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<b>Autor:</b>	BOCHNAK, J. / Kucharz, W.
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classes in codimension 2 by Zariski closed subsets. More precisely, we have the following result.

**THEOREM 1.6.** *Let  $M$  be a compact orientable smooth manifold of dimension at least 5 and let  $G$  be a subgroup of  $H^2(M; \mathbf{Z}/2)$ . Then the following conditions are equivalent:*

- (a) *There exist a nonsingular real algebraic variety  $X$  and a diffeomorphism  $\varphi: X \rightarrow M$  such that  $\varphi^*(G) = H_{\text{alg}}^2(X; \mathbf{Z}/2)$ .*
- (b)  *$w_2(M) \in G \subseteq W^2(M)$ , where  $w_2(M)$  is the second Stiefel-Whitney class of  $M$ .*

*Proof.* See [13].

Another application concerns the problem of approximation of smooth curves (that is, one-dimensional smooth submanifolds) by algebraic curves. First recall that a compact smooth submanifold  $N$  of a nonsingular real algebraic variety  $X$  is said to *admit an algebraic approximation* in  $X$  if for each neighborhood  $\mathcal{U}$  of the inclusion map  $N \hookrightarrow X$  (in the  $\mathcal{C}^\infty$  topology on the set  $\mathcal{C}^\infty(N, X)$  of smooth maps from  $N$  into  $X$ ), there exists a smooth embedding  $e: N \rightarrow X$  such that  $e$  is in  $\mathcal{U}$  and  $e(N)$  is a nonsingular Zariski closed subset of  $X$ .

**THEOREM 1.7.** *Let  $X$  be a compact nonsingular real algebraic variety of dimension 3 and let  $C$  be a compact smooth curve in  $X$ . Then  $C$  admits an algebraic approximation in  $X$  if and only if the  $\mathbf{Z}/2$ -homology class represented by  $C$  is in  $H_1^{\text{alg}}(X; \mathbf{Z}/2)$ .*

The proof of Theorem 1.7 will be given elsewhere. Under the extra assumption that  $C$  is connected and homologous to the union of finitely many nonsingular real algebraic curves in  $X$  the theorem is proved in [4].

## 2. PROOF OF THE GROTHENDIECK FORMULA

We shall use homology and cohomology groups with coefficients exclusively in  $\mathbf{Z}/2$  and therefore we shall suppress the coefficient group in our notation.

For any continuous map  $f: (X, A) \rightarrow (Y, B)$  between pairs of topological spaces, we let

$$f_*: H_k(X, A) \rightarrow H_k(Y, B), \quad f^*: H^k(Y, B) \rightarrow H^k(X, A)$$

denote the induced homomorphisms.

For the convenience of the reader we shall now review some facts from topology. Let  $B$  be a paracompact topological space and let  $\xi = (E, \pi, B)$  be a real vector bundle of rank  $k$  on  $B$ . Let  $s_0: B \rightarrow E$  be the zero section of  $\xi$ , that is,  $s_0(x) = 0_x$  for all  $x$  in  $B$ , where  $0_x$  is the zero vector in the fiber  $E_x = \pi^{-1}(x)$ . We set  $0_E = s_0(B)$ . Recall that the Thom class  $\tau_\xi$  of  $\xi$  is a unique element of  $H^k(E, E \setminus 0_E)$  such that for every point  $x$  in  $B$ , the homomorphism

$$H^k(E, E \setminus 0_E) \rightarrow H^k(E_x, E_x \setminus \{0_x\}) \cong \mathbf{Z}/2,$$

induced by the inclusion map  $(E_x, E_x \setminus \{0_x\}) \hookrightarrow (E, E \setminus 0_E)$ , sends  $\tau_\xi$  to the generator of  $\mathbf{Z}/2$  [24, Theorem 8.1] (the name “Thom class” is not used in [24]). For every nonnegative integer  $q$ , we have the Thom isomorphism

$$\begin{aligned} \varphi_q: H^q(B) &\rightarrow H^{k+q}(E, E \setminus 0_E) \\ \varphi_q(v) &= \pi^*(v) \cup \tau_\xi \quad \text{for all } v \text{ in } H^q(B) \end{aligned}$$

[24, Definition 8.2].

If  $s: B \rightarrow E$  is any continuous section of  $\xi$  and  $\bar{s}: (B, B \setminus s^{-1}(0_E)) \rightarrow (E, E \setminus 0_E)$  is the map defined by  $s$ , then

$$(2.1) \quad w_k(\xi) = i^*(\bar{s}^*(\tau_\xi)),$$

where  $i: B = (B, \emptyset) \hookrightarrow (B, B \setminus s^{-1}(0_E))$  is the inclusion map. Indeed, let  $j: E \hookrightarrow (E, E \setminus 0_E)$  be the inclusion map. Note that  $H: E \times [0, 1] \rightarrow (E, E \setminus 0_E)$ , defined by  $H(e, t) = (1-t)j(e) + t(\bar{s} \circ i \circ \pi)(e)$  for all  $(e, t)$  in  $E \times [0, 1]$ , is a homotopy between  $j$  and  $\bar{s} \circ i \circ \pi$ . In particular,  $j^* = (\bar{s} \circ i \circ \pi)^* = \pi^* \circ i^* \circ \bar{s}^*$ , and hence

$$\pi^*(i^*(\bar{s}^*(\tau_\xi))) \cup \tau_\xi = j^*(\tau_\xi) \cup \tau_\xi = \tau_\xi \cup \tau_\xi,$$

where the last equality is the standard property of the cup product [26, p. 251, property 8]. Thus  $\varphi_k(i^*(\bar{s}^*(\tau_\xi))) = \tau_\xi \cup \tau_\xi$ . Now, (2.1) follows since  $w_k(\xi) = \varphi_k^{-1}(\tau_\xi \cup \tau_\xi)$  [24, p. 91].

