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Autor(en): Eckmann, Beno

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Commentarii Mathematici Helvetici

Approximating ℓ_2 -Betti numbers of an amenable covering by ordinary Betti numbers

Beno Eckmann

Abstract. It is shown that the ℓ_2 -Betti numbers of an amenable covering of a finite cell-complex can be approximated by ordinary Betti numbers of the finite Følner subcomplexes. This is a new proof, using simple homological arguments, of a recent result of Dodziuk and Mathai.

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0. Introduction

Let Y be an infinite amenable covering of a finite cell-complex X with covering transformation group G. Then the ℓ_2 -Betti numbers $\overline{\beta_p}(Y)$ can be approximated by the average ordinary Betti numbers of the finite subcomplexes Y_j of a Følner exhaustion of Y. This has been proved by Dodziuk and Mathai [D-M]. The purpose of the present paper is to give a simple "homological" proof of that result. It consists in examining the ℓ_2 -homology map $H_p(Y_j) \longrightarrow H_p(Y)$ induced by the inclusion $Y_j \longrightarrow Y$.

1. Følner sequence

- 1.1. We consider a discrete infinite amenable group G and a free cocompact G-space Y. By this we mean a cell complex Y on which G operates freely by permutation of the cells, with finite orbit complex X = Y/G. Then Y is a covering of X with covering transformation group G. Since G is a factor group of the fundamental group of X, and X is a finite complex, G is necessarily finitely generated. In short Y is called an infinite amenable covering of X.
- **1.2.** It is known (Cheeger-Gromov [C-G], see also [E] or [D-M]) that in such a situation there exists in Y a Følner sequence (or Følner exhaustion) Y_j , j = 1, 2, 3, ...

Here is its description in the form we will need later.

For each closed p-cell σ_p in X we choose an arbitrary lift $\hat{\sigma}_p$ in the corresponding G-orbit. The union of all $\hat{\sigma}_p$, $p \geq 0$, together with its topological closure (i.e. adding if necessary boundary cells of the $\hat{\sigma}_p$) is a closed fundamental domain D for the G-action in Y. The Y_j form an increasing sequence of finite subcomplexes of Y with union Y; each Y_j is a union of N_j distinct translates $x_\nu D$, $\nu = 1, 2, ..., N_j$, $x_\nu \in G$, of D. Let further Y_j be the topological boundary of Y_j and N_j the number of translates of D which meet Y_j . From the combinatorial Følner criterion [F] for amenability it follows easily that the sequence Y_j can be chosen such that $N_j/N_j \longrightarrow 0$ for $j \longrightarrow \infty$.

2. ℓ_2 -chains, restricted trace

- **2.1.** The cellular p-chains of Y with \mathbb{R} —coefficients constitute a free $\mathbb{R}G$ —module $C_p(Y)$; as basis we can take the lifts (see **1.2**) $\hat{\sigma}_p^i$ of the p-cells σ_p^i of X, $i=1,2,...,\alpha_p$, where α_p is the number of p-cells of X. Each p-cell of Y can be uniquely written as $x\hat{\sigma}_p^i$, $x\in G, i=1,...,\alpha_p$, and in each orbit the G-action is by left translation.
- **2.2.** As Y is an infinite complex, one considers besides the ordinary p-chains also ℓ_2 -chains, i.e. square-summable real linear combinations of the cells of Y. They constitute a Hilbert space $C_p^{(2)}(Y)$ where all the cells $x\hat{\sigma}_p^i$ as above form an orthonormal basis. We sometimes omit Y and simply write $C_p^{(2)}$. The induced action of G on $C_p^{(2)}$ is isometric.
- **2.3.** For any Hilbert subspace H of $C_p^{(2)}$, not necessarily G-invariant, there is the orthogonal projection

$$\Phi: C_p^{(2)} \longrightarrow C_p^{(2)}$$

with image H. We consider the following "restricted trace" of Φ referring to a finite subcomplex Y_j of Y consisting of N_j translates of the fundamental domain D. Here amenability is not required; it is in **3.4** only that Y_j will refer to a Følner sequence in Y.

Let Π_j be the projection $C_p^{(2)} \longrightarrow C_p^{(2)}$ with image $C_p^{(2)}(Y_j)$. Since Y_j is a finite complex, we have $C_p^{(2)}(Y_j) = C_p(Y_j)$; thus Π_j is projection on a finite dimensional \mathbb{R} -subspace of $C_p^{(2)}$ whose basis consists of all cells $x_{\nu}\hat{\sigma}_p^i$ with $\nu \leq N_j$. One can form the \mathbb{R} -trace

$$d_j(H) = trace_{\mathbb{R}} \Pi_j \Phi$$

It will be examined for some special subspaces H. Note that it can be expressed

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by scalar products in $C_p^{(2)}$ as

$$d_j(H) = \sum_{i=1}^{\alpha_p} \sum_{\nu=1}^{N_j} < \Phi(x_{\nu} \hat{\sigma}_p^i), x_{\nu} \hat{\sigma}_p^i > + \sum_{\tau_p} < \Phi(\tau_p), \tau_p > .$$

where the τ_p are cells in \dot{Y}_j not of the form $x_{\nu}\hat{\sigma}_p^i$.

