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	ACTIONS
Autor:	ACTIONS CAIRNS, Grant / Ghys, Étienne

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# 4. $SL(n, \mathbf{R})$ -ACTIONS ON $\mathbf{R}^n$ FOR $n \geq 3$

Let  $n \ge 3$ . We first give examples of  $C^0$ -actions of  $SL(n, \mathbf{R})$  on  $\mathbf{R}^n$ . Consider the canonical projective action of  $SL(n, \mathbf{R})$  on  $S^{n-1}$ . Let  $\Delta^+$  be the radial half-line through the first basis element  $e_1$  and let H denote the subgroup of  $SL(n, \mathbf{R})$  that fixes  $\Delta^+$ . So  $SL(n, \mathbf{R})/H \cong S^{n-1}$ . Consider the homomorphism

$$\psi \colon (A_{ij}) \in H \mapsto \ln A_{11} \in \mathbf{R}$$
.

Notice that one obtains a linear action of H on  $\mathbf{R}^+_* = (0, \infty)$  by setting  $h(x) = e^{\psi(h)}x$ , for all  $h \in H$ ,  $x \in \mathbf{R}^+_*$ . Obviously this is conjugate to the H-action on  $\Delta^+$ . It follows from Lemma 3.7 that the action of  $SL(n, \mathbf{R})$  obtained by suspension of this action of H on  $\mathbf{R}^+_*$  is the canonical linear action of  $SL(n, \mathbf{R})$  on  $\mathbf{R}^n \setminus \{0\}$ . In fact, the map

$$\psi \colon [g, x] \in (SL(n, \mathbf{R}) \times \mathbf{R}^+_*)/H \mapsto g(x e_1) \in \mathbf{R}^n \setminus \{0\}$$

is an isomorphism. We now deform the action of H. Choose a topological flow  $(\phi^{l})_{l \in \mathbb{R}}$  on  $\mathbb{R}^{+} = [0, \infty)$ , fixing 0. This defines an action of H on  $\mathbb{R}^{+}_{*}$  by setting  $h(x) = \phi^{\psi(h)}(x)$ , for all  $h \in H$ ,  $x \in \mathbb{R}^{+}_{*}$ . Now suspend this action of H and let  $\Phi$  denote the resulting action of  $SL(n, \mathbb{R})$  on the space  $M = (SL(n, \mathbb{R}) \times \mathbb{R}^{+}_{*})/H$ . The space M fibres over  $S^{n-1}$ , with fibre  $\mathbb{R}^{+}_{*}$ , and the structure group is orientation preserving. So topologically, M is  $\mathbb{R}^{+}_{*} \times S^{n-1}$ . Thus, identifying  $S^{n-1} \times \{0\}$  to a point, we obtain an  $SL(n, \mathbb{R})$ -action on  $\mathbb{R}^{n}$ . The fixed points of the flow  $\phi$  correspond to orbits in  $\mathbb{R}^{n}$  which are spheres of dimension n-1. In general, an n-dimensional orbit is either all of  $\mathbb{R}^{n} \setminus \{0\}$ , as in the linear case, or it is a spherical shell, bounded by  $S^{n-1}$  orbits, or a punctured ball bounded by an  $S^{n-1}$  orbit, or the exterior of an  $S^{n-1}$  orbit. In all cases, the n-dimensional orbits are conjugate to the canonical linear one on  $\mathbb{R}^{n} \setminus \{0\}$ , by Theorem 3.5(c).

THEOREM 4.1. For all  $n \ge 3$ , every non-trivial  $C^0$ -action of  $SL(n, \mathbf{R})$  on  $(\mathbf{R}^n, 0)$  is conjugate to one of the above actions  $\Phi$ .

*Proof.* Suppose that we have a non-trivial  $C^{0}$ -action of  $SL(n, \mathbf{R})$  on  $(\mathbf{R}^{n}, 0)$ . First use Proposition 3.8 to linearize the SO(n)-action. Then by Lemma 3.9, the  $SL(n, \mathbf{R})$ -action preserves the radial lines. Hence the radial projection  $\mathbf{R}^{n} \setminus \{0\} \to S^{n-1}$  is equivariant, where the action of  $SL(n, \mathbf{R})$  on  $S^{n-1}$  is the canonical projective one. Let H be the stabilizer of the radial half-line  $\Delta^{+}$  through  $e_{1}$ , as above. So the action of  $SL(n, \mathbf{R})$  on  $\mathbf{R}^{n} \setminus \{0\}$  is induced by some action of H on  $\mathbf{R}$ . Notice that this action is trivial when restricted to

SO(n-1). It remains to consider all actions of H on  $\mathbb{R}$  which are trivial on SO(n-1). Again, by Lie [23, ibid.], these are given by homomorphisms from H to  $\mathbb{R}$ , Aff, or (some cover of)  $PSL(2, \mathbb{R})$ . We have the homomorphism  $\psi: (A_{ij}) \in H \mapsto \ln A_{11} \in \mathbb{R}$ . Note that ker  $\psi = SL(n-1, \mathbb{R}) \ltimes \mathbb{R}^{n-1}$ . But it is easy to see that there are no non-trivial homomorphisms of ker  $\psi$  to  $\mathbb{R}$  or Aff. There are no non-trivial homomorphisms of ker  $\psi$  to  $SL(2, \mathbb{R})$ , except in the case n = 3, and in this case there are no such homomorphisms which are trivial on SO(n-1). So the only possibility left is that H acts on  $\mathbb{R}$  by some flow. Finally, we put back the origin, as in the proof of Proposition 3.8. This completes the proof of the theorem.  $\Box$ 

We now prove Theorem 1.1 for  $n \ge 3$ .