Let  $M$  be a smooth  $m$ -dimensional manifold and let  $N$  be a smooth  $n$ -dimensional submanifold of  $M$ . Assume that  $N$  is a closed subset of  $M$ . A tubular neighborhood of  $N$  in  $M$  is a smooth real vector bundle  $\xi = (E, \pi, N)$  on  $N$  such that  $E$  is an open neighborhood of  $N$  in  $M$  and  $0_E = N$  [20]. By

the excision property, the inclusion map  $e: (E, E \setminus N) \hookrightarrow (M, M \setminus N)$  induces an isomorphism

$$e^*: H^k(M, M \setminus N) \rightarrow H^k(E, E \setminus N),$$

where  $k = m - n$ . The Thom class  $\tau_N^M$  of  $N$  in  $M$  is a unique element of  $H^k(M, M \setminus N)$  such that  $e^*(\tau_N^M) = \tau_\xi$ . The Thom isomorphism yields

$$H^k(M, M \setminus N) \cong H^0(N).$$

Hence

$$(2.2) \quad \tau_N^M \text{ generates } H^k(M, M \setminus N) \cong \mathbf{Z}/2,$$

provided  $N$  is connected. Assuming that  $N$  has exactly  $r$  connected components  $N_1, \dots, N_r$ , the inclusion maps  $e_i: (M, M \setminus N) \hookrightarrow (M, M \setminus N_i)$  give rise to an isomorphism

$$\begin{aligned} t: \bigoplus_{i=1}^r H^k(M, M \setminus N_i) &\rightarrow H^k(M, M \setminus N) \\ t(u_1, \dots, u_r) &= e_1^*(u_1) + \dots + e_r^*(u_r) \end{aligned}$$

satisfying

$$(2.3) \quad t(\tau_{N_1}^M, \dots, \tau_{N_r}^M) = \tau_N^M.$$

If  $f: M \rightarrow P$  is a smooth map between smooth manifolds, transverse to a smooth submanifold  $Q$  of  $P$  ( $Q$  a closed subset of  $P$ ) and with  $N = f^{-1}(Q)$ , then

$$(2.4) \quad \bar{f}^*(\tau_Q^P) = \tau_N^M,$$

where  $\bar{f}: (M, M \setminus N) \rightarrow (P, P \setminus Q)$  is the map defined by  $f$ . Indeed, after a homotopy,  $f$  looks like a vector bundle map between tubular neighborhoods of  $N$  and  $Q$  [20, p. 117, Theorem 6.7], and hence (2.4) follows from the definition of the Thom class.

Let  $\Delta$  be the diagonal of  $M \times M$ ,

$$\Delta = \{(x, y) \in M \times M \mid x = y\},$$

and let  $\tau$  in  $H^m(M \times M, (M \times M) \setminus \Delta)$  be the Thom class of  $\Delta$  in  $M \times M$ . For every point  $x$  in  $M$ , the image of  $\tau$  under the homomorphism

$$H^m(M \times M, (M \times M) \setminus \Delta) \rightarrow H^m(M, M \setminus \{x\}) \cong \mathbf{Z}/2$$

induced by the map  $(M, M \setminus \{x\}) \rightarrow (M \times M, (M \times M) \setminus \Delta)$ ,  $y \rightarrow (x, y)$ , generates  $\mathbf{Z}/2$  [24, Lemma 11.7]. Thus  $\tau$  is the orientation class of  $M$  over  $\mathbf{Z}/2$  in

the terminology used in [26, p. 294]. For any pair  $(A, B)$  of subsets of  $M$ ,  $B \subseteq A$ , and any integer  $q$  satisfying  $0 \leq q \leq m$ , let

$$\gamma_{A,B}: H_q(A, B) \rightarrow H^{m-q}(M \setminus B, M \setminus A)$$

be the homomorphism defined by

$$\gamma_{A,B}(a) = a \setminus j_{A,B}^*(\tau),$$

where  $\setminus$  is the slant product and

$$j_{A,B}: (A \times (M \setminus B), (A \times (M \setminus A)) \cup (B \times (M \setminus B))) \hookrightarrow (M \times M, (M \times M) \setminus \Delta)$$

is the inclusion map, cf. [26, p. 351]. If  $B$  is empty, we shall write  $\gamma_A$  instead of  $\gamma_{A,\emptyset}$ . The following naturality property is satisfied: if  $(A', B')$  is another pair of subsets of  $M$ ,  $B' \subseteq A'$ , and  $A \subseteq A'$ ,  $B \subseteq B'$ , then the diagram

$$(2.5) \quad \begin{array}{ccc} H_q(A, B) & \xrightarrow{\gamma_{A,B}} & H^{m-q}(M \setminus B, M \setminus A) \\ \downarrow & & \downarrow \\ H_q(A', B') & \xrightarrow{\gamma_{A',B'}} & H^{m-q}(M \setminus B', M \setminus A'), \end{array}$$

where the vertical homomorphisms are induced by the appropriate inclusion maps, is commutative [26, pp. 287, 289, 351]. Furthermore, if  $M$  is compact, then

$$(2.6) \quad \gamma_M = D_M^{-1},$$

that is,

$$\gamma_M: H_q(M) \rightarrow H^{m-q}(M)$$

is the inverse of the Poincaré duality isomorphism

$$D_M: H^{m-q}(M) \rightarrow H_q(M), \quad D_M(u) = u \cap [M].$$

This follows from [26, p. 305, Theorem 12] and the fact that, in the notation of [26, p. 353, Lemma 15],  $\theta$  is the identity map, provided  $X = Y$ ,  $G = \mathbf{Z}/2$ .