2.4. Properties of d_i :

- 1) Since Φ is idempotent and self-adjoint, the scalar products above are equal to $\langle \Phi(x_{\nu}\hat{\sigma}_{p}^{i}), \Phi(x_{\nu}\hat{\sigma}_{p}^{i}) \rangle$ and $\langle \Phi(\tau_{p}), \Phi(\tau_{p}) \rangle$ respectively and thus ≥ 0 : The restricted trace $d_{j}(H)$ is non-negative.
 - 2) Note that one always has

$$d_i(H) \leq \dim_{\mathbb{R}} \Pi_i(H)$$

since

$$\operatorname{tr}_{\mathbb{R}}(\Pi_{i}\Phi) \leq ||\Pi_{i}\Phi|| \dim_{\mathbb{R}} \operatorname{im}(\Pi_{i}\Phi) \leq \dim_{\mathbb{R}} \Pi_{i}(H).$$

If in particular H is a subspace of $C_p(Y_j)$ then d_j is the same as the trace of the projection of $C_p(Y_j)$ to H. Since these are finite-dimensional vector spaces, the trace is $= \dim_{\mathbb{R}} H$.

- 3) If H decomposes orthogonally into $H_1 + H_2$ then $d_j(H) = d_j(H_1) + d_j(H_2)$. Just note that then $\Phi = \phi_1 + \phi_2$ where ϕ_i is the projection onto H_i , i = 1, 2 and replace Φ in the scalar products above.
- 4) In case H is G-invariant the projection Φ is G-equivariant and $\langle \Phi(x_{\nu}\hat{\sigma}_{p}^{i}), x_{\nu}\hat{\sigma}_{p}^{i} \rangle$ is equal to $\langle \Phi(\hat{\sigma}_{p}^{i}), \hat{\sigma}_{p}^{i} \rangle$. But $\Sigma_{i=1}^{\alpha_{p}} \langle \Phi(\hat{\sigma}_{p}^{i}), \hat{\sigma}_{p}^{i} \rangle$ is just the von Neumann dimension $\dim_{G} H$ (see e.g. [L] or [E2]). Thus in that case

$$d_j(H) = N_j \dim_G H$$

plus an "error term" T_j coming from the boundary cells τ_p which is $\leq \dim_{\mathbb{R}} C_p(\dot{Y}_j)$.

3. Mapping $H_p(Y_i)$ into $H_p(Y)$

- **3.1.** In the following, homology H_p is to be understood as "reduced" ℓ_2 -homology (cycles modulo the closure of boundaries). It can be represented by the orthogonal complement of the space of boundaries in the p-cycle space, i.e. by harmonic chains (boundary $\partial=0$ and coboundary $\delta=0$). In this sense we will consider $H_p(Y)$ as a Hilbert subspace of $C_p^{(2)}(Y)$ and $H_p(Y_j)$ as a subspace of $C_p(Y_j)$.
- **3.2.** Since the boundary operator ∂ in $C_p^{(2)}$ commutes with the G-action, the homology group $H_p(Y)$ considered as a subspace of $C_p^{(2)}$ is G-invariant. According to **2.4**, 4) we have

$$d_j(H_p(Y)) = N_j \; \mathrm{dim}_G H_p(Y) + T_j = N_j \; \overline{\beta}_p(Y\mathrm{rel}.G) + T_j,$$

where $\overline{\beta}_p$ denotes the ℓ_2 -Betti number and T_j is the error term from **2.4**,4). As for $H_p(Y_j)$, we have by **2.4**, 2)

$$d_j(H_p(Y_j)) = \dim_{\mathbb{R}} H_p(Y_j) = \beta_p(Y_j),$$

the ordinary p-th Betti number of Y_i .

3.3. The inclusion of Y_j in Y induces a bounded linear map $\phi: H_p(Y_j) \longrightarrow H_p(Y)$. Let K_p be the kernel of ϕ , and K'_p its orthogonal complement in $H_p(Y_j)$; and I_p the image of ϕ , and I'_p its orthogonal complement in $H_p(Y)$.

We will look closer at these harmonic subspaces of $C_p(Y_j)$ and $C_p^{(2)}(Y)$ respectively in order to get estimates for the values of d_j . We recall that ∂ commutes with the inclusion of Y_j in Y but in general not with the the restriction of Y to Y_j , and that for δ things are the other way around. In particular a harmonic chain in Y_j need not be harmonic in Y, but can be made harmonic by adding a well-defined element of the closure of boundaries.

