

Zeitschrift: L'Enseignement Mathématique
Herausgeber: Commission Internationale de l'Enseignement Mathématique
Band: 48 (2002)
Heft: 1-2: L'ENSEIGNEMENT MATHÉMATIQUE

Artikel: TRIPLE RATIO ON THE UNITARY STIEFEL MANIFOLD
Autor: Clerc, Jean-Louis
Kapitel: 3. Orbits for the GL_q -action on \tilde{T}_q
DOI: <https://doi.org/10.5169/seals-66067>

Nutzungsbedingungen

Die ETH-Bibliothek ist die Anbieterin der digitalisierten Zeitschriften auf E-Periodica. Sie besitzt keine Urheberrechte an den Zeitschriften und ist nicht verantwortlich für deren Inhalte. Die Rechte liegen in der Regel bei den Herausgebern beziehungsweise den externen Rechteinhabern. Das Veröffentlichen von Bildern in Print- und Online-Publikationen sowie auf Social Media-Kanälen oder Webseiten ist nur mit vorheriger Genehmigung der Rechteinhaber erlaubt. [Mehr erfahren](#)

Conditions d'utilisation

L'ETH Library est le fournisseur des revues numérisées. Elle ne détient aucun droit d'auteur sur les revues et n'est pas responsable de leur contenu. En règle générale, les droits sont détenus par les éditeurs ou les détenteurs de droits externes. La reproduction d'images dans des publications imprimées ou en ligne ainsi que sur des canaux de médias sociaux ou des sites web n'est autorisée qu'avec l'accord préalable des détenteurs des droits. [En savoir plus](#)

Terms of use

The ETH Library is the provider of the digitised journals. It does not own any copyrights to the journals and is not responsible for their content. The rights usually lie with the publishers or the external rights holders. Publishing images in print and online publications, as well as on social media channels or websites, is only permitted with the prior consent of the rights holders. [Find out more](#)

Download PDF: 24.04.2026

ETH-Bibliothek Zürich, E-Periodica, <https://www.e-periodica.ch>

3. ORBITS FOR THE GL_q -ACTION ON \tilde{T}_q

Any $z \in \text{Mat}(q \times q, \mathbf{C})$ can be written in a unique way as $z = x + iy$, with $x, y \in H_q$. We will be concerned with the set \tilde{T}_q defined by

$$(16) \quad \tilde{T}_q = \{z \in \text{Mat}(q \times q, \mathbf{C}) \mid z = x + iy, x \in H_q, y \in \overline{\Omega}_q, \det z \neq 0\}.$$

Its interior is the classical *tube domain* over the cone Ω_q , namely

$$T_q = \{z \in \text{Mat}(q \times q, \mathbf{C}) \mid z = x + iy, y \in \Omega_q\}.$$

Let $G = GL(q, \mathbf{C})$ act on $\text{Mat}(q \times q, \mathbf{C})$ by

$$(17) \quad (g, z) \longmapsto gzg^*.$$

The spaces $H_q, \Omega_q, \overline{\Omega}_q$ are stable under this action, and hence \tilde{T}_q and T_q are invariant subsets under this action. We investigate the orbits and describe a full set of invariants for this action.

There is a natural invariant associated to a $GL(q, \mathbf{C})$ -orbit. To any $z \in \tilde{T}_q$, we associate its *angular matrix* defined by

$$(18) \quad a = a(z) = z^{*-1}z.$$

Then the matrix associated to gzg^* is $g^{*-1}ag^*$, so that the angular matrix $a(z)$ belongs to the same conjugacy class when z runs through a $GL(q, \mathbf{C})$ -orbit. As we shall see (Theorem 3.3 and Theorem 3.13), this invariant is close to characterizing the orbits.

Let us first prove some elementary properties of the angular matrix.

