# **Cohen-Macaulay coordinate rings of blowup schemes**

Autor(en): **Cutkosky, S. Dale / Herzog, Jürgen**

Objekttyp: **Article**

Zeitschrift: **Commentarii Mathematici Helvetici**

Band (Jahr): **72 (1997)**

PDF erstellt am: **21.09.2024**

Persistenter Link: <https://doi.org/10.5169/seals-54608>

# **Nutzungsbedingungen**

Die ETH-Bibliothek ist Anbieterin der digitalisierten Zeitschriften. Sie besitzt keine Urheberrechte an den Inhalten der Zeitschriften. Die Rechte liegen in der Regel bei den Herausgebern. Die auf der Plattform e-periodica veröffentlichten Dokumente stehen für nicht-kommerzielle Zwecke in Lehre und Forschung sowie für die private Nutzung frei zur Verfügung. Einzelne Dateien oder Ausdrucke aus diesem Angebot können zusammen mit diesen Nutzungsbedingungen und den korrekten Herkunftsbezeichnungen weitergegeben werden.

Das Veröffentlichen von Bildern in Print- und Online-Publikationen ist nur mit vorheriger Genehmigung der Rechteinhaber erlaubt. Die systematische Speicherung von Teilen des elektronischen Angebots auf anderen Servern bedarf ebenfalls des schriftlichen Einverständnisses der Rechteinhaber.

## **Haftungsausschluss**

Alle Angaben erfolgen ohne Gewähr für Vollständigkeit oder Richtigkeit. Es wird keine Haftung übernommen für Schäden durch die Verwendung von Informationen aus diesem Online-Angebot oder durch das Fehlen von Informationen. Dies gilt auch für Inhalte Dritter, die über dieses Angebot zugänglich sind.

Ein Dienst der ETH-Bibliothek ETH Zürich, Rämistrasse 101, 8092 Zürich, Schweiz, www.library.ethz.ch

# **http://www.e-periodica.ch**

Comment. Math. Helv. 72 (1997) 605-617<br>0010-2571/97/040605-13 \$ 1.50+0.20/0

© <sup>1997</sup> Birkhauser Verlag, Basel

#### Commentarii Mathematici Helvetici

#### Cohen—Macaulay coordinate rings of blowup schemes

S. Dale Cutkosky and Jürgen Herzog\*

Abstract. Suppose that  $Y$  is a projective  $k$ -scheme with Cohen–Macaulay coordinate ring  $S$ . Let  $I \subset S$  be a homogeneous ideal of S. I can be blown up to produce a projective k-scheme X which birationally dominates Y. Let  $I_c$  be the degree c part of I. Then  $k[I_c]$  is a coordinate ring of a projective embedding of  $X$  for all  $c$  sufficiently large. This paper considers the question of when there exists a constant f such that  $k[(I^e)_c]$  is Cohen–Macaulay for  $c \geq ef$ . A very general result is proved, giving a simple criterion for a linear bound of this type As a consequence, local complete intersections have this property, as well as many other ideals

Mathematics Subject Classification (1991). 14M05, 13H10

Keywords. Cohen—Macaulay, coordinate ring

## Introduction

Suppose that  $Y$  is a projective  $k$ -scheme with Cohen-Macaulay coordinate ring S. Let  $I \subset S$  be a homogeneous ideal of S. Then I can be blown up to produce a projective k-scheme X which birationally dominates Y. Let  $I_c$  be the degree c part of I. Then  $k[I_c]$  is a coordinate ring of a projective embedding of X for all c sufficiently large. In general,  $k[I_c]$  is not Cohen-Macaulay even when X is Cohen-Macaulay (a simple example is given in Section 1). Recently,  $[3]$ ,  $[5]$ ,  $[6]$ ,  $[13]$  have given criteria for  $k[I_c]$  to be Cohen-Macaulay in many important situations.

Powers  $I^e$  of I blow up to the same scheme X, and the rings  $k[(I^e)_c]$  for  $c \gg e > 0$  are coordinate rings of projective embeddings of X.

In Theorem 4.6 [3] an explicit necessary and sufficient linear bound in  $c$  and e is given for  $k[(I^e)_c]$  to be Cohen-Macaulay, when S is a polynomial ring of dimension n and I is a complete intersection in  $S$ . Suppose that the complete intersection ideal I is minimally generated by forms of degree  $d_1, \ldots, d_r$ . Assume that  $c \geq \epsilon d + 1$ ,  $d = \max\{d_j|j = 1, \ldots, r\}$ . Then  $k[(I^e)_c]$  is a Cohen-Macaulay ring if and only if  $c > \sum_{i=1}^r d_i + (e - 1)d - n$ .

This leads to the question of when there exists a constant f such that  $k[(I^e)_c]$ 

<sup>\*</sup> First author partially supported by NSF

is Cohen-Macaulay for  $c \geq ef$ . In other words, when is there a linear bound on c and e ensuring that  $k[(I^e)_c]$  is Cohen-Macaulay?

For instance, it is natural to expect that ideals  $I$  that are local complete intersections (that is,  $IS_p$  is a complete intersection if p is not the irrelevant ideal of  $S$ ) will have this property. The Kodaira Vanishing Theorem suggests that there should be a linear bound ensuring that  $k[(I^e)_c]$  is Cohen-Macaulay, at least when a regular ideal is blown up in a nonsingular projective variety of characteristic zero.

In this paper, we prove <sup>a</sup> very general result (Theorem 4.1) giving <sup>a</sup> simple criterion for <sup>a</sup> linear bound of this type. As <sup>a</sup> consequence, we show (Corollary 4.2) that local complete intersections have this property, as well as many other ideals (Corollaries 4.3 and 4.4).

