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## EPIC SĀMKHYA: TEXTS, TEACHERS, TERMINOLOGY

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The main passages on Sāmkhya in the Mahābhārata are undoubtedly those occurring in the Moksadharmaparvan (Mbh. 12.168-353) but I shall also take into account certain other passages, including those in which Sāmkhya is presented as the basis for Yoga. Such passages outside the Moksadharma include not just the Bhagavadgītā (BhG. 6.23-40) and Sanatsujātīya (5.42-45) but also the Brāhmanavyādhasamvāda (3.198-206), which contains an exposition of the Sāmkhya categories, the Viduranīti, which uses Sāmkhya terminology extensively in its sententious proverbialising, and the Anugītā (14.16-50). My aim is to provide a survey of such passages, with some attention also to their linking with various teachers and the terminology employed.2 To state my own position at the outset, Sāṃkhya is most probably an ancient trend of thought but it was not a developed system until the time of Īśvarakṛṣṇa. Certainly, a listing of Sāmkhya and Yoga alongside the Vedas and the Pāñcarātra and Pāśupata systems (sāmkhyam yogam pañcarātram vedāh pāśupatam tathā, 12.337.59ab,3 with the supreme sage Kapila as the propounder of Sāmkhya in 60ab) reveals its lateness by its air of precision as much as by its occurrence within the Nārāyanīya. It is also worth emphasising in this context the prevalence in the Mahābhārata of other less well defined views, such as those which elevate  $k\bar{a}la$ , daiva, svabhāva or the like to the status of supreme principle.

- This article develops my treatment of epic Sāṃkhya and Yoga in *The Sanskrit Epics*, Brill, Leiden, 1998, pp. 302-312. It owes much to Peter SCHREINER's comments and to the material of his article published in this issue.
- The passages selected for notice are those which employ terminology typical of classical Sāṃkhya or use the term Sāṃkhya of the views presented therein. The second criterion thus excludes such passages as the *Jāpakopākhyāna* (12.189-193), where *sāṃkhyayogakriyāvidhi* (189.4d) is implicitly contrasted with the practice of *japa*. In addition, the *Nārāyaṇīya* (though mentioning Sāṃkhya very frequently, as well as Yoga) is not separately studied, in view of its full discussion in *Nārāyaṇīya-Studien*, ed. Peter Schreiner, Harrassowitz, Wiesbaden, 1997.
- This line also occurs at 1ab, where the second half of the line reads instead *vedāranyakam eva ca* but a substantial number of manuscripts have the same reading as 59b.

Erich FRAUWALLNER, by his inclusion of the Moksadharma treatises in his study of the earliest forms of Sāmkhya, clearly considers a form of Sāmkhya to be present in the Mahābhārata. 4 However, the precise meaning of the terms is crucial: often sāmkhya and yoga mean little more than theory and practice. EDGERTON has rightly insisted that to assume the existence of the systems whenever the terms occur in the Moksadharma, Bhagavadgītā and other early texts is to commit a fundamental error in historical judgement,<sup>5</sup> since the terms refer not so much to philosophical positions as to spiritual methodologies: for example, Vasistha in his discourse to Karāla Janaka (12.291-296), after defining Yoga in terms of ekāgratā and prānāyāma (294.8), affirms that the basis of Sāmkhya is discrimination and enumeration (294.41).6 Again, a mainly Yoga chapter of the Śukānupraśna (12.228) refers to the 25 tattvas as found in Yoga and Sāmkhya equally (28), makes the contrast between vyakta and avyakta the same as between sattva and ksetrajña in terms that seem more Sāmkhya than Yoga (31), but then talks of the goal for each in slightly different terms (32 and 37). On the other hand, statements distinguishing Sāmkhya from Yoga (or sāmkhyayoga from karmayoga, dhyānayoga and so on, such as nāsti sāmkhyasamam jñānam nāsti vogasamam balam, 12.304.2ab, cf.

- Erich FRAUWALLNER, "Untersuchungen zum Mokṣadharma", Journal of the American Oriental Society 45, 1925, pp. 51-67, Wiener Zeitschrift für die Kunde des Morgenlandes 32, 1925, pp. 179-296 and Wiener Zeitschrift für die Kunde des Morgenlandes 33, 1926, pp. 57-68; also his Geschichte der indischen Philosophie, Müller, Salzburg, 1953, vol. I, pp. 275-408. A useful survey of scholarship on epic Sāṃkhya is contained in Gerald LARSON's Classical Sāṃkhya: An Interpretation of its History and Meaning, 2nd edn, Motilal Banarsidass, Delhi, 1979 (especially chapters 1-2).
- Franklin EDGERTON, *The Beginnings of Indian Philosophy*, Allen & Unwin, London, 1965, pp. 35-48 and 255-334. Sāṃkhya and Yoga are commonly both mentioned within one verse whether to link or to contrast them especially within the *Nārāyaṇīya* (12.50.32c, 189.4d, 228.28c, 231.3c, 289.1a, 290.100cd, 293.29cd,30c, 42b,44c, 294.5c, 295.42acd, 298.8cd, 304.1ab,2ab,4ab, 306.12b,55cd,65ac,69c, 76d,98a, 325.4<sup>68</sup>, 326.100c, 327.24b,66ab, 328.9a, 334.17c, 335.34d,74c,81cd,85a, 336.69a, 337.1a,59a,68a, 338.2b, 339.7cd,21cd). It is clear that from outside often little difference was seen between them, as when Vaiśaṃpāyana declares that the teachers of Sāṃkhya and Yoga deny the one *puruṣa* and accept many *puruṣas* (12.338.2).
- However, Vasiṣṭha announces that he is moving on from *yogadarśana* to *sāṃkhyajñāna* (294.26) and his definition of Sāṃkhya includes *prakṛti* and the 24 *tattvas* (294.41d-42).

289.2-5) may be seen as part of the process of emergence of the developed schools.

