

EXTENSION AND LIFTING OF C^∞ WHITNEY FIELDS

Autor(en): **Bierstone, Edward / Milman, Pierre**

Objektyp: **Article**

Zeitschrift: **L'Enseignement Mathématique**

Band (Jahr): **23 (1977)**

Heft 1-2: **L'ENSEIGNEMENT MATHÉMATIQUE**

PDF erstellt am: **26.09.2024**

Persistenter Link: <https://doi.org/10.5169/seals-48922>

Nutzungsbedingungen

Die ETH-Bibliothek ist Anbieterin der digitalisierten Zeitschriften. Sie besitzt keine Urheberrechte an den Inhalten der Zeitschriften. Die Rechte liegen in der Regel bei den Herausgebern. Die auf der Plattform e-periodica veröffentlichten Dokumente stehen für nicht-kommerzielle Zwecke in Lehre und Forschung sowie für die private Nutzung frei zur Verfügung. Einzelne Dateien oder Ausdrucke aus diesem Angebot können zusammen mit diesen Nutzungsbedingungen und den korrekten Herkunftsbezeichnungen weitergegeben werden. Das Veröffentlichen von Bildern in Print- und Online-Publikationen ist nur mit vorheriger Genehmigung der Rechteinhaber erlaubt. Die systematische Speicherung von Teilen des elektronischen Angebots auf anderen Servern bedarf ebenfalls des schriftlichen Einverständnisses der Rechteinhaber.

Haftungsausschluss

Alle Angaben erfolgen ohne Gewähr für Vollständigkeit oder Richtigkeit. Es wird keine Haftung übernommen für Schäden durch die Verwendung von Informationen aus diesem Online-Angebot oder durch das Fehlen von Informationen. Dies gilt auch für Inhalte Dritter, die über dieses Angebot zugänglich sind.

EXTENSION AND LIFTING OF \mathcal{C}^∞ WHITNEY FIELDS

by Edward BIERSTONE and Pierre MILMAN

Whitney's Extension Theorem [10] provides a continuous linear extension operator from the space of \mathcal{C}^m Whitney fields ($m < \infty$) on a closed subset X of \mathbf{R}^n , to the space of \mathcal{C}^m functions on \mathbf{R}^n . For \mathcal{C}^∞ Whitney fields, however, there does not in general exist a continuous linear extension operator [3]. Hence an *extension* problem arises: Under what conditions on X does there exist a continuous linear extension operator from the space $\mathcal{E}(X)$ of \mathcal{C}^∞ Whitney fields on X to the space $\mathcal{E}(\mathbf{R}^n)$ of \mathcal{C}^∞ functions? In fact we can formulate a more general *lifting* problem (cf. [4, Section 7]): Let $T_X: \mathcal{E}(\mathbf{R}^n) \rightarrow \mathcal{E}(X)$ be the canonical projection, associating to each \mathcal{C}^∞ function its jet of infinite order on X . If E is a topological vector space, and $G: E \rightarrow \mathcal{E}(X)$ a continuous linear map, then under what conditions is there a continuous linear map $\tilde{G}: E \rightarrow \mathcal{E}(\mathbf{R}^n)$ such that the following diagram commutes?

$$(1) \quad \begin{array}{ccc} & & \mathcal{E}(\mathbf{R}^n) \\ & \nearrow \tilde{G} & \downarrow T_X \\ E & \xrightarrow{G} & \mathcal{E}(X) \end{array}$$

By a lifting of G at the point $a \in X$, we will mean a continuous linear map $G_a: E \rightarrow \mathcal{E}(\mathbf{R}^n)$ such that $G(\xi) - T_X \circ G_a(\xi)$ is flat at a , for all $\xi \in E$. In this paper we prove that if E is a locally convex topological vector space, then a lifting \tilde{G} of G exists provided that there exist pointwise lifts $G_a: E \rightarrow \mathcal{E}(\mathbf{R}^n)$, uniformly in $a \in X$. The uniformity of the pointwise lifts is the key ingredient in the proof, which is a simple argument using a Whitney partition of unity, analogous to the proof of Whitney's theorem in the \mathcal{C}^m case ($m < \infty$). Nevertheless the result is a useful technical lemma.

