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THEOREM 1. There is a morphism of algebraic varieties  $\theta: X - S \to J_{\mathfrak{m}}$  satisfying the following properties:

- (a) The extension of  $\theta$  to the group of divisors on X prime to S induces, by passing to quotient, an isomorphism between the group  $C_{\mathfrak{m}}^0$  of classes of divisors of degree zero with respect to  $\mathfrak{m}$ -equivalence and the group  $J_{\mathfrak{m}}$ .
- (b) The extension of  $\theta$  to  $(X-S)^{(\pi)}$  induces a birational map from  $X^{(\pi)}$  to  $J_{\mathfrak{m}}$ .

The following theorem characterizes  $J_{\mathfrak{m}}$  by a universal property:

THEOREM 2. Let  $f: X \to G$  be a rational map from X to a commutative algebraic group G and assume  $\mathfrak{m}$  is a modulus for f. Then there is a unique homomorphism  $F: J_{\mathfrak{m}} \to G$  of algebraic groups such that  $f = F \circ \theta + f(P_0)$ .

Proof. Replacing f by  $f - f(P_0)$ , we may assume  $f(P_0) = 0$ . Since m is a modulus for f, the extension of f to the group of divisors of X prime to S induces a homomorphism  $C_{\mathfrak{m}}^0 \to G$  by passing to quotient. By Theorem 1(a) we have  $J_{\mathfrak{m}} \cong C_{\mathfrak{m}}^0$  as groups. So we have a homomorphism of groups  $F: J_{\mathfrak{m}} \to G$  such that  $f = F\theta$ . It remains to prove F is a morphism of algebraic varieties. By Theorem 1(b) we have a birational map  $\theta: (X-S)^{(\pi)} \to J_{\mathfrak{m}}$ . Denote the extension of f to  $(X-S)^{(\pi)}$  by f'. Then  $F\theta = f'$ . Since  $\theta$  is birational, it induces an isomorphism between an open subvariety of  $(X-S)^{(\pi)}$  and an open subvariety of  $J_{\mathfrak{m}}$ . Moreover f' is a morphism of algebraic varieties. Hence F is a morphism of algebraic varieties when restricted to some open subset of  $J_{\mathfrak{m}}$ . The whole  $J_{\mathfrak{m}}$  can be obtained from this open subset by translation. So F is a morphism of algebraic varieties.

## 6. GENERALIZED JACOBIANS AND PICARD SCHEMES

In this section we prove  $J_{\mathfrak{m}}$  is the Picard scheme of  $X_{\mathfrak{m}}$ . Let T be a k-scheme. Consider the Cartesian square

$$\begin{array}{ccc} X_{\mathfrak{m}} \times T & \longrightarrow & X_{\mathfrak{m}} \\ \downarrow & & \downarrow \\ T & \longrightarrow & \operatorname{spec}(k) \ . \end{array}$$

We have  $q_*\mathcal{O}_{X_{\mathfrak{m}}\times T}=\mathcal{O}_T$  by [EGA] III, §1.4.15, the fact  $H^0(X_{\mathfrak{m}},\mathcal{O}_{X_{\mathfrak{m}}})=k$ , and the fact that  $T\to \operatorname{spec}(k)$  is flat. The morphism q has a section  $s\colon T\to X_{\mathfrak{m}}\times T$ ,  $t\mapsto (P_0,t)$ .

LEMMA 6.1. Let  $\mathcal{L}_1$  and  $\mathcal{L}_2$  be two invertible sheaves on  $X_{\mathfrak{m}} \times T$ . Assume  $\mathcal{L}_1 \cong \mathcal{L}_2$ . Then the canonical map  $\operatorname{Hom}(\mathcal{L}_1, \mathcal{L}_2) \to \operatorname{Hom}(s^*\mathcal{L}_1, s^*\mathcal{L}_2)$  induced by s is bijective.