PROPOSITION 3.1. *Let $z = x + iy \in \tilde{T}_q$, and let $a = z^{*-1}z$ be its angular matrix. Then*

$$(i) \quad \text{Sp}(a) \subset U_1 = \{\mu \in \mathbf{C}, |\mu| = 1\};$$

(ii) *if $1 \in \text{Sp}(a)$, then y is degenerate and*

$$\{v \in \mathbf{C}^q \mid av = v\} = \{v \in \mathbf{C}^q \mid yv = 0\}.$$

Proof. Let μ be an eigenvalue of a , and let $v \neq 0$ be an eigenvector for the eigenvalue μ . Then $zv = \mu z^*v$, and hence

$$(zv, v) = \mu(z^*v, v) = \mu(v, zv) = \mu \overline{(zv, v)}.$$

If $(zv, v) \neq 0$, then $|\mu| = 1$. So we now assume $(zv, v) = 0$. This amounts to $(xv, v) + i(yv, v) = 0$, so that in particular $(yv, v) = 0$. Now recall that y is positive semi-definite. So the condition $(yv, v) = 0$ implies that $yv = 0$. From this it follows that $zv = xv = z^*v$, and as z is assumed to be invertible, this implies $\mu = 1$. This shows (i) and part of (ii). Conversely, the condition $yv = 0$ implies trivially $av = v$. \square

In particular, we may consider the polynomial $d(\mu) = \det(z - \mu z^*)$. The roots of d are the eigenvalues of the angular matrix. The set of these roots, counted with their multiplicities, will be called the *angular spectrum* of z .

We first consider the case of T_q . So let $z = x + iy \in T_q$. Then as y is positive-definite, we may define its square root $y^{1/2}$ as the unique positive-definite Hermitian matrix whose square is y . Then we may write

$$x + iy = y^{\frac{1}{2}}(y^{-\frac{1}{2}}xy^{-\frac{1}{2}} + i\mathbf{1}_q)y^{\frac{1}{2}}.$$

This shows that any $\text{GL}(q, \mathbf{C})$ -orbit contains some element of the form $x + i\mathbf{1}_q$, where $x \in H_q$. But by the classical diagonalization theorem for Hermitian forms, there exists an orthonormal basis in which the Hermitian form associated to x is diagonal. In other words, there exists a unitary matrix u and real numbers $\lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_q$ such that

$$uxu^* = \Lambda = \begin{pmatrix} \lambda_1 & & & \\ & \lambda_2 & & \\ & & \ddots & \\ & & & \lambda_q \end{pmatrix}.$$

Moreover, if Λ and Λ' are two such diagonal matrices, then $\Lambda + i\mathbf{1}_q$ and $\Lambda' + i\mathbf{1}_q$ are not conjugate under the action of $\text{GL}(q, \mathbf{C})$ unless $\Lambda = \Lambda'$. Hence we have shown the following result, which of course is the well-known fact that there is a simultaneous diagonalization for two Hermitian forms if one of them is positive-definite.

THEOREM 3.2. *The set of matrices of the form*

$$(19) \quad \Lambda = \begin{pmatrix} \lambda_1 + i & & & \\ & \lambda_2 + i & & \\ & & \ddots & \\ & & & \lambda_q + i \end{pmatrix}$$

with $\lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_q$ is a full set of representatives of the $\text{GL}(q, \mathbf{C})$ -orbits in T_q .

The angular matrix associated to Λ is

$$(20) \quad \begin{pmatrix} \frac{\lambda_1+i}{\lambda_1-i} & & & \\ & \frac{\lambda_2+i}{\lambda_2-i} & & \\ & & \ddots & \\ & & & \frac{\lambda_q+i}{\lambda_q-i} \end{pmatrix}.$$

Now we study the action of G_r in H_n . If $x \in H_n$, let us write

$$x = \begin{pmatrix} \alpha & b \\ b^* & \gamma \end{pmatrix}$$

where $\alpha \in H_r$, $b \in \text{Mat}(r \times s, \mathbf{C})$ and $\gamma \in H_s$. If $g = \begin{pmatrix} u & v \\ 0 & h \end{pmatrix} \in G_r$, then $gxg^* = \begin{pmatrix} \alpha' & b' \\ b'^* & \gamma' \end{pmatrix}$, with

$$\alpha' = u\alpha u^* + ubv^* + vb^*u^* + v\gamma v^*$$

$$b' = ubh^* + v\gamma h^*$$

$$\gamma' = h\gamma h^*.$$

LEMMA 3.6. Let $x = \begin{pmatrix} \alpha & b \\ b^* & \gamma \end{pmatrix} \in H_n$, with $\alpha \in H_r$, $b \in \text{Mat}(r \times s, \mathbf{C})$ and $\gamma \in H_s$. Assume $\det \gamma \neq 0$. Then the orbit of x under G_r contains a matrix of the form $\begin{pmatrix} \alpha' & 0 \\ 0 & \gamma \end{pmatrix}$ with $\alpha' \in H_r$.