In the Moksadharma several teachers are quoted as giving instruction in some form of Sāmkhya. Some of them can be traced back to the older Upanisads and many also appear in the later Purānic literature; however, the doctrines attributed to them vary and are not necessarily specific to Sāmkhya – for example, Jaigīsavya merely advocates equanimity of mind in his response to Asita Devala at 12.222 and Kapila expounds the desirability of renunciation at 12.261. Three of these teachers are often referred to later as important precursors of the developed Sāmkhya system: Kapila, Āsuri and Pañcaśikha. These three are presented in that order at 12.211.1-16, where Pañcaśikha Kāpileya arrives at the court of Janaka of Mithilā and is described as looking like Prajāpati Kapila in form, as the first or foremost pupil of Āsuri, and as being born from Āsuri's wife, Kapilā (hence his being Kāpileya, kāpileyatva). On the other hand, the only other passage to give any kind of list of teachers – a much longer list – appears to give the names in a random order: Jaigīsavya, Asita Devala, Parāśara, Vārsaganya, bhiksu Pañcaśikha, Kapila, Śuka, Gautama, Ārstisena, Garga, Nārada, Āsuri, wise Pulastya, Sanatkumāra, Śukra, father Kaśyapa and then Rudra (12.306.56-60); this cannot be interpreted as a paramparā either forwards or backwards.<sup>7</sup>

Āsuri's name occurs only in these two passages and Pañcaśikha's only in the slightly more extended compass of 12.211-212 and 306-8, although Kapila is more widely attested. Indeed, Asita Devala, under one or both of

The fact that this list occurs well through the *Mokṣadharma* may indicate its relative lateness (and so loss of contact with an authentic tradition), if we accept the premise that earlier passages tend to be nearer the beginning and later ones nearer the end of this compilation, but there is no specific evidence to support this. Also, a couple of *adhyāyas* later, Pañcaśikha is described not only as *bhikṣu* but also as belonging to the Pārāśarya gotra (308.24 – and in the preceding verse as propounding the Vaiśeṣika), which raises the possibility that Parāśara in this list is a doublet.

Incidentally, 211.13 declares that Āsuri attained imperishable Brahman. Kapila occurs in the Śāntiparvan at 43.12b, 192.93c, 211.9a,17a, 212.52d, 260.5c, 290.3d, 306.58b, 323.8c, 326.64c, 327.64c, 330.29b(iic),30c, 337.60a, 338.6b(iic), Kapilā at 12.211.14c, Kāpila at 12.211.11b, 290.53c,81b, and Kāpileya at 12.211.6b, 15c(°tva),16bc(°tva),18b(iic); the Kapila fire is identified with kapilo nāma sāṃkhyayogapravartakaḥ at 3.211.21cd; see further on Kapila below.

those names, occurs more widely than either Āsuri or Pañcaśikha.9 In the Nāradadevalasamvāda (12.267) he propounds a cosmological view in which the five mahābhūtas evolve out of kāla in a scheme which is otherwise similar to others in a Sāmkhya context (but which has citta, manas and buddhi in ascending order with the functions of awareness, thinking and determining, 16-17), while elsewhere he is one of a group of named maharsis who praise Visnu with verses and gain success by his favour (12.281.14-17). Other names linked elsewhere in the Moksadharma with Sāmkhya ideas include Bhrgu, Yājñavalkya, Vasistha, Vyāsa (also termed sāmkhyayogavid at 18.5.33b) and Janaka (indeed, the list just cited comes from the discourse by Yājñavalkya to Janaka), while at 12.327.64-66 the seven mind-born sons of Brahmā - Sana, Sanatsujāta, Sanaka, Sanandana, Sanatkumāra, Kapila and Sanātana – are collectively described as the foremost knowers of Yoga and knowers of the Sāṃkhya dharma; Sanatsujāta, of course, is the expounder of the Sanatsujātīya and Sanatkumāra is quite commonly mentioned in the Moksadharma 306.59c, 316.5c, 326.35c, 327.64c, (12.271.3c,6a,59c,63b, 329.85, 336.37ac).

The Sanatsujātīya is probably one of the earliest of the philosophical passages to be included in the Mahābhārata; its text shows a great deal of confusion – by no means eliminated even in the Critical Edition – and this may well be an indication of its relatively early date and its popularity. Certainly, its borrowings come from the older literature, especially in adhyāya 45, which contains reminiscences of Atharvaveda 11.4.20ab, Brhadāranyaka Upaniṣad 5.1, Kaṭha Upaniṣad 6.9 and 17ab (the last two also found as Śvetāśvatara Upaniṣad 4.20 and 3.13ab), as well as the simile of the well in a flood found also in the Bhagavadgītā (5.45.23 and BhG. 2.46). It contains little that can be directly linked with Sāṃkhya, although there is a definite Yoga element, and its overall outlook and emphasis on nivṛtti seems Vedāntin (as a result presumably of its final redaction); however, knowledge of Brahman is termed avyaktavidyā (5.44.2) and Brahman has no colour, does not reside in the five elements, the sun, moon,

Asita Devala occurs at 12.200.4a, 222.4d, 267.1d, 281.15a and 306.57ab, Devala alone at 12.1.4b, 222.8b,11b, 267.2a, 306.19a, and Asita alone at 12.222.3d, 326.110b. As the distribution of the single names shows, in several instances they clearly refer to Asita Devala and there is no reason to suppose that they do not always do so.

stars and so forth, nor in the Vedas and the Vedic rituals, yet this whole world is established in it (5.44.19-24).

However, most epic descriptions of Sāṃkhya are not given by Sāṃkhya teachers but are reports of their views. Thus, although these epic passages are not primary sources for knowledge about early Sāṃkhya as such, they do include ideas current at their time. In addition, these passages of the *Mahābhārata*, as well as some later Upaniṣads, may well have been composed during the period when early Sāṃkhya schools were emerging. They may even have preserved some small texts used in the schools for instruction, as HACKER has argued, <sup>10</sup> but their outlook is rather different.