THEOREM 4.2. For all  $n \ge 3$  and  $k = 1, ..., \infty$ , every  $C^k$ -action of  $SL(n, \mathbf{R})$  on  $(\mathbf{R}^n, 0)$  is  $C^k$ -linearizable.

*Proof.* Let  $n \ge 3$  and  $k = 1, ..., \infty$  and suppose that we have a non-trivial  $C^k$ -action of  $SL(n, \mathbf{R})$  on  $(\mathbf{R}^n, 0)$ . By Remark 3.4, we may assume that the differential of the action at the origin is either the identity or the map  $g \mapsto (g^{-1})^t$ . We will assume that it is the identity; the other possibility can be handled using the same argument.

Linearizing the SO(n)-action, using the Bochner-Cartan theorem, one may assume that the SO(n)-action is the canonical one. Then by Lemma 3.9, the  $SL(n, \mathbf{R})$ -action preserves the radial lines. Let  $\Delta$  denote the radial line through the first of the canonical basis elements,  $e_1$ . Consider  $H = \operatorname{Stab}_{SL(n,\mathbf{R})}(\Delta)$ , as before. So, as we saw in the proof of Theorem 4.1, H defines a  $C^k$ -flow on  $\Delta$ . This flow is hyperbolic, by the first paragraph. Hence by Theorem 2.5, this flow is linearizable by some local  $C^k$ -diffeomorphism f of  $\Delta (\cong \mathbf{R})$ . So, after conjugacy, we may assume that H acts linearly on  $\Delta$ . Now define the local  $C^k$ -diffeomorphism F of  $\mathbf{R}^n$  by the formula:

(2) 
$$F(x) = \begin{cases} \frac{f(||x||)}{||x||} x, & x \neq 0\\ 0, & x = 0. \end{cases}$$

To see that F is of class  $C^k$ , the key point is to verify that f is a  $C^k$  odd function on **R**. This follows easily from the fact that the flow on  $\Delta$  commutes with  $\operatorname{Stab}_{SO(n,\mathbf{R})}(\Delta)$ , and the SO(n)-action is linear.

Now notice that F agrees with f on  $\Delta^+ = \{t e_1 \in \Delta : t \ge 0\}$ , and as F commutes with the SO(n)-action, the SO(n)-action is unchanged by conjugation by F. In particular, the SO(n)-action still commutes with dilations. It follows that after conjugation by F, the  $SL(n, \mathbf{R})$ -action commutes with dilations. Indeed, consider the conjugated  $SL(n, \mathbf{R})$ -action. If  $f \in SL(n, \mathbf{R})$ ,  $x \in \mathbf{R}^n$  and  $\lambda > 0$ , then choose  $a, b \in SO(n)$  such that  $ax \in \Delta^+$  and  $bf(\lambda x) \in \Delta^+$ . Provided x is sufficiently close to 0, ax and  $bf(\lambda x)$  will lie in the domain of f. Then  $bfa^{-1} \in H$  and so

$$f(\lambda x) = b^{-1}bfa^{-1}a(\lambda x) = b^{-1}(bfg^{-1})\lambda a(x)$$
  
=  $b^{-1}\lambda(bfa^{-1})a(x) = \lambda b^{-1}(bfa^{-1})a(x)$   
=  $\lambda f(x)$ .

The proof of the theorem is then completed by the following well known result (cf. [17, Lemma 2.1.4]).  $\Box$ 

# LEMMA 4.3. Every $C^1$ map commuting with dilations is linear.

*Proof.* Suppose that f is a  $C^1$ -diffeomorphism of  $\mathbb{R}^n$  which commutes with dilations. By comparing the differential of  $\lambda \cdot f$  and  $f \circ \lambda$  at x we have  $\lambda df|_x = \lambda df|_{\lambda x}$ , for each  $\lambda > 0$  and every  $x \in \mathbb{R}^n$ . Hence  $df|_x = df|_{\lambda x}$  and so df is constant on the radial lines. Thus  $df|_x = df|_0$  for all x and so f is linear.  $\Box$ 

## 5. The adjoint representation of $SL(2, \mathbf{R})$

Let us recall some facts concerning the linear representations of  $SL(2, \mathbf{R})$ . Let  $P_l(\mathbf{R}^2)$  denote the space of real valued homogeneous polynomials, of two variables, of degree l. As a vector space,  $P_l(\mathbf{R}^2) \cong \mathbf{R}^{l+1}$ , and the action of  $SL(2, \mathbf{R})$  on  $\mathbf{R}^2$  defines a linear action on  $P_l(\mathbf{R}^2)$ : up to isomorphism, this is the (unique) irreducible representation of  $SL(2, \mathbf{R})$  in dimension l + 1. In dimension 3, there is another useful realization of the polynomial representation, called the *adjoint representation*. Notice that the group  $SL(2, \mathbf{R})$ acts by the adjoint representation on its Lie algebra  $\mathfrak{sl}(2, \mathbf{R})$ . Of course,  $\mathfrak{sl}(2, \mathbf{R})$  is the space of  $2 \times 2$  real traceless matrices; so as a vector space,  $\mathfrak{sl}(2, \mathbf{R}) \cong \mathbf{R}^3$ . The adjoint representation  $Ad: SL(2, \mathbf{R}) \to GL(3, \mathbf{R})$ , defined by

$$Ad(g): h \mapsto ghg^{-1}, \quad \forall g \in SL(2, \mathbf{R}), \ h \in \mathfrak{sl}(2, \mathbf{R}),$$

is an irreducible linear representation. In fact, an explicit equivariant isomorphism  $\psi: \mathfrak{sl}(2, \mathbf{R}) \to P_2(\mathbf{R}^2)$  is obtained by taking  $\psi(h)$ , as a function of variables x and y, to be the area of the parallelogram spanned by (x, y) and h(x, y). That is,