Zeitschrift: L'Enseignement Mathématique

Herausgeber: Commission Internationale de l'Enseignement Mathématique

**Band:** 48 (2002)

**Heft:** 3-4: L'ENSEIGNEMENT MATHÉMATIQUE

Artikel: TORSION NUMBERS OF AUGMENTED GROUPS WITH

APPLICATIONS TO KNOTS AND LINKS

**Autor:** Silver, Daniel S. / Williams, Susan G.

**Kapitel:** 5. Torsion numbers and links

**DOI:** https://doi.org/10.5169/seals-66079

### Nutzungsbedingungen

Die ETH-Bibliothek ist die Anbieterin der digitalisierten Zeitschriften auf E-Periodica. Sie besitzt keine Urheberrechte an den Zeitschriften und ist nicht verantwortlich für deren Inhalte. Die Rechte liegen in der Regel bei den Herausgebern beziehungsweise den externen Rechteinhabern. Das Veröffentlichen von Bildern in Print- und Online-Publikationen sowie auf Social Media-Kanälen oder Webseiten ist nur mit vorheriger Genehmigung der Rechteinhaber erlaubt. Mehr erfahren

### **Conditions d'utilisation**

L'ETH Library est le fournisseur des revues numérisées. Elle ne détient aucun droit d'auteur sur les revues et n'est pas responsable de leur contenu. En règle générale, les droits sont détenus par les éditeurs ou les détenteurs de droits externes. La reproduction d'images dans des publications imprimées ou en ligne ainsi que sur des canaux de médias sociaux ou des sites web n'est autorisée qu'avec l'accord préalable des détenteurs des droits. En savoir plus

#### Terms of use

The ETH Library is the provider of the digitised journals. It does not own any copyrights to the journals and is not responsible for their content. The rights usually lie with the publishers or the external rights holders. Publishing images in print and online publications, as well as on social media channels or websites, is only permitted with the prior consent of the rights holders. Find out more

**Download PDF:** 30.11.2025

ETH-Bibliothek Zürich, E-Periodica, https://www.e-periodica.ch

## 5. TORSION NUMBERS AND LINKS

A *link* is a finite collection  $l = l_1 \cup \cdots \cup l_{\mu}$  of pairwise disjoint knots in the 3-sphere. If a direction is chosen for each component  $l_i$ , then the link is *oriented*. Equivalence for links, possibly oriented, is defined just as for knots.

The abelianization of the group  $G = \pi_1(S^3 - l)$  is free abelian of rank  $\mu$  with generators  $t_1, \ldots, t_{\mu}$  corresponding to oriented loops having linking number one with corresponding components of l. When  $\mu > 1$  there are infinitely many possible epimorphisms from G to the integers.

When l is oriented there is a natural choice for  $\chi$ , sending each generator  $t_i$  to  $1 \in \mathbf{Z}$ . In this way we associate to l an augmented group  $(G, \chi)$ . As in the special case of a knot,  $\mathcal{M}$  has a square presentation matrix, and it is isomorphic to the first homology group of the infinite cyclic cover of  $S^3 - l$  corresponding to  $\chi$ . Again as in the case of a knot, there is a sequence of r-fold cyclic covers  $M_r$  of  $S^3$  branched over l. However,  $H_1(M_r; \mathbf{Z})$  is isomorphic to  $\mathcal{M}/(t^{r-1}+\cdots+t+1)\mathcal{M}$  rather than  $\mathcal{M}/(t^r-1)\mathcal{M}$  (see [Sa79]). In the case of a knot the two modules are well known to be isomorphic (see Remark 5.4(i)).

Motivated by these observations we make the following definitions. Let  $\widetilde{\mathcal{M}}_r$  denote the quotient module  $\mathcal{M}/\nu_r\mathcal{M}$ , where  $\nu_r = t^{r-1} + \cdots + t + 1$ .

DEFINITION 5.1. Let  $(G,\chi)$  be an augmented group. The  $r^{th}$  reduced torsion number  $\widetilde{b}_r$  is the order of the torsion submodule  $T\widetilde{\mathcal{M}}_r$ . The  $r^{th}$  reduced Betti number  $\widetilde{\beta}_r$  is the rank of  $\widetilde{\mathcal{M}}$ .