Cosmogony may have been unrelated to Sāmkhya originally, and as a category it is later richly developed in the Purāṇas, with their clear mythological and theistic emphasis. Certainly, Bhrgu in the Bhrgubharadvājasamvāda (12.175-185) provides an account of the cosmogonic process set within the mythological framework of Brahmā emerging from Visnu's navel and based to a significant extent on early views about the primacy of prāṇa.11 The beginningless and endless, unmanifest god (anādinidhano devah 175.11c, avyakta iti vikhyātah 12a) emitted first the mighty ākāśa, from ākāśa arose water, from water fire and wind, from fire and wind together arose the earth, mahī (13-14); only then is the lotus emitted by the self-born one (i.e. Visnu) and from it arises Brahmā, known as ahamkāra and sarvabhūtātmabhūtakrt, to undertake creation (15-16).<sup>12</sup> These ideas are then amplified in a basically materialistic manner in the next adhyāyas and the operation of the five winds in the body  $-pr\bar{a}na$ , apāna, vyāna, udāna and samāna - is described in some detail (177.24-25 and 178.2-27). The jīva is able to perceive only when the senses are joined with the manas, which is vital for perception (180.14-18, cf. 176.2 where

Paul HACKER, "The Sānkhyization of the Emanation Doctrine shown by a critical analysis of texts", *Wiener Zeitschrift für die Kunde Süd- und Ostasiens* 5, 1961, pp. 75-112.

Whereas the titles of some passages, such as the *Sanatsujātīya* and the *Anugītā*, are attested at a late stage of the text of the *Mahābhārata* (in the *Parvasaṃgrahaparvan*, at 1.2.33-70), those of passages within the *Mokṣadharma* occur — often in varying forms — only in the colophons of many manuscripts. However, their occurrence there is an indication that such groups of *adhyāyas* were traditionally regarded as a unit, which for the *saṃvādas* is in any case established by the references within the texts to their speakers at the beginning and end of such passages.

Prajāpati and *ahamkāra* are identical at 12.291.20 and 300.12.

creation is termed  $m\bar{a}nasa$ ); by contrast, buddhi and  $ahamk\bar{a}ra$  are hardly mentioned (both together along with manas at 178.4 - a probably intrusive verse – and  $ahamk\bar{a}ra$  also at 175.16a, noted above).

Again, in the *Manubṛhaspatisaṃvāda* (12.194-199), Manu states that from the imperishable self (*akṣara*), *kha* is born, then in turn *vāyu*, *jyotiḥ*, *jala* and *jagatī* (195.1) and he continues that the embodied self came into contact with the five elements and the five senses depend respectively on the qualities of the five elements. At a subsequent point in the discourse, a hierarchy is given which places *manas* above the senses, *buddhi* higher again, *jñāna* above *buddhi* and the supreme beyond *jñāna* (197.10-11, cf. 19); although this now includes *buddhi*, though hardly in the classical form, there is only one reference to *ahaṃkāra* (198.16c).

Similarly, the *Vārsnevādhyātma* (12.203-210), which is enclosed in a broadly theistic but quite possibly later framework, incorporates elements of an early cosmogony, but one which now includes buddhi, ahamkāra and manas. To his pupil's initial question about where they both had come from, its anonymous teacher replies that Vāsudeva Vārsneya is everything and that he causes the emanation and dissolution of the universe, being the unmanifest, eternal Brahman (203.7-9). The sequence of evolution is then given as avyakta, buddhi, ahamkāra, ākāśa, vāyu, tejas, āpas, vasudhā, followed by five jñānendriyas, five karmendriyas and their five visayas, with manas as the sixteenth (203.25-27, cf. 298.11-14). The gunas, sattva, rajas and tamas, are listed at 206.12 (cf. also 205.29, whereas at 203.33ab sattva is equivalent to buddhi) and their action is described in the next few verses in a manner fairly close to the classical scheme, but at 209.16 tamas is opposed to tapas (which at 210.15 pervades the triple world). After indicating the usefulness of Yoga techniques and commenting on the similar status of purusa and prakrti (210.6-12), the dialogue then emphasises the role of intuition in being freed from the mortal world and becoming Brahman, which is going to the blessed, unborn, divine Visnu, who is called the unmanifest (210.28-30).

This passage incidentally preserves the older scheme of the eightfold prakṛti, which here (mūlaprakṛtayo 'ṣṭau, 203.26c), at 294.27-29 (along with sixteen modifications, within the discourse between Vasiṣṭha and Karāla Janaka, 12.291-6) and at 298.10-12 (within the dialogue between Yājñavalkya and Janaka, 12.298-306) comprise avyakta, buddhi, ahaṃkāra and the five gross elements, although variations are frequent (for example, the senses instead of the elements at 12.267.16 and the manas for avyakta at

BhG. 7.4), and in two passages within the late *Nārāyaṇīya* the eight *prakṛti*s are identified with Marīci, Aṅgiras, Atri, Pulastya, Pulaha, Kratu, Vasiṣṭha and Manu Svāyaṃbhuva (12.322.27-28 and 327.29-30, with seven *prakṛti*s being distinct from Svāyaṃbhuva as the eighth at 12.322.28ab) and the eleven Rudras are the *vikāras* (327.31).

An early form of Sāmkhya is found in the Adhyātmakathana (12.187, repeated with some significant variations at 12.239-41). This passage exemplifies the first of FRAUWALLNER's three stages of development of Sāmkhya before Īśvarakrsna, in which there is no evolutionary doctrine and analysis begins with the five gross elements. 13 Also, in this early speculation (e.g. at 12.187.14), the gunas are called bhāvas and are basically psychical qualities: sattva (goodness), rajas (passion) and tamas (dullness). In fact, in the varying usage of the terms bhāva and guna, there are traces of a synthesis between ancient cosmological speculations and yogic theories of evolution. The  $bh\bar{u}t\bar{a}tm\bar{a}$  (=  $j\bar{v}a$ ) creates the five mahābhūtas and withdraws them again like the tortoise drawing in its limbs (187.4-7);<sup>14</sup> the senses and their objects and the organs of action are produced from the elements, but manas is the sixth tattva, buddhi the seventh and ksetrajña the eighth as the sāksin (8-12); the position of buddhi next to ksetrajña suggests that its role is analogous to that of the later prakrti. Later within this passage sattva and ksetrajña are equal but distinct: one (sattva) emits the gunas and one (ksetrajña) does not (37, cf. 42-43) and the wise individual who realises this gains his svabhāva (47-48);