#### 1. Coordinate rings of a blowup

Throughout this paper we will have the following assumptions. Let  $k$  be a field, S a noetherian graded  $k$ -algebra which is generated in degree 1 with graded maximal ideal M. Then S has a presentation  $S = k[x_0, x_1, \ldots, x_n]/K$ , where each  $x_i$  is homogeneous of degree 1. Let  $\beta = \dim(S)$ ,  $\overline{n} = \beta - 1$ ,  $I \subset S$  be a homogeneous ideal, and let  $\tilde{I}$  be the sheaf associated to  $I$  in  $Y = \text{Proj}(S)$ . Let  $X = \text{Proj}(\bigoplus \tilde{I}^n)$ be the blowup of  $\tilde{I}$ , with natural map  $\pi: X \to Y$ .  $I_c$  will denote the c-graded part of  $I$ .

**Lemma 1.1.** Suppose that I is generated in degree  $\leq d$ . Then

- (1)  $(I_c) \cdot \mathcal{O}_X = \tilde{I}(c) \cdot \mathcal{O}_X$  for  $c \geq d$ .
- (2)  $I_c$  is a very ample subspace of  $\Gamma(X, \tilde{I}(c) \cdot \mathcal{O}_X)$  for  $c \geq d+1$ .

*Proof.* Let I be generated by  $G_1, \ldots, G_m$  where the  $G_i$  are homogeneous of degree  $d_i \leq d$ . Let

$$
R_{ij}=\left(k\left[\frac{x_0}{x_i},\ldots,\frac{x_n}{x_i}\right]\bigm/ K_i\right)\left[\frac{G_1x_i^{d_j-d_1}}{G_j},\ldots,\frac{G_mx_i^{d_j-d_m}}{G_j}\right],
$$

 $U_{ij} = \mathrm{Spec}\,(R_{ij}).\,\, \{U_{ij} : 0 \leq i \leq n, 1 \leq j \leq m\}$  is an affine cover of  $X. \,\, \Gamma(U_{ij}, \tilde{I}(c))$  $\mathcal{O}_{X})=G_{j}x_{i}^{c-d_{j}}R_{ij}.$ 

Since  $I_c \subset \Gamma(X, \tilde{I}(c) \cdot \mathcal{O}_X)$  and  $G_j x_i^{c-d_j} \in I_c$  for  $1 \le j \le m$  whenever  $c \ge d$ we have  $(1)$ .

To establish (2) we will use the criteria of Proposition II.7.2 [7]. Suppose that  $c \geq d+1$ . By (1),  $I_c$  gives a morphism of X.  $I_c$  is generated over k by  ${G_jx_0^{l_0}x_1^{l_1} \cdots x_n^{l_n} : d_j + l_0 + l_1 + \cdots + l_n = c}$ . Suppose that  $s = G_jx_0^{l_0}x_1^{l_1} \cdots x_n^{l_n}$  is one of these generators. Then some  $l_i > 0$ , and  $X_s \subset U_{ij}$ .

$$
s = G_j x_0^{l_0} x_1^{l_1} \cdots x_n^{l_n} = G_j x_i^{c - d_j} \left(\frac{x_0}{x_i}\right)^{l_0} \cdots \left(\frac{x_n}{x_i}\right)^{l_n},
$$

so that  $X_s = \mathrm{Spec}(A)$  where

$$
A=R_{ij}\left[\left(\frac{x_i}{x_0}\right)^{l_0}\cdots\left(\frac{x_i}{x_n}\right)^{l_n}\right].
$$

We have

$$
\frac{G_j x_i^{c-d_j}}{G_j x_0^{l_0} \cdots x_n^{l_n}} = \left(\frac{x_i}{x_0}\right)^{l_0} \cdots \left(\frac{x_i}{x_n}\right)^{l_n}
$$
\n
$$
\frac{G_j x_0^{l_0} \cdots x_i^{l_i+1} \cdots x_i^{l_i-1} \cdots x_n^{l_n}}{G_j x_0^{l_0} \cdots x_n^{l_n}} = \frac{x_i}{x_i}
$$
\n
$$
\frac{G_t x_i^{c-d_t}}{G_j x_0^{l_0} \cdots x_n^{l_n}} \cdot \left(\frac{G_j x_0^{l_0+1} \cdots x_i^{l_i-1} \cdots x_n^{l_n}}{G_j x_0^{l_0} \cdots x_n^{l_n}}\right)^{l_0} \cdots \left(\frac{G_j x_0^{l_0} \cdots x_i^{l_i-1} \cdots x_n^{l_n+1}}{G_j x_0^{l_0} \cdots x_n^{l_n}}\right)^{l_n} = \frac{G_t x_i^{d_j - d_t}}{G_j}
$$

generate A as a k-algebra.  $\square$ 

Let  $\mathcal{L} = \tilde{I} \cdot \mathcal{O}_X$ ,  $\mathcal{M} = \pi^* \mathcal{O}_Y(1)$ , so that  $(I^e)_c \cdot \mathcal{O}_X = \mathcal{L}^e \otimes \mathcal{M}^c$  for  $e > 0$  and  $c \geq de$ , and  $X \cong \text{Proj}(k[(I^e)_c])$  for  $c \geq de + 1$ . In Lemma 1.2 we state the usual exact sequences relating local cohomology and global cohomology (cf. A4.1 [4]).

**Lemma 1.2.** Suppose that I is generated in degree  $\leq d$ ,  $e > 0$  and  $c \geq de + 1$ . Let  $A = k[(I^e)_c]$ , with graded maximal ideal m. There are exact sequences

$$
0\to H^0_m(A)\to A\to \bigoplus_{s\in {\bf Z}}\Gamma(X,{\mathcal L}^{se}\otimes {\mathcal M}^{sc})\to H^1_m(A)\to 0
$$

and isomorphisms

$$
H^{i+1}_{m}(A) \cong \bigoplus_{s \in \mathbf{Z}} H^{i}(X, \mathcal{L}^{se} \otimes \mathcal{M}^{se})
$$

for  $i \geq 1$ .