Proof. This is a consequence of the previous formula with $u = \mathbf{1}_r$, $v = -b\gamma^{-1}$ and $h = \mathbf{1}_s$.

LEMMA 3.7. Let $x = \begin{pmatrix} \alpha & b \\ b^* & 0 \end{pmatrix} \in H_n$, with $\text{rank } b = s$ (so in particular $r \geq s$). Then the orbit of x under G_r contains an element of the form

$$\begin{pmatrix} \beta & 0 & 0 \\ 0 & 0 & \mathbf{1}_s \\ 0 & \mathbf{1}_s & 0 \end{pmatrix}$$

with $\beta \in H_{r-s}$.

Proof. Consider the subgroup $\left\{ \begin{pmatrix} u & 0 \\ 0 & h \end{pmatrix}, u \in U(r), h \in GL_s(\mathbf{C}) \right\}$. It acts on the component b by $b' = ubh^*$. As $\text{rank}(b) = s$, we may think of b as a set of s independent vectors in \mathbf{C}^r . By the Gram-Schmidt process, it is possible to find $h \in GL_s(\mathbf{C})$ such that bh^* is a s -orthonormal frame in \mathbf{C}^r . But now two such frames are conjugate by the (left) action of $U(r)$. Hence there exists $u \in U(r)$ such that

$$ubh^* = \begin{pmatrix} 0 \\ \mathbf{1}_s \end{pmatrix}.$$

The matrix x we started with is conjugate under G_r to a matrix of the form

$$\begin{pmatrix} \alpha' & c & 0 \\ c^* & \beta & \mathbf{1}_s \\ 0 & \mathbf{1}_s & 0 \end{pmatrix}$$

where $\alpha' \in H_{r-s}$, $\beta \in H_s$ and $c \in \text{Mat}((r-s) \times s, \mathbf{C})$. Now we use the action of the element

$$g = \begin{pmatrix} \mathbf{1}_{r-s} & 0 & -c \\ 0 & \mathbf{1}_s & -\frac{\beta}{2} \\ 0 & 0 & \mathbf{1}_s \end{pmatrix} \in G_r$$

to get the result. \square

We are now ready to start the proof of Theorem 3.4.

STEP 1. Let $z = x + iy \in \tilde{T}_q$. As y is positive semidefinite, there exists an element $g \in \text{GL}(q, \mathbf{C})$ such that

$$gyg^* = \begin{pmatrix} 1 & & & & \\ & \ddots & & & \\ & & 1 & & \\ & & & 0 & \\ & & & & \ddots \\ & & & & & 0 \end{pmatrix},$$

with r diagonal entries equal to 1, and s diagonal entries equal to 0, r and s being nonnegative integers satisfying $r + s = q$. In other terms, any $\text{GL}(q, \mathbf{C})$ -orbit in \tilde{T}_q contains an element of the form

$$\begin{pmatrix} \alpha + i\mathbf{1}_r & b \\ b^* & \gamma \end{pmatrix}$$

with $\alpha \in H_r, \gamma \in H_s, b \in \text{Mat}(r \times s, \mathbf{C})$.