We shall also make use of the following result.

**PROPOSITION 2.7.** *If  $M$  is compact and  $(A, B)$  is a compact polyhedral pair in  $M$ , then*

$$\gamma_{A,B}: H_q(A, B) \rightarrow H^{m-q}(M \setminus B, M \setminus A)$$

*is an isomorphism.*

*Proof.* We have the following diagram:

$$\begin{array}{ccc}
 H_q(B) & \xrightarrow{\gamma_B} & H^{m-q}(M, M \setminus B) \\
 \downarrow & & \downarrow \\
 H_q(A) & \xrightarrow{\gamma_A} & H^{m-q}(M, M \setminus A) \\
 \downarrow & & \downarrow \\
 H_q(A, B) & \xrightarrow{\gamma_{A,B}} & H^{m-q}(M \setminus B, M \setminus A) \\
 \downarrow & & \downarrow \\
 H_{q-1}(B) & \xrightarrow{\gamma_B} & H^{m-q+1}(M, M \setminus B) \\
 \downarrow & & \downarrow \\
 H_{q-1}(A) & \xrightarrow{\gamma_A} & H^{m-q+1}(M, M \setminus A),
 \end{array}$$

where the columns are parts of the long exact sequences for the pair  $(A, B)$  and the triple  $(M, M \setminus B, M \setminus A)$ . By (2.5) and [26, p. 287, property 3, and p. 351], the diagram is commutative. It is proved in [26, p. 351, Lemma 14] that  $\gamma_A$  and  $\gamma_B$  are isomorphisms for  $q$  and  $q-1$ . In view of the five lemma,  $\gamma_{A,B}$  is also an isomorphism.  $\square$

After this preparation, we are ready to prove an auxiliary result relating homology and cohomology of real algebraic varieties. Let  $X$  be a compact  $n$ -dimensional nonsingular real algebraic variety and let  $V$  be a  $d$ -dimensional Zariski closed subset of  $X$ . By Theorem 1.1,  $V$  is a compact polyhedron and hence

$$\gamma_V: H_d(V) \rightarrow H^c(X, X \setminus V),$$

where  $c = n - d$ , is an isomorphism in view of Proposition 2.7. For our purposes it is important to give a characterization of  $\gamma_V([V])$ . Set  $S = \text{Sing}(V)$  and let

$$i: (X \setminus S, (X \setminus S) \setminus (V \setminus S)) \hookrightarrow (X, X \setminus V), \quad j: X \hookrightarrow (X, X \setminus V)$$

be the inclusion maps (of course,  $X \setminus V = (X \setminus S) \setminus (V \setminus S)$ ). Since  $V \setminus S$  is a  $d$ -dimensional nonsingular Zariski closed subset of  $X \setminus S$ , the Thom class  $\tau_{V \setminus S}^{X \setminus S}$  in  $H^c(X \setminus S, (X \setminus S) \setminus (V \setminus S))$  is defined.

PROPOSITION 2.8. *There exists a unique element  $\tau_V^X$  in  $H^c(X, X \setminus V)$  such that*

$$i^*(\tau_V^X) = \tau_{V \setminus S}^{X \setminus S}.$$

Furthermore,

$$\tau_V^X = \gamma_V([V]) \quad \text{and} \quad D_X(j^*(\tau_V^X)) = [V]_X.$$

*Proof.* We shall first prove  $i^*(\gamma_V([V])) = \tau_{V \setminus S}^{X \setminus S}$ . The smooth manifold  $V \setminus S$  is a semialgebraic set and therefore has finitely many connected components, say  $N_1, \dots, N_r$  [11, p. 35]. If  $V_i$  is the closure of  $N_i$  in  $V$  and  $S_i = V_i \cap S$ , then  $N_i = V_i \setminus S_i$ . Note that  $V_i$  and  $S_i$  are compact semialgebraic subsets of  $V$  [8, p. 61 or 11, p. 27]. By (2.5), we have the following commutative diagram:

$$\begin{array}{ccccc} H_d(V) & \xrightarrow{\varphi} & H_d(V, S) & \xleftarrow{\alpha} & \bigoplus_{i=1}^r H_d(V_i, S_i) \\ \gamma_V \downarrow & & \gamma_{V, S} \downarrow & & \bigoplus_{i=1}^r \gamma_{V_i, S_i} \downarrow \\ H^c(X, X \setminus V) & \xrightarrow{i^*} & H^c(X \setminus S, (X \setminus S) \setminus (V \setminus S)) & \xleftarrow{\beta} & \bigoplus_{i=1}^r H^c(X \setminus S_i, (X \setminus S_i) \setminus N_i), \end{array}$$

where  $\varphi$  is induced by the appropriate inclusion map, whereas

$$\begin{aligned} \alpha(a_1, \dots, a_r) &= \alpha_1(a_1) + \dots + \alpha_r(a_r), \\ \beta(u_1, \dots, u_r) &= \beta_1(u_1) + \dots + \beta_r(u_r), \end{aligned}$$

with

$$\begin{aligned} \alpha_i &: H_d(V_i, S_i) \rightarrow H_d(V, S) \\ \beta_i &: H^c(X \setminus S_i, (X \setminus S_i) \setminus N_i) \rightarrow H^c(X \setminus S, (X \setminus S) \setminus (V \setminus S)) \end{aligned}$$

induced by the inclusion maps.