Corollary 1 extends Mather's variant of Borel's Lemma [4, Section 7] to \mathcal{C}^∞ Whitney fields on an arbitrary closed subset X of \mathbf{R}^n . Corollary 2,

together with the well-known extension of \mathcal{C}^∞ functions defined on a half-space [7], [6], provides a new proof of Stein's extension theorem for \mathcal{C}^∞ functions on a domain with boundary which is Lipschitz of order 1 [8, Chapter VI, Theorem 5]. Corollary 2 is also used by one of the authors in [1], where Stein's theorem, for \mathcal{C}^∞ Whitney fields, is extended to the case of a domain with boundary which is Lipschitz of any order, and this result is applied to the extension of \mathcal{C}^∞ Whitney fields from a semianalytic subset $X \subset \mathbf{R}^n$ which is the closure of an open set.

Notation. Our notation is that of [9, Chapter IV]. If $k = (k_1, \dots, k_n) \in \mathbf{N}^n$, $x = (x_1, \dots, x_n) \in \mathbf{R}^n$, write $|k| = k_1 + \dots + k_n$, $k! = k_1! \dots k_n!$, $x^k = x_1^{k_1}, \dots, x_n^{k_n}$. \mathbf{N}^n is partially ordered by the relation: $k \leq l$ if and only if $k_j \leq l_j, j = 1, \dots, n$. Write $\binom{l}{k} = \frac{l!}{k!(l-k)!}$ if $k \leq l$, $\binom{l}{k} = 0$ otherwise.

If Ω is an open subset of \mathbf{R}^n , then $\mathcal{E}(\Omega)$ denotes the space of \mathcal{C}^∞ functions on Ω . $\mathcal{E}(\Omega)$ is a Fréchet space; its topology is defined by the seminorms

$$|f|_m^K = \sup_{\substack{x \in K \\ |k| \leq m}} \left| \frac{\partial^{|k|} f}{\partial x^k}(x) \right|,$$

where $m \in \mathbf{N}$ and $K \subset \Omega$ is compact.

Let X be a closed subset of Ω . A *jet of infinite order* on X is a sequence of continuous functions $F = (F^k)_{k \in \mathbf{N}^n}$ on X . $J(X)$ denotes the space of such jets. Write $|F|_m^K = \sup_{\substack{x \in K \\ |k| \leq m}} |F^k(x)|$, and $F(x) = F^0(x), x \in X$.

There is a linear map $J: \mathcal{E}(\Omega) \rightarrow J(X)$, associating to each $f \in \mathcal{E}(\Omega)$ the jet $J(f) = \left(\frac{\partial^{|k|} f}{\partial x^k} \Big|_X \right)_{k \in \mathbf{N}^n}$. For each $k \in \mathbf{N}^n$, there is a linear map $D^k: J(X) \rightarrow J(X)$, defined by $D^k F = (F^{k+l})_{l \in \mathbf{N}^n}$. We also denote by D^k the map of $\mathcal{E}(\Omega)$ to itself, given by $D^k f = \frac{\partial^{|k|} f}{\partial x^k}$. This should cause no confusion since $D^k \circ J = J \circ D^k$.

If $a \in X, m \in \mathbf{N}, F \in J(X)$, then the *Taylor polynomial of order m of F at a* is the polynomial

$$T_a^m F(x) = \sum_{|k| \leq m} \frac{F^k(a)}{k!} (x - a)^k$$

of degree $\leq m$. Define $R_a^m F = F - J(T_a^m F)$, so that

$$(R_a^m F)^k(x) = F^k(x) - \sum_{|l| \leq m - |k|} \frac{F^{k+l}(a)}{l!} (x - a)^l$$

if $|k| \leq m$. Note that $D^k \circ R_a^m F(a) = (R_a^m F)^k(a) = 0$, $|k| \leq m$.