Let  $I^*$  denote the intersection of the primary components which are not Mprimary of an irredundant primary decomposition of  $I$ .

**Lemma 1.3.** There exists a positive integer f such that  $(I^a)_b = (I^a)^*_{b}$  for all  $a, b$ with  $b \geq fa$ .

Proof. This is immediate from the Main Theorem of Swanson [14] (cf. also Theorem 1.5 [10]), which states that there exists an integer  $f$  such that  $I^a$  has an irredundant primary decomposition  $I^a = q_1 \cap \cdots \cap q_s$  with  $(\sqrt{q_i})^{af} \subset q_i$  for all  $i.\Box$ 

**Lemma 1.4.** Suppose that no associated prime of S contains I, depth $_M(S) \geq 2$ and  $\pi_*(\tilde{I}^e \cdot \mathcal{O}_X) = \tilde{I}^e$  for all  $e \geq 0$ . Then there exists a positive integer f such that, with the notation of Lemma 1.2,  $H_m^0(A) = 0$  and  $H_m^1(A) = 0$  whenever  $e > 0$ and  $c \geq ef$ .

*Proof.* Suppose *I* is generated in degree  $\leq d$ . By Lemma 1.3 there exists an integer  $f'$  such that  $(I^t)_s = (I^t)_s^*$  for  $s \ge f't$ . Set  $f = \max(f', d + 1)$ .

By consideration of the natural inclusion  $A \subset S[It]$ , we have  $H^0_M(A) = 0$  since  $H_I^0(S) = 0$ .  $H^0(Y, \mathcal{O}_Y(s)) = H_M^1(S)$ <sub>s</sub> = 0 for all  $s < 0$  since depth<sub>M</sub>(S)  $\geq 2$ . From the inclusions

$$
\Gamma(X,\mathcal{L}^{se}\otimes \mathcal{M}^{sc})\hookrightarrow \Gamma(X,\mathcal{M}^{sc})=\Gamma(Y,(\pi_*\mathcal{O}_X)\otimes \mathcal{O}_Y(se))=\Gamma(Y,\mathcal{O}_Y(se))
$$

where the first equality is by the projection formula (cf. Exercise II.5.1 [7]), we get  $\Gamma(X, \mathcal{L}^{se} \otimes \mathcal{M}^{sc}) = 0$  for  $s < 0$ .

Let  $\Delta$  be the  $(c, e)$  diagonal of  $\mathbb{Z}^2$  ([3]). For  $c \geq ed$ , we have  $k[(I^e)_c] = S[It]_{\Delta}$ , as in Lemma 1.2 of [3].

$$
\bigoplus_{s\geq 0} H^{0}(X, \mathcal{L}^{se} \otimes \mathcal{M}^{sc}) = \bigoplus_{s\geq 0} H^{0}(Y, \pi_{*}(\mathcal{L}^{se}) \otimes \mathcal{O}_{Y}(sc))
$$
\n
$$
= \bigoplus_{s\in \mathbf{Z}} H^{0}(Y, \tilde{I}^{se}(sc))
$$
\n
$$
= (\Gamma(\text{Spec}(S) - M, \tilde{I}))_{\Delta}
$$
\n
$$
= \left(\bigoplus_{t\geq 0} (I^{t})^{\star}\right)_{\Delta} = S[It]_{\Delta}.
$$

Now Lemma 1.2 implies  $H^1_m(A) = 0$ .

The condition of the existence of a Cohen-Macaulay coordinate ring is somewhat delicate, as shown by the following simple example of a Cohen-Macaulay scheme obtained by blowing up an ideal sheaf on <sup>a</sup> scheme with <sup>a</sup> Cohen-Macaulay coordinate ring, which does not have a Cohen-Macaulay coordinate ring. Let T be a nonsingular "irregular" projective surface  $(H^1(T, \mathcal{O}_T) \neq 0)$ . Let  $\pi: T \to U$ be a birational projection onto a hypersurface in  $\mathbf{P}^3$ .  $\pi$  is the blowup of an ideal sheaf on  $U$ . The coordinate ring of  $U$  is Cohen-Macaulay, and certainly  $T$  is Cohen-Macaulay, but no coordinate ring of  $T$  can be Cohen-Macaulay since  $T$  is irregular.

However, we can give a simple proof of the existence of a linear bound ensuring that  $k[(I^e)_c]$  is Cohen-Macaulay when k has characteristic zero, S is Cohen-Macaulay, Y is nonsingular, I is equidimensional and  $\text{Proj}(S/I)$  is nonsingular. The proof has three ingredients:

(1) The Kodaira Vanishing Theorem.

- (2) In this situation (everything nonsingular)  $R^i\pi_*\mathcal{O}_X = 0$  for  $i > 0$  and  $\pi_* \mathcal{O}_X = \mathcal{O}_Y$  (cf. Proposition 10.2 [11]).
- (3) Lemma 1.4.

If  $N$  is an ample invertible sheaf on a smooth projective variety Z of characteristic zero and dimension t, with dualizing sheaf  $\omega_Z$ , then Kodaira Vanishing states that  $H^{i}(Z,\mathcal{N}\otimes \omega_Z) = 0$  for  $i > 0$ . The Serre-dual form of Kodaira Vanishing is  $H^{i}(Z, \mathcal{N}^{-1}) = 0$  for  $i < t$ .

Proposition 1.5. Suppose that k has characteristic zero, S is Cohen-Macaulay, Y is nonsingular, I is equidimensional and  $\text{Proj}(S/I)$  is nonsingular. Then there  $exists a positive integer f such that$ 

$$
H^i(X,\mathcal{L}^{se}\otimes \mathcal{M}^{sc})=0
$$

for all  $s \in \mathbf{Z}$  if  $0 \leq i \leq \overline{n}, c \geq e$  f,  $e > 0$ .