Since  $N_1, \dots, N_r$  are the connected components of the smooth manifold  $V \setminus S$ , we have another commutative diagram:

$$\begin{array}{ccc} H^c(X \setminus S, (X \setminus S) \setminus (V \setminus S)) & \xleftarrow{\beta} & \bigoplus_{i=1}^r H^c(X \setminus S_i, (X \setminus S_i) \setminus N_i) \\ t \uparrow & & \bigoplus_{i=1}^r \psi_i \downarrow \\ \bigoplus_{i=1}^r H^c(X \setminus S, (X \setminus S) \setminus N_i) & \xleftarrow{\text{id}} & \bigoplus_{i=1}^r H^c(X \setminus S, (X \setminus S) \setminus N_i), \end{array}$$

where

$$\psi_i: H^c(X \setminus S_i, (X \setminus S_i) \setminus N_i) \rightarrow H^c(X \setminus S, (X \setminus S) \setminus N_i)$$

is the homomorphism induced by the appropriate inclusion map and  $t$  is the isomorphism of (2.3). It follows from the definition of the Thom class that

$$(a) \quad \psi_i(\tau_{N_i}^{X \setminus S_i}) = \tau_{N_i}^{X \setminus S}.$$

Hence, in view of (2.2),  $\psi_i$  is an isomorphism of cyclic groups isomorphic to  $\mathbf{Z}/2$ . Applying (2.3) and (a), we get

$$(b) \quad \beta(\tau_{N_1}^{X \setminus S_1}, \dots, \tau_{N_r}^{X \setminus S_r}) = \tau_{V \setminus S}^{X \setminus S}.$$

Since, by Proposition 2.7,  $\gamma_{V_i, S_i}$  is an isomorphism, the group  $H_d(V_i, S_i)$  is isomorphic to  $\mathbf{Z}/2$ ; let  $a_i$  be its unique generator. Now, (a) and (b) imply

$$\gamma_{V, S}(\alpha(a_1, \dots, a_r)) = \tau_{V \setminus S}^{X \setminus S}.$$

Thus in order to verify  $i^*(\gamma_V([V])) = \tau_{V \setminus S}^{X \setminus S}$  it suffices to prove

$$(c) \quad \alpha(a_1, \dots, a_r) = \varphi([V]),$$

which can be done as follows.

Let  $\Phi: |K| \rightarrow V$  be a semialgebraic triangulation of  $V$  compatible with  $\{V_1, \dots, V_r, S_1, \dots, S_r\}$  (Theorem 1.1). Denote by  $c_i$  the chain which is the sum of all  $d$ -simplices of  $K$  whose images under  $\Phi$  are contained in  $V_i$ . Since  $N_i = V_i \setminus S_i$  is a smooth  $d$ -dimensional manifold, it follows that every open  $(d-1)$ -simplex  $\sigma$  of  $K$  with  $\Phi(\sigma)$  contained in  $N_i$  is a face of exactly two  $d$ -simplices of  $K$ . Thus  $c_i$  represents a nonzero homology class in  $H_d(V_i, S_i) \cong \mathbf{Z}/2$ ; in other words,  $c_i$  represents  $a_i$ . On the other hand,  $c_1 + \dots + c_r$  is the sum of all  $d$ -simplices of  $K$  and therefore it is a cycle representing the fundamental class  $[V]$  in  $H_d(V)$ . Hence (c) follows and  $i^*(\gamma_V([V])) = \tau_{V \setminus S}^{X \setminus S}$  is proved.

Let us observe that  $i^*$  is injective. Indeed, there is an exact sequence

$$\dots \rightarrow H^c(X, X \setminus S) \rightarrow H^c(X, X \setminus V) \rightarrow H^c(X \setminus S, X \setminus V) \rightarrow \dots$$

corresponding to the triple  $(X, X \setminus S, X \setminus V)$ . By Proposition 2.7,  $\gamma_S: H_d(S) \rightarrow H^c(X, X \setminus S)$  is an isomorphism. Since  $\dim S < d$ , we obtain  $H_d(S) = 0$ , which implies  $H^c(X, X \setminus S) = 0$ . Hence  $i^*$  is injective as asserted.

Thus  $\tau_V^X = \gamma_V([V])$  is a unique element of  $H^c(X, X \setminus V)$  satisfying  $i^*(\tau_V^X) = \tau_{V \setminus S}^{X \setminus S}$ .