As before, we may also speak of the reduced torsion and Betti numbers of a finitely generated  $\mathcal{R}_1$ -module  $\mathcal{M}$ .

Many results of Section 2 apply to reduced torsion and Betti numbers with only slight modification. For example, an argument similar to the proof of Proposition 2.1 shows that  $\widetilde{\beta}_r$  is the number of zeros of the Alexander polynomial which are roots of unity and different from 1, each zero counted as many times as it occurs in the elementary divisors  $\Delta_i/\Delta_{i+1}$ ; hence  $\widetilde{\beta}_r$  is periodic in r. Also, when  $\widetilde{\beta}_r = 0$  the reduced torsion number  $\widetilde{b}_r$  is equal to the absolute value of the resultant of  $\Delta$  and  $\nu_r$ .

LEMMA 5.2. Assume that  $0 \to A \xrightarrow{f} B \xrightarrow{g} C \to 0$  is an exact sequence of finitely generated abelian groups. If A is finite, then the induced sequence

$$0 \to A \xrightarrow{f} TB \xrightarrow{g} TC \to 0$$

is also exact.

*Proof.* The only thing to check is surjectivity of g. Since the alternating sum of the ranks of A, B and C is zero and A is finite, the ranks of B and C are equal. By Lemma 2.3 the homomorphism g maps TB onto TC.

PROPOSITION 5.3. Assume that the finitely generated  $\mathcal{R}_1$ -module  $\mathcal{M}$  has a square presentation matrix. If  $\Delta(1) \neq 0$ , then for every r,

(5.1) 
$$\widetilde{\beta}_r = \beta_r \,, \qquad \widetilde{b}_r = \frac{b_r}{\delta_r} \,,$$

where  $\delta_r$  is a divisor of  $|\Delta(1)|$ . Moreover,  $\delta_{r+\gamma} = \delta_r$ , for all r, where  $\gamma$  is the cyclotomic order of  $\Delta$ .

*Proof.* Consider the sequence

$$\mathcal{M}_1 \xrightarrow{\nu_r} \mathcal{M}_r \xrightarrow{\pi} \widetilde{\mathcal{M}}_r \to 0$$
,

where  $\nu_r$  is multiplication by  $\nu_r = t^{r-1} + \cdots + t + 1$ , and  $\pi$  is the natural projection. It is easy to see that the sequence is exact. From it we obtain the short exact sequence

$$0 \to \mathcal{M}_1 / \ker \nu_r \xrightarrow{\nu_r} \mathcal{M}_r \xrightarrow{\pi} \widetilde{\mathcal{M}}_r \to 0$$
.

Here  $\nu_r$  also denotes the induced quotient homomorphism. Since  $\Delta(1) \neq 0$ , the module  $\mathcal{M}_1$  is finite and hence  $\beta_r = \widetilde{\beta}_r$ . The order of  $\mathcal{M}_1$  is  $|\Delta(1)|$ , and hence the order of  $\mathcal{M}_1/\ker\nu_r$  is a divisor  $\delta_r$ . The second statement of (5.1) follows from Lemmas 5.2 and 3.7.

It remains to show that  $\delta_r$  has period  $\gamma$ . For this let  $0 \neq a \in \mathcal{M}$ . The coset  $\overline{a} \in \mathcal{M}_1$  is in the kernel of  $\nu_r$  if and only if there exists  $b \in \mathcal{M}$  such that  $\nu_r(a-(t-1)b)=0$ . Clearly this is true if and only if  $\nu_{(\gamma,r)}(a-(t-1)b)=0$ , where  $(\gamma,r)$  denotes the gcd of  $\gamma$  and r. Hence the kernel of  $\nu_r$  is equal to the kernel of  $\nu_{(\gamma,r)}$ , and the periodicity of  $\delta_r$  follows.  $\square$ 

# REMARKS 5.4.

- (i) If G is a knot group, then any two meridianal generators are conjugate. Consequently  $\mathcal{M}_1$  is trivial. Proposition 5.3 implies that in this case, the torsion numbers  $b_r$  and  $\widetilde{b}_r$  are equal for every r.
- (ii) It is well known that for any oriented link  $l = l_1 \cup l_2$  of two components,  $|\Delta(1)|$  is equal to the absolute value of the linking number  $Lk(l_1, l_2)$ . (See Theorem 7.3.16 of [Ka96].)

