

**Zeitschrift:** L'Enseignement Mathématique  
**Herausgeber:** Commission Internationale de l'Enseignement Mathématique  
**Band:** 48 (2002)  
**Heft:** 3-4: L'ENSEIGNEMENT MATHÉMATIQUE

**Artikel:** ON THE CLASSIFICATION OF CERTAIN PIECEWISE LINEAR AND DIFFERENTIABLE MANIFOLDS IN DIMENSION EIGHT AND AUTOMORPHISMS OF  $S^1 \times S^5$   
**Autor:** Schmitt, Alexander  
**Kapitel:** 4.3 Manifolds with given invariants  
**DOI:** <https://doi.org/10.5169/seals-66077>

### **Nutzungsbedingungen**

Die ETH-Bibliothek ist die Anbieterin der digitalisierten Zeitschriften auf E-Periodica. Sie besitzt keine Urheberrechte an den Zeitschriften und ist nicht verantwortlich für deren Inhalte. Die Rechte liegen in der Regel bei den Herausgebern beziehungsweise den externen Rechteinhabern. Das Veröffentlichen von Bildern in Print- und Online-Publikationen sowie auf Social Media-Kanälen oder Webseiten ist nur mit vorheriger Genehmigung der Rechteinhaber erlaubt. [Mehr erfahren](#)

### **Conditions d'utilisation**

L'ETH Library est le fournisseur des revues numérisées. Elle ne détient aucun droit d'auteur sur les revues et n'est pas responsable de leur contenu. En règle générale, les droits sont détenus par les éditeurs ou les détenteurs de droits externes. La reproduction d'images dans des publications imprimées ou en ligne ainsi que sur des canaux de médias sociaux ou des sites web n'est autorisée qu'avec l'accord préalable des détenteurs des droits. [En savoir plus](#)

### **Terms of use**

The ETH Library is the provider of the digitised journals. It does not own any copyrights to the journals and is not responsible for their content. The rights usually lie with the publishers or the external rights holders. Publishing images in print and online publications, as well as on social media channels or websites, is only permitted with the prior consent of the rights holders. [Find out more](#)

**Download PDF:** 05.04.2026

**ETH-Bibliothek Zürich, E-Periodica, <https://www.e-periodica.ch>**

The Mayer-Vietoris sequence provides the isomorphisms

$$H_4(X, \mathbf{Z}) \cong H_4\left(X \setminus \bigsqcup_{i=1}^{b'} (S_i \times D^6), \mathbf{Z}\right) \cong H_4(X^*, \mathbf{Z}).$$

Set  $H := H_4\left(X \setminus \bigsqcup_{i=1}^{b'} (S_i \times D^6), \mathbf{Z}\right)$ . By Lefschetz duality ([5], (28.18)), there is for each  $q \in \mathbf{N}$  a diagram (omitting  $\mathbf{Z}$ -coefficients)

$$(4) \quad \begin{array}{ccccccc} H^{q-1}(Y) & \longrightarrow & H^{q-1}(\partial Y) & \longrightarrow & H^q(Y, \partial Y) & \longrightarrow & H^q(Y) \\ \downarrow \cong & & \downarrow \cong & & \downarrow \cong & & \downarrow \cong \\ H_{10-q}(Y, \partial Y) & \longrightarrow & H_{9-q}(\partial Y) & \longrightarrow & H_{9-q}(Y) & \longrightarrow & H_{9-q}(Y, \partial Y) \end{array}$$

where the left square commutes up to the sign  $(-1)^{q-1}$  and the other two commute. We first use it in the case  $q = 5$ . Look at the commutative diagram

$$\begin{array}{ccc} H & \xrightarrow{\cong} & H_4(X^*, \mathbf{Z}) \\ \downarrow \cong & & \downarrow \\ H_4(X, \mathbf{Z}) & \longrightarrow & H_4(Y, \mathbf{Z}), \end{array}$$

in which all arrows are injective, because  $H_5(Y, X; \mathbf{Z}) = 0 = H_5(Y, X^*; \mathbf{Z})$  (cf. [17], p.198). Using the identification  $H_4(\partial Y, \mathbf{Z}) = H \oplus H$ , we find

$$(5) \quad \text{Im}(H_5(Y, \partial Y; \mathbf{Z})) = \{ (y, -y) \in H \oplus H \}.$$

