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SPACES OF NONCOMPACT TYPE

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Each polyhedron V(s) is homotopically equivalent to V. More precisely we have

PROPOSITION 2.3. For every sufficiently large s the locally symmetric space V is homeomorphic to the interior of the polyhedron V(s) in V, and V(s) is a strong deformation retract of V.

For the proof see [L3], Theorems 5.2 and 5.5.

3. ESTIMATES FOR THE BOUNDARY SUBPOLYHEDRA

We wish to apply Proposition 1.1 to the polyhedra V(s) in the above exhaustion and then take the limit for $s \to \infty$. To that end we need estimates for the second fundamental forms and the volumes of the (lower dimensional) boundary polyhedra.

For each Siegel set $S_i := q_i S$ which is part of the fundamental set Ω we have its truncated part

$$S_i(s) := S_i - \bigcup_{\alpha \in \Delta} (B_{i\alpha}(s) \cap S_i).$$

The top dimensional boundary faces of $S_i(s)$ in S_i (resp. of $\Omega(s)$ in Ω) are subsets of horospheres:

$$\mathcal{H}_{i\alpha}(s) := \{ \tau_{\alpha}^{-1} \tilde{h}_{i\alpha} = -s \} \cap \mathcal{S}_{i}(s) , \quad \alpha \in \Delta .$$

The "horospherical" pieces $\mathcal{H}_{i\alpha}(s)$ together with their Γ -translates form the boundary of the manifold with corners X(s) in X. For any nonempty subset Θ of Δ we set

$$\mathcal{H}_{i\Theta}(s) := \bigcap_{\alpha \in \Theta} \mathcal{H}_{i\alpha}(s) \subset \mathcal{S}_i(s)$$
.

The various boundary subpolyhedra of V(s) are then unions of projections of the pieces $\mathcal{H}_{i\Theta}(s)$ under the canonical projection $\pi: X \to V$. More precisely, as explained in Section 2, for any subset $\Theta \subset \Delta$, we have the equivalence relation on the set $I = \{1, \ldots, m\}$

$$j\sim_{\Theta}l$$
 if and only if $\Gamma q_{j}P_{\Theta}=\Gamma q_{l}P_{\Theta}$

(the q_i are as in Proposition 2.1). This relation \sim_{Θ} induces a partition, $I(\Theta)$, of the set I whose components will be denoted by E. Let $n = \dim X = \dim V$, let k be the cardinality of Θ and let $E \in I(\Theta)$. Then $V_E^{n-k}(s) := \pi \left(\bigcup_{i \in E} \mathcal{H}_{i\Theta}(s)\right)$ is a (n-k)-dimensional boundary polyhedron of V(s); and moreover, any boundary polyhedron arises in this way (see [L3] §4).

REMARK. The minimal possible dimension which occurs is n-q where q is the \mathbb{Q} -rank of \mathbf{G} . It is also interesting to note (though not needed below) that the outer angles are isomorphic to \mathbb{Q} -Weyl chambers and their walls at infinity.

We shall use the following well-known fact about Jacobi fields in symmetric spaces (see [K] Theorem 2.2.9). A Jacobi field along a geodesic ray is called *stable* if its length is bounded.

LEMMA 3.1. Let $r:[0,\infty) \to X$ be a unit-speed geodesic ray in the symmetric space X (of noncompact type). Set p=r(0). Then the unique stable Jacobi field $J_u(s)$ along r(s) with $J_u(0)=u\in T_pX$ can be written as

$$J_u(s) = \sum_j e^{-\lambda_j s} a_j E_j(s)$$

where $\{E_j(s)\}$ is an orthonormal frame of parallel fields along r, the λ_j are non-negative (uniform) constants and $u = \sum_j a_j E_j(0)$.

LEMMA 3.2. Let $s \ge 0$. The second fundamental forms of every boundary polyhedron $V_E^{n-k}(s)$ with respect to outer angles in V(s) are uniformly bounded by a constant independent of E, k and s.