*Proof.* Suppose that I has height r, and is generated in degree  $\lt d$ . By Lemma 1.1  $\mathcal{L}^a \otimes \mathcal{M}^b$  is very ample if  $b > ad$ . We immediately get  $H^i(X, \mathcal{L}^{se} \otimes \mathcal{M}^{se}) = 0$  if  $c > ed$ ,  $s < 0$  and  $i < \overline{n}$ , since  $\mathcal{L}^e \otimes \mathcal{M}^c$  is then ample.

 $H^{i}(X,\mathcal{O}_X) = 0$  for  $0 < i$ , by the Leray spectral sequence, since  $R^{i} \pi_{*} \mathcal{O}_X = 0$ for  $i > 0$ ,  $\pi_* \mathcal{O}_X = \mathcal{O}_Y$  and  $H^j(Y, \mathcal{O}_Y) = 0$  for  $0 < j$  (since S is Cohen-Macaulay)

Let  $\omega_Y$  be a dualizing sheaf on Y.  $\omega_Y^{-1}(g)$  is ample on Y for some  $g > 0$ .  $\omega_X = {\mathcal L}^{1-r} \otimes \omega_Y$  is a dualizing sheaf on  $X.$ 

$$
\mathcal{L}^e \otimes \mathcal{M}^c \cong \mathcal{L}^{e+r-1} \otimes \mathcal{M}^c \otimes \omega_Y^{-1} \otimes \omega_X.
$$

 $\mathcal{L}^{e+r-1}\otimes\mathcal{M}^c\otimes\omega_Y^{-1}$  is ample if  $c > g+(e+r-1)d$ , and then  $H^i(X,\mathcal{L}^{se}\otimes\mathcal{M}^{se}) = 0$  for  $s > 0$  and  $i > 0$ .

**Theorem 1.6.** Suppose that k has characteristic zero, S is Cohen-Macaulay, Y is nonsingular, I is equidimensional and  $\text{Proj}(S/I)$  is nonsingular. Then there exists a positive integer f such that  $k[(I^e)_c]$  is Cohen-Macaulay whenever  $e > 0$ and  $c \geq ef$ .

Proof. The assumptions of Lemma 1.4 are satisfied by Proposition 10.2 [11] (or Example 2.3) and Proposition III.8.5 [7]. By Lemmas 1.2, 1.4 and Proposition 1.5 there exists a positive integer f such that  $k[(I^e)_c]$  has depth  $\overline{n}+1$  at m whenever  $e > 0$  and  $c \geq ef$ .

Unfortunately, Kodaira Vanishing fails in positive characteristic or if anything is not (almost) nonsingular. However, we obtain <sup>a</sup> very general result which is sufficient for this global part of the argument in Section 3. The second ingredient is local. We give <sup>a</sup> very simple argument to generalize this part in Section 2.

## 2. Local conditions

**Lemma 2.1.** Suppose that  $R$  is a local ring, essentially of finite type over a field k and  $J \subset R$  is an ideal. Let  $W = \text{Spec}(R)$ ,  $V = \text{Proj}(\bigoplus_{n>0} J^n)$ ,  $E =$  $Proj(\bigoplus_{n>0} J^n/J^{n+1}), \mathcal{L} = \tilde{J} \cdot \mathcal{O}_V$ . Suppose that

$$
\Gamma(E,\mathcal{O}_E(m)) = J^m / J^{m+1} \quad \text{ for } \ m \ge 0
$$

and

$$
H^i(E, \mathcal{O}_E(m))=0 \quad \text{ for } i>0 \text{ and } m\geq 0.
$$

Then  $\Gamma(V,\mathcal{L}^m)=J^m$  if  $m\geq 0$  and  $H^q(V,\mathcal{L}^m)=0$  for  $q>0$  and  $m\geq 0$ .

*Proof.* Note that  $\mathcal{L} = \mathcal{O}_V(1)$  on V.

We have exact sequences:

$$
0 \to \mathcal{O}_V(m+1) \to \mathcal{O}_V(m) \to \mathcal{O}_E(m) \to 0
$$

for all integers  $m$ . Thus we have surjections

$$
H^i(V, \mathcal{O}_V(m+1)) \to H^i(V, \mathcal{O}_V(m))
$$

for  $i > 0$  and  $m \ge 0$ . Since  $\mathcal{O}_V(m)$  is ample, we have  $H^i(V, \mathcal{O}_V(m)) = 0$  for all  $m \gg 0$ , and  $i > 0$ , so we have all of the desired vanishing. We also have exact sequences:

$$
0 \to \Gamma(V, \mathcal{O}_V(m+1)) \to \Gamma(V, \mathcal{O}_V(m)) \to J^m/J^{m+1} \to 0 \tag{1}
$$

for  $m \geq 0$ . Since R is a localization of a finitely generated k-algebra,  $\Gamma(V, \mathcal{O}_V(m)) =$  $J<sup>m</sup>$  for  $m \ge 0$  (cf. Exercise II.5.9 of [7]). Thus it follows from (1) that  $\Gamma(V, \mathcal{O}_V(m)) = J^m$  for all  $m \geq 0$ .

Lemma 2.2. Let notation be as in Lemma 2.1. Suppose that  $V$  is Cohen-Macaulay. Let  $\omega_V$  be a dualizing sheaf on V and  $\omega_E$  be a dualizing sheaf on E. Suppose that  $H^i(E,\omega_E(m)) = 0$  for  $i > 0$  and  $m \geq 2$ . Then  $H^q(V,\omega_V \otimes \mathcal{L}^m) = 0$ for  $q > 0$  and  $m \ge 1$ .