We say that $F \in J(X)$ is a *Whitney field of class \mathcal{C}^∞* on X if for each $m \in \mathbf{N}$, $|k| \leq m$:

$$(R_x^m F)^k(y) = o(|x - y|^{m - |k|})$$

as $|x - y| \rightarrow 0$, $x, y \in X$. $\mathcal{E}(X) \subset J(X)$ denotes the subspace of Whitney fields of class \mathcal{C}^∞ . $\mathcal{E}(X)$ is a Fréchet space, with the seminorms

$$\|F\|_m^K = |F|_m^K + \sup_{\substack{x, y \in K \\ x \neq y \\ |k| \leq m}} \frac{|(R_x^m F)^k(y)|}{|x - y|^{m - |k|}},$$

where $m \in \mathbf{N}$ and $K \subset X$ is compact.

Remarks 1. If $F \in J(\Omega)$, and for all $x \in \mathbf{R}^n$, $m \in \mathbf{N}$, $|k| \leq m$ we have

$$\lim_{y \rightarrow x} \frac{|(R_x^m F)^k(y)|}{|y - x|^{m - |k|}} = 0,$$

then there exists $f \in \mathcal{E}(\Omega)$ such that $F = J(f)$. This simple converse of Taylor's Theorem shows, in particular, that the two spaces we have denoted $\mathcal{E}(\Omega)$ are equivalent. On $\mathcal{E}(\Omega)$, the topologies defined by the seminorms $|\cdot|_m^K, \|\cdot\|_m^K$ are equivalent (by the Open Mapping Theorem).

2. The norms $|\cdot|_m^K, \|\cdot\|_m^K$ are not in general equivalent. They are, however, if the compact set K is connected by rectifiable arcs, and the geodesic distance on K is equivalent to the Euclidean distance (e.g. if K is convex) [9, Chapter IV, Proposition 2.6].

THEOREM. *Let X be a closed subset of \mathbf{R}^n , and E a topological vector space, topologized by a family of seminorms $\|\cdot\|_{\lambda \in \Lambda}$. Let $G: E \rightarrow \mathcal{E}(X)$ be a continuous linear map. Suppose that for each $a \in X$, there is a continuous linear map $G_a: E \rightarrow \mathcal{E}(\mathbf{R}^n)$ such that*

a) $G_a(\xi)^k(a) = G(\xi)^k(a)$ for all $\xi \in E, k \in \mathbf{N}^n$;

b) for each $m \in \mathbf{N}$ and $L \subset \mathbf{R}^n$ compact, there exists $\lambda = \lambda(m, L) \in \Lambda$ and a constant $c = c(m, L)$ such that for all $\xi \in E$,

$$(2) \quad |G_a(\xi)|_m^L \leq c(m, L) \|\xi\|_{\lambda(m, L)}.$$

Then there exists a continuous linear map $\tilde{G}: E \rightarrow \mathcal{E}(\mathbf{R}^n)$ such that $\tilde{G}(\xi)|_X = G(\xi)$, $\xi \in E$; i.e. the diagram (1) commutes.

To state Corollary 1, let X be a closed subset of \mathbf{R}^n , and $F: \mathcal{E}(\mathbf{R}^k) \rightarrow \mathcal{E}(X)$ a continuous linear map. As in [4, Section 7], we say F is *null* at $x \in \mathbf{R}^k$ if there exists a neighbourhood U of x such that if $f \in \mathcal{E}(\mathbf{R}^k)$ and $\text{supp } f \subset U$, then $F(f) = 0$. The *support* of F is the complement of the set of points where F is null. Clearly $\text{supp } F$ is closed.

