

## 2. The Gauss-Manin connection

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since  $\pi(s(v)) = v$  and  $\pi\nabla(e) = \nabla_H(\pi(e))$ . The lemma now follows from

$$(t \circ \nabla_G^\vee)(w)(e) = \nabla_G^\vee(w)(e - s(\pi(e))) = 0. \quad \square$$

Suppose  $W$  is an  $\mathcal{O}_S$  submodule of  $H$ . We let  $[W]$  denote the smallest subconnection of  $H$  containing  $W$ .

## 2. THE GAUSS-MANIN CONNECTION

Here we will recall the definition and some basic properties of the Gauss-Manin connection which we will need in this paper. For more details see [K-O]. If  $\mathcal{S}^\cdot$  is a complex,  $\mathcal{S}^\cdot(k)$  will denote the complex obtained from  $\mathcal{S}^\cdot$  by setting  $\mathcal{S}^i(k) = \mathcal{S}^{i+k}$ . For any scheme  $Y$  over  $K$  will let  $K[Y]$  denote  $\Gamma(\mathcal{O}_Y)$ .

Suppose  $S$  is a smooth connected affine scheme over  $K$ . Suppose  $f: X \rightarrow S$  is a smooth morphism,  $Z$  is a closed subscheme of  $X$ , smooth over  $S$ . Suppose  $T$  is either  $\text{Spec}(K)$  or  $S$ . Then we define the subcomplex  $\Omega_{X/T, Z}^\cdot$  of  $\Omega_{X/T}^\cdot$  by the exactness of the sequence.

$$0 \rightarrow \Omega_{X/T, Z}^\cdot \rightarrow \Omega_{X/T}^\cdot \rightarrow \Omega_{Z/T}^\cdot \rightarrow 0.$$

When  $T = \text{Spec}(K)$  we drop it from the notation. It follows that  $\Omega_{X/S, Z}^i = \Omega_{X/S}^i$  for  $i > \dim_S Z$ . Note that  $\Omega_{X, Z}^0 = \Omega_{X/S, Z}^0$  is the sheaf of ideals of  $Z$  on  $X$ . We define  $H_{DR}^i(X/S, Z)$  to be the  $i$ -th hypercohomology group of the complex  $\Omega_{X/S, Z}^\cdot$ . We set  $H_{DR}^i(X/S) = H_{DR}^i(X/S, \emptyset)$ . If  $X$  is affine, then  $H_{DR}^i(X/S, Z)$  is the  $i$ -th cohomology group of the complex of  $K[S]$  modules  $\Gamma(\Omega_{X/S, Z}^\cdot)$ . If  $X$  is affine,  $K$  has characteristic zero and  $U$  is a dense open subscheme of  $X$  then the natural map from  $H_{DR}^i(X/S, Z)$  to  $H_{DR}^i(U/S, U \cap Z)$  is an injection.

From the last short exact sequence with  $T = S$ , we obtain a long exact sequence

$$(2.1) \quad \dots \rightarrow H_{DR}^{i-1}(Z/S) \rightarrow H_{DR}^i(X/S, Z) \rightarrow H_{DR}^i(X/S) \rightarrow \dots$$

The Gauss-Manin connection  $\nabla: H_{DR}^i(X/S, Z) \rightarrow \Omega_S^1 \otimes H_{DR}^i(X/S, Z)$  is the boundary map in the long exact sequence obtained by taking hypercohomology of the short exact sequence of complexes:

$$(2.2) \quad 0 \rightarrow f^*\Omega_S^1 \otimes \Omega_{X/S, Z}^\cdot(-1) \rightarrow \Omega_{X/S, Z}^\cdot / f^*\Omega_S^2 \otimes \Omega_X^\cdot(-2) \rightarrow \Omega_{X/S, Z}^\cdot \rightarrow 0$$

(which is exact because  $X$  and  $Z$  are smooth over  $S$ ). It is an integrable connection. If  $K$  has characteristic zero and  $f$  is surjective and has geometrically connected fibers, then  $H_{DR}^0(X/S) = K[S]$  and the Gauss-Manin

connection is the trivial connection on this module. Moreover, it is easy to show that the sequence (2.1) is horizontal with respect to the respective Gauss-Manin connections.