Similar considerations apply to the case  $q = 9$ . Taking into account that  $X^*$  sits in  $Y$  with the reversed orientation, (4) shows that the forms  $\gamma_X$  and  $\gamma_{X^*}$ , both defined with respect to the preferred bases, coincide. In the same manner, the pullbacks of  $p_1(Y)$  to  $H^4(X, \mathbf{Z})$  and  $H^4(X^*, \mathbf{Z})$ , respectively, agree. Since  $X$  and  $X^*$  are the boundary components of  $Y$ , these pullbacks are  $p_1(X)$  and  $p_1(X^*)$ , respectively, and we are done.  $\square$

### 4.3 MANIFOLDS WITH GIVEN INVARIANTS

One might speculate, especially in view of the classification of E-manifolds in dimension 4 and 6, that the invariants  $\delta_X$ ,  $\gamma_X$ , and  $p_1(X)$  might suffice to classify E-manifolds with  $w_2(X) = 0$  in dimension 8. However,

Lemma 3.6 shows that these invariants determine only  $W_4$  and we still have the choice of an isomorphism in gluing  $\#_{i=1}^b(S^2 \times S^5)$  to  $W_4$ , and different gluings may lead to different results. The following example, which was communicated to me by J.-C. Hausmann, illustrates this phenomenon.

EXAMPLE 4.4. One has  $\pi_5(\mathrm{SO}(3)) \cong \mathbf{Z}_2$  [32]. Therefore, there are two different  $S^2$ -bundles over  $S^6$ , call them  $X := S^6 \times S^2$  and  $X' := S^6 \tilde{\times} S^2$ . Obviously,  $X$  and  $X'$  are spin-manifolds with trivial invariants, but one computes  $\pi_5(X) \cong \mathbf{Z}_2$  and  $\pi_5(X') = \{0\}$ .

Fix  $b, b'$ , and a system  $Z$  of invariants in the image of the map  $Z^{\mathrm{PL}(C^\infty)}(b, b')$ . As we have seen,  $Z$  determines a certain manifold  $W_4$  whose boundary is diffeomorphic to  $\#_{i=1}^b(S^2 \times S^5)$  together with a basis  $\underline{b}$  for  $H_2(\partial W_4, \mathbf{Z})$ . Let  $\underline{b}_0$  be the natural basis for  $H_2(\#_{i=1}^b(S^2 \times S^5), \mathbf{Z})$ , and denote by  $\mathrm{Iso}_0^{\mathrm{PL}(C^\infty)}$  the set of piecewise linear (smooth) isomorphisms  $f: \#_{i=1}^b(S^2 \times S^5) \rightarrow \partial W_4$  with  $f_*(\underline{b}_0) = \underline{b}$ . Our results show that every based piecewise linear (smooth) manifold  $(X, \underline{x}, \underline{y})$  with system of invariants  $Z$  is piecewise linearly (smoothly) isomorphic to a manifold of the form

$$X(f) := \partial W_4 \cup_f \#_{i=1}^b(S^2 \times S^5) \quad \text{for some } f \in \mathrm{Iso}_0^{\mathrm{PL}(C^\infty)}$$

with its given bases for  $H^2(X(f), \mathbf{Z})$  and  $H^4(X(f), \mathbf{Z})$ . Conversely, every manifold of the form  $X(f)$  is a piecewise linear (smooth) based E-manifold with invariants  $Z$ .