*Proof.* The ideal sheaf of E is  $I \cdot \mathcal{O}_V \cong \mathcal{O}_V(1)$ . By "adjunction" (cf. Proposition 2.4) [1] or Theorem III 7.11 [7]) we have

$$
\omega_E \cong \omega_V \otimes \mathcal{O}_E(-1).
$$

Since  $\omega_V$  is Cohen-Macaulay, we deduce from the exact sequence

$$
0 \to \mathcal{O}_V(1) \to \mathcal{O}_V \to \mathcal{O}_E \to 0
$$

exact sequences:

$$
0 \to \omega_V(m+1) \to \omega_V(m) \to \omega_E(m+1) \to 0
$$

for all integers m.

Thus we have surjections

$$
H^i(V, \omega_V(m+1)) \to H^i(V, \omega_V(m))
$$

for  $i > 0$  and  $m \ge 1$ . Since  $\mathcal{O}_V(m)$  is ample, we have  $H^i(V, \omega_V(m)) = 0$  for all  $m \gg 0$  and  $i > 0$ , so we have all of the desired vanishing.

**Example 2.3.** Suppose that  $R$  is a Cohen-Macaulay local ring, essentially of finite type over a field k and  $J \subset R$  is an ideal generated by a regular sequence. Then the conclusions of Lemmas 2.1 and 2.2 hold.

*Proof.* Let  $f_1, \ldots, f_r$  be a minimal set of generators of *I*. *V* is Cohen-Macaulay,  $E = \text{Proj}(\bigoplus_{n>0} J^n/J^{n+1}) \cong \mathbf{P}_{R/J}^{r-1}, \ \omega_E \cong (\omega_W/J\omega_W) \otimes_k \mathcal{O}_E(-r).$  Now the assumptions of Lemmas 2.1 and 2.2 follow from the cohomology of projective space and the isomorphisms

$$
H^{i}(X, \mathcal{O}_{E}(m)) \cong R/J \otimes_{k} H^{i}(\mathbf{P}_{k}^{r-1}, \mathcal{O}(m)),
$$
  

$$
H^{i}(E, (\omega_{W}/J\omega_{W}) \otimes_{k} \mathcal{O}_{E}(m)) \cong (\omega_{W}/J\omega_{W}) \otimes_{k} H^{i}(\mathbf{P}_{k}^{r-1}, \mathcal{O}(m))
$$

by the Künneth formula (cf. p. 77 of [12]).  $\Box$ 

Let

$$
T = \bigoplus_{n \ge 0} J^n / J^{n+1}
$$

be the associated graded ring of  $J$ , and let  $N$  be the ideal of positive degree elements of  $T$ . Suppose that  $T$  is Cohen-Macaulay. Then there is a canonical module  $W_T$  of T such that the sheaf associated to  $W_T$  is a dualizing sheaf  $\omega_E$  on E. The vanishing hypotheses of Lemmas 2.1 and 2.2 hold whenever

$$
H^i_N(T)_c=0
$$

for  $i \geq 0$  and  $c \geq 0$  and

$$
H^{i}_{N}(W_{T})_{c}=0\quadmath>
$$

for  $i \geq 2$  and  $c \geq 2$ .

An ideal  $J$  in a ring  $R$  is called strongly Cohen–Macaulay if the Koszul homology modules of I with respect to a generating set are Cohen-Macaulay. Let  $\mu(J)$ denote the minimal number of generators of an ideal J.

**Example 2.4.** Suppose that  $R$  is a Gorenstein local ring, essentially of finite type over a field k and  $J \subset R$  is a strongly Cohen-Macaulay ideal, with  $\mu(J_P) \leq$ 

height  $(P)$  for all primes P containing J. Then the conclusions of Lemma 2.1 and 2.2 hold.

*Proof.* Suppose J is of height g generated by n elements. Let  $S = R[X_1, \ldots, X_n]$  be a polynomial ring over R. Let  $H(J)$  denote the Koszul homology  $H(f_1, \ldots, f_n, R)$ where  $f_1, \ldots, f_n$  are generators of J.  $W_{R/J} = \text{Ext}^g(R/J, R) \cong H_{n-g}(J)$  is the last non-vanishing  $H_i(J)$ . The approximation complex M is

$$
0 \to H_{n-g}(J) \otimes S(-n+g) \to \cdots \to H_1(J) \otimes S(-1) \to H_0(J) \otimes S \to 0.
$$

In [8], it is shown that  $H^0(\mathcal{M}) = \bigoplus J^n/J^{n+1}$ . By Theorems 2.5 and 2.6 [8] M is acyclic and  $\oplus J^n/J^{n+1}$ ,  $\oplus J^n$  are Cohen-Macaulay.

Let  $\overline{S} = R/J[X_1, \ldots, X_n],$  with canonical module

$$
W_{\overline{S}} = W_{R/J} \otimes S(-n) = H_{n-g}(J) \otimes S(-n).
$$

 $H_N^i(T)$  is dual to  $\text{Ext}^{n-i}_{\overline{S}}(T, W_{\overline{S}})$  (cf. Theorem 3.6.19 [2]). We have  $\text{Ext}^i_{\overline{S}}(T, W_{\overline{S}})$  = 0 for  $i \neq n - g$  since T is a Cohen-Macaulay module of dimension  $\dim(R)$ , and  $\dim(\overline{S}) - \dim(T) = n - g$ . By (c) of Theorem 2.6 [8] we can realize  $W_T$  as the cokernel of

$$
H_1(J) \otimes S(-g-1) \to H_0(J) \otimes S(-g)
$$

so that  $W_T \cong T(-q)$ .

From this we see that  $W_T$  is supported in degree g, so that  $H_N^g(T)_j = 0$  for  $j > -g$  and  $H_N^g(W_T)_j = 0$  for  $j > 0$ .

By a Theorem of Huneke (Theorem 1.14 [9]), all ideals in the linkage class of a complete intersection in a Gorenstein local ring are strongly Cohen-Macaulay. For instance, codimension <sup>2</sup> perfect and Gorenstein codimension <sup>3</sup> ideals are strongly Cohen-Macaulay.