COROLLARY 1. *If F has compact support, then there is a continuous linear map $\tilde{F}: \mathcal{E}(\mathbf{R}^k) \rightarrow \mathcal{E}(\mathbf{R}^n)$ such that $\tilde{F}(f)|_X = F(f)$ for all $f \in \mathcal{E}(\mathbf{R}^k)$; i.e. the following diagram commutes:*

$$\begin{array}{ccc}
 & & \mathcal{E}(\mathbf{R}^n) \\
 & \nearrow \tilde{F} & \downarrow T_X \\
 \mathcal{E}(\mathbf{R}^k) & \xrightarrow{F} & \mathcal{E}(X)
 \end{array}$$

Proof. It suffices to assume $X = K$, a compact subset of \mathbf{R}^n . Let $a \in K$. Mather's variant of Borel's Lemma [4, Section 7] provides a continuous linear map $F_a: \mathcal{E}(\mathbf{R}^k) \rightarrow \mathcal{E}(\mathbf{R}^n)$ such that $F(f) - T_X \circ F_a(f)$ is flat at a , for all $f \in \mathcal{E}(\mathbf{R}^k)$. Let L be a cube in \mathbf{R}^k such that $\text{supp } F \subset \text{Int } L$. For each $r \in \mathbf{N}$, there exists $s(r) \in \mathbf{N}$ and a constant $c(r)$, such that for all $a \in K$,

$$\sup_{|k|=r} |F(f)^k(a)| \leq |F(f)|_r^K \leq c(r) \|f\|_{s(r)}^L.$$

The uniformity condition (2) for the pointwise lifts F_a then follows from Mather's estimates in [4]. Hence Corollary 1 follows from the Theorem, with the pointwise lifts given by the maps F_a .

Remark 3. If Y is a closed subspace of \mathbf{R}^k for which there exists a continuous linear extension operator $\mathcal{E}(Y) \rightarrow \mathcal{E}(\mathbf{R}^k)$, then Corollary 1 holds more generally with $\mathcal{E}(\mathbf{R}^k)$ replaced by $\mathcal{E}(Y)$.

COROLLARY 2. *Let X be a closed subset of \mathbf{R}^n . Suppose that for each $a \in X$, there is a continuous linear map $W_a: \mathcal{E}(X) \rightarrow \mathcal{E}(\mathbf{R}^n)$ such that*

a) $W_a(F)^k(a) = F^k(a)$ for all $F \in \mathcal{E}(X)$ and $k \in \mathbf{N}^n$;

b) for each $m \in \mathbf{N}^n$ and $L \subset \mathbf{R}^n$ compact, there exists $\lambda = \lambda(m, L) \in \mathbf{N}$, $K = K(m, L) \subset X$ compact, and a constant $c = c(m, L)$, such that for all $F \in \mathcal{E}(X)$,

$$|W_a(F)|_m^L \leq c \|F\|_\lambda^K.$$

Then there exists a continuous linear map $W: \mathcal{E}(X) \rightarrow \mathcal{E}(\mathbf{R}^n)$ such that $W(F)|_X = F$ for all $F \in \mathcal{E}(X)$.

This extension result follows immediately from the Theorem, with G given by the identity map of $\mathcal{E}(X)$.

Remarks 4. Corollary 2 may be used to prove Stein's extension theorem [8, Chapter VI, Theorem 5] for \mathcal{C}^∞ functions. Let $y = \phi(x_1, \dots, x_n)$ be a continuous function which satisfies the Lipschitz condition

$$(3) \quad |\phi(x) - \phi(x')| \leq M |x - x'|$$

for all $x, x' \in \mathbf{R}^n$. We consider extension of \mathcal{C}^∞ Whitney fields from the closed set

$$X = \{(x, y) \in \mathbf{R}^{n+1} \mid y \geq \phi(x)\}.$$

Let Γ be the closed half-cone defined by $y \geq M(|x_1| + \dots + |x_n|)$, and let $\Gamma(a) = a + \Gamma$ for any $a \in \mathbf{R}^{n+1}$. The Lipschitz condition (3) implies that $\Gamma(a) \subset X$ for any $a \in X$. Since Γ is defined by linear inequalities, Seeley's extension theorem [7] provides a continuous linear extension operator $S': \mathcal{E}(\Gamma) \rightarrow \mathcal{E}(\mathbf{R}^{n+1})$. Let $\rho: \mathbf{R}^{n+1} \rightarrow \mathbf{R}$ be a compactly supported \mathcal{C}^∞ function which equals 1 in a neighborhood of 0. Define a continuous linear operator $S: \mathcal{E}(\Gamma) \rightarrow \mathcal{E}(\mathbf{R}^{n+1})$ by $S(F) = S'(\rho \cdot F)$, $F \in \mathcal{E}(\Gamma)$. The operators $W_a: \mathcal{E}(\Gamma(a)) \rightarrow \mathcal{E}(\mathbf{R}^{n+1})$, obtained by translating S to $\Gamma(a)$ for each $a \in X$, provide the pointwise extensions needed to apply Corollary 2.