*Proof.* Since  $\mathcal{L}_1 \cong \mathcal{L}_2$ , it is enough to show that the canonical map  $\text{Hom}(\mathcal{L}_1, \mathcal{L}_1) \to \text{Hom}(s^*\mathcal{L}_1, s^*\mathcal{L}_1)$  is bijective. We have a commutative diagram

$$\mathcal{O}_{X_{\mathfrak{m}} \times T}(X_{\mathfrak{m}} \times T) \longrightarrow \mathcal{O}_{T}(T)$$

$$\downarrow \qquad \qquad \downarrow$$

$$\operatorname{Hom}(\mathcal{L}_{1}, \mathcal{L}_{1}) \longrightarrow \operatorname{Hom}(s^{*}\mathcal{L}_{1}, s^{*}\mathcal{L}_{1}) ,$$

where the horizontal arrows are induced by s. We have

$$\operatorname{Hom}(\mathcal{L}_{1}, \mathcal{L}_{1}) \cong \operatorname{Hom}(\mathcal{O}_{X_{\mathfrak{m}} \times T}, \mathcal{L}_{1} \otimes \mathcal{L}_{1}^{-1})$$

$$\cong \operatorname{Hom}(\mathcal{O}_{X_{\mathfrak{m}} \times T}, \mathcal{O}_{X_{\mathfrak{m}} \times T}) \cong \mathcal{O}_{X_{\mathfrak{m}} \times T}(X_{\mathfrak{m}} \times T).$$

Hence the left vertical arrow in the above diagram is bijective. Similarly the right vertical arrow is also bijective. Since  $q_*\mathcal{O}_{X_{\mathfrak{m}}\times T}=\mathcal{O}_T$ , we have  $\mathcal{O}_{X_{\mathfrak{m}}\times T}(X_{\mathfrak{m}}\times T)\cong \mathcal{O}(T)$ , and the upper horizontal arrow is bijective. Hence  $\operatorname{Hom}(\mathcal{L}_1,\mathcal{L}_1)\cong \operatorname{Hom}(s^*\mathcal{L}_1,s^*\mathcal{L}_1)$  by the commutativity of the above diagram.

LEMMA 6.2. Let  $\{U_i\}$  be an open covering of T and let  $\mathcal{L}_i$  be invertible sheaves on  $X_{\mathfrak{m}} \times U_i$ . Assume  $s^*\mathcal{L}_i \cong \mathcal{O}_{U_i}$  and  $\mathcal{L}_i \mid_{X_{\mathfrak{m}} \times (U_i \cap U_j)} \cong \mathcal{L}_j \mid_{X_{\mathfrak{m}} \times (U_i \cap U_j)}$ . Then there exists an invertible sheaf  $\mathcal{L}$  on  $X_{\mathfrak{m}} \times T$  such that  $\mathcal{L} \mid_{X_{\mathfrak{m}} \times U_i} \cong \mathcal{L}_i$  and  $s^*\mathcal{L} \cong \mathcal{O}_T$ . Moreover  $\mathcal{L}$  is unique up to isomorphism.

*Proof.* Fix an isomorphism  $\alpha_i : s^* \mathcal{L}_i \to \mathcal{O}_{U_i}$  for each i. Let

$$\alpha_{ij} \colon s^* \mathcal{L}_i|_{U_i \cap U_j} \to s^* \mathcal{L}_j|_{U_i \cap U_j}$$

be the isomorphism  $(\alpha_j|_{U_i \cap U_j})^{-1} \circ (\alpha_i|_{U_i \cap U_j})$ . By Lemma 6.1 the canonical map

$$\operatorname{Hom}(\mathcal{L}_i|_{X_{\mathfrak{m}}\times(U_i\cap U_j)},\mathcal{L}_j|_{X_{\mathfrak{m}}\times(U_i\cap U_j)})\to \operatorname{Hom}(s^*\mathcal{L}_i|_{U_i\cap U_i},s^*\mathcal{L}_j|_{U_i\cap U_i})$$

is bijective. So  $\alpha_{ij}$  can be lifted uniquely to an isomorphism

$$A_{ij} \colon \mathcal{L}_i \mid_{X_{\mathfrak{m}} \times (U_i \cap U_j)} \to \mathcal{L}_j \mid_{X_{\mathfrak{m}} \times (U_i \cap U_j)}.$$