PROPOSITION 5.5. Let  $\mathcal{M}$  be a finitely generated  $\mathcal{R}_1$ -module with a square presentation matrix. Assume that  $\Delta(t) = (t-1)^q g(t)$ , with  $g(1) \neq 0$ . If p is a prime that does not divide g(1), then

$$\widetilde{\beta}_{p^k} = 0, \quad \widetilde{b}_{p^k}^{(p)} = p^{qk},$$

for every  $k \geq 1$ .

The proof of Proposition 5.5 requires:

LEMMA 5.6. Let g(t) be a polynomial with integer coefficients, and assume that p is a prime. If p does not divide g(1), then p does not divide  $Res(g, t^{p^k} - 1)$  for any positive integer k.

*Proof of Lemma 5.6.* Assume that p does not divide g(1). Recall that  $\Phi_n(t)$  denotes the n<sup>th</sup> cyclotomic polynomial. From the formula

$$\prod_{\substack{d|n\\d>1}} \Phi_d(1) = \nu_n(1) = n\,,$$

we easily derive

$$\Phi_d(1) = \begin{cases} 0 & \text{if } d = 1\\ q & \text{if } d = q^k > 1, \ q \text{ prime}\\ 1 & \text{other } d. \end{cases}$$

Consequently,  $\Phi_{p^k}$  does not divide g for any k > 0, and so  $\operatorname{Res}(g, t^{p^k} - 1) \neq 0$ . The module  $\mathcal{H} = \mathcal{R}_1/(g, t^{p^k} - 1)$  has order  $\left|\operatorname{Res}(g, t^{p^k} - 1)\right|$ , and it suffices to prove that  $\mathcal{H} \otimes_{\mathbf{Z}} \mathbf{Z}/p$  is trivial. Now,  $\mathcal{H} \otimes_{\mathbf{Z}} \mathbf{Z}/p$  is isomorphic to the quotient of the PID  $(\mathbf{Z}/p)[t, t^{-1}]$  by the ideal generated by the greatest common divisor of g and  $t^{p^k} - 1$  in this ring. But  $t^{p^k} - 1 = (t-1)^{p^k}$  in this ring, and t-1 does not divide g since p does not divide g(1). So the gcd is 1, and  $\mathcal{H} \otimes_{\mathbf{Z}} \mathbf{Z}/p$  is trivial.  $\square$ 

Proof of Proposition 5.5. Let k be any positive integer. Lemma 5.6 implies that  $\operatorname{Res}(g, t^{p^k} - 1) \neq 0$ . Hence  $\beta_{p^k}$  vanishes, and therefore  $\widetilde{\beta}_{p^k}$  is also zero. By a result analogous to Proposition 2.5 and the multiplicative property of resultants

$$\widetilde{b}_{p^k} = \left| \operatorname{Res}(\Delta, \nu_{p^k}) \right| = \left| \operatorname{Res}(t - 1, \nu_{p^k}) \right|^q \left| \operatorname{Res}(g, \nu_{p^k}) \right| = (p^k)^q \left| \operatorname{Res}(g, \nu_{p^k}) \right|.$$

By Lemma 5.6, p does not divide  $\left| \operatorname{Res}(g, t^{p^k} - 1) \right|$ . Hence p does not divide  $\operatorname{Res}(g, \nu_{p^k})$ , and so  $b_{p^k}^{(p)} = p^{kq}$ .  $\square$ 

COROLLARY 5.7. (i) Let  $M_r$  be the r-fold cyclic cover of  $S^3$  branched over a knot. If r is a prime power  $p^k$ , then the p-torsion submodule of  $H_1(M_r; \mathbf{Z})$  is trivial.