Suppose now that  $S$  is an affine curve over  $K$  and  $Z = \emptyset$ . Then the short exact sequence (2.2) becomes

$$0 \rightarrow f^*\Omega_S^1 \otimes \Omega_{X/S}^\cdot(-1) \rightarrow \Omega_{X/S}^\cdot \rightarrow \Omega_{X/S}^\cdot \rightarrow 0 .$$

Taking cohomology of this sequence yields the Leray long exact sequence

$$(2.3) \quad \dots \rightarrow H_{DR}^i(X/S) \xrightarrow{\nabla} \Omega_S^1 \otimes H_{DR}^i(X/S) \rightarrow H_{DR}^{i+1}(X) \rightarrow H_{DR}^{i+1}(X/S) \xrightarrow{\nabla} \dots$$

### 3. SECTIONS OF A FAMILY AND EXTENSIONS OF CONNECTIONS

Suppose now  $S$  is a smooth connected affine curve over a field  $K$  of characteristic zero and  $f:X \rightarrow S$  is a smooth proper morphism of schemes over  $K$ , with geometrically connected fibers. These assumptions will be in force throughout the remainder of this paper. Suppose  $Z$  is a closed subscheme of  $X$  finite over  $S$ . Suppose the normalization  $n:\tilde{Z} \rightarrow Z$  of  $Z$  is smooth over  $S$ . After repeated blowing ups at closed points we find a scheme  $m:\tilde{X}' \rightarrow X$ , which contains  $\tilde{Z}$  and is such that the restriction of  $m$  to  $\tilde{Z}$  is  $n$ . Let  $\tilde{X}$  equal the complement in  $\tilde{X}'$  of the singular locus of  $\tilde{X}'/S$ . This locus is a closed subscheme of  $\tilde{X}'$  disjoint from  $\tilde{Z}$ . The long exact sequence 2.1 becomes

$$(3.1) \quad 0 \rightarrow K[S] \rightarrow K[\tilde{Z}] \rightarrow H_{DR}^1(\tilde{Z}/S, \tilde{Z}) \rightarrow H_{DR}^1(\tilde{X}/S) \rightarrow 0$$

Let  $H$  denote the pullback of  $H_{DR}^1(\tilde{X}/S, \tilde{Z})$  by means of the horizontal monomorphism from  $H_{DR}^1(X/S)$  into  $H_{DR}^1(\tilde{X}/S)$ . We claim that  $H$  is independent of the choice of  $\tilde{X}$ . Indeed, there exists a non-empty affine open subscheme  $S'$  of  $S$  such that the map from  $\tilde{X} \times_S S'$  to  $X' = :X \times_S S'$  is an isomorphism. If  $Z' = Z \times_S S'$ , then  $Z'$  is smooth over  $S'$  and it is easy to see that  $H \otimes K[S'] \cong H_{DR}^1(X'/S', Z')$ . Hence  $H$  is an extension of the connection  $H_{DR}^1(X'/S', Z')$  on  $S'$  to a connection on  $S$ . Since such an extension is unique if it exists, it follows that  $H$  is independent of the choice of  $\tilde{X}$  and so we set  $H_{DR}^1(X/S, Z) = H$ . We obtain from the previous exact sequence, a natural exact sequence

$$0 \rightarrow K[S] \rightarrow K[\tilde{Z}] \rightarrow H_{DR}^1(X/S, Z) \rightarrow H_{DR}^1(X/S) \rightarrow 0 .$$

For a section  $s$  of  $X/S$ , we will also use  $s$  to denote the induced reduced closed subscheme  $s(S)$  of  $X$  when convenient. Now suppose  $s$  and  $t$  are two distinct sections of  $X/S$ . Let  $Z = s \cup t$ . Then  $\tilde{Z}$ , the normalization of  $Z$ , is just two disjoint copies of  $S$  and so is étale over  $S$ . (The sections  $s$  and  $t$