By the uniqueness of the lifting and the fact that  $\alpha_{jk}\alpha_{ij}=\alpha_{ik}$  on  $U_i\cap U_j\cap U_k$ , we have  $A_{jk}A_{ij}=A_{ik}$  on  $X_{\mathfrak{m}}\times (U_i\cap U_j\cap U_k)$ . So  $A_{ij}$  defines glueing data and we can glue the  $\mathcal{L}_i$  together to get an invertible sheaf  $\mathcal{L}$  on  $X_{\mathfrak{m}}\times T$ . By the construction of  $\mathcal{L}$  we have  $s^*\mathcal{L}\cong \mathcal{O}_T$ . This proves the existence of  $\mathcal{L}$ . Similarly using Lemma 6.1 one can prove  $\mathcal{L}$  is unique up to isomorphism.

LEMMA 6.3. Assume T is integral. Let  $\mathcal{L}_1$  and  $\mathcal{L}_2$  be two invertible sheaves on  $X_{\mathfrak{m}} \times T$  satisfying  $\mathcal{L}_{1_t} \cong \mathcal{L}_{2_t}$  for all  $t \in T$ . Then there is an invertible sheaf  $\mathcal{M}$  on T such that  $\mathcal{L}_1 \cong \mathcal{L}_2 \otimes q^* \mathcal{M}$ .

*Proof.* Let  $\mathcal{L} = \mathcal{L}_1 \otimes \mathcal{L}_2^{-1}$ . Then  $\mathcal{L}_t \cong \mathcal{O}_{X_m}$ . It suffices to show that  $\mathcal{L} \cong q^*\mathcal{M}$  for some invertible sheaf  $\mathcal{M}$  on T. We have  $H^0(X_m, \mathcal{L}_t) = H^0(X_m, \mathcal{O}_{X_m}) = k$ . By Theorem 1.1(c), the sheaf  $q_*\mathcal{L}$  is invertible and  $q_*\mathcal{L} \otimes k(t) = H^0(X_m, \mathcal{L}_t)$ . So the restriction  $(q^*q_*\mathcal{L})_t \to \mathcal{L}_t$  of the canonical map  $q^*q_*\mathcal{L} \to \mathcal{L}$  to the fiber of q at  $t \in T$  is  $H^0(X_m, \mathcal{L}_t) \otimes \mathcal{O}_{X_m} \to \mathcal{L}_t$ , which is an isomorphism since  $\mathcal{L}_t \cong \mathcal{O}_{X_m}$ . By Nakayama's Lemma, the canonical map  $q^*q_*\mathcal{L} \to \mathcal{L}$  is surjective. But since it is a homomorphism of invertible sheaves, it must be bijective. Hence  $\mathcal{L} \cong q^*q_*\mathcal{L}$ .

Now we use the above lemmas to construct a canonical invertible sheaf on  $X_{\mathfrak{m}} \times J_{\mathfrak{m}}$  .

On  $X_{\mathfrak{m}} \times (X-S)^{(\pi)}$  we have the invertible sheaf corresponding to the divisor  $\mathcal{D} - p^*(\pi P_0)$ , where  $\mathcal{D}$  is the universal relative effective Cartier divisor and  $p\colon X_{\mathfrak{m}}\times (X-S)^{(\pi)}\to X_{\mathfrak{m}}$  is the projection. Since  $\theta\colon (X-S)^{(\pi)}\to J_{\mathfrak{m}}$  is birational, there exist open subsets U in  $(X-S)^{(\pi)}$  and V in  $J_{\mathfrak{m}}$  such that  $\theta$  induces an isomorphism  $U\cong V$ . Hence we can push-forward the above invertible sheaf on  $X_{\mathfrak{m}}\times (X-S)^{(\pi)}$  to get an invertible sheaf  $\mathcal{L}_V$  on  $X_{\mathfrak{m}}\times V$ . For each  $t\in J_{\mathfrak{m}}$ , denote by  $\mathcal{L}(t)$  the invertible sheaf on  $X_{\mathfrak{m}}$  corresponding to the divisor class in  $C^0_{\mathfrak{m}}$  that is mapped to  $t\in J_{\mathfrak{m}}$  under the canonical isomorphism  $C^0_{\mathfrak{m}}\cong J_{\mathfrak{m}}$ . Obviously the restriction  $\mathcal{L}_{V,t}$  of  $\mathcal{L}_V$  to the fiber of the projection  $q\colon X_{\mathfrak{m}}\times J_{\mathfrak{m}}\to J_{\mathfrak{m}}$  at  $t\in V$  is isomorphic to  $\mathcal{L}(t)$ . The invertible sheaf  $\mathcal{L}_V\otimes (q^*s^*\mathcal{L}_V)^{-1}$  has the same property, where  $s\colon J_{\mathfrak{m}}\to X_{\mathfrak{m}}\times J_{\mathfrak{m}}$  is the section  $t\mapsto (P_0,t)$ . Thus replacing  $\mathcal{L}_V$  by  $\mathcal{L}_V\otimes (q^*s^*\mathcal{L}_V)^{-1}$  if necessary, we may assume that  $s^*\mathcal{L}_V\cong \mathcal{O}_V$ .

