Zeitschrift: L'Enseignement Mathématique

Herausgeber: Commission Internationale de l'Enseignement Mathématique

**Band:** 42 (1996)

**Heft:** 3-4: L'ENSEIGNEMENT MATHÉMATIQUE

Artikel: ON THE GAUSS-BONNET FORMULA FOR LOCALLY SYMMETRIC

SPACES OF NONCOMPACT TYPE

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**Kapitel:** 2.1. Reduction theory and geometry at infinity

**DOI:** https://doi.org/10.5169/seals-87876

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### 2. AN EXHAUSTION OF LOCALLY SYMMETRIC SPACES

Let X be a Riemannian symmetric space of noncompact type and rank  $\geq 2$  and let  $\Gamma$  be a non-uniform, torsion-free lattice in the group of isometries of X. In this section we briefly describe the basic features of an exhaustion of the locally symmetric space  $V = \Gamma \backslash X$  by Riemannian polyhedra, which was previously constructed in [L2].

The idea is to work with a fundamental set  $\Omega \subset X$  for the discrete (arithmetic) group  $\Gamma$ . Such "coarse" fundamental domains are provided by reduction theory; they are finite unions of translates of so-called Siegel sets. We begin with reviewing some facts about linear algebraic groups and set up the notation. Roughly speaking, the lattice  $\Gamma$  determines a " $\mathbb{Q}$ -structure" on the real Lie group of isometries of X such that  $\Gamma$  is given by integer matrices. The symmetric space X in turn inherits canonical parametrizations adopted to this structure (generalized horocyclic coordinates). Siegel sets are then defined with respect to such parametrizations.

## 2.1. REDUCTION THEORY AND GEOMETRY AT INFINITY

We denote by G the identity component of the group of isometries of X; it is a connected, semisimple Lie group with trivial center. We shall always assume in the following that the non-uniform lattice  $\Gamma$  is *irreducible* (see [R2] 5.20). Then, by the arithmeticity theorem of Margulis, there is a connected semisimple linear algebraic group G defined over  $\mathbb{Q}$ ,  $\mathbb{Q}$ -embedded in a general linear group  $GL(N,\mathbb{C})$ , and a Lie group isomorphism  $p:G\longrightarrow G(\mathbb{R})^0$  such that  $p(\Gamma)$  is *arithmetic*, i.e.  $p(\Gamma)\subset G(\mathbb{Q})\subset GL(N,\mathbb{C})$  is commensurable with the group  $G(\mathbb{Z})=G\cap GL(N,\mathbb{Z})$  (see [Z] 3.1.6 and 6.1.10). The symmetric space X can be recovered as the manifold of maximal compact subgroups of the identity component of the group  $G(\mathbb{R})=G\cap GL(N,\mathbb{R})$  of  $\mathbb{R}$ -rational points of G. For simplicity we will always identify G with  $G(\mathbb{R})^0$  and  $\Gamma$  with  $g(\Gamma)$ .

Let **S** (resp. **T**) be a maximal  $\mathbb{Q}$ -split (resp.  $\mathbb{R}$ -split) algebraic torus of **G**, i.e. a subgroup of **G** which is isomorphic over  $\mathbb{Q}$  (resp.  $\mathbb{R}$ ) to the direct product of q (resp.  $r \geq q$ ) copies of  $\mathbb{C}^*$ . All such tori are conjugate under  $\mathbf{G}(\mathbb{Q}) = \mathbf{G} \cap \mathbf{GL}(N,\mathbb{Q})$  (resp.  $\mathbf{G}(\mathbb{R})$ ) and their common dimension q (resp. r) is called the  $\mathbb{Q}$ -rank (resp.  $\mathbb{R}$ -rank) of **G**. The identity component of  $\mathbf{S}(\mathbb{R})$  (resp.  $\mathbf{T}(\mathbb{R})$ ) will be denoted by A (resp.  $A_0$ ), the corresponding Lie algebras by  $\mathfrak{a}$  (resp.  $\mathfrak{a}_0$ ). The  $\mathbb{R}$ -rank of **G** coincides with the rank of the symmetric space X, i.e. the maximal dimension of totally geodesic flat subspaces. The choice of a maximal compact subgroup K of G