Now, suppose we are given  $f, f' \in \mathrm{Iso}_0^{\mathrm{PL}(C^\infty)}$ , such that  $X(f)$  and  $X(f')$  are isomorphic as piecewise linear (smooth) based manifolds. We claim that we can find an isomorphism  $\varphi: X(f) \rightarrow X(f')$  with  $\varphi(W_4) = W_4$ . For this, look at the handle decomposition  $W_0 \subset W_2 \subset W_4$ . Since  $W_0$  is just an embedded 8-disc in  $X(f)$  and  $X(f')$ , respectively, we can choose  $\varphi$  with  $\varphi(W_0) = W_0$ . Let  $l \subset \partial W_0$  be the framed link for attaching the 2-handles. Then  $\varphi(l)$  and  $l$  are isotopic. Therefore, we can find a level preserving diffeomorphism  $\tilde{\psi}: [-1, 1] \times \partial W_0 \rightarrow [-1, 1] \times \partial W_0$  with  $\tilde{\psi}|_{\{\pm 1\} \times \partial W_0} = \mathrm{id}_{\partial W_0}$  and  $\tilde{\psi}|_{\{0\} \times \partial W_0}(\varphi(l)) = l$ . If we choose a tubular neighborhood ( $\cong [-1, 1] \times \partial W_0$ ) of  $\partial W_0$  in  $X(f')$ , we can use  $\tilde{\psi}$  to define an automorphism  $\psi: X(f') \rightarrow X(f')$  with  $\psi(\varphi(l)) = l$ . Thus,  $\psi \circ \varphi$  maps  $W_2$  onto  $W_2$ . A similar argument shows that we can achieve  $\varphi(W_4) = W_4$ .

Let  $\text{Aut}_0^{\text{PL}(C^\infty)}(\#_{i=1}^b(S^2 \times D^6))$  be the group of piecewise linear (smooth) automorphisms  $g$  of  $\#_{i=1}^b(S^2 \times D^6)$  with  $H^2(g, \mathbf{Z}) = \text{id}$  and similarly define  $\text{Aut}_0^{\text{PL}(C^\infty)}(W_4)$ . Then we have just established

PROPOSITION 4.5. *The set of isomorphism classes of based piecewise linear (smooth) E-manifolds with invariants  $Z$  is in bijection to the set of equivalence classes in  $\text{Iso}_0^{\text{PL}(C^\infty)}$  with respect to the equivalence relation coming from the group action*

$$\text{Aut}_0^{\text{PL}(C^\infty)}(W_4) \times \text{Aut}_0^{\text{PL}(C^\infty)}(\#_{i=1}^b(S^2 \times D^6)) \times \text{Iso}_0^{\text{PL}(C^\infty)} \longrightarrow \text{Iso}_0^{\text{PL}(C^\infty)}$$

$$(h, g, f) \longmapsto h|_{\partial W_4} \circ f \circ g|_{\#_{i=1}^b(S^2 \times S^5)}^{-1}.$$

We shall see in Lemma 5.1 that  $\text{Aut}_0^{\text{PL}}(\#_{i=1}^b(S^2 \times D^6))$  contains the commutator subgroup of  $\text{Aut}_0^{\text{PL}}(\#_{i=1}^b(S^2 \times S^5))$ .

COROLLARY 4.6. *The set of isomorphism classes of based piecewise linear E-manifolds with  $b_2 = b$  and  $b_4 = 0$  is in bijection to the abelian group*

$$\text{Aut}_0^{\text{PL}}(\#_{i=1}^b(S^2 \times S^5)) / \text{Aut}_0^{\text{PL}}(\#_{i=1}^b(S^2 \times D^6)).$$

I have been informed by experts that the structure of the groups  $\text{Aut}_0^{\text{PL}(C^\infty)}(\#_{i=1}^b(S^2 \times S^5))$  and  $\text{Aut}_0^{\text{PL}(C^\infty)}(\#_{i=1}^b(S^2 \times D^6))$  has not yet been determined and that this would be a rather difficult task. Therefore, we choose the viewpoint of framed links in order to finish our considerations. In Theorem 5.2, we will then use this viewpoint to compute the group  $\text{Aut}_0^{\text{PL}}(\#_{i=1}^b(S^2 \times S^5)) / \text{Aut}_0^{\text{PL}}(\#_{i=1}^b(S^2 \times D^6))$ .