STEP 2. Now assume x is of the form

$$x = \begin{pmatrix} \alpha + i\mathbf{1}_r & b \\ b^* & \gamma \end{pmatrix}.$$

Consider γ . It is an Hermitian matrix of size s , and under the action of $\text{GL}(s, \mathbf{C})$ it can be transformed to

$$\begin{pmatrix} \mathbf{0}_{n_2} & 0 & 0 \\ 0 & \mathbf{1}_{n_3} & 0 \\ 0 & 0 & -\mathbf{1}_{n_4} \end{pmatrix}$$

where $n_2 + n_3 + n_4 = s$. Hence x is conjugate under the action of G_r to an element of the form

$$\begin{pmatrix} \alpha & b' & c' \\ b'^* & 0 & 0 \\ c'^* & 0 & \Upsilon \end{pmatrix}$$

where $\alpha \in H_r$, $b' \in \text{Mat}(r \times n_2, \mathbf{C})$, $c' \in \text{Mat}(r \times (n_3 + n_4), \mathbf{C})$ and

$$\Upsilon = \begin{pmatrix} \mathbf{1}_{n_3} & 0 \\ 0 & -\mathbf{1}_{n_4} \end{pmatrix}.$$

Using Lemma 3.6, we see that x is conjugate under the action of G_s to an element of the form

$$\begin{pmatrix} \alpha'' & b'' & 0 \\ b''^* & 0 & 0 \\ 0 & 0 & \Upsilon \end{pmatrix},$$

with $\alpha'' \in H_r$, $b'' \in \text{Mat}(r \times n_2, \mathbf{C})$.

STEP 3. Assume now that

$$x = \begin{pmatrix} \alpha & b & 0 \\ b^* & 0 & 0 \\ 0 & 0 & \Upsilon \end{pmatrix}$$

with $\alpha \in H_r$ and $b \in \text{Mat}(r \times n_2, \mathbf{C})$. Recall that

$$x + iy = \begin{pmatrix} \alpha + i\mathbf{1}_r & b & 0 \\ b^* & 0 & 0 \\ 0 & 0 & \Upsilon \end{pmatrix}$$

is assumed to be invertible. This shows that $\text{rank}(b) = n_2$. So we may apply Lemma 3.7 to see that x is conjugate under G_r to an element of the form

$$\begin{pmatrix} \beta & 0 & 0 & 0 \\ 0 & 0 & \mathbf{1}_{n_2} & 0 \\ 0 & \mathbf{1}_{n_2} & 0 & 0 \\ 0 & 0 & 0 & \Upsilon \end{pmatrix}$$

with $\beta \in H_{r-n_2}$.

STEP 4. Set $n_1 = r - n_2$. The last step is just to put the element $\beta \in H_{n_1}$ in diagonal form under the action of $U(n_1)$. Up to minor rearrangements of the matrix, this shows that any $\text{GL}(q, \mathbf{C})$ -orbit in \tilde{T}_q contains an element of the form $\Lambda(\lambda_1, \dots, \lambda_{n_1}, n_2, n_3, n_4)$.

THEOREM 3.9. *Any $\text{GL}(q, \mathbf{C})$ -orbit in $\widetilde{T}_q^{(r)}$ contains a unique standard matrix $\Lambda((\lambda_1, \dots, \lambda_{n_1}, n_2, n_3, n_4))$ with $n_1 + n_2 \leq r$.*

We now want an analog of Theorem 3.3. As we have already noticed, the conjugacy class of the angular matrix does not determine the orbit of the matrix. We need a finer invariant, which we will construct now.

LEMMA 3.10. *The space \widetilde{T}_q is connected and simply connected.*

Proof. As T_q is connected and $T_q \subset \widetilde{T}_q \subset \overline{T}_q$, the space \widetilde{T}_q is connected. Take $i\mathbf{1}_q$ as base point in \widetilde{T}_q , and observe that for any $z \in \widetilde{T}_q$ and any $s > 0$, $z + is\mathbf{1}_q$ is in T_q . So if $(\gamma(t), t \in [0, 1])$ is a path in \widetilde{T}_q starting and ending at $i\mathbf{1}_q$ then we can deform it by homotopy to $\gamma_s(t) = \gamma(t) + is(s-1)\mathbf{1}_q$, which for $s > 0$ is a path inside T_q . But T_q as a tube-type domain is simply connected. \square