For each  $a \in J_{\mathfrak{m}}$ , let  $T_{-a} \colon J_{\mathfrak{m}} \to J_{\mathfrak{m}}$  be the translation  $t \mapsto t-a$ . Consider the invertible sheaf  $\mathcal{L}_{a+V} = (\operatorname{id} \times T_{-a})^* \mathcal{L}_V \otimes p^* \mathcal{L}(a)$  on  $X_{\mathfrak{m}} \otimes (a+V)$ , where  $p \colon X_{\mathfrak{m}} \times J_{\mathfrak{m}} \to X_{\mathfrak{m}}$  is the projection. The restriction  $\mathcal{L}_{a+V,a+t}$  of  $\mathcal{L}_{a+V}$  to the fiber of q at  $a+t \in a+V$  is

$$((\mathrm{id} \times T_{-a})^* \mathcal{L}_V \otimes p^* \mathcal{L}(a))_{a+t} = \mathcal{L}_{V,t} \otimes \mathcal{L}(a) = \mathcal{L}(t) \otimes \mathcal{L}(a) = \mathcal{L}(a+t),$$

that is,  $\mathcal{L}_{a+V,a+t} = \mathcal{L}(a+t)$ . Hence for any  $t \in V \cap (a+V)$ , we have  $\mathcal{L}_{V,t} = \mathcal{L}_{a+V,t}$ . By Lemma 6.3, we have

$$\mathcal{L}_{V}|_{X_{\mathfrak{m}}\times (V\cap (a+V))}\cong \mathcal{L}_{a+V}|_{X_{\mathfrak{m}}\times (V\cap (a+V))}\otimes q^{*}\mathcal{M}$$

for some invertible sheaf  $\mathcal{M}$  on  $V \cap (a+V)$ . But since  $s^*\mathcal{L}_V \cong \mathcal{O}_V$ , we also have  $s^*\mathcal{L}_{a+V} = \mathcal{O}_{a+V}$ . Hence  $\mathcal{M} \cong \mathcal{O}_{V \cap (a+V)}$ . Therefore  $\mathcal{L}_V|_{X_{\mathfrak{m}} \times (V \cap (a+V))} \cong$ 

 $\mathcal{L}_{a+V}|_{X_{\mathfrak{m}}\times (V\cap (a+V))}$ . By Lemma 6.2, we can glue  $\mathcal{L}_{a+V}$   $(a\in J_m)$  together to get an invertible sheaf  $\mathcal{L}_{J_{\mathfrak{m}}}$  on  $X_{\mathfrak{m}}\times J_{\mathfrak{m}}$ . It has the property that its restriction to the fiber of q at  $t\in J_{\mathfrak{m}}$  is isomorphic to  $\mathcal{L}(t)$  and  $s^*\mathcal{L}_{J_{\mathfrak{m}}}\cong \mathcal{O}_{J_{\mathfrak{m}}}$ .