As above, let  $(X, \underline{x}, \underline{y})$  be a based piecewise linear (smooth) E-manifold with zero second Stiefel-Whitney class and system of invariants  $Z_{(X, \underline{x}, \underline{y})} = (\delta, \gamma, p)$ . We have seen that we can find a framed link  $l_X$  of 2-spheres in  $X$  which represents the basis  $\underline{x}$  and perform surgery along this link in order to get a 3-connected piecewise linear (smooth) based manifold  $(X^*, \underline{y})$  together with a framed link  $l_{X^*}^*$  of 5-spheres in it. If  $(X', \underline{x}', \underline{y}', l_{X'})$  is another such object where  $(X', \underline{x}', \underline{y}')$  is isomorphic to  $(X, \underline{x}, \underline{y})$ , then clearly we can find an isomorphism  $\varphi: (X, \underline{x}, \underline{y}) \longrightarrow (X', \underline{x}', \underline{y}')$  with  $\varphi(l_X) = l_{X'}$ . Such an isomorphism  $\varphi$  yields, after surgery, an isomorphism  $\varphi^*: (X^*, \underline{y}) \longrightarrow (X'^*, \underline{y}')$  with  $\varphi^*(l_{X^*}^*) = l_{X'^*}^*$ . In particular, the manifold  $(X^*, \underline{y})$  is determined up to piecewise linear (smooth) isomorphy. We call it the *type of  $(X, \underline{x}, \underline{y})$* . Note that this notion matters only in the smooth case, by Theorem 2.2.

To summarize, we have

PROPOSITION 4.7. *The set of isomorphism classes of based piecewise linear (smooth) E-manifolds of type  $(X^*, \underline{y})$  is in bijection to the set of equivalence classes of framed links of 5-spheres in  $X^*$  where two such links  $l$  and  $l'$  are considered equivalent, if there is a piecewise linear (smooth) automorphism  $\varphi^* : (X^*, \underline{y}) \rightarrow (X^*, \underline{y})$  with  $\varphi^*(l) = l'$ .*

EXAMPLE 4.8. The group  $\mathbf{Z}_2^{\oplus b}$  acts freely on the set of isotopy classes of framed links of  $b$  spheres of dimension 5 in  $X^*$  by altering the framings of the components. Note that the two possible framings of the trivial bundle on a 5-sphere are distinguished by the fact that one extends over  $D^6$  and the other does not. This property is preserved under piecewise linear homeomorphisms, so that we conclude that  $\mathbf{Z}_2^{\oplus b}$  acts also freely on the set of equivalence classes of framed links of  $b$  spheres of dimension 5 in  $X^*$ .

Note that this completes the classification of Spin-E-manifolds of dimension eight with second Betti number one.

Let us look at manifolds of type  $S^8$ . We claim that two framed links  $l$  and  $l'$  of 5-spheres are equivalent in the above sense, if and only if they are isotopic. Clearly, after replacing  $l$  and  $l'$  by isotopic links, we may assume that both of them are contained in the Southern hemisphere and that  $\varphi^*$  is the identity on the Northern hemisphere. Now, choose a representative  $\varphi^\dagger$  for the isotopy class of  $\varphi^{*-1}$  which is the identity on the Southern hemisphere. Then  $\varphi^\dagger \circ \varphi^*$  is isotopic to the identity and carries  $l$  into  $l'$ .

For differentiable manifolds, the operation  $X \mapsto X\#\Sigma$ ,  $\Sigma$  an exotic 8-sphere, establishes a bijection between the set of isomorphism classes of based smooth E-manifolds of type  $S^8$  and the set of isomorphism classes of based smooth E-manifolds of type  $\Sigma$ . We conclude

COROLLARY 4.9. i) *The set of isomorphism classes of based piecewise linear E-manifolds with  $b_2 = b$  and  $b_4 = 0$  is in bijection to the group  $\text{FL}_b = \text{L}_b \oplus \bigoplus_{i=1}^b \mathbf{Z}_2$ .*

ii) *The set of isomorphism classes of based smooth E-manifolds with  $b_2 = b$  and  $b_4 = 0$  is in bijection to the group  $\mathcal{V}^8 \oplus \text{FL}_b$ .*

Finally, we have to deal with those manifolds for which the cup form  $\delta$  is trivial. Our investigations in Sections 3.6 and 4.2 show that the framed link of 3-spheres in  $\partial W_2$  can be chosen to be contained in a small disc.