**Remark 2.5.** The conclusions of Example 2.4 are true when  $R$  is not Gorenstein but only Cohen-Macaulay.

In this case  $\mathcal{M}^*$  is acyclic with zeroth homology  $W_T$ , and we can use  $\mathcal M$  and  $\mathcal{M}^*$  to compute the desired vanishing.

#### 3. Global conditions

We return to the notation and hypotheses of Section 1.

Proposition 3.1. Suppose that

$$
R^j \pi_* (\tilde{I}^a \cdot \mathcal{O}_X) = 0 \quad \text{for} \ \ 1 \le a \le \overline{n} + 1, \quad j > 0.
$$

Then there exists <sup>a</sup> positive integer f such that

$$
H^j(X, \mathcal{L}^a \otimes \mathcal{M}^b) = 0 \quad \text{for} \quad j > 0, \ a > 0 \ \text{and} \ b \geq fa.
$$

*Proof.* After possibly tensoring with an extension field of  $k$ , we may suppose that k is an infinite field. Suppose that I is generated in degree  $\leq d$ . Set  $d' = d+1$ . Let  $D_1, \ldots, D_{\overline{n}}$  be general members of  $\Gamma(X, \mathcal{L} \otimes \mathcal{M}^{d'})$ . Let

$$
L_i=D_1\cap \cdots \cap D_i
$$

be the (scheme theoretic) intersection.  $L_i$  has dimension  $\overline{n} - i$ . Set  $L_0 = X$ . We have short exact sequences

$$
0 \to (\mathcal{L}^a \otimes \mathcal{M}^b) \otimes \mathcal{O}_{L_i} \to (\mathcal{L}^{a+1} \otimes \mathcal{M}^{b+d'}) \otimes \mathcal{O}_{L_i}
$$
  

$$
\to (\mathcal{L}^{a+1} \otimes \mathcal{M}^{b+d'}) \otimes \mathcal{O}_{L_{i+1}} \to 0
$$
 (2)

for all integers a and b and  $0 \leq i \leq \overline{n} - 1$ .

$$
R^j\pi_*({\mathcal L}^a\otimes {\mathcal M}^b)=R^j\pi_*({\tilde I}^a\cdot{\mathcal O}_X)\otimes {\mathcal O}_Y(b)=0
$$

for  $j > 0$  and  $1 \le a \le \overline{n} + 1$ . From the Leray spectral sequence

$$
H^i(Y,R^j\pi_*({\mathcal L}^a\otimes {\mathcal M}^b))\Rightarrow H^{i+j}(X,{\mathcal L}^a\otimes {\mathcal M}^b)
$$

we have

$$
H^j(X, \mathcal{L}^a \otimes \mathcal{M}^b) = H^j(Y, \pi_*(\tilde{I}^a \cdot \mathcal{O}_X) \otimes \mathcal{O}_Y(b)).
$$

There thus exists an integer  $f \ge d'$  such that

$$
H^j(X, \mathcal{L}^a \otimes \mathcal{M}^b) = 0 \quad \text{for } j > 0, \ 1 \le a \le \overline{n} + 1 \text{ and } b \ge f \tag{3}
$$

since  $\pi_*(\tilde{I}^a \cdot \mathcal{O}_X)$  is coherent and  $\mathcal{O}_Y(1)$  is ample on Y.

By (3) and induction applied to the long exact cohomology sequences associated to  $(2)$  we have

$$
H^{j}(X, \mathcal{L}^{a} \otimes \mathcal{M}^{b} \otimes \mathcal{O}_{L_{i}}) = 0 \text{ for } j > 0, i + 1 \le a \le \overline{n} + 1 \text{ and } b \ge f + id'. \quad (4)
$$

The following inductive statement  $(5) \Longrightarrow (6)$  can be established by induction using the exact sequences  $(2)$ ,  $(4)$  and the equality

$$
(a,b) = (a - i - 1)(1, d') + (i + 1, b - (a - i - 1)d').
$$

Note that if  $a \ge i + 1$  and  $b \ge f + (a - 1)d'$  then  $b - (a - i - 1)d' \ge f + id'$ .

Suppose that

$$
H^{j}(X, \mathcal{L}^{a} \otimes \mathcal{M}^{b} \otimes \mathcal{O}_{L_{i+1}}) = 0 \text{ for } j > 0, i+2 \le a \text{ and } b \ge f + (a-1)d'. \tag{5}
$$

Then

$$
H^{j}(X, \mathcal{L}^{a} \otimes \mathcal{M}^{b} \otimes \mathcal{O}_{L_{i}}) = 0 \text{ for } j > 0, i + 1 \le a \text{ and } b \ge f + (a - 1)d'.
$$
 (6)

 $L_{\overline{n}}$  has dimension 0, so that (6) is immediate for  $i = \overline{n}$ . Thus the proposition follows from descending induction on i using the above statement (5)  $\implies$  (6).  $\Box$ 

**Proposition 3.2.** Suppose that  $X$  is a Cohen-Macaulay scheme and

$$
R^j \pi_*(\omega_X \otimes \mathcal{L}^t) = 0 \quad \text{for} \ \ 1 \le t \le \overline{n} + 1, \ j > 0.
$$

Then there exists <sup>a</sup> positive integer f such that

$$
H^j(X, \mathcal{L}^{-a} \otimes \mathcal{M}^{-b}) = 0 \quad \text{ for } j < \overline{n}, \ a > 0 \ \text{ and } b \geq fa.
$$

*Proof.* After possibly tensoring with an extension field of  $k$ , we may suppose that k is an infinite field. Suppose that I is generated in degree  $\leq d$ . Set  $d' = d+1$ . Let  $D_1, \ldots, D_{\overline{n}}$  be general members of  $\Gamma(X, \mathcal{L} \otimes \mathcal{M}^{d'})$ . Let

$$
L_i = D_1 \cap \dots \cap D_i
$$

be the (scheme theoretic) intersection.  $L_i$  has dimension  $\overline{n} - i$ . Set  $L_0 = X$ . We have short exact sequences

$$
0 \to (\mathcal{L}^{-a-1} \otimes \mathcal{M}^{-b-d'}) \otimes \mathcal{O}_{L_i} \to (\mathcal{L}^{-a} \otimes \mathcal{M}^{-b}) \otimes \mathcal{O}_{L_i}
$$
  

$$
\to (\mathcal{L}^{-a} \otimes \mathcal{M}^{-b}) \otimes \mathcal{O}_{L_{i+1}} \to 0
$$
 (7)

for all integers a and b and  $0 \leq i \leq \overline{n} - 1$ .