- FRAUWALLNER, "Untersuchungen zum Mokṣadharma", II. The Bombay edition contains three versions of this passage but its 12.286[5] is lacking in several manuscripts and its readings are given by the Critical Edition in App.II.1 as variants to 12.187. It is translated by EDGERTON, The Beginnings of Indian Philosophy, pp. 256-60, analysed by J. A. B. VAN BUITENEN, "Studies in Sāṃkhya (I)", Journal of the American Oriental Society 76, 1956, pp. 153-157 (cf. also the sequels (II) and (III) in Journal of the American Oriental Society 77, 1957, pp. 15-25 and 88-107), and reexamined by Hans BAKKER, "On the Origin of the Sāṃkhya Psychology", Wiener Zeitschrift für die Kunde Südasiens 26, 1982, pp. 117-148. The latest study of 12.187 and 239-41 is that by Peter BISSCHOP elsewhere in this issue.
- It is clear that the *bhūtātman* is here the individual self (EDGERTON's "elemental self"), the *jīva* of 7d, despite Anima SEN GUPTA's claim that it stands for the *buddhi* (*The Evolution of the Sāṃkhya School of Thought*, 2nd edn, Munshiram Manoharlal, New Delhi, 1986, p.68). However, at 12.200.8 (cf. verse 11), Viṣṇu, described as *bhūtātmā mahātmā puruṣottamaḥ*, creates the five elements (*vāyur jyotis tathā cāpaḥ khaṃ gāṃ caivānvakalpayat*, 8cd).

svabhāva appears as the highest category also at 12.195.21d, 204.3c, 215.27a and 229.6d. It must be noted that ahamkāra is absent throughout; since this principle usually appears in enumerations of Sāmkhya tattvas in the Mokṣadharma and is found already in Aśvaghoṣa's treatment of Sāmkhya, this argues for an early date for this text-group. In addition, as FRAUWALLNER has indicated, the fact that this text-group is textually so corrupt is another pointer to an early date.

In FRAUWALLNER's second stage the theory of evolution is introduced and the classical notion of *prakṛti* and the three *guṇas* is developed. He traces the doctrine of evolution to the speculations about the ages and periods of the world as they emerge from Brahmā, identifying the Śukānupraśna (12.224-247) as an example of such speculation, and assigning this stage to Pañcaśikha, whom he also credits with adding the notion of *ahaṃkāra* and standardising the *tattvas* at 25;<sup>15</sup> however, a major obstacle to his interpretation is the absence of the term *ahaṃkāra* from any of the *Mahābhārata* passages associated in any way with Pañcaśikha. FRAUWALLNER's third stage sees the addition of various further doctrines and was probably spread over a long period. He sees the introduction of the sixty topics (which include the ten basic principles dealing with the nature of *puruṣa* and *prakṛti* and the fifty *bhāvas*, attributed to Vārṣagaṇya) as being of major significance for Sāṃkhya psychology.

The views attributed to Pañcaśikha in the *Mokṣadharma* seem quite different from those that can be pieced together from the occasional quotations ascribed to him in later texts; this could be resolved by positing more than one Pañcaśikha but it seems better to assume that Pañcaśikha was simply a respected name from the past, to which therefore a variety of

<sup>15</sup> It is not clear whether *Mahābhārata* passages giving different totals of *tattvas* derive from an earlier period or merely reflect the heterogeneity of the material. For example, seventeen are mentioned at 12.267.28 (also at 12.231.15) but twenty at 267.30, just two verses later.

Within the Śāntiparvan, ahaṃkāra occurs at 104.22b,152.30c(nir-), 175.16a,21a, 178.4c, 184.15a, 189.17a, 190.5a(iic), 198.16c, 203.25bc, 204.10b, 205.18d,19d,20c, 206.4cd,12d,15c, 215.4c,29a(nir-), 218.38b(an-), 221.45c, 223.5d, 228.14d,25a, 33a(an-), 261.48d(iic), 262.6b(nir-), 276.20a, 291.20c,21c,23ac, 294.28ac, 295.6ac, 36b(iic),37b(iic), 298.11b,17a,18a, 299.7a,9a, 300.12bc, 301.22c, 304.15ac, 306.103c, 312.10a, 326.39d,58c(iic), 327.26e,27c, 335.18c (also ahaṃkārika 301.12a and āhaṃkārika 298.18d, 306.103b). As the forms anahaṃkāra and nirahaṃkāra illustrate, its use is in any case often non-technical.

views could be ascribed. The main *Mokṣadharma* passage is the *Pañcaśikhavākya* (12.211-212), with which may be compared the account of Sāṃkhya given in the *Carakasaṃhitā*, as well as a long dialogue between Kapila and Āsuri which has been relegated to App.I.29 in the Critical Edition.<sup>17</sup> But another brief dialogue attributed to Pañcaśikha (12.307, *Pañcaśikhajanakasaṃvāda*) has no obvious relevance to Sāṃkhya, although in the next *adhyāya*, the *Sulabhājanakasaṃvāda*, Janaka declares that he is Pañcaśikha's pupil (terming him *sāṃkhyamukhya* at 308.27a).

The Pañcaśikhavākya first identifies Kapila, the mythical founder of Sāmkhya, with Prajāpati at 12.211.9; this recalls Śvetāśvatara Upanisad 5.2, where Kapila being born in the beginning is Hiranyagarbha (elsewhere in the Upanisad identified with Rudra); incidentally, Kapila himself, in addition to this identification with Prajapati and his expounding of the desirability of renunciation at 12.261, is also mentioned by Vaiśampāyana as the promulgator of Sāmkhya, as Hiranyagarbha is of Yoga, at 12.337.60 kapilo nāma sāmkhyayogapravartakah at 3.211.21cd), Hiranyagarbha is also the first in the line of teachers at 12.296.44 and Rudra is the first teacher of Yoga at 12.304.5ab. Kapila is also accorded divine status elsewhere, since he is identified with Visnu or Hari (3.45.25) and by Sāmkhya teachers with Vāsudeva (12.326.64 and 330.30). In addition, he is one of the speakers in the Kapilagosamvāda (12.260-262), while the other is the sage Syūmaraśmi who has entered the body of a cow that Nahusa is about to slaughter for Tvastr; however, the subjects of their discourse are the tension between the performance of sacrifices and ahimsā and that between the  $\bar{a}$ sramas of the householder and the renouncer – there is no mention of Sāmkhya or Yoga and no real sign of distinctively Sāmkhya terminology.