5. Let \mathcal{E}_p be the ring of germs at $0 \in \mathbf{R}^p$ of \mathcal{C}^∞ functions, and \mathfrak{m} its maximal ideal. Let $\phi: \mathbf{R}^n \rightarrow \mathbf{R}^p$ be a \mathcal{C}^∞ map such that $\phi(0) = 0$. Then ϕ induces a ring homomorphism $\phi^*: \mathcal{E}(\mathbf{R}^p) \rightarrow \mathcal{E}(\mathbf{R}^n)$, defined by $\phi^*(f) = f \circ \phi$, $f \in \mathcal{E}(\mathbf{R}^p)$. We also denote by ϕ^* the induced homomorphism $\phi^*: \mathcal{E}_p \rightarrow \mathcal{E}_n$. We say ϕ is *finite* at 0 if $\mathcal{E}_n / \phi^*(\mathfrak{m}) \cdot \mathcal{E}_n$ is a finite dimensional real vector space. Let $b_1, \dots, b_k \in \mathcal{E}(\mathbf{R}^n)$ represent a basis of this vector space; we take $b_1 \equiv 1$. By the Malgrange Preparation Theorem [9, Chapter IX, Theorem 3.2], the germs of b_1, \dots, b_k at 0 generate \mathcal{E}_n over \mathcal{E}_p ; i.e. for all $f \in \mathcal{E}(\mathbf{R}^n)$, there exist $g_1, \dots, g_k \in \mathcal{E}(\mathbf{R}^p)$ such that $f = \sum_{j=1}^k \phi^*(g_j) \cdot b_j$ in some neighborhood of 0. A careful study of Mather's proof of this result ([5, Section 6] or [9, Chapter IX, Section 3]) shows, in fact, that there exist a neighborhood U of 0 in \mathbf{R}^n , and continuous linear operators $G_j: \mathcal{E}(\mathbf{R}^n) \rightarrow \mathcal{E}(\mathbf{R}^p)$, $j = 1, \dots, k$, such that $f = \sum_{j=1}^k (\phi^* \circ G_j(f)) \cdot b_j$ in U , for all $f \in \mathcal{E}(\mathbf{R}^n)$.

Consider a \mathcal{C}^∞ map $\phi: \mathbf{R}^n \rightarrow \mathbf{R}^n$ such that $\phi(0) = 0$. Let X, X' be closed subsets of \mathbf{R}^n containing 0, such that $\phi(X') = X$. Suppose there is a

continuous linear operator $W': \mathcal{E}(X') \rightarrow \mathcal{E}(\mathbf{R}^n)$ such that $g - T_{X'} \circ W'(g)$ is flat at 0, for all $g \in \mathcal{E}(\mathbf{R}^n)$. If ϕ is finite at 0, then there exists a continuous linear operator $W: \mathcal{E}(X) \rightarrow \mathcal{E}(\mathbf{R}^n)$ such that $f - T_X \circ W(f)$ is flat at 0, for all $f \in \mathcal{E}(\mathbf{R}^n)$.

To see this, choose $b_j \in \mathcal{E}(\mathbf{R}^n)$ and $G_j: \mathcal{E}(\mathbf{R}^n) \rightarrow \mathcal{E}(\mathbf{R}^n)$, $j = 1, \dots, k$, as above. Let $W = G_1 \circ W' \circ \phi^*$. That $f - T_X \circ W(f)$ is flat at 0, $f \in \mathcal{E}(\mathbf{R}^n)$, follows from the fact that for all $g \in \mathcal{E}(\mathbf{R}^n)$, the jets of $G_j(g)$ at 0, $j = 1, \dots, k$, are uniquely determined by that of g (by [2, Proposition 5.2]). This remark might be useful in constructing the pointwise extensions needed to apply Corollary 2.