Proof. Since the claim is local we can work in the universal covering space X. As we noted above the preimage of $V_E^{n-k}(s)$ in X under the projection π is the union of a *finite* number of horospherical sets

$$\mathcal{H}_{i\Theta}(s) = \bigcap_{\alpha \in \Theta} \mathcal{H}_{i\alpha}(s) \subset \bigcap_{\alpha \in \Theta} \{ \tau_{\alpha}^{-1} \tilde{h}_{i\alpha} = -s \},$$

where Θ is a subset of Δ with k elements. The (inner) unit normal field of the horosphere $\{\tau_{\alpha}^{-1}\tilde{h}_{i\alpha}=-s\}$ is given by $Z_{i\alpha}:=-\mathrm{grad}\ \tilde{h}_{i\alpha}$ (see e.g. [HI] Proposition 3.1). Using $d\pi$ any element in the outer angle $O(\pi(p))$ of $V_E^{n-k}(s)$ at a point $\pi(p) \in V_E^{n-k}(s)$ can then be identified with a positive linear combination (of norm 1) of the radial fields $Z_{i\alpha}(p)$, $\alpha \in \Theta$. It therefore suffices to show that for any pair (i,α) the second fundamental form of $V_E^{n-k}(s)$ relative to $d\pi Z_{i\alpha}$ is uniformly bounded. We fix i and α and write Z for $Z_{i\alpha}$. For $p \in X$ let $\langle ., . \rangle_p$ denote the Riemannian metric of X at p. Let $u,v\in T_pX$ be such that $d\pi(u),d\pi(v)\in T_{\pi(p)}V_E^{n-k}(s)$. Using the above identifications the second fundamental form of $V_E^{n-k}(s)\subset V(s)$ with respect to Z can be written as

$$II_Z(u,v)(p) = \langle D_u Z, v \rangle_p$$
.

According to [HI], Proposition 3.1, we have $D_u Z(p) = J_u'(0)$ where J_u is the stable Jacobi field along the (unique) geodesic ray, say r, in X which joins p to $c_{i\alpha}(\infty) \in \partial_\infty X$ and with initial value $J_u(0) = u$. By Lemma 3.1 there are orthonormal parallel fields $E_j(s)$ along r and constants $\lambda_j \geq 0$ such that $J_u(s) = \sum_j e^{-\lambda_j s} a_j E_j(s)$ with $u = \sum_j a_j E_j(0)$. Consequently we get $J_u'(0) = -\sum_j \lambda_j a_j E_j(0)$ and finally, for $v = \sum_j b_j E_j(0)$, $|II_Z(u,v)(p)| = |-\sum_j \lambda_j a_j b_j| \prec ||u|| ||v||$. \square

We next estimate the volumes of the boundary polyhedra. Recall from Section 2.1 the parametrization of X by horocyclic coordinates

$$\mu \colon Y = U \times Z \times A \longmapsto X \; ; \; (u, \tau(m), a) \longmapsto uma \cdot x_0 \; .$$

Let dx^2 be the G-invariant Riemannian metric on X induced by the Cartan-Killing form of the Lie algebra $\mathfrak g$ of G and let dz^2 be the invariant metric on Z. Further let da^2 (resp. du^2) be the left-invariant metric on A (resp. U). Finally set $dy^2 := \mu^* dx^2$.

LEMMA 3.3. Let dv_Y , dv_U , dv_Z and dv_A denote the volume elements of the metrics dy^2 , du^2 , dz^2 and da^2 . Then at the point $(u, z, a) \in Y$ we have

$$2^e dv_Y = \rho(a)^{-1} dv_U \wedge dv_Z \wedge dv_A$$

where $e = \frac{1}{2} \dim U$ and ρ is the sum of all positive roots (counted with multiplicity); it can be written in the form $\rho = \sum_{\alpha \in \Delta} c_{\alpha} \alpha$, $c_{\alpha} > 0$.

For the proof see [B2] Corollary 4.4.

LEMMA 3.4. For the (n-k)-dimensional volume of each boundary polyhedron $V_E^{n-k}(s)$ of V(s) one has the estimate

$$\operatorname{Vol}(V_E^{n-k}(s)) \prec s^{q-k}e^{-cs}$$
,

where $q = \dim A$ is the \mathbb{Q} -rank of \mathbf{G} and c > 0 is a constant (independent of E, k and s).