It remains to prove  $D_X(j^*(\tau_V^X)) = [V]_X$ . By (2.5), we have the following commutative diagram:

$$\begin{array}{ccc} H_d(V) & \xrightarrow{e_*} & H_d(X) \\ \gamma_V \downarrow & & \gamma_X \downarrow \\ H^c(X, X \setminus V) & \xrightarrow{j^*} & H^c(X), \end{array}$$

where  $e: V \hookrightarrow X$  is the inclusion map. In view of (2.6),  $\gamma_X$  is the inverse of  $D_X$  and we obtain  $D_X(j^*(\tau_V^X)) = e_*([V]) = [V]_X$ . Thus the proof is complete.  $\square$

We shall now recall a purely algebraic result. Definitions of algebraic terms not explained here can all be found in [23]. Given a ring  $R$  (commutative with identity), we let  $K_0(R)$  denote the Grothendieck group of finitely generated projective  $R$ -modules. If  $S$  is a multiplicatively closed subset of  $R$  and  $S^{-1}R$  denotes the ring of fractions of  $R$  with denominators in  $S$ , then the canonical ring homomorphism  $j_S: R \rightarrow S^{-1}R$ ,  $j_S(r) = r/1$ , induces a group homomorphism  $K_0(R) \rightarrow K_0(S^{-1}R)$ . Assuming that  $R$  is a regular ring of finite Krull dimension, every finitely generated  $R$ -module has a finite projective resolution [23, p. 208]. The last fact allows one to apply [6, p. 453, Proposition 2.1, p. 492, Proposition 6.1], which yields the result we require: the homomorphism  $K_0(R) \rightarrow K_0(S^{-1}R)$  is surjective, provided that  $R$  is a regular ring of finite Krull dimension (this also easily follows from [23, p. 210, Exercise 4]).

To make use of this result we need some algebraic properties of the ring  $\mathcal{R}(X)$  of regular functions on a real algebraic variety  $X$ . Suppose that  $X$  is a Zariski locally closed subset of  $\mathbf{R}^n$  and let  $\mathcal{P}(X)$  be the ring of polynomial functions from  $X$  into  $\mathbf{R}$  ( $f: X \rightarrow \mathbf{R}$  is a polynomial function if for some polynomial  $P$  in  $\mathbf{R}[T_1, \dots, T_n]$ , one has  $f(x) = P(x)$  for all  $x$  in  $X$ ). Clearly,  $\mathcal{P}(X)$  is a finitely generated  $\mathbf{R}$ -algebra and thus a Noetherian ring [23, p. 11]. Furthermore, the Krull dimension of  $\mathcal{P}(X)$  is equal to  $\dim X$  [11, p. 50]. Recall that  $\mathcal{R}(X)$  consists of all functions of the form  $f/g$ , where  $f, g$  are in  $\mathcal{P}(X)$  and  $g^{-1}(0) = \emptyset$ . In other words,  $\mathcal{R}(X)$  is the ring of fractions of  $\mathcal{P}(X)$  with denominators in the set  $\{g \in \mathcal{P}(X) \mid g^{-1}(0) = \emptyset\}$ . It follows that  $\mathcal{R}(X)$  is a Noetherian ring of Krull dimension  $\dim X$  [23, p. 81]. Obviously, for every point  $x$  in  $X$ ,

$$m_x = \{f \in \mathcal{R}(X) \mid f(x) = 0\}$$

is a maximal ideal of  $\mathcal{R}(X)$  and each maximal ideal of  $\mathcal{R}(X)$  is equal to  $m_x$  for some  $x$ . The localization  $\mathcal{R}(X)_x$  of  $\mathcal{R}(X)$  with respect to  $m_x$  is a Noetherian local ring of Krull dimension not exceeding  $\dim X$  [23, p. 81]. A point  $x$  in  $X$  is nonsingular if and only if the local ring  $\mathcal{R}(X)_x$  is regular of Krull dimension  $\dim X$  [11, p. 67]. In particular, the ring  $\mathcal{R}(X)$  is regular of finite Krull dimension, provided  $X$  is nonsingular. Given a Zariski open subset  $U$  of  $X$ , the subset

$$S(U) = \{g \in \mathcal{R}(X) \mid g^{-1}(0) \subseteq X \setminus U\}$$

of  $\mathcal{R}(X)$  is multiplicatively closed. Since  $\mathcal{R}(U) = S(U)^{-1}\mathcal{R}(X)$ , it follows from the facts reviewed above that the group homomorphism

$$(2.9) \quad K_0(\mathcal{R}(X)) \rightarrow K_0(\mathcal{R}(U)),$$

induced by the restriction ring homomorphism  $\mathcal{R}(X) \rightarrow \mathcal{R}(U)$ ,  $f \mapsto f|_U$ , is surjective, assuming  $X$  is nonsingular.

**PROPOSITION 2.10.** *Let  $X$  be a nonsingular real algebraic variety and let  $U$  be a Zariski open subset of  $X$ . For any algebraic vector bundle  $\eta$  on  $U$ , there exists an algebraic vector bundle  $\xi$  on  $X$  such that  $\xi|_U$  and  $\eta$  are algebraically stably equivalent (that is, one can find algebraically trivial vector bundles  $\epsilon_1$  and  $\epsilon_2$  on  $U$  with the property that the bundles  $(\xi|_U) \oplus \epsilon_1$  and  $\eta \oplus \epsilon_2$  on  $U$  are algebraically isomorphic).*

*Proof.* Let  $Y$  be a real algebraic variety. For any algebraic vector bundle  $\zeta$  on  $Y$ , let  $\Gamma(\zeta)$  denote the  $\mathcal{R}(Y)$ -module of algebraic global sections of  $\zeta$ . One readily proves that the correspondence  $\zeta \rightarrow \Gamma(\zeta)$  establishes an equivalence of the category of algebraic vector bundles on  $Y$  with the category of finitely generated projective  $\mathcal{R}(Y)$ -modules [11, Proposition 12.1.12]. The proposition follows since (2.9) is surjective.  $\square$

