{2} \times S^{2}$ leads to $\left[\mathbf{R P}^{2}\right] \cdot\left[\mathbf{R} \mathbf{P}^{2}\right]=1$ in $M$. If $\left[\mathbf{R P}^{2}\right]=D \gamma$, where $\gamma \in H^{2}\left(M ; \mathbf{Z}_{2}\right)$, then we have $(\gamma \cup \gamma)[M]=\left[\mathbf{R P}^{2}\right] \cdot\left[\mathbf{R P}^{2}\right]=1$. Thus $w_{2}(M) \cup \gamma=\gamma \cup \gamma \neq 0$, which implies $w_{2}(M) \neq 0$ and thus $M$ is not spin.

Next note $\pi_{1}(M)=\mathbf{Z}_{2}=H_{1}(M)$ since $M$ is double covered by $S^{2} \times S^{2}$. Using this and the computation of Euler characteristic as $\chi(M)=$ $\chi\left(S^{2} \times S^{2}\right) / 2=2$, Habegger shows rank $H_{2}(M)=0$. Evenness of the
intersection form follows. The universal coefficient sequences for $M$ are:


Consider the homology class $D w_{2}$. We claim that it is represented by the embedded sphere which is the image under the quotient of $S^{2} \times p$ or $p \times S^{2}$ in $S^{2} \times S^{2}$. Here $p$ is a chosen point in $S^{2}$, say $(1,0,0)$. To see this, note that $\left(S^{2} \times p\right) \cap \triangle=(p, p)$ and the intersection is transverse. This gives us $\left[S^{2} \times p\right]_{2} \cdot\left[\mathbf{R P}^{2}\right]=1$ in $M$, and $\left[S^{2} \times p\right]_{2}$ is therefore a nonzero class in $H_{2}\left(M ; \mathbf{Z}_{2}\right)$ - the subscript 2 indicates that here we are viewing $\left[S^{2} \times p\right.$ ] as a $\mathbf{Z}_{2}$ homology class rather than an integral class. This implies $\left[S^{2} \times p\right]$ must be nonzero in $H_{2}(M) \simeq \mathbf{Z}_{2}$. Its Poincaré dual in $H^{2}(M) \simeq \mathbf{Z}_{2}$ must therefore be the unique nonzero class which reduces $\bmod 2$ to $w_{2}(M)$. This is reflected in our commutative diagram. Evenness is reflected through the upper right term being zero, and the image of $w_{2}$ to the Hom term being zero. Exactness implies $w_{2} \in \mathbf{Z}_{2} \oplus \mathbf{Z}_{2}$ must come from the Ext term. Note that under the isomorphism $\mathbf{Z}_{2} \oplus \mathbf{Z}_{2} \simeq H^{2}\left(M ; \mathbf{Z}_{2}\right) \simeq \operatorname{Hom}_{\mathbf{Z}_{2}}\left(H_{2}\left(M ; \mathbf{Z}_{2}\right), \mathbf{Z}_{2}\right)$, w maps to a nonzero homomorphism which evaluates zero on $\left[S^{2} \times p\right]_{2}$ and one on $\left[\mathbf{R} \mathbf{P}^{2}\right]$.

What is true here is that the class $\left[\mathbf{R P}^{2}\right]$ in $H_{2}\left(M ; \mathbf{Z}_{2}\right)$ does not come from an integral class. The evaluation of $w_{2}$ on $\left[\mathbf{R P}^{2}\right]$ and $\left[S^{2} \times p\right]_{2}$ distinguishes these classes. Thus, these two surfaces generate $H_{2}\left(M ; \mathbf{Z}_{2}\right)=\mathbf{Z}_{2} \oplus \mathbf{Z}_{2}$ and the intersection form with respect to this basis is just $\left(\begin{array}{ll}1 & 1 \\ 1 & 0\end{array}\right)$. We also note that $\left[\mathbf{R P}^{2}\right]$ cannot be represented by an oriented surface $N$. If it were, $[N]$ would represent an element of $H_{2}(M)$, and as we have seen, $\left[\mathbf{R P}^{2}\right.$ ] is not in the image of the homomorphism $H_{2}(M) \longrightarrow H_{2}\left(M ; \mathbf{Z}_{2}\right)$ since the form is even.

How typical is this example ? First, if $X$ has an even intersection form and $w_{2}(X) \neq 0$, then there must be a class $a \in H_{2}\left(X ; \mathbf{Z}_{2}\right)$ with $a \cdot a \neq 0$ detecting $w_{2}(X) \neq 0$ so that $a$ does not come from an integral class. This class $a$ can be taken as a Hom dual of $w_{2}(X)$, not the Poincaré dual. In our example, $\left[\mathbf{R P}^{2}\right]$ is the Hom dual to $w_{2}(M)$ (using the basis $\left[S^{2} \times p\right]_{2}, \mathbf{R} \mathbf{P}^{2}$ to form the duality) since $H\left(w_{2}(M)\right)\left(\left[\mathbf{R P}^{2}\right]\right)=1$ and $H\left(w_{2}(M)\right)\left(\left[S^{2} \times p\right]_{2}\right)=0$. Of course, no such example can have $H_{2}(X) \longrightarrow H_{2}\left(X ; \mathbf{Z}_{2}\right)$ surjective, which implies $X$ is not simply connected. Secondly, $H_{2}\left(X ; \mathbf{Z}_{2}\right)$ is always represented by embedded surfaces, orientable or nonorientable. All classes in the image