Proof. We again consider the preimage of $V_E^{n-k}(s)$ in X under the map π . We need to estimate the volume of each horospherical piece

$$\mathcal{H}_{i\Theta}(s) = \bigcap_{\alpha \in \Theta} \{ \tau_{\alpha}^{-1} \tilde{h}_{i\alpha} = -s \} \cap \mathcal{S}_{i}(s), \quad i \in E.$$

It clearly suffices to carry out the estimates for i=1; note that $q_1=e$. For the horocyclic coordinate map $\mu:Y\to X$ and the canonical projection

 $\pi_A: Y \to A$ we set $A_{\Theta}(s) := \pi_A \circ \mu^{-1} \left(\mathcal{H}_{1\Theta}(s) \right) \subset A$. The set $A_{\Theta}(s)$ is contained in an "affine" subspace of A of the form $a_1 a_*(s) A^{q-k}$ where $a_1 a_*(s) \in A$ and A^{q-k} is a q-k-dimensional subgroup of A (see Sections 3 and 4 of [L2]). We denote the restriction of dv_A to A^{q-k} by $dv_{A^{q-k}}$; for k=q we have $A^0=e$ and we set $dv_{A^0}\equiv 1$. By Lemma 3.3 we have (for k equal to the number of elements of Θ)

$$\operatorname{Vol}(V_E^{n-k}(s)) \prec \int_{\mu^{-1}(\mathcal{H}_{1\Theta}(s))} \rho(a)^{-1} dv_U \wedge dv_Z \wedge dv_{A^{q-k}}.$$

Since the horospherical piece $\mathcal{H}_{1\Theta}(s)$ is part of a Siegel set $\mathcal{S}_{\omega,\tau}$ with ω relatively compact (and hence of finite volume) in UM we get

$$\int_{\mu^{-1}(\mathcal{H}_{1\Theta}(s))} \rho(a)^{-1} dv_U \wedge dv_Z \wedge dv_{A^{q-k}} \prec$$

$$\prec \int_{\omega} dv_U \wedge dv_Z \int_{A_{\Theta}(s)} \rho(a)^{-1} dv_{A^{q-k}} \prec \int_{A_{\Theta}(s)} \rho(a)^{-1} dv_{A^{q-k}}.$$

Also by definition of a Siegel set we have $\alpha(a) \geq \tau \succ 1$ for all $\alpha \in \Delta$. Moreover, the computations in the proof of Lemma 4.1 (and Lemma 3.5) in [L2] show that for all $\alpha \in \Theta$ one has $\alpha(a_1a_*(s)) \succ e^{\mu_{\alpha}s}$ with $\mu_{\alpha} > 0$. Hence, as $\Theta \subset \Delta$ is not empty and since $\rho = \sum_{\alpha \in \Delta} c_{\alpha}\alpha(c_{\alpha} > 0)$, there is a uniform constant c > 0 such that $\rho(a)^{-1} \prec e^{-cs}$ for all $a \in A_{\Theta}(s)$. As noted above the set $A_{\Theta}(s)$ is contained in a (q - k)-dimensional affine cone in A. It is similar (in the sense of Euclidean geometry) to $A_{\Theta}(0)$ with similarity factor s (see the proof of Lemma 4.1 in [L2]). Hence we eventually get $\int_{A_{\Theta}(s)} dv_{A^{q-k}} \prec s^{q-k}$ and the Lemma follows. \square

4. A NEW PROOF OF THE GAUSS-BONNET FORMULA

In this section we present a new simplified proof of the Gauss-Bonnet theorem for higher rank locally symmetric spaces.

THEOREM 4.1. Let X be a Riemannian symmetric space of noncompact type and \mathbb{R} -rank ≥ 2 and let Γ be an irreducible, torsion-free (non-uniform) lattice in the group of isometries of X. Then for the locally symmetric space $V = \Gamma \backslash X$ the Gauss-Bonnet formula holds:

$$\chi(V) = \int_{V} \Psi \, dv \,.$$