Proof of the Theorem. By an easy partition of unity argument, it suffices to assume $X = K$, a compact subset of \mathbf{R}^n . Let $\{\Phi_i \mid i \in I\}$ be a Whitney partition of unity on $\mathbf{R}^n - K$ (as in [9, Chapter IV, Lemma 2.1]); i.e. a family of functions $\Phi_i \in \mathcal{E}(\mathbf{R}^n - K)$ satisfying the following conditions:

i) $\{\text{supp } \Phi_i \mid i \in I\}$ is a locally finite family. If $N(x)$ is the number of $\text{supp } \Phi_i$ to which x belongs, then $N(x) \leq 4^n$.

ii) $\Phi_i \geq 0$ for all $i \in I$. $\sum_{i \in I} \Phi_i(x) = 1$ for all $x \in \mathbf{R}^n - K$.

iii) $2d(\text{supp } \Phi_i, K) \geq \text{diam}(\text{supp } \Phi_i)$ for all $i \in I$.

iv) There exists a constant C_k , depending only on k and n , such that for all $x \in \mathbf{R}^n - K$,

$$|D^k \Phi_i(x)| \leq C_k \left(1 + \frac{1}{d(x, K)^{|k|}} \right).$$

Let $F = G(\xi) \in \mathcal{E}(K)$. For each $i \in I$, choose a point $a_i \in K$ such that $d(\text{supp } \Phi_i, K) = d(\text{supp } \Phi_i, a_i)$. Define $f = \tilde{G}(\xi) \in \mathcal{E}(\mathbf{R}^n)$ by

$$\begin{aligned} f(x) &= F^0(x), & x \in K, \\ f(x) &= \sum_{i \in I} \Phi_i(x) G_{a_i}(\xi)(x), & x \notin K. \end{aligned}$$

Then $f = \tilde{G}(\xi)$ clearly depends linearly on ξ , and is \mathcal{C}^∞ on $\mathbf{R}^n - K$. We must show that f is \mathcal{C}^∞ , $D^k f|_K = F^k$, and that \tilde{G} is continuous. We write

$$\begin{aligned} f^k(x) &= F^k(x), & x \in K, \\ f^k(x) &= D^k f(x), & x \notin K. \end{aligned}$$

Let $m \in \mathbf{N}$, and L be a cube in \mathbf{R}^n such that $K \subset \text{Int } L$. There is a constant $c_1 = c_1(m, L)$ such that if $g \in \mathcal{E}(L)$, $|k| \leq m$, then

$$(4) \quad |(R_a^m g)^k(x)| \leq c_1 |g|_m^L \cdot |x - a|^{m-|k|}$$

for all $a, x \in L$ (for example by [9, Chapter IV, (1.5.2)] and Remark 2 above).

Recall that a *modulus of continuity* is a continuous increasing function $\alpha: [0, \infty[\rightarrow [0, \infty[$ such that α is concave downwards and $\alpha(0) = 0$. By [9, Chapter IV, Remark 1.8] there exists a modulus of continuity α such that

$$(5) \quad |(R_a^m F)^k(x)| \leq \alpha(|x - a|) \cdot |x - a|^{m-|k|}$$

if $a, x \in K, |k| \leq m$; and

$$(6) \quad \begin{aligned} \alpha(t) &= \alpha(\text{diam } K) \quad \text{if } t \geq \text{diam } K, \\ \|F\|_m^K &= |F|_m^K + \alpha(\text{diam } K). \end{aligned}$$

It follows from (5) that if $a, b \in K, |k| \leq m$, then

$$(7) \quad \begin{aligned} &|D^k(T_a^m F)(x) - D^k(T_b^m F)(x)| \\ &\leq 2^{m-|k|} e^{n/2} \alpha(|a - b|) \cdot (|x - a|^{m-|k|} + |x - b|^{m-|k|}) \end{aligned}$$

for all $x \in \mathbf{R}^n$ [9, Chapter IV, Remark 1.7].