(ii) Let  $M_r$  be the r-fold cyclic cover  $S^3$  branched over a 2-component link  $l = l_1 \cup l_2$ . If r is a power of a prime that does not divide  $Lk(l_1, l_2)$ , then the p-torsion submodule of  $H_1(M_r; \mathbf{Z})$  is trivial.

*Proof.* Statement (i) was proven in [Go78]. Here it follows from Proposition 5.5 together with the well-known fact that  $|\Delta(1)| = 1$ , whenever  $\Delta$  is the Alexander polynomial of a knot. The second statement is a consequence of Proposition 5.5 and Remark 5.4 (ii).

PROPOSITION 5.8. Suppose that  $\mathcal{M}$  is a finitely generated  $\mathcal{R}_1$ -module that is isomorphic to  $\mathcal{R}_1/(\Delta)$ . If  $\Delta(t)=(t-1)^q g(t)$ , where  $g(1)\neq 0$ , then for every positive integer r, there exists a positive integer  $\delta'_r$  such that

$$\widetilde{b}_r = (\delta_r')^q \cdot |T(\mathcal{R}_1/(g,\nu_r))|.$$

Moreover,  $\delta'_{r+\gamma} = \delta'_r$ , for all r, where  $\gamma$  is the cyclotomic order of  $\Delta$ .

REMARKS 5.9.

- (i) The order  $|T(\mathcal{R}_1/(g,\nu_r))|$  can be found using Proposition 5.3 and Theorem 3.3.
- (ii) When  $\mathcal{M}$  is a direct sum of cyclic modules,  $\widetilde{b}_r$  can again be found by applying Proposition 5.5 to each summand. When  $\mathcal{M}$  is not a direct sum of cyclic modules but is torsion free as an abelian group, a result analogous to Theorem 3.6 can be found by replacing  $t^r 1$  everywhere by  $\nu_r$  in the proof. As in Section 3, the torsion numbers  $\widetilde{b}_r$  are then seen to satisfy a linear homogeneous recurrence relation.

Proof of Proposition 5.8. Consider the exact sequence

$$0 \to \ker g \to \mathcal{R}_1/((t-1)^q, \nu_r) \xrightarrow{g} \mathcal{R}_1/((t-1)^q g, \nu_r) \xrightarrow{\pi} \mathcal{R}_1/(g, \nu_r) \to 0,$$

where the first homomorphism is inclusion, the second is multiplication by g, and the third is the natural projection. The order of  $\mathcal{R}_1/((t-1)^q, \nu_r)$  is equal to  $|\operatorname{Res}((t-1)^q, \nu_r)|$ , which is equal to  $r^q$ . The kernel of g is generated by  $\nu_r/f_r$ , where  $f_r$  is the greatest common divisor of g and  $\nu_r$ . Notice that  $f_{r+\gamma}=f_r$ , for all r. Lemmas 5.2 and 3.7 complete the proof.  $\square$ 

We conclude with a generalization of Corollary 5.7 (ii).

When  $(G, \chi)$  is the augmented group corresponding to a 2-component link l, the epimorphism  $\chi$  factors through  $\eta: G \to G_{ab} \cong \mathbb{Z}^2$ . For any finite-index subgroup  $\Lambda \subset \mathbb{Z}^2$  there is a  $|\mathbb{Z}^2/\Lambda|$ -fold cover of  $S^3$  branched over l corresponding to the map  $G \to \mathbb{Z}^2 \to \mathbb{Z}^2/\Lambda$ . The cover  $M_r$  is a special case corresponding to the subgroup  $\Lambda$  generated by  $t_1 - t_2$ ,  $t_1^r$ ,  $t_2^r$ . We denote the rank of  $H_1(M_\Lambda; \mathbb{Z})$  by  $\beta_\Lambda$  and the order  $|TH_1(M_\Lambda; \mathbb{Z})|$  by  $b_\Lambda$ .