Define

$$P^{0}(T) = \{ \mathcal{L} \in \operatorname{Pic}(X_{\mathfrak{m}} \times T) \mid \deg(\mathcal{L}) = 0 \} / q^{*} \operatorname{Pic}(T),$$

where  $\deg(\mathcal{L})$  is defined as the leading coefficient of  $\chi(\mathcal{L}_t^{\otimes n})$  as a polynomial in n. Since  $s^*q^* = \mathrm{id}$ , we may define

$$P^0(T) = \{ \mathcal{L} \in \operatorname{Pic}(X_{\mathfrak{m}} \times T) \mid \deg(\mathcal{L}) = 0 \text{ and } s^*\mathcal{L} \cong \mathcal{O}_T \}$$

as well. In particular, we have  $\mathcal{L}_{J_{\mathfrak{m}}} \in P^{0}(J_{\mathfrak{m}})$ . Using the first definition of  $P^{0}(T)$  and Lemma 6.3, one can show that the pull-back of  $\mathcal{L}_{J_{\mathfrak{m}}}$  by id  $\times \theta \colon X_{\mathfrak{m}} \times (X - S)^{(\pi)} \to X_{\mathfrak{m}} \times J_{\mathfrak{m}}$  is the invertible sheaf on  $X_{\mathfrak{m}} \times (X - S)^{(\pi)}$  corresponding to the divisor  $\mathcal{D} - p^{*}(\pi P_{0})$ .

The following theorem says that  $J_{\mathfrak{m}}$  is the Picard scheme of  $X_{\mathfrak{m}}$ .

THEOREM 3. The functor  $T \to P^0(T)$  is represented by  $J_{\mathfrak{m}}$ . More precisely, for any invertible sheaf  $\mathcal{L}$  on  $X_{\mathfrak{m}} \times T$  of degree 0 satisfying  $s^*\mathcal{L} \cong \mathcal{O}_T$ , there is one and only one morphism of schemes  $f: T \to J_{\mathfrak{m}}$  such that  $\mathcal{L}$  is the pull-back of  $\mathcal{L}_{J_{\mathfrak{m}}}$  by  $\operatorname{id} \times f: X_{\mathfrak{m}} \times T \to X_{\mathfrak{m}} \times J_{\mathfrak{m}}$ .

*Proof.* Let  $V_0 = \{D \in (X - S)^{(\pi)} \mid l_{\mathfrak{m}}(D) = 1, \quad l(D - \mathfrak{m}) = 0\}$ . By Lemma 3.3, we know  $V_0$  is non-empty and open in  $(X - S)^{(\pi)}$ . Note that for every  $D \in V_0$ , there is one and only one effective divisor in  $X_{\mathfrak{m}}$  that is  $\mathfrak{m}$ -equivalent to D. Hence the restriction  $\theta|_{V_0}$  of  $\theta \colon (X - S)^{(\pi)} \to J_{\mathfrak{m}}$  to  $V_0$  is injective. By [EGA] III, §4.4.9,  $\theta|_{V_0}$  is an open immersion.

Consider the Cartesian square

$$\begin{array}{ccc} X_{\mathfrak{m}} \times T & \stackrel{p}{\longrightarrow} & X_{\mathfrak{m}} \\ \downarrow & & \downarrow \\ T & \longrightarrow & \operatorname{spec}(k) \ . \end{array}$$

Let  $\mathcal{L}' = \mathcal{L} \otimes p^* \mathcal{L}(\pi P_0)$ , where  $\mathcal{L}(\pi P_0)$  is the invertible sheaf on  $X_{\mathfrak{m}}$  corresponding to the divisor  $\pi P_0$ . Let us prove the theorem under the extra assumption that for every  $t \in T$ , we have  $\dim H^0(X_{\mathfrak{m}}, \mathcal{L}'_t) = 1$  and  $\dim H^0(X, \mathcal{L}'_t \otimes \mathcal{L}(-\mathfrak{m})) = 0$ , where  $\mathcal{L}(-\mathfrak{m})$  is the invertible sheaf on X corresponding to the divisor  $-\mathfrak{m}$ . By the Riemann-Roch theorem, for every  $t \in T$ , we have  $\dim H^1(X_{\mathfrak{m}}, \mathcal{L}'_t) = 0$ . By Theorem 1.1 (d) the sheaf  $q_*\mathcal{L}'$  is invertible. The canonical map  $q^*q_*\mathcal{L}' \to \mathcal{L}'$  induces

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$$s: \mathcal{O}_{X_{\mathfrak{m}} \times T} \to \mathcal{L}' \otimes (q^*q_*\mathcal{L}')^{-1}$$
.