Let  $Y$  be a real algebraic variety and let  $W$  be a Zariski closed subset of  $Y$ . Denote by  $I_Y(W)$  the ideal of  $\mathcal{R}(Y)$  consisting of all regular functions vanishing on  $W$ ,

$$I_Y(W) = \{f \in \mathcal{R}(Y) \mid f(y) = 0 \text{ for all } y \text{ in } W\}.$$

The restriction homomorphism  $\mathcal{R}(Y) \rightarrow \mathcal{R}(W)$ ,  $f \mapsto f|_W$ , gives rise, for each point  $y$  in  $W$ , to a ring epimorphism  $\mathcal{R}(Y)_y \rightarrow \mathcal{R}(W)_y$ , whose kernel is equal to the ideal  $I_Y(W)\mathcal{R}(Y)_y$  of  $\mathcal{R}(Y)_y$ . In particular, the quotient ring  $\mathcal{R}(Y)_y/I_Y(W)\mathcal{R}(Y)_y$  is isomorphic to  $\mathcal{R}(W)_y$ . Therefore if  $y$  in  $W$  is a nonsingular point of  $Y$  and  $k = \dim Y - \dim W$ , then given elements  $f_1, \dots, f_k$  of  $I_Y(W)$ , the following conditions are equivalent:

- (i)  $I_Y(W)\mathcal{R}(Y)_y = (f_1, \dots, f_k)\mathcal{R}(Y)_y$  and  $y$  is a nonsingular point of  $W$ ,
- (ii)  $I_Y(W)\mathcal{R}(Y)_y = (f_1, \dots, f_k)\mathcal{R}(Y)_y$  and there exist elements  $f_{k+1}, \dots, f_{k+d}$  of  $\mathcal{R}(Y)$ ,  $d = \dim W$ , such that  $f_1, \dots, f_{k+d}$  generate the unique maximal ideal of the local ring  $\mathcal{R}(Y)_y$ ,
- (iii) the map  $(f_1, \dots, f_k): Y \setminus \text{Sing}(Y) \rightarrow \mathbf{R}^k$  is transverse to 0 at  $y$  and  $W \cap H = f_1^{-1}(0) \cap \dots \cap f_k^{-1}(0) \cap H$ , where  $H$  is a Zariski open neighborhood of  $y$  in  $Y \setminus \text{Sing}(Y)$ .

Indeed, the equivalence of (i) and (ii) is a consequence of [23, p. 169, Proposition 1.10]. Furthermore,  $f_1, \dots, f_{k+d}$  generate the maximal ideal of  $\mathcal{R}(Y)_y$  if and only if there exists a neighborhood  $N$  of  $y$  in  $Y \setminus \text{Sing}(Y)$  such

that the restriction of  $(f_1, \dots, f_{k+d})$  to  $N$  is a local coordinate system for the smooth manifold  $Y \setminus \text{Sing}(Y)$  [11, pp. 66, 67]. Hence the equivalence of (ii) and (iii) easily follows.

It also follows from [23, p. 169, Proposition 1.10] that  $I_Y(W)\mathcal{R}(Y)_y$  is generated by  $k$  elements, provided  $y$  in  $W$  is a nonsingular point of  $Y$  and of  $W$ .

We shall freely use the facts just reviewed.

*Proof of Theorem 1.5.* By assumption,  $D_X(v) = [V]_X$ , where  $V$  is a Zariski closed subset of  $X$  with  $\dim X - \dim V = 2$ . If  $V_1, \dots, V_p$  are the irreducible components of  $V$  of dimension  $\dim V$ , then  $[V]_X = [V_1]_X + \dots + [V_p]_X$ , and hence it suffices to prove the theorem assuming that  $V$  is irreducible.

Let  $x_0$  be a nonsingular point of  $V$ . Then the ideal  $I_X(V)\mathcal{R}(X)_{x_0}$  of the ring  $\mathcal{R}(X)_{x_0}$  can be generated by two elements; we choose generators  $a_1, a_2$  that belong to  $I_X(V)$ . Hence there exists a Zariski open neighborhood  $U$  of  $x_0$  in  $X$  such that the ideal  $I_X(V)\mathcal{R}(U)$  of the ring  $\mathcal{R}(U)$  is generated by  $a_1$  and  $a_2$ . This implies

$$(a) \quad I_X(V)\mathcal{R}(U)_x = (a_1, a_2)\mathcal{R}(U)_x \text{ for all } x \text{ in } U.$$

Since  $\text{Sing}(V)$  is Zariski closed in  $V$ , shrinking  $U$  if necessary, we may assume that  $U \cap \text{Sing}(V) = \emptyset$ . Hence from (a), we obtain

$$(b) \quad \text{the map } (a_1, a_2): U \rightarrow \mathbf{R}^2 \text{ is transverse to } 0 \text{ in } \mathbf{R}^2$$

at each point  $x$  in  $U \cap V$ .

Setting  $S = V \setminus (U \cap V)$ , we have  $\text{Sing}(V) \subseteq S$  and, by virtue of irreducibility of  $V$ ,

$$(c) \quad \dim S < \dim V.$$

Let  $Y = X \setminus S$  and  $W = V \setminus S$ . Then  $Y$  is a Zariski open subset of  $X$  and  $W$  is a Zariski closed subset of  $Y$ , with  $\dim Y - \dim W = 2$ .