Claim. There exists a constant $c_2 = c_2(m, L)$ such that if $|k| \leq m, a \in K, x \in L$, then

$$(8) \quad \begin{aligned} &|f^k(x) - D^k \circ G_a(\xi)(x)| \\ &\leq c_2 \cdot (\|\xi\|_{\lambda(m, L)} + \alpha(|x - a|)) \cdot |x - a|^{m-|k|}. \end{aligned}$$

Once the claim is established, the proof of the theorem may be completed as follows. Let (j) be the multiindex whose j 'th component is 1 and whose other components are 0. Let $k \in \mathbf{N}^n, a \in K, x \notin K$. Then

$$\begin{aligned} &|f^k(x) - f^k(a) - \sum_{j=1}^n (x_j - a_j) \cdot f^{k+(j)}(a)| \\ &\leq |f^k(x) - D^k \circ G_a(\xi)(x)| \\ &+ |D^k \circ G_a(\xi)(x) - D^k \circ G_a(\xi)(a) - \sum_{j=1}^n (x_j - a_j) \cdot D^{k+(j)} \circ G_a(\xi)(a)|. \end{aligned}$$

The second term in the right hand side is $o(|x - a|)$ since $G_a(\xi) \in \mathcal{C}(\mathbf{R}^n)$, while the first is $o(|x - a|)$ by the claim. Hence f^k is continuously differentiable, and $\frac{\partial f^k}{\partial x_j} = f^{k+(j)}$.

Let $\mu = \sup_{x \in L} d(x, K), m \in \mathbf{N}, |k| \leq m$. Applying the claim to a point $x \in L$ and a point $a \in K$ such that $d(x, K) = d(x, a)$, we have

$$\begin{aligned} |D^k f(x)| &\leq |D^k \circ G_a(\xi)(x)| + c_2 \cdot (\|\xi\|_{\lambda(m,L)} + \alpha(\mu)) \cdot \mu^{m-|k|} \\ &\leq c \|\xi\|_{\lambda(m,L)} + c_2 \mu^{m-|k|} \cdot (\|\xi\|_{\lambda(m,L)} + \|G(\xi)\|_m^K) \end{aligned}$$

by (8), (6). Hence there is a constant $c_3 = c_3(m, L)$ such that

$$|\tilde{G}(\xi)|_m^L \leq c_3 \cdot (\|\xi\|_{\lambda(m,L)} + \|G(\xi)\|_m^K).$$

It follows that \tilde{G} is continuous.

Proof of claim. We may assume $x \notin K$. Then

$$f(x) - G_a(\xi)(x) = \sum_{i \in I} \Phi_i(x) \cdot (G_{a_i}(\xi)(x) - G_a(\xi)(x)).$$

Hence

$$f^k(x) - D^k \circ G_a(\xi)(x) = \sum_{l \leq k} \binom{k}{l} S_l(x),$$

where

$$S_l(x) = \sum_{i \in I} D^l \Phi_i(x) \cdot D^{k-l}(G_{a_i}(\xi)(x) - G_a(\xi)(x)).$$

If $a, b \in K$, $|j| \leq m$, write

$$\begin{aligned} G_b(\xi)^j(x) - G_a(\xi)^j(x) &= G_b(\xi)^j(x) - (T_b^m \circ G_b(\xi))^j(x) \\ &+ (T_a^m \circ G_a(\xi))^j(x) - G_a(\xi)^j(x) + (T_b^m \circ G_b(\xi))^j(x) - (T_a^m \circ G_a(\xi))^j(x). \end{aligned}$$