In other words, a manifold  $X$  with  $\delta_X \equiv 0$  is piecewise linear (smoothly) isomorphic  $X^\dagger \# X^*$  where  $X^*$  is the type of  $X$  and  $b_4(X^\dagger) = 0$ . As our surgery arguments above reveal, an isomorphism between  $X^\dagger \# X^*$  and  $X'^\dagger \# X'^*$  can be chosen of the form  $\varphi^\dagger \# \varphi^*$  where  $\varphi^\dagger: X^\dagger \rightarrow X'^\dagger$  and  $\varphi^*: X^* \rightarrow X'^*$  are isomorphisms. Therefore, the set of isomorphy classes of based piecewise linear E-manifolds of type  $X^*$  with  $b_2 = b$  is in bijection to the set of isomorphy classes of based piecewise linear E-manifolds with  $b_2 = b$  and  $b_4 = 0$ . The same goes for differentiable manifolds of type  $X^*$ , if  $X^*$  is not diffeomorphic to  $X^* \# \Sigma$ ,  $\Sigma$  an exotic 8-sphere. Otherwise, we have to divide by the action of  $\vartheta^8$ . This observation together with Corollary 4.9 settles Theorem 2.4.  $\square$

5. STRUCTURE OF THE GROUP  $\text{Aut}_0^{\text{PL}}(\#_{i=1}^b(S^2 \times S^5)) / \text{Aut}_0^{\text{PL}}(\#_{i=1}^b(S^2 \times D^6))$

In this section we prove that  $\text{Aut}_0^{\text{PL}}(\#_{i=1}^b(S^2 \times S^5)) / \text{Aut}_0^{\text{PL}}(\#_{i=1}^b(S^2 \times D^6))$  is an abelian group which is, moreover, isomorphic to the group  $\text{FL}_b$  defined before. This result should be of some independent interest, especially because the group  $\text{FL}_b$  is quite well understood by Haefliger's work. For  $b = 1$ , we refer to [20] for more specific information.

We begin with the elementary

LEMMA 5.1. *Let  $k \in \text{Aut}_0^{\text{PL}}(\#_{i=1}^b(S^2 \times S^5))$  be a commutator. Then  $k$  extends to an automorphism of  $\#_{i=1}^b(S^2 \times D^6)$ .*

*Proof.* For the proof, we depict  $\#_{i=1}^b(S^2 \times S^5)$  as follows: Let  $V_i$ ,  $i = 1, \dots, b$ , be  $b$  copies of  $S^2 \times D^6$ , and we join  $V_i$  and  $V_{i+1}$  by a tube  $T_i \cong [-1, 1] \times D^7$ ,  $i = 1, \dots, b-1$ . The result is a manifold  $W$  whose boundary is isomorphic to  $\#_{i=1}^b(S^2 \times S^5)$ . We make the following normalizations: Write  $\partial V_i$  as  $(S^2 \times D_+^i) \cup (S^2 \times D_-^i)$ , let  $n_i$  and  $s_i$  be the centers of  $D_+^i$  and  $D_-^i$ , respectively, and set  $S_+^i := S^2 \times n_i$  and  $S_-^i := S^2 \times s_i$ ,  $i = 1, \dots, b$ . Choose furthermore points  $e_i \neq w_i$  in  $(S^2 \times D_+^i) \cap (S^2 \times D_-^i)$ ,  $i = 1, \dots, b$ , and suppose that  $\{-1\} \times D^7 \subset T_i$  is attached to a disc around  $w_i$  in  $\partial V_i$  and  $\{1\} \times D^7 \subset T_i$  to a disc around  $e_{i+1}$  in  $\partial V_{i+1}$ ,  $i = 1, \dots, b-1$ . Set  $T := \bigsqcup_{i=1}^{b-1} T_i$ .

Now, let  $k = f \circ g \circ f^{-1} \circ g^{-1}$  with  $f, g \in \text{Aut}_0^{\text{PL}}(\#_{i=1}^b(S^2 \times S^5))$ . As  $H_2(h, \mathbf{Z})$  is the identity for every element  $h \in \text{Aut}_0^{\text{PL}}(\#_{i=1}^b(S^2 \times S^5))$  and  $S_\pm^i$ ,  $i = 1, \dots, b$ , both represent the same basis for  $H_2(\partial W, \mathbf{Z})$ ,  $h$  is isotopic to a map  $h'$  which satisfies either assumption (A) or (B) below.