The *Pañcaśikhavākya* then records that Pañcaśikha, the first or leading pupil of Āsuri, was celebrating a 1000-year sacrifice when the great doctrine of Kapila appeared before him in an aura of human form (maṇḍalaṃ puruṣāvastham) and imparted to him avyakta, the highest truth,

Aśvaghoṣa's *Buddhacarita* 12.15-44 contains quite a systematic account of Sāṃkhya, which shows similarities to both of these. V. M. BEDEKAR rebuts the similarity with Caraka in "Studies in Sāṃkhya: Pañcaśikha and Caraka", *Annals of the Bhandarkar Oriental Research Institute* 38, 1957, pp. 140-147 and analyses the *Mahābhārata* passage in "Studies in Sāṃkhya: the teachings of Pañcaśikha in the Mahābhārata", *Annals of the Bhandarkar Oriental Research Institute* 38, 1957, pp. 233-44. The similiarities seem to lie in the terminology rather than in the overal conceptualisation.

after which Pañcaśikha realised the distinction between ksetra and ksetrajña (12.211.10-12). Next Pañcasikha expounds nirveda – a term absent from classical Sāmkhya – as the means to release (211.19-21, n.b. sāmkhyam in 19d) and this leads by stages into a refutation of views on rebirth which are not named but are clearly Buddhist (211.30-37). Despite FRAUWALLNER's arguments, both Pañcaśikha and Caraka accept 24 rather than 25 principles, although in 12.308 a system of thirty tattvas understood theistically is ascribed to Pañcaśikha. Janaka's questions to Pañcaśikha in 212.2-4 about the desirability of release are interesting, since they appear to suggest the inadequacy of moksa achieved through Sāmkhya from a Vedāntin standpoint, which is echoed by Pañcaśikha himself (212.40-44), from which HOPKINS concluded that the whole passage is a "brahmaist" reworking of an older Sāmkhya text.<sup>18</sup> Certainly, in these verses Pañcaśikha declares that the ksetrajña is eternal but loses its individuality on gaining moksa, just as rivers flow into the sea. At an earlier point in the passage, the emphasis seems also to be on the individual, when the five elements form the constituents of the body, joined and sundered by svabhāva, and the bodily principles (dhātu – another term absent from classical Sāmkhya) are the senses and their objects, svabhāva, cetanā, manas, and the modifications prāna and apāna (12.212.6-9, contrast verse 34 where citta is the eleventh and buddhi the twelfth), while in the following verse citta, apparently a synonym for manas, precedes the gunas (= indrivas). The usage of the term guna in this adhyāva is very varied. 19

The first chapter of the Śukānupraśna or Vyāsaśukasaṃvāda (12.224-247) comprises a cosmogonic text which perhaps predates the Christian era, according to HACKER.<sup>20</sup> This served in his view as a model for the kind of teaching manual, composed at the latest in the 3rd century A.D., which expounded the evolution of the world according to a form of the Sāṃkhya system and is largely preserved in different versions in seven Purāṇas. A

<sup>18</sup> E. W. HOPKINS, Ethics of India, Yale University Press, New Haven, 1924, p. 151.

<sup>&</sup>lt;sup>19</sup> For further details see Shujun MOTEGI, "The teaching of Pañcaśikha in the Mokṣadharma" elsewhere in this issue.

Paul HACKER, "The Sānkhyization of the Emanation Doctrine shown by a critical analysis of texts", Wiener Zeitschrift für die Kunde Süd- und Ostasiens 5, 1961, pp. 75-112. It is worth noting that this adhyāya is over twice the average length at 75 verses, whereas the final adhyāyas of the Śukānupraśna (241-47) are much shorter than average. A modified version of these instructional tracts was incorporated into the opening adhyāya of the Manusmṛti.

tract on the divisions of time (224.12-21) precedes one on the qualities of the Yugas (22-27) and one on cosmogony (31-38). At the end of the worldnight, Brahmā awakes, differentiates the unchanging (akṣayya, 224.31b - some Malayāļam mss read avyakta) and emits mahad bhūta, from which comes manas, termed vyaktātmaka (so the text of 31d - HACKER regards the variant reading vyaktāvyaktātmaka as original, which would mean that manas is the mahad bhūta, not evolved from it); he then emits the seven mānasas; from manas in turn comes ākāśa, whose guna is sound, from ether comes  $v\bar{a}yu$ , whose guna is touch, and so on (31-38),<sup>21</sup> but each element also possesses the gunas of the preceding elements (39). HACKER sums up its differences from the Sāmkhyakārikā schema as follows: avyakta, the unevolved cause or primary matter, is distinct from pradhāna, the first product of its evolution; the evolution of the tanmātras is combined with that of the gross elements; the ten senses are the products of taijasa emanation; and manas seems not to have been mentioned in the oldest form of the text. It is worth noting that there is no mention here of buddhi and ahamkāra either, while the varying position – or none – assigned to manas here (where it is prominent) and in other passages is certainly some argument against its presence originally in the scheme. Klaus RÜPING has now identified the sources for the mention of seven purusas here (224.41) as Taittirīya Upanisad 2.1 (in which the five elements arise directly from the atman and give rise to the purusa) and Satapatha Brāhmaṇa 6.1.1.2ff. (dealing with seven separate purusas which unite to form one *purusa*, the creator Prajāpati).<sup>22</sup>

Later in the Śukānupraśna – which is decidedly heterogeneous – the elements are listed in ascending order: indriyas, indriyārthas, manas, buddhi, mahān ātmā, avyakta, amṛta (12.238.3-4, virtually identical apart from the naming of the highest entity as amṛta rather than puruṣa with Kaṭha Upaniṣad 1.3.10-11); here, then, buddhi is included in the scheme and is superior to manas, but ahaṃkāra is absent. This passage also includes the somewhat enigmatic prescription that the ascetic (yati) should make his

<sup>21 12.224.32</sup> recurs at 12.232.9, with the important difference of the reading *rasaḥ* for *jagat* in the second *pāda* (found as a variant in a substantial number of mss at 224.32b). In 12.231-2 Vyāsa expounds first the Sāṃkhya and then the Yoga method of realising Brahman.