Using Remark 2.1, one can show that the pair  $(\mathcal{L}' \otimes (q^*q_*\mathcal{L}')^{-1}, s)$  defines a relative effective Cartier divisor on  $(X_{\mathfrak{m}} \times T)/T$ . By Proposition 3.1, there exists a unique morphism of schemes  $g \colon T \to (X - S)^{(\pi)}$  such that the pullback by  $\mathrm{id} \times g$  of the universal relative effective Cartier divisor  $\mathcal{D}$  is the divisor defined by  $(\mathcal{L}' \otimes (q^*q_*\mathcal{L}')^{-1}, s)$ . Let  $f = \theta g$ . Then the pull-back of  $\mathcal{L}_{J_{\mathfrak{m}}}$  by  $\mathrm{id} \times f$  is  $\mathcal{L}$ . This proves the existence of f. To prove f is unique, assume  $f \colon T \to J_{\mathfrak{m}}$  is a morphism such that the pull-back of  $\mathcal{L}_{J_{\mathfrak{m}}}$  by  $\mathrm{id} \times f$  is  $\mathcal{L}$ . By our extra assumption, we must have  $\mathrm{Im}(f) \subset \theta(V_0)$ . But  $\theta|_{V_0}$  is an open immersion. So there exists a morphism  $g \colon T \to (X - S)^{(\pi)}$  such that  $f = \theta g$ . We leave it to the reader to prove that the pull-back of the universal relative effective Cartier divisor  $\mathcal{D}$  by  $\mathrm{id} \times g$  is the divisor defined by the pair  $(\mathcal{L}' \otimes (q^*q_*\mathcal{L}')^{-1}, s)$ . By Proposition 3.1, such kind of g is unique. So f is also unique.

Now let us prove the theorem. Let  $t_0$  be a point in T. For every point  $D \in (X - S)^{(\pi)}$ , denote by  $\mathcal{L}(D)$  the invertible sheaf on X or on  $X_{\mathfrak{m}}$  corresponding to the divisor D. By Lemma 3.3, the set

$$\{D \in (X-S)^{(\pi)} \mid \dim H^0(X_{\mathfrak{m}}, \mathcal{L}_{t_0} \otimes \mathcal{L}(D)) = 1, \dim H^0(X, \mathcal{L}_{t_0} \otimes \mathcal{L}(D-\mathfrak{m})) = 0\}$$

is non-empty (and open). Fix an element D in this set. Consider the set

$$U_{t_0} = \{ t \in T \mid \dim H^0(X_{\mathfrak{m}}, \mathcal{L}_t \otimes \mathcal{L}(D)) = 1, \dim H^0(X, \mathcal{L}_t \otimes \mathcal{L}(D - \mathfrak{m})) = 0 \}.$$

This set is open by the Riemann-Roch theorem and Theorem 1.1 (b). Obviously it contains  $t_0$ . So  $U_{t_0}$  is an open neighbourhood of  $t_0$ . By the theorem with the extra assumption that we have already proved, there exists a unique morphism  $f'_{U_{t_0}}: U_{t_0} \to J_{\mathfrak{m}}$  such that the pull-back of  $\mathcal{L}_{J_{\mathfrak{m}}}$  by  $\mathrm{id} \times f'_{U_{t_0}}$  is  $(\mathcal{L} \otimes p^*\mathcal{L}(D - \pi P_0))|_{X_{\mathfrak{m}} \times U_{t_0}}$ . Put  $f_{U_{t_0}} = f'_{U_{t_0}} + a$ , where a is the point in  $J_{\mathfrak{m}}$  corresponding to the divisor class  $\pi P_0 - D$  in  $C^0_{\mathfrak{m}}$ . Obviously the pull-back of  $\mathcal{L}_{J_{\mathfrak{m}}}$  by the morphism  $\mathrm{id} \times f_{U_{t_0}}$  is  $\mathcal{L} \mid_{X_{\mathfrak{m}} \times U_{t_0}}$ . Moreover, such an  $f_{U_{t_0}}$  is unique. So we can glue  $f_{U_{t_0}}$  together to get  $f: T \to J_{\mathfrak{m}}$ .