The function $z \mapsto \det(z)$ is a continuous function from \widetilde{T}_q into \mathbf{C}^* . From Lemma 3.10, there exists a unique continuous determination of the argument of $\det(z)$ denoted by $\arg \det: \widetilde{T}_q \rightarrow \mathbf{R}$ such that $\arg \det i\mathbf{1}_q = q\frac{\pi}{2}$. If $Y \in \Omega_q$, then $\arg \det iy = q\frac{\pi}{2}$. If $z \in \widetilde{T}_q$ and $g \in \text{GL}(q, \mathbf{C})$, then $\det gzg^* = |\det g|^2 \det z$, and $gi\mathbf{1}_qg^* = igg^* \in i\Omega_q$, so that

$$\arg \det gzg^* = \arg \det z.$$

This provides a new invariant for the action of $\text{GL}(q, \mathbf{C})$ on \widetilde{T}_q .

LEMMA 3.11. *Let $\Lambda = \Lambda(\lambda_1, \dots, \lambda_{n_1}, n_2, n_3, n_4)$. Then*

$$(23) \quad \arg \det \Lambda = \arg(\lambda_1 + i) + \dots + \arg(\lambda_{n_1} + i) + n_2\pi + n_4\pi$$

where \arg is used for the principal determination of the argument of a non-zero complex number.

Proof. We need to describe a continuous path from $i\mathbf{1}_q$ to Λ inside \widetilde{T}_q . For clarity of exposition, we describe successively the path for each diagonal block (either a one-dimensional or a two-dimensional submatrix) of Λ , and compute the contribution of each block to the function $\arg \det$.

For a block of the form $\lambda + i$, with $\lambda \in \mathbf{R}$ we use the path $t \mapsto t\lambda + i$, $0 \leq t \leq 1$, and so the contribution of this block is $\arg(\lambda + i)$.

For a block of the form $\begin{pmatrix} i & 1 \\ 1 & 0 \end{pmatrix}$, we use the path

$$t \mapsto \begin{pmatrix} i & t \\ t & i(1-t^2) \end{pmatrix}, \quad 0 \leq t \leq 1.$$

The corresponding determinant of this 2×2 -block is constant along the path and equal to -1 . Hence the contribution of this block is $2\frac{\pi}{2} = \pi$.

For a block of the form 1 , we use the path $t \mapsto e^{i\frac{\pi}{2}(1-t)}$, $0 \leq t \leq 1$, and we see that the corresponding contribution is 0 .

For a block of the form -1 , we use the path $t \mapsto e^{i\frac{\pi}{2}(1+t)}$, $0 \leq t \leq 1$, and we see that the corresponding contribution is π .

Putting together the contribution of the blocks, we get the result. \square

COROLLARY 3.12. *Let Λ and Λ' be two standard matrices. Assume that their angular matrices coincide and that $\arg \det \Lambda = \arg \det \Lambda'$. Then $\Lambda = \Lambda'$.*

Proof. In fact we noticed that the equality of angular matrices implies the equality of the parameters except for $n_3 = n'_3$ and $n_4 = n'_4$. But from (23), we see that the equality of the determination of the arguments of the determinants implies $n_4 = n'_4$ (and hence $n_3 = n'_3$). \square

Now we can state the conclusion of this section, which is a consequence of Theorem 3.4 and Corollary 3.12.

THEOREM 3.13. *Let $z, z' \in \tilde{T}_q$, and assume that the angular matrices of z and z' are conjugate, and that $\arg \det z = \arg \det z'$. Then z and z' belong to the same orbit under the action of $\mathrm{GL}(q, \mathbf{C})$.*

REMARK. Let $z \in \tilde{T}_q$. Let $a = z^{*-1}z$. Then

$$\det a = \frac{\det z}{\det z} = |\det z|^{-2}(\det z)^2.$$

So $2 \arg \det z$ is a determination of $\arg(\det a)$. If z and z' are two matrices in \tilde{T}_q with the same angular matrix, then $\arg \det z$ and $\arg \det z'$ differ by an integral multiple of π . So the new invariant needed to characterize the orbits under $\mathrm{GL}(q, \mathbf{C})$ has to be regarded as a \mathbf{Z} -valued function. In this sense, it is a generalization of the signature.