Klaus RÜPING, "Zur Emanationslehre im Mokṣadharma", Studien zur Indologie und Iranistik 3, 1977, pp. 3-10. Since 12.228 was commented on earlier, it is passed over at this point.

thought enter into *sattva*, since it is not clear whether *sattva* is being used to mean the *buddhi* or "goodness" (238.9-10; at 245.3-4 it clearly does mean the latter); incidentally, the term *yati* is applied to Sāmkhya and Yoga followers elsewhere too (e.g. 12.335.85ab) and even to Kapila (at 12.290.3cd, where he is also *īśvara*). Yet another scheme, still further removed from the classical Sāmkhya list, is given in the Śukānupraśna (at 12.244): the five elements, *bhāva* and *abhāva*, and *kāla* (along with the senses and organs relating to the elements, 2-8), with *manas* as the ninth, *buddhi* as the tenth and the *antarātmā* as the eleventh (10); *buddhi* is defined as having the nature of resolve or intention and *manas* that of discrimination (11). An interesting metaphor is elaborated at 246.9-14, where the body is compared to a city over which the *buddhi* rules, with *manas* as the executive (*arthacintaka*) and the senses as the citizens, and in which two dreadful diseases, *tamas* and *rajas*, are liable to destroy its stability.

The *Vasiṣṭhakarālajanakasaṃvāda* (12.291-6) seems to have greater coherence, although it gives the impression of being a late theistic reshaping of older material.<sup>23</sup> One pointer to its lateness is the occurrence, noted above, of the rare technical terms *ekāgratā* and *prāṇāyāma*.<sup>24</sup> Another is the overall mythological framework where Sambhu creates Hiraṇyagarbha and *buddhi* as the first stage, and so on (291.15-28). Nevertheless, elsewhere – as part of teachings explicitly identified as Sāṃkhya – *avyakta* is termed *kṣetra*, *sattva* and *īśvara* whereas the twentyfifth *tattva* is *anīśvara* and *atattva* (294.40, and *nistattva* at 42d) or again *avyakta* is equated with *avidyā* and the twentyfifth with *vidyā* (295.1-3). Perhaps the most

Teun GOUDRIAAN examines this passage in "The Stages of Awakening in the Svacchanda-Tantra", *Ritual and Speculation in Early Tantrism: Studies in Honor of André Padoux*, ed. Teun Goudriaan, Albany N.Y., 1992, pp. 139-173 (especially pp. 140-147, also pp. 155-160). Interestingly, GOUDRIAAN suggests (p. 146) on the grounds of inconsistencies between the earlier and later parts of 12.296 that "at least the passage from 294,1 to 296,40 is an interpolation containing a restatement of the earlier exposition" and that "the positions taken in the latter part (294-296) seem to indicate a certain development or, perhaps better, a change of emphasis, with respect to the earlier chapters (291-293)."

ekāgratā occurs only at 12.198.6c and 294.8a and prānāyāma only at 294.8bc and 304.9bc; ekāgramanas (not found in adhyāya 294) occurs more often at 12.20.2d, 35.9d, 56.28d, 322.29a, 323.32e (°tva) and 325.3a but half its occurrences are in a Pāñcarātrin context, as is exclusively the case with ekāntin (13 occurrences between 12.323 and 12.336).

interesting feature of the passage is the assertion that what comes from *prakṛti* is of three genders but that the *lingin* (i.e. *puruṣa*) is neither male nor non-male (293.36).

In the Śāntiparvan Sāmkhya is typically anīśvara, unlike Yoga. The Yogakathana (12.289) clearly differentiates sāmkhyayoga from other kinds of Yoga and states that Sāmkhya is non-theistic, emphasises knowledge as the only means of salvation, and relies mainly on accepted teaching as a means of knowledge; Yoga, on the other hand, is theistic, emphasises the power and strength of bodily discipline, and relies mainly on immediate perception as a means of knowledge; the passage also declares at verse 9 that the "views", darśana, are not the same in the two systems, although it is not made clear just what this means and the impression is given that the process of differentiation is still taking place. In the next adhyāya (Sāmkhyakathana / Sāmkhyavarnana) Bhīsma further elaborates on the significance of Sāmkhya, which is the highest knowledge on which other views rely (12.290.103, cf. 95-6); the main interest of this passage is that sattva is described as daśaguna and so on down to one, in an almost palindromic list (sattva, rajas, tamas, buddhi, nabhas, manas, buddhi, tamas, rajas, sattva), and is presumably equivalent to buddhi (290.14-16).

Nevertheless, the *Mokṣadharma* also contains a theistic version of Sāṃkhya, since several passages propound Nārāyaṇa as the 26th *tattva*. Three schools are mentioned: those who admit 24 categories, those who admit 25, and those who correctly admit 26 – the last a supreme being, as Yājñavalkya makes clear when narrating to Janaka his replies to the twenty-four questions put by the Gandharva Viśvāvasu (12.306.27-55, <sup>25</sup> seen in more impersonal terms in Bhīṣma's summary of Yājñavalkya's views at the end of the chapter as *kṣetrajñavit... tattvam* at 107cd; cf. also 12.187.37-39, 240.19-21, 296.22-26 and 303.13-18). The distinction between *kṣetra* and *kṣetrajña* is explained in detail and this perceiving self is set over against the 24 categories of *prakṛti* constituting the sphere of empirical knowledge. This perceiving self is not the real doer and enjoyer but simply the pure witness-consciousness. But both it and *prakṛti*, though independent of each other, are dependent on a further principle, *puruṣottama*, which is the final abode of the whole creation. While there are various differences from the

Phyllis GRANOFF, in her article in this issue, notes that Vidyānandin's description of Sāṃkhya in his *Satyaśāsanaparīkṣā* is closer in this respect to Mbh. 12.306 than to the *Sāmkhyakārikā*.

classical Sāṃkhya, the passage definitely says that all sufferings are due to false identification of *prakṛti* and *kṣetrajña* and that final liberation will be effected by recognising the distinction between spirit and matter.