- **3.4.** We decompose the p-chains $c \in C_p^{(2)}$ as $c = \dot{c} + c'$ where all p-cells of \dot{c} intersect the topological boundary \dot{Y}_j and c' does not contain any such cell. This yields an orthogonal decomposition of $C_p^{(2)}$ into \dot{C}_p and C_p' . We now use the amenability of the covering and assume that Y_j is a term of the Følner sequence. Then $\dim_{\mathbb{R}} \dot{C}_p \leq \dot{N}_j \alpha_p$.
- 1) If $c \in K_p$, with $\partial c = \delta c = 0$ in Y_j , then $c \in \overline{\partial C_{p+1}^{(2)}(Y)}$. If we assume $\dot{c} = 0$, $c = c' \in C'_p$, then δ commutes with the inclusion, i.e. $\delta c = 0$ in Y. But since cocycles are orthogonal to the closure of the space of boundaries, it follows that c = 0. Thus $K_p \cap C'_p = 0$, and K_p is isomorphic to a subspace of C_p . Therefore

$$d_j(K_p) = \dim_{\mathbb{R}} K_p \le \dim_{\mathbb{R}} \dot{C}_p \le \dot{N}_j \alpha_p$$
.

2) As for $d_j(I_p')$ it does not exceed $\dim_{\mathbb{R}} R_p$ where $R_p = \operatorname{res}_j I_p'$ and res_j is the restriction from Y to Y_j . The chains $c \in I_p'$ fulfill $\partial c = \underline{\delta c} = 0$. Moreover $\langle c, z \rangle = 0$ for all p-cycles z in Y_j since $\phi(z) = z + b$, with $b \in \overline{\partial C_{p+1}^{(2)}}$. For $r \in R_p$ the same holds except possibly for $\partial r = 0$. But if $r = \dot{c} + c'$ as above, and if we assume $\dot{c} = 0$ then $\partial r = 0$. From $\langle r, z \rangle = 0$ for all p-cycles z in Y_j it follows that r is a coboundary in Y_j , $r = \delta s$. Thus $\langle r, r \rangle = \langle r, \delta s \rangle = \langle \partial r, s \rangle = 0$, whence r = 0 and $R_p \cap C_p' = 0$. As before this implies $\dim_{\mathbb{R}} R_p \leq \dot{N}_j \alpha_p$ and we get

$$d_j(I_p') \le \dim_{\mathbb{R}} R_p \le \dot{N}_j \alpha_p$$
.

3.5. K'_p is isomorphic as a (finite-dimensional) vector space to I_p . Their d_j need not be equal, but we show that their difference fulfills an inequality similar to

those above. The isomorphism is given by adding to each $c \in K_p'$ a well defined element $b(c) \in \overline{\partial C_{p+1}^{(2)}(Y)}$, in order to get a harmonic chain in Y. If, in particular, $c \in K_p' \cap C_p'$ then $\delta c = 0$ in Y, whence $c \in I_p$. Thus $K_p' \cap C_p'$ is a subspace of I_p which remains unchanged under Π_j . This implies that $d_j(I_p) \geq d_j(K_p' \cap C_p') = \dim_{\mathbb{R}} K_p' \cap C_p'$ and

$$\dim_{\mathbb{R}} K_p' - d_j(I_p) \le \dim_{\mathbb{R}} K_p'/K_p' \cap C_p'.$$

But $K_p'/K_p' \cap C_p'$ is isomorphic to $(K_p' + C_p')/C_p'$ which is contained in $C_p^{(2)}/C_p'$ isomorphic to \dot{C}_p . Thus its dimension is $\leq \dot{N}_j \alpha_p$ whence

$$d_j(K_p') - d_j(I_p) \le \dot{N}_j \alpha_p$$
.

3.6. Finally we have

$$\beta_p(Y_j) - N_j \overline{\beta_p}(Yrel.G) = d_j(H_p(Y_j)) - d_j(H_p(Y)) + T_j$$
$$= d_j(K_p) - d_j(I_p') + (d_j(K_p') - d_j(I_p)) + T_j$$

where T_i is the error term in **2.4**. By **3.4** and **3.5** and since $T_i \leq \dot{N}_i \alpha_p$ this yields

$$\left|\frac{1}{N_j}\beta_p(Y_j) - \overline{\beta_p}(Y \text{rel.}G)\right| \le 4\alpha_p \frac{\dot{N}_j}{N_j}$$

which goes to 0 with $j \to \infty$. Thus

$$\lim_{j\to\infty} \frac{1}{N_j} \beta_p(Y_j) = \overline{\beta_p}(Y_{rel}.G).$$

This is the approximation statement mentioned in the introduction.

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Beno Eckmann Forschungsinstitut für Mathematik Eidg. Technische Hochschule 8092 Zürich Switzerland

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