THEOREM 5.10. Let  $l = l_1 \cup l_2$  be a link in  $S^3$ . If p is a prime that does not divide  $Lk(l_1, l_2)$ , then  $\beta_{\Lambda} = 0$  and  $b_{\Lambda}^{(p)} = 1$  for any subgroup  $\Lambda \subset \mathbf{Z}^2$  of index  $p^k$ ,  $k \geq 1$ .

*Proof.* Let  $\mathcal{M}_{\eta}$  be the kernel of  $\eta$ . We consider the dual  $\mathcal{M}_{\eta}^{\wedge}$ , which is a compact abelian group with a  $\mathbf{Z}^2$ -action by automorphisms induced by conjugation in G by  $t_1$  and  $t_2$ . The automorphism induced by  $\mathbf{n} \in \mathbf{Z}^2$  is denoted by  $\sigma_{\mathbf{n}}$ ; the automorphims induced by (1,0) and (0,1) are abbreviated by  $\sigma_1$  and  $\sigma_2$ , respectively. The dual  $\mathcal{M}_{\eta}^{\wedge}$  can be identified with a subspace of  $\operatorname{Fix}_{\Lambda}(\sigma) = \{\rho \in \mathcal{M}_{\eta}^{\wedge} : \sigma_{\mathbf{n}}\rho = \rho \text{ for all } \mathbf{n} \in \Lambda\}$ . Details can be found in [SW00].

From the elementary ideals of  $\mathcal{M}_{\eta}$  a sequence of 2-variable Alexander polynomials  $\Delta_i(t_1,t_2)$  is defined; when i=0, setting  $t_1=t_2=t$  recovers  $\Delta(t)$ . By [Cr65],  $\Delta_0(t_1,t_2)$  annihilates  $\mathcal{M}_{\eta}$ . Hence  $\Delta_0(\sigma_1,\sigma_2)\rho=0$  for all  $\rho\in\mathcal{M}_{\eta}^{\wedge}$ . Consequently, if  $\sigma_{\mathbf{n}}\rho=\rho$  for all  $\mathbf{n}\in\mathbf{Z}^2$  then  $0=\Delta_0(\sigma_1,\sigma_2)\rho=\Delta_0(1,1)\rho=\Delta(1)\rho$ . Recall that  $\Delta(1)=\mathrm{Lk}(l_1,l_2)$ .

Let

$$Y = \{ \rho \colon \mathcal{M}_{\eta} \to \mathbf{Z}/p : \sigma_{\mathbf{n}}\rho = \rho \text{ for all } \mathbf{n} \in \Lambda \}.$$

We identify  $\mathbf{Z}/p$  with the group of  $p^{\text{th}}$  roots of unity, so that Y is contained in  $\mathcal{M}_{\eta}^{\wedge}$ . It is a subspace of  $\operatorname{Fix}_{\Lambda}(\sigma)$  invariant under the  $\mathbf{Z}^2$ -action, and it contains a subspace isomorphic to  $\mathcal{M}_{\eta} \otimes_{\mathbf{Z}} \mathbf{Z}/p$ . It suffices to prove that Y is trivial.

Our hypothesis that p does not divide the linking number of  $l_1$  and  $l_2$  implies that  $\Delta_0(t_1, t_2)$  is not zero. Consequently, Y is a finite p-group and so its order is a power of p. In view of the second paragraph, the hypothesis also implies that the only point fixed by the  $\mathbb{Z}^2$ -action is trivial. But

$$|Y| = \sum |\mathcal{O}_{\rho}| = \sum |\mathbf{Z}^d/\operatorname{stab}(\rho)|,$$

where the sums are taken over distinct orbits  $\mathcal{O}_{\rho}$  and stabilizers  $\operatorname{stab}(\rho)$ , respectively. Each stabilizer contains  $\Lambda$ , and so  $|\mathbf{Z}^d/\operatorname{stab}(\rho)|$  is a divisor of  $p^k$  whenever  $\rho \neq 0$ . Hence |Y| is congruent to 1 mod p. Since |Y| is a power of p, the subspace Y must be trivial.  $\square$