By Serre-duality,

$$
H^{j}(X, \mathcal{L}^{-a} \otimes \mathcal{M}^{-b}) = H^{\overline{n}-j}(X, \omega_X \otimes \mathcal{L}^a \otimes \mathcal{M}^b).
$$
  

$$
R^{j} \pi_*(\omega_X \otimes \mathcal{L}^a \otimes \mathcal{M}^b) = R^{j} \pi_*(\omega_X \otimes \mathcal{L}^a) \otimes \mathcal{O}_Y(b) = 0
$$

for  $j > 0$  and  $1 \le a \le \overline{n} + 1$ . From the Leray spectral sequence, we have

$$
H^{\overline{n}-j}(X,\omega_X\otimes \mathcal L^a\otimes \mathcal M^b)=H^{\overline{n}-j}(Y,\pi_*(\omega_X\otimes \mathcal L^a)\otimes \mathcal O_y(b)).
$$

Hence there exists an integer  $f \ge d'$  such that

$$
H^j(X,\mathcal{L}^{-a}\otimes \mathcal{M}^{-b})=0\quad \ \, \text{for}\;\;j<\overline{n},\;1\leq a\leq \overline{n}+1\;\text{and}\;b\geq f\qquad \quad \ (8)
$$

since  $\pi_*(\omega_X \otimes \mathcal{L}^a)$  is coherent and  $\mathcal{O}_Y(1)$  is ample on Y.

By (8) and induction applied to the long exact cohomology sequences associated to (7) we have

$$
H^j(X, \mathcal{L}^{-a} \otimes \mathcal{M}^{-b} \otimes \mathcal{O}_{L_i}) = 0 \text{ for } j < \overline{n} - i, \ 1 \le a \le \overline{n} + 1 - i \text{ and } b \ge f. \tag{9}
$$

The following inductive statement  $(10) \Longrightarrow (11)$  can be established by induction using the exact sequences  $(7)$ ,  $(9)$  and the equality

$$
(a,b) = (a-1)(1,d') + (1,b-(a-1)d').
$$

Note that if  $a \ge 1$  and  $b \ge f + (a-1)d'$  then  $b - (a-1)d' \ge f$ Suppose that

$$
H^{j}(X, \mathcal{L}^{-a} \otimes \mathcal{M}^{-b} \otimes \mathcal{O}_{L_{i+1}}) = 0 \text{ for } j < \overline{n} - i - 1, 1 \le a \text{ and } b \ge f + (a - 1)d'. (10)
$$

Then

$$
H^j(X, \mathcal{L}^{-a} \otimes \mathcal{M}^{-b} \otimes \mathcal{O}_{L_i}) = 0 \text{ for } j < \overline{n} - i, 1 \le a \text{ and } b \ge f + (a - 1)d'. \tag{11}
$$

(11) is immediate for  $i = \overline{n}$ . Thus the proposition follows from descending induction on i using the above statement  $(10) \implies (11)$ .

#### 4. Linear bounds for Cohen—Macaulay coordinate rings

Let  $k$  be a field,  $S$  a noetherian graded  $k$ -algebra which is generated in degree 1, with graded maximal ideal M. Let  $I \subset S$  be a homogeneous ideal, and let  $\tilde{I}$  be a homogeneous the sheaf associated to I in  $Y = Proj (S)$ . Let  $X = Proj (\bigoplus \tilde{I}^n)$  be the blowup of  $\tilde{I}$ , with natural map  $\pi: X \to Y$ , and  $\mathcal{O}_X(1) = \tilde{I} \cdot \mathcal{O}_X$ . Let  $\beta$  be the dimension of  $S, \overline{n} = \beta - 1$  be the dimension of Y.

**Theorem 4.1.** Suppose that I is an ideal of height  $> 0$ , S is Cohen-Macaulay and  $X$  is a Cohen-Macaulay scheme. Let

$$
E = \operatorname{Proj} \bigl( \bigoplus_{n \geq 0} \tilde{I}^n / \tilde{I}^{n+1} \bigr)
$$

with dualizing sheaf  $\omega_E$ . Suppose that

$$
\pi_* \mathcal{O}_E(m) = \tilde{I}^m / \tilde{I}^{m+1} \quad \text{for } m \ge 0,
$$
  
\n
$$
R^i \pi_* \mathcal{O}_E(m) = 0 \quad \text{for } i > 0 \text{ and } m \ge 0 \text{ and}
$$
  
\n
$$
R^i \pi_* \omega_E(m) = 0 \quad \text{for } i > 0 \text{ and } m \ge 2.
$$

Then there exists a positive integer f such that  $k[(I^e)_c]$  is Cohen-Macaulay whenever  $e > 0$  and  $c \geq ef$ .