Since $G_a(\xi)^j(a) = F^j(a)$, then

$$\begin{aligned} (9) \quad &|G_b(\xi)^j(x) - G_a(\xi)^j(x)| \\ &\leq c_1 |G_b(\xi)|_m^L \cdot |x - b|^{m-j} + c_1 |G_a(\xi)|_m^L \cdot |x - a|^{m-|j|} \\ &\quad + 2^{m-|j|} e^{n/2} \alpha(|a - b|) \cdot (|x - a|^{m-|j|} + |x - b|^{m-|j|}) \\ &\hspace{15em} \text{by (4), (7)} \\ &\leq (cc_1 \|\xi\|_{\lambda(m,L)} + 2^{m-|j|} e^{n/2} \alpha(|a - b|)) \cdot (|x - a|^{m-|j|} + |x - b|^{m-|j|}) \\ &\hspace{15em} \text{by (2).} \end{aligned}$$

To estimate $|S_0(x)|$, note that if $x \in \text{supp } \Phi_i$, then $|x - a_i| \leq 3|x - a|$ by iii), so that $|a - a_i| \leq 4|x - a|$ and $\alpha(|a - a_i|) \leq 4\alpha(|x - a|)$. Hence

$$\begin{aligned} |S_0(x)| &\leq 4^n (3^{m-|k|} + 1) \cdot (cc_1 \|\xi\|_{\lambda(m,L)} + 2^{m-|k|+2} e^{n/2} \alpha(|x - a|)) \\ &\quad \cdot |x - a|^{m-|k|} \end{aligned}$$

by i), ii).

Now consider $|S_l(x)|$, $l \neq 0$. For all $b \in K$,

$$S_l(x) = \sum_{i \in I} D^l \Phi_i(x) \cdot D^{k-l}(G_{a_i}(\xi)(x) - G_b(\xi)(x)),$$

since $\sum_{i \in I} D^l \Phi_i(x) = 0$. Choose b so that $|x - b| = d(x, K)$. As before, then $|x - a_i| \leq 3|x - b| \leq 3d(x, K)$, $|b - a_i| \leq 4d(x, K)$, $\alpha(|b - a_i|) \leq 4\alpha(d(x, K))$. By (9) and iv), there exist constants c' , c'' depending only on m, L , such that

$$\begin{aligned} |S_l(x)| &\leq [c' \|\xi\|_{\lambda(m,L)} + c'' \alpha(d(x, K))] \cdot d(x, K)^{m-|k|} \\ &\leq (c' \|\xi\|_{\lambda(m,L)} + c'' \alpha(|x - a|)) \cdot |x - a|^{m-|k|}. \end{aligned}$$

This completes the proof of the claim, and the theorem.

REFERENCES

- [1] BIERSTONE, E. Extension of C^∞ Whitney fields from semi-analytic sets (*to appear*).
- [2] DIEUDONNÉ, J. *Topics in Local Algebra*. University of Notre Dame Press, Notre Dame, Indiana (1967).
- [3] GLAESER, G. Sur le théorème de préparation différentiable. Proceedings of Liverpool Singularities Symposium I, *Lecture Notes in Mathematics No. 192*, Springer Verlag, Berlin (1971), pp. 121-132.
- [4] MATHER, J. N. Differentiable invariants (*to appear in Topology*).
- [5] ——— Stability of C^∞ mappings: II, Infinitesimal stability implies stability. *Ann. of Math.* 89 (1969), pp. 254-291.
- [6] MITYAGIN, B. Approximate dimension and bases in nuclear spaces. *Russian Math. Surveys* 16 (1961), pp. 59-128.
- [7] SEELEY, R. T. Extension of C^∞ functions defined in a half space. *Proc. Amer. Soc.* 15 (1964), pp. 625-626.
- [8] STEIN, E. M. *Singular Integrals and Differentiability Properties of Functions*. Princeton University Press, Princeton (1970).
- [9] TOUGERON, J.-Cl. *Idéaux de Fonctions Différentiables*. Springer Verlag, Berlin (1972).
- [10] WHITNEY, H. Analytic extensions of differentiable functions defined in closed sets. *Trans. Amer. Math. Soc.* 36 (1934), pp. 63-89.

(Reçu le 11 novembre 1976)

Edward Bierstone
Pierre Milman

Department of Mathematics
University of Toronto
Toronto, Canada, M5S 1A1

Vide-leer-empty