**CLAIM.** *There exist an algebraic vector bundle  $\eta = (E, \pi, Y)$  on  $Y$  and an algebraic section  $s: Y \rightarrow E$  of  $\eta$  such that  $\eta$  is of rank 2,  $W = s^{-1}(0_E)$ , and  $s$  is transverse to  $0_E$ .*

We prove the claim as follows. Choose a regular function  $b$  in  $\mathcal{R}(Y)$  with  $b^{-1}(0) = W$ . Set  $b_k = a_k|_Y$  for  $k = 1, 2$ , and define a map  $F: Y \times \mathbf{R}^2 \rightarrow \mathbf{R}^2$

by

$$F(y, t) = F_t(y) = (b_1(y) + t_1 b(y)^2, b_2(y) + t_2 b(y)^2)$$

for all  $y$  in  $Y$  and  $t = (t_1, t_2)$  in  $\mathbf{R}^2$ .

We assert that  $F$  is transverse to 0 in  $\mathbf{R}^2$ . Indeed, suppose  $F(y, t) = 0$  for some  $(y, t)$  in  $Y \times \mathbf{R}^2$ . If  $y$  is not in  $W$ , then the assertion holds since it suffices to consider the partial derivatives with respect to  $t_1$  and  $t_2$ . If  $y$  is in  $W$ , then (b) implies that  $F_t: Y \rightarrow \mathbf{R}^2$  is transverse to 0 in  $\mathbf{R}^2$  at  $y$ , which means that the assertion also holds in this case. Hence the assertion is proved.

It follows from the assertion and a standard transversality theorem [20, p. 79, Theorem 2.7] that there exists a point  $t$  in  $\mathbf{R}^2$  for which the map

$$F_t = (f_1, f_2): Y \rightarrow \mathbf{R}^2$$

is transverse to 0 in  $\mathbf{R}^2$ . Since  $f_1$  and  $f_2$  are in  $I_Y(W)$  and  $W$  is nonsingular, we get

$$I_Y(W)\mathcal{R}(Y)_y = (f_1, f_2)\mathcal{R}(Y)_y$$

for all  $y$  in  $W$ . Hence for each point  $y$  in  $W$ , one can find a Zariski open neighborhood  $G_y$  of  $y$  in  $Y$  with

$$I_Y(W)\mathcal{R}(G_y) = (f_1, f_2)\mathcal{R}(G_y).$$

In particular,  $W \cap G_y = f_1^{-1}(0) \cap f_2^{-1}(0) \cap G_y$ . Taking  $G$  to be the union of the  $G_y$  for  $y$  in  $W$ , we get  $W = f_1^{-1}(0) \cap f_2^{-1}(0) \cap G$ , which implies

$$(d) \quad f_1^{-1}(0) \cap f_2^{-1}(0) = W \cup W',$$

where  $W'$  is a subset of  $Y$  disjoint from  $W$ . Clearly,  $W'$  is contained in  $Y \setminus G$ . Since  $W \cup W'$  and  $Y \setminus G$  are Zariski closed subsets of  $Y$ , and  $W' = (W \cup W') \cap (Y \setminus G)$ , it follows that  $W'$  is also Zariski closed in  $Y$ . The transversality of  $(f_1, f_2): Y \rightarrow \mathbf{R}^2$  to 0 in  $\mathbf{R}^2$  together with (d) imply

$$(e) \quad I_Y(W \cup W')\mathcal{R}(Y)_y = (f_1, f_2)\mathcal{R}(Y)_y \text{ for all } y \text{ in } Y.$$

Choosing regular functions  $\psi_1$  and  $\psi_2$  in  $\mathcal{R}(Y)$  with  $\psi_1^{-1}(0) = W$  and  $\psi_2^{-1}(0) = W'$  (this is possible since  $W$  and  $W'$  are Zariski closed in  $Y$ ), we see that  $\psi_1 \psi_2$  belongs to  $I_Y(W \cup W')$  and hence

$$\psi_1 \psi_2 = h_1 f_1 + h_2 f_2$$

for some regular functions  $h_1$  and  $h_2$  in  $\mathcal{R}(Y)$  (the last assertion can easily be deduced directly from (e), but, anyhow, it is also a consequence of (e) and [23, p. 93, Rule 1.1]).

Let  $\mathbf{M}_2(\mathbf{R})$  denote the set of all real  $2 \times 2$  matrices (identified with  $\mathbf{R}^4$  and regarded as a real algebraic variety). Consider regular maps  $g_{21}: U_1 = Y \setminus W \rightarrow \mathbf{M}_2(\mathbf{R})$  and  $g_{12}: U_2 = Y \setminus W' \rightarrow \mathbf{M}_2(\mathbf{R})$  defined by

$$g_{21} = \begin{bmatrix} f_1\psi_2/\psi_1 & -h_2/\psi_1^2 \\ f_2\psi_2/\psi_1 & h_1/\psi_1^2 \end{bmatrix}, \quad g_{12} = \begin{bmatrix} h_1/\psi_2^2 & h_2/\psi_2^2 \\ -f_2\psi_1/\psi_2 & f_1\psi_1/\psi_2 \end{bmatrix}.$$