However, some of the passages asserting a 26th principle do not imply the later Yoga notion of a lord as a kind of super-soul, but rather mean the purusa or ksetrajña in its enlightened state (e.g. 12.296, especially verse 11), and in several passages a non-theistic doctrine seems clearly implied: for example, in 12.241.1 the ksetrajña is equated with the *īśvara*. But in the Bhagavadgītā, which probably represents a more developed position and certainly shows a clear theistic emphasis, the tendency is to relate the highest principle which is beyond the 25 to Kṛṣṇa. Its second chapter defines sāmkhyayoga as a kind of Yoga distinct from karmayoga, dhyānayoga, and so on. The seventh chapter presents a clearly theistic account of sāmkhyayoga, in which the eightfold prakṛti is called Kṛṣṇa's "lower nature" (7.4) and described as his  $m\bar{a}v\bar{a}$ , and the start of the thirteenth chapter provides yet another account, which seems considerably later and close to the classical scheme (significantly chapter 13 is the only one in which either ksetrajña or ksetra appears, apart from the opening verse of the whole text, where ksetra is used in a rather different sense), while 14.5-21 presents the gunas in the dual role of psychological qualities and constituents of prakrti. In general, the Bhagavadgītā seems to view sāmkhya and yoga as complementary techniques leading to the same goal: "mere children declare them to be distinct, not the wise" (5.4ab, cf. more generally 5.2-5 and 4.33+38).

Cosmological concepts which one readily associates with Sāṃkhya and their mirror image in the process of dissolution are both found within the Yājñavalkyajanakasaṃvāda (12.298-306) but, while the passage as a whole seems relatively structured, the details of the various schemes differ. Yājñavalkya first lists the eight prakṛtis and their sixteen vikāras (where ahaṃkāra appears among the prakṛtis and manas among the vikāras, 298.10-15, but in 16-20 manas is above the elements, next to ahaṃkāra); he then expounds the nine stages of creation, followed (in 12.299) by an account of the length of time required by avyakta, mahat and ahaṃkāra to

In its use elsewhere of *adhiṣṭhāna* to describe the relationship of the deity to the world the *Bhagavadgītā* seems to subscribe to the view that the world arises out of the being of the deity (*adhiṣṭhāna* occurs at BhG 3.40b and 18.14a, and *adhiṣṭhāya* at 4.6c and 15.9c; cf. BhG 9.8-10, also Mbh. 12.187.20a+21a, 203.23ab, 240.6b,9a, etc.).

produce their respective evolutes. Next he describes the reverse process at the pralaya, when the elements merge successively into each other, ākāśa into manas, manas into ahamkāra, ahamkara into mahān ātmā and mahān ātma into Śambhu Prajāpati (12.300.6-13, the last verse mentioning Sambhu being very similar to 12.291.15, within the Vasisthakarālajanakasamvāda); as this précis shows, the sequence is not precisely the same. Yet another variant follows, in which the senses of perception and the organs of action, manas, ahamkāra and buddhi, located in the individual (adhyātma), are each assigned separate spheres of activity in the material world (adhibhūta) and deities in the celestial world (adhidaivata), in a heavily formulaic presentation (12.301.1-13); the later part of this adhyāya (20-27) then elaborates on the qualities associated with sattva, rajas and tamas, here obviously viewed in a manner similar to classical Sāmkhya, whereas elsewhere sattva often stands rather alone, as VAN BUITENEN has argued.<sup>27</sup> The dialogue is not, however, solely concerned with material of broadly Sāmkhya character and, for example, in 12.304, Yājñavalkya expounds Yoga concepts and affirms that the eightfold Yoga is found in the Vedas (7ab). There is, indeed, throughout the dialogue a definite pattern of invoking Vedic authority for the teachings given, including Yājñavalkya's account of how he came to compose the Śatapatha Brāhmana (12.306.9-25, cf. Krsna's statement that he revealed Yoga to Vivasvat in the beginning, BhG 4.1), while Yājñavalkya continues on a theistic note, as indicated above, and Viśvāvasu appeals to a different form of authority through the list of seventeen teachers, mentioned earlier.

Despite its name the next adhyāya, the Pañcaśikhajanakasaṃvāda (12.307), provides nothing that can be linked to Pañcaśikha or even to Sāṃkhya in general; its function seems to be simply to provide a lead-in to the next adhyāya, the lengthy Sulabhājanakasaṃvāda, where Janaka declares that he is Pañcaśikha's pupil (12.308.24). However, the general orientation of Sāṃkhya towards liberation is underlined in this episode, where Sulabhā, a female teacher of Sāṃkhya, challenges Janaka and enters his mind to test his claims to detachment; she is here termed a bhikṣukī, established in yogadharma and she wanders the earth alone (thus showing the irrelevance of gender distinctions to nivṛtti). Within this comes a listing of the gunas which follows the ten senses and manas with buddhi as the

<sup>&</sup>lt;sup>27</sup> "Studies in Sāṃkhya III", pp. 95-100. For further detailed discussion of 12.298-306 see Peter SCHREINER's article in this issue.

twelfth, above which is *sattva* ("by which a person is measured as *mahāsattva* or *alpasattva*"), and the fourteenth is the *kṣetrajña* (12.308.103-5), the enumeration continuing up to 31 *kalās* (*ekaviṃśaś ca daśa ca kalāḥ saṃkhyānataḥ smṛtāḥ* 112ab), illustrating yet again the fluidity of the terminology.

Indeed, Sāṃkhya had not assumed its later distinctive shape even by the end of the epic period, where the nearest approach to the classical system is found in the very late *Anugītā* (at 14.40-42, cf. 49), which also incorporates significant Yoga elements. In the *Anugītā* the scheme of evolution, when condensed from its rather prolix enumeration, appears to be from *prakṛti* to *mahān ātmā* to *ahaṃkāra*, which gives rise to *karmendriyas*, *manas* and *pṛthivī*, *pṛthivī* then gives rise to *gandha*, *vāyu* and *ghrāṇa*, *vāṃyu* to *sparśa*, *ākāśa* and *tvac*, *ākāśa* to *śabda*, *āpas* and *śrotra*, *āpas* to *rasa*, *tejas* and *jihvā*, and *tejas* to *rūpa* and *cakṣuḥ*. Elsewhere (14.48.9), the *Anugītā* seems to contradict the assertion of the equality of *sattva* and *kṣetrajña* at 12.187.37 / 240.19-20, especially since it continues with the analogy of the gnat and the fig (12.187.38, 12.240.21, 14.48.11).<sup>28</sup>

Probably also late is the  $Br\bar{a}hmaṇavy\bar{a}dhasaṃv\bar{a}da$  (3.198-206), on the evidence both of the numerous correspondences with other parts of the  $Mah\bar{a}bh\bar{a}rata$  (best explained as borrowings) and of the teachings incorporated in it.<sup>29</sup> Here the butcher answers Kauśika's question about the five  $mah\bar{a}bh\bar{u}tas$  and their guṇ as, by declaring that they are earth, water, fire, wind and  $\bar{a}k\bar{a}\acute{s}a$  and that earth has five properties, water four, fire three, wind two and ether one (202.1-7); what is created by the senses is the manifest and what is above the senses and definable as the linga is the unmanifest (202.11). After thus expounding the categories in a form that seems quite close to classical Sāṃkhya, the butcher then defines the entire Yoga method as control of the senses and elaborates the chariot metaphor

I am indebted for this point to Peter BISSCHOP (cf. his article in this issue).