is equivalent to the choice of a base point  $x_0$  of X. We can choose Kwith Lie algebra & so that under the corresponding Cartan decomposition  $\mathfrak{g}=\mathfrak{k}\oplus\mathfrak{p}$  of the Lie algebra  $\mathfrak{g}$  of G we have  $\mathfrak{a}\subseteq\mathfrak{a}_0\subset\mathfrak{p}\cong T_{x_0}X$ . Here  $a_0$  is maximal abelian in p, i.e. the tangent space at  $x_0$  of the (maximal  $\mathbb{R}$ -) flat  $A_0 \cdot x_0$  in X. The pair of Lie algebras  $(\mathfrak{g}, \mathfrak{a}_0)$  gives rise to the root system  $_{\mathbb{R}}\Phi$  of the symmetric space. Similarly there is a system of  $\mathbb{Q}$ -roots  $\mathbb{Q}\Phi$  associated to the pair  $(\mathfrak{g},\mathfrak{a})$  (see [B3] §21). It is always possible to choose orderings of  $_{\mathbb{O}}\Phi$  and  $_{\mathbb{R}}\Phi$  such that the restrictions of simple  $\mathbb{R}$ -roots of  $\mathbb{R}\Phi$  to  $\mathfrak{a}$  are either simple  $\mathbb{Q}$ -roots of  $\mathbb{Q}\Phi$ , i.e. the elements of a basis  $\Delta = \mathbb{Q}\Delta$  of  $\mathbb{Q}\Phi$ , or zero (see [BT] 6.8). The basis  $_{\mathbb{R}}\Delta$  defines a closed  $\mathbb{R}$ -Weyl chamber  $\overline{\mathfrak{a}_0^+}$  in  $\mathfrak{a}_0$  and  $\Delta$  then determines a  $\text{closed} \ \mathbb{Q}\text{-Weyl chamber} \ \overline{\mathfrak{a}^+} := \{H \in \mathfrak{a} \mid \alpha(H) \geq 0, \ \text{for all} \ \alpha \in \Delta\} \ \text{in} \ \mathfrak{a}.$ We set  $\overline{A^+} = \exp \overline{\mathfrak{a}^+}$  (resp.  $\overline{A_0^+} = \exp \overline{\mathfrak{a}_0^+}$ ). A  $\mathbb{Q}$ -Weyl chamber in X is a translate of the basic chamber  $\overline{A^+} \cdot x_0 \subseteq \overline{A_0^+} \cdot x_0$ . The elements of  $\Delta$  are differentials of characters (defined over  $\mathbb{Q}$ ) of the maximal  $\mathbb{Q}$ -split torus S. It is convenient to identify the elements of  $\Delta$  also with such characters. When restricted to A their values are denoted by  $\alpha(a)$  ( $a \in A, \alpha \in \Delta$ ). Notice that  $\overline{A^+} = \{ a \in A \mid \alpha(a) \ge 1 \text{ for all } \alpha \in \Delta \}.$ 

A closed subgroup P of G defined over Q is a parabolic Q-subgroup if G/P is a projective variety (see [B3] §11). A parabolic  $\mathbb{Q}$ -subgroup P of  $G = \mathbf{G}(\mathbb{R})^0$  is by definition the intersection of G with a parabolic  $\mathbb{Q}$ -subgroup of **G** (see [BS]). The conjugacy classes under  $G(\mathbb{Q})$  of parabolic  $\mathbb{Q}$ -subgroups are in one-to-one correspondence with the subsets  $\Theta$  of the (chosen) set  $\Delta$ of simple Q-roots; they are represented by the standard parabolic Q-subgroups  $P_{\Theta}$  of G (see [B3] §21.11). The corresponding standard parabolic  $\mathbb{Q}$ -subgroups of G are denoted by  $P_{\Theta}$ . The minimal parabolic subgroup  $P = P_{\varnothing}$  has a decomposition P = UMA, where U is unipotent and M is reductive; A centralizes M and normalizes U (see [B1]). This yields a (generalized) Iwasawa decomposition for G, i.e.  $G = P \cdot K = UMAK$ , which implies that P acts transitively on the symmetric space X. The intersection of the maximal compact subgroup K of G with M is maximal compact in M and the quotient  $Z = M/(K \cap M)$  is (in general) the Riemannian product of a symmetric space of noncompact type by a (flat) Euclidean space. Let  $\tau: M \longrightarrow Z$  be the natural projection. Then the "horocyclic coordinate map"

$$\mu: Y = U \times Z \times A \longmapsto X \; ; \; (u, \tau(m), a) \longmapsto uma \cdot x_0$$

is an isomorphism of analytic manifolds (see [BS] or [B2]).