*Proof.*  $R^i \pi_* \mathcal{O}_X = 0$  for  $i > 0$  and  $\pi_* \mathcal{O}_X = \mathcal{O}_Y$  by Lemma 2.1 (and Proposition III.8.5 [7]). S is Cohen-Macaulay so that  $H_M^i(S) = 0$  for  $i < \beta$  and  $H^i(Y, \mathcal{O}_Y) =$ 0 for  $0 < i < \overline{n}$ . Now by the Leray spectral sequence,  $H^{i}(Y, R^{j}\pi_{*}\mathcal{O}_{X}) \Rightarrow$  $H^{i+j}(X, \mathcal{O}_X)$ , we get  $H^i(X, \mathcal{O}_X) = 0$  for  $0 < i < \overline{n}$ .

By Lemma 2.1 and Proposition 3.1 we have an f such that  $H^{j}(X, \mathcal{L}^{a} \otimes \mathcal{M}^{b}) = 0$ for  $j > 0$ ,  $a > 0$ ,  $b \ge fa$ . By Lemma 2.2 and Proposition 3.2 there exists f such that  $H^j(X, \mathcal{L}^{-a} \otimes \mathcal{M}^{-b}) = 0$  for  $j < \overline{n}, a > 0, b \geq fa$ .

Now the Theorem follows from Lemmas 1.4 and 1.2.  $\Box$ 

**Corollary 4.2.** Suppose that S is Cohen-Macaulay, I is an ideal of height  $> 0$  and  $\tilde{I}$  is locally a complete intersection in  $Y = \text{Proj}(S)$ . Then there exists a positive integer f such that  $k[(I^e)_c]$  is Cohen-Macaulay whenever  $e > 0$  and  $c \geq e f$ 

*Proof.* This is immediate from Example 2.3.  $\Box$ 

The following Corollary is now immediate from the comments following Example 2.3. By a canonical module  $W_T$  we mean a canonical module whose associated sheaf is a dualizing sheaf of Proj(T).  $I_{(P)}^n$  denoted the degree 0 elements of the localization  $I_P^n$ .

Corollary 4.3. Suppose that

- (1) S is Cohen-Macaulay.
- (2) I is an ideal of height  $> 0$ .
- (3)  $\bigoplus_{n\geq 0} I_{(P)}^n$  and  $T(P) = \bigoplus_{n\geq 0} (I^n/I^{n+1})_{(P)}$  are Cohen-Macaulay for all  $P\in\text{Proj}(S)$ .
- (4)  $H^i_{\overline{P}}(T(P))_c = 0$  for  $i \geq 0$  and  $c \geq 0$  and  $H^i_{\overline{P}}(W_{T(P)})_c = 0$  for  $i \geq 2$  and  $c \geq 2$  for all  $P \in \text{Proj}(S)$ , where  $W_{T(P)}$  is the canonical module of  $T(P)$ ,  $\overline{P}$  is the maximal ideal of  $S_{(P)}$ .

Then there exists a positive integer f such that  $k[(I^e)_c]$  is Cohen-Macaulay when ever  $e > 0$  and  $c \geq ef$ .

Corollary 4.4. Suppose that S is Cohen-Macaulay, I is an ideal of height  $> 0$ and  $I_{(P)}$  is strongly Cohen-Macaulay with  $\mu(I_{(P)}) \leq$  height(P) for all primes  $P \in Y$  containing I. Then there exists a positive integer f such that  $k[(I^e)_c]$  is Cohen-Macaulay whenever  $e > 0$  and  $c \geq ef$ .

Proof. The assumptions of Theorem 4.1 are satisfied by Example 2.4 and Remark 2.5.  $\Box$ 

### References

- [1] A. Altman and S. Kleiman, *Introduction to Grothendieck duality theory*, Lecture Notes in Math. 146, Springer Verlag, 1970.
- W. Bruns and J. Herzog, Cohen-Macaulay rings, Cambridge Univ., 1993.
- [3] A. Conca, J. Herzog, N. V. Trung and G. Valla, Diagonal subalgebras of bigraded algebras and embeddings of blow-ups of projective spaces, Amer. J. Math. 119 (1997), 859-901.
- [4] D. Eisenbud, Commutative Algebra with a view toward algebraic geometry, Springer Verlag, 1995
- [5] A. Geramita, A. Gimigliano and B. Harbourne, Projectively normal but superabundant embeddings of rational surfaces in projective spaces,  $J.$  Algebra 169 (1994), 791-213.
- [6] A. Geramita, A. Gimigliano and Y. Pitteloud, Graded Betti numbers of some embedded rational n-folds, Math. Annalen  $301$  (1995), 363-380.
- R. Hartshorne, Algebraic Geometry, Springer Verlag, 1977.
- [8] J. Herzog, A. Simis and W. V. Vasconcelos, Approximation complexes of blowing-up rings, J. Alg.  $74$  (1982), 466-493.
- [9] C. Huneke, Linkage and the Koszul homology of ideals, Amer. J. Math. 104 (1982), 1043-1062
- [10] D. Katz and S. McAdam, Two asymptotic functions,  $Comm.$  in Alg. 17 (1989), 1069-1091.
- [11] H Matsumura, Geometric structure of the cohomology rings in abstract algebraic geometry, Mem. Coll. Sci. Univ. Kyoto (A) 32 (1959), 33-84.
- [12] D. Mumford, Annals of Math. Studies 59, Lectures on Curves on an algebraic surface, Princeton U. Press, 1966.
- [13] A. Simis, N. V. Trung, and G. Valla, The diagonal subalgebra of a blow-up algebra. Preprint.
- [14] I. Swanson, Powers of ideals: Primary decompositions, Artin–Rees Lemma and Regularity. Math. Annalen 307 (1997), 299-313.

Jürgen Herzog <sup>S</sup> Dale Cutkosky FB 6 Mathematik und Informatik Universitat-GHS-Essen University of Missouri Postfach 103764 Columbia, MO 65211 D-45117 Essen USA e-mail: mat300@uni-essen.de

Germany e-mail: dale@cutkosky.math.missouri.edu

(Received: January 5, 1997)