The parallels with the rest of the *Mahābhārata* are as follows: 3.198.63-64 cf. 12.39.10-11, 3.198.67 cf. 5.40.20 and 12.309.16, 3.200.7 etc. cf. 12.318.1 etc., 3.200.32-33 cf. 12.316.25-26, 3.200.35-38 cf. 12.316.54-58, 3.202.7-10 cf. 6.6.6c-10b, 3.202.11 cf. 12.182.15 = 12.316.49, 3.202.20 = 5.33.70, 3.202.21 cf. 5.34.57, 3.202.24 cf. 6.24.67, 3.203.39-40 cf. 12.182.9-10 etc., 3.203.43 cf. 12.182.11 and 6.26.19 (BhG), 3.203.44cd cf. 6.28.23ab (BhG), 3.203.45ab cf. 12.182.12ab, 154.27cd, 269.5ab and 316.18ab, 3.203.46-48 cf. 12.316.19-20+22 (47-48 cf. also 12.182.13-14), 3.203.50 cf. 12.317.17, 3.206.16-17 cf. 12.317.4+8.

(202.18-23, cf. 5.34.57 and 12.231.11-12), before explaining, at the request of the brāhman, *sattva*, *rajas* and *tamas* (203.2-12, cf. also 31) and the role of the *prāṇas* (203.15-28).

Vidura's sententious moralising to Yudhisthira (the Viduranīti, 5.33-40, immediately preceding the more significant Sanatsujātīya, already discussed) contains little of intellectual value and so has been relatively neglected by scholars. Nevertheless, it is relevant in that it demonstrates the extent to which ideas and terminology that we associate with Sāmkhya had already become part of the common currency of ideas by the time of its composition. Once again, the numerous correspondences with other parts of the Mahābhārata suggest its overall lateness. 30 These include the chariot metaphor, just noted (5.34.57, cf. 3.202.21). As far as terminology is concerned, it has frequent references to indriva, guna, prāna, buddhi and bhāva, occasional mentions of apāna, tamas, yoga and sattva, and single occurrences of indriyārtha (5.34.52a), kṣetrajña (5.33.81c) and prakṛti (5.37.45d), but no mention of rajas (or rājasa, or indeed tāmasa and sāttvika). That these terms are not always used in the same sense as in Sāmkhya – for instance at 5.35.45a the eight gunas are good qualities (cf. 5.37.25d) – merely underlines the point that what is visible here is the popularisation of the general ideas even before they had reached their classical form.

On the other hand, non-technical use – or rather a different technical use – of vocabulary occurs even in the *Mokṣadharma*: a particularly striking example is the occurrence of *guṇa*, "bowstring", at 12.231.27d and 314.27d, especially when put alongside the frequency of *dviguṇa*, *triguṇa*, *caturguṇa* and so on to mean "twofold" and the like, whereas *traiguṇya* occurs only at 12.332.17a (and is, for example, completely absent from the *Āśvamedhikaparvan*). Again, *prāṇa* is as common in the *Rājadharma* and *Āpaddharma parvans* (usually meaning "life") as in the *Mokṣadharma* and *Āpaddharma* as in the *Mokṣadharma* and even there only a minority of its

These parallels are too numerous to list here; there are at least 36, varying in length from one line to three verses. The high proportion of parallels to other parts of the *Udyogaparvan* strongly suggests that is is a late part of that book, while the parallels with 1.87, 2.57, 3.202 and 12.288, among others, point to very late eclectic borrowing from the entire *Mahābhārata*. The passage also contains a large number of verses in common with the extant *Manusmrti*.

occurrences are as a synonym or equivalent for *prakṛti*.<sup>31</sup> Some of the more clearly technical terms of classical Sāṃkhya are less frequent than might be expected, for example *indriyagrāma*, *karmendriya* and *jñānendriya*, all less frequent than *mahān ātmā* with its echoes of Upaniṣadic concepts.<sup>32</sup>

Finally, not so much a conclusion as a further comment. Occasional remarks on the relative chronology of various passages within the Moksadharma (as well as elsewhere in the Mahābhārata) might suggest that a line of development is being traced. However, it is probably a mistake to suppose that in our present state of knowledge we can place these texts in a neat developmental sequence, still less the incidental allusions. The available evidence points rather to a number of separate and tentative beginnings, some of which proved blind alleys and others of which led somewhere, but often only after interacting with other patterns of thought and being profoundly modified in the process. They are indeed "beginnings" rather than anything that could be termed a "school", and it is probably misguided to associate them with particular teachers. While the various schemes given in the texts surveyed mostly give the impression of being in some degree precursors of the classical Sāmkhya, they also suggest that the process was by no means one of simple linear evolution. Careful attention to the terminology may offer clues towards the process and, as our understanding of the textual history of the Mahābhārata increases, it may become possible to give greater definition to this process but a completely coherent scheme will probably always be a chimæra.

These are its occurrences at 285.28d,34a, 302.1a, 306.69b, 325.3<sup>10</sup>, 327.25b, 335.16b,40d and 82b. It occurs 44 times in all in the *Śāntiparvan* (but is absent from the *Sanatsujātīya* and the *Bhagavadgītā*, as well as from the dialogue of the brāhman and the butcher).

<sup>32</sup> *mahān ātmā* occurs at 12.203.35c, 231.16d,20c, 291.41a, 294.35c, 298.16a, 300.12c, 327.26b and 335.18b.