For each point  $y$  in  $U_1 \cap U_2$ , the matrices  $g_{12}(y)$  and  $g_{21}(y)$  are invertible and  $g_{12}(y)g_{21}(y)$  is the identity matrix. Define

$$E = \{(y, v_1, v_2) \in Y \times \mathbf{R}^2 \times \mathbf{R}^2 \mid v_1 = g_{12}(y)v_2 \text{ if } y \in U_2 \text{ and } v_2 = g_{21}(y)v_1 \text{ if } y \in U_1\}$$

and  $\pi: E \rightarrow Y$ ,  $\pi(y, v_1, v_2) = y$ . Since  $\{U_1, U_2\}$  is a Zariski open cover of  $Y$ , it follows that  $E$  is a Zariski closed subset of  $Y \times \mathbf{R}^2 \times \mathbf{R}^2$ . Clearly,  $\pi$  is a regular map and, for each point  $y$  in  $Y$ , the fiber  $E_y = \pi^{-1}(y)$  is a vector subspace of  $\{y\} \times \mathbf{R}^2 \times \mathbf{R}^2$ . Furthermore, the map

$$U_k \times \mathbf{R}^2 \rightarrow \pi^{-1}(U_k), (y, v) \rightarrow (y, g_{1k}(y) \cdot v, g_{2k}(y) \cdot v)$$

is biregular for  $k = 1, 2$ , where  $g_{kk}(y)$  is the identity matrix. Thus  $\eta = (E, \pi, Y)$  is an algebraic vector bundle of rank 2 on  $Y$ . The map  $s: Y \rightarrow E$

$$s(y) = (y, (\psi_1(y), 0), (f_1(y)\psi_2(y), f_2(y)\psi_2(y)))$$

is an algebraic section of  $\eta$  with  $s^{-1}(0_E) = W$ . On  $U_2$  the section  $s$  is represented by  $(f_1, f_2): U_2 \rightarrow \mathbf{R}^2$ , and therefore  $s$  is transverse to  $0_E$ . Hence the claim is proved.

Let  $\bar{s}: (Y, Y \setminus W) \rightarrow (E, E \setminus 0_E)$  be the map defined by  $s$  and let  $\ell: Y \hookrightarrow (Y, Y \setminus W)$  be the inclusion map. In view of (2.1), we have  $w_2(\eta) = \ell^*(\bar{s}^*(\tau_\eta))$ , while (2.4) yields  $\bar{s}^*(\tau_\eta) = \tau_W^Y$ . It follows that

$$(f) \quad w_2(\eta) = \ell^*(\tau_W^Y).$$

If  $i: (Y, Y \setminus W) \hookrightarrow (X, X \setminus V)$ ,  $j: X \hookrightarrow (X, X \setminus V)$ , and  $e: Y \hookrightarrow X$  are the inclusion maps, then the diagram

$$\begin{array}{ccc} H^2(X, X \setminus V) & \xrightarrow{i^*} & H^2(Y, Y \setminus W) \\ j^* \downarrow & & \ell^* \downarrow \\ H^2(X) & \xrightarrow{e^*} & H^2(Y) \end{array}$$

is commutative.

Since  $W \subseteq V \setminus \text{Sing}(V)$ , Proposition 2.8 yields

$$(g) \quad i^*(\tau_V^X) = \tau_W^Y, \quad j^*(\tau_V^X) = v.$$

By combining (d) and (e), we get

$$(h) \quad w_2(\eta) = \ell^*(i^*(\tau_V^X)) = e^*(j^*(\tau_V^X)) = e^*(v).$$

Proposition 2.10 implies that there exists an algebraic vector bundle  $\zeta$  on  $X$ , whose restriction to  $Y$  is algebraically stably equivalent to  $\eta$ . In particular,  $w_2(\eta) = w_2(\zeta | Y) = e^*(w_2(\zeta))$ , and hence applying (h), we get

$$(i) \quad e^*(v) = e^*(w_2(\zeta)).$$

Note that  $e^*$  is injective. Indeed, there is an exact sequence

$$H^2(X, Y) \longrightarrow H^2(X) \xrightarrow{e^*} H^2(Y).$$

Since  $S = X \setminus Y$  is Zariski closed in  $X$ , by Theorem 1.1 and Proposition 2.7,  $H^2(X, Y)$  is isomorphic to  $H_{n-2}(S)$ , where  $n = \dim X$ . Observing that  $\dim V = n - 2$  and applying (c), we obtain  $H_{n-2}(S) = 0$ . Thus  $e^*$  is injective and (i) implies

$$(j) \quad w_2(\zeta) = v.$$

The vector bundle  $\zeta$ , being algebraic, has a constant rank on each irreducible component of  $X$ . It follows that there exists an algebraic vector bundle  $\epsilon$  on  $X$  such that the restriction of  $\epsilon$  to each irreducible component of  $X$  is algebraically trivial and  $\zeta \oplus \epsilon$  has constant rank, say,  $r$  on  $X$ . The line bundle  $\lambda = \wedge^r(\zeta \oplus \epsilon)$  is algebraic [11, Proposition 12.1.8] and hence the vector bundle  $\xi = \zeta \oplus \epsilon \oplus \lambda \oplus \lambda \oplus \lambda$  is also algebraic. Since  $w_1(\lambda) = w_1(\zeta \oplus \epsilon)$  [21, p. 246], we have  $w_1(\xi) = 0$  and, in view of (j),  $w_2(\xi) = v$ . Thus the proof is complete.  $\square$