A generalized Siegel set  $S = S_{\omega,\tau}$  in X (relative to the  $\mathbb{Q}$ -Weyl chamber  $\overline{A^+} \cdot x_0$ ) is a subset of X of the form  $S_{\omega,\tau} = \omega A_{\tau} \cdot x_0$  where  $\omega$  is relatively compact in UM and, for  $\tau > 0$ ,  $A_{\tau} = \{a \in A \mid \alpha(a) \geq \tau , \alpha \in \Delta\}$ . If we define  $a_0 \in A$  by  $\alpha(a_0) = \tau$  for all  $\alpha \in \Delta$ , then  $A_{\tau} = A_1 a_0 = \overline{A^+} a_0$  and  $C = A_{\tau} \cdot x_0 \subset S$  is a (translate of a)  $\mathbb{Q}$ -Weyl chamber in X. Siegel sets provide the building blocks for (approximate) fundamental domains for arithmetic groups. A subset  $\Omega \subset X$  is called a fundamental set for an arithmetic group  $\Gamma$  if the following two conditions hold

- (i)  $X = \Gamma \cdot \Omega$ ;
- (ii) for every  $q \in \mathbf{G}(\mathbb{Q})$  the set  $\{\gamma \in \Gamma \mid q\Omega \cap \gamma\Omega \neq \emptyset\}$  is finite.

The existence of fundamental sets is guaranteed by reduction theory for arithmetic groups (see [B1] §13 and §15).

PROPOSITION 2.1 (Borel, Harish-Chandra). Let G be a semisimple algebraic group defined over  $\mathbb{Q}$  with associated Riemannian symmetric space X = G/K. Let  $\mathbf{P}$  be a minimal parabolic  $\mathbb{Q}$ -subgroup of  $\mathbf{G}$  and let  $\Gamma$  be an arithmetic subgroup of  $\mathbf{G}(\mathbb{Q})$ . Then there exists a generalized Siegel set  $S = S_{\omega,\tau}$  (with respect to  $\overline{A^+} \cdot x_0$ ) such that, for a (fixed) set  $\{q_i \mid 1 \leq i \leq m\}$  of representatives of the finite set of double cosets  $\Gamma \setminus \mathbf{G}(\mathbb{Q})/\mathbf{P}(\mathbb{Q})$ , the union  $\Omega = \bigcup_{i=1}^m q_i \cdot S$  is a fundamental set (of finite volume) for  $\Gamma$  in X.

It will be useful in the sequel to dispose of geometric interpretations of the above algebraic concepts and assertions.

First recall that the symmetric space X, as a Riemannian manifold of nonpositive curvature, has an *ideal boundary at infinity*  $\partial_{\infty}X$ . The latter is defined as the set of equivalence classes of asymptotic geodesic rays (see [BGS]). In the same way one also defines the ideal boundary at infinity  $\partial_{\infty}V$  of  $V=\Gamma\backslash X$ . If  $\Gamma$  is an arithmetic lattice in a group G of  $\mathbb{Q}$ -rank q=1, the boundary  $\partial_{\infty}V$  of the associated locally symmetric space consists of M points (corresponding to the cusps), where M is as in Proposition 2.1. For  $\mathbb{Q}$ -rank  $q\geq 2$  it turns out that  $\partial_{\infty}V$  is isomorphic to a finite simplicial complex  $\Gamma\backslash |\mathcal{T}|$ , a geometric realization of the Tits building of G modulo G (see [JM] and [L1]). We recall the construction of the latter.

Let  $\mathcal{P}$  be the set of all parabolic  $\mathbb{Q}$ -subgroups of  $\mathbf{G}$ . The conjugacy classes of elements of  $\mathcal{P}$  are in one-to-one correspondence with the subsets  $\Theta$  of the set  $\Delta$  of simple  $\mathbb{Q}$ -roots. Every conjugacy class has a standard representative denoted by  $\mathbf{P}_{\Theta}$ . One can show that the sets of double cosets  $\Gamma\backslash\mathbf{G}(\mathbb{Q})/\mathbf{P}_{\Theta}(\mathbb{Q})$  are *finite* for all  $\Theta$  (see [B1], §15.6). Let  $\Delta = [e_1, \ldots, e_q] \subset \mathbb{R}^q$  denote a

standard geometric q-1 simplex  $(q=\mathbb{Q}\text{-rank of }\mathbf{G})$ . If  $\Delta=\{\alpha_1,\ldots,\alpha_q\}$  and  $\Delta-\Theta=\{\alpha_{i_1},\ldots,\alpha_{i_s}\}$  with  $1\leq i_1<\ldots< i_s\leq q$ , we define the boundary simplex  $\Delta(\Theta)$  of  $\Delta$  as  $\Delta(\Theta):=[e_{i_1},\ldots,e_{i_s}]$ . Let  $\mathbf{P}$  be a minimal parabolic  $\mathbb{Q}$ -subgroup of  $\mathbf{G}$  and let the set  $\Gamma\backslash\mathbf{G}(\mathbb{Q})/\mathbf{P}(\mathbb{Q})$  be represented by  $\{q_1,\ldots q_m\}$  (see Proposition 2.1). We take m copies  $\Delta^j=[e_1^j,\ldots,e_q^j]$  of  $\Delta$  with faces  $\Delta^j(\Theta)$  corresponding to  $\Theta$ . The corresponding homeomorphisms  $\Delta\simeq\Delta^j$  are denoted by  $\varphi_j$ . The simplicial complex  $\Gamma\backslash |\mathcal{T}|$ , which provides a geometric realization of the quotient of the Tits building of  $\mathbf{G}$  modulo  $\Gamma$ , is constructed from the simplices  $\Delta^1,\ldots,\Delta^m$  through the following incidence relations:

Two simplices  $\triangle^j$  and  $\triangle^l$  are pasted together along the faces  $\triangle^j(\Theta)$  and  $\triangle^l(\Theta)$  by the homeomorphism  $\varphi_j \circ \varphi_l^{-1} \mid_{\triangle^l(\Theta)}$  if and only if

$$\Gamma q_j \mathbf{P}_{\Theta}(\mathbb{Q}) = \Gamma q_l \mathbf{P}_{\Theta}(\mathbb{Q}).$$

We remark that the points of  $\Gamma \setminus |\mathcal{T}|$  are in one-to-one correspondence with equivalence classes of geodesic rays in the locally symmetric space  $V = \Gamma \setminus X$  (see [Hat], [L1] and [JM]).

# 2.2. AN EXHAUSTION BY POLYHEDRA

We index the "edges" of the Weyl chamber  $\overline{\mathfrak{a}^+}$  (or equivalently of  $\overline{A^+} \cdot x_0$ ) by  $simple \ \mathbb{Q}$ -roots. More precisely, the edges of  $\overline{A^+} \cdot x_0$  are given by geodesic rays  $c_{\alpha}(t) = \exp(tH_{\alpha}) \cdot x_0$  where  $H_{\alpha} \in \overline{\mathfrak{a}^+}$ ,  $\|H_{\alpha}\| = 1$  and  $\beta(H_{\alpha}) = 0$  for  $\beta \neq \alpha$  ( $\alpha, \beta \in \Delta$ ). We further write  $c_{k\alpha}$  for the edges  $q_k a_0 c_{\alpha}$  of the chambers  $q_k \mathcal{C}$  in the fundamental set  $\Omega$  (see Section 2.1 for the notation). If a geodesic ray c represents a point  $z \in \partial_{\infty} X$  we write  $z = c(\infty)$ . The group G act naturally on  $\partial_{\infty} X$  through  $g \cdot c(\infty) = (g \cdot c)(\infty)$ . For every  $\alpha \in \Delta$  the isotropy group of  $c_{\alpha}(\infty)$  under that action coincides with the (maximal) parabolic subgroups  $P_{\Delta - \{\alpha\}}$  introduced above (see [L2] Lemma 1.2).

To a geodesic ray  $c:[0,\infty)\longrightarrow X$  (parametrized by arc-length) which represents a point z in the ideal boundary  $\partial_\infty X$  of X is associated a *Busemann function on X at z* given by

$$h_z: X \longrightarrow \mathbb{R}$$
 ;  $h_z(x) = \lim_{t \to \infty} [d(x, c(t)) - t]$ .

The level sets of a Busemann function are *horospheres*, which foliate the symmetric space. We denote the Busemann functions which correspond to the rays  $c_{k\alpha}$  by  $h_{k\alpha}$ . Note that  $h_{k\alpha}(c_{k\alpha}(t))$  tends to  $-\infty$  if the arc-length t of the geodesic  $c_{k\alpha}$  tends to  $+\infty$ .

In contrast to an exact fundamental domain there are not only points on the boundary of a fundamental set  $\Omega$  but possibly also interior points which are