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**MONOID** 

Autor: Fenn, Roger / Zhu, Jun

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5.2 PROPOSITION. Suppose  $x \in SB_n^{(t)}$  is a singular braid of degree s. Then  $\eta(x) \in \mathbf{Z}B_n$  is a linear combination of  $2^t$  elements of  $B_n$  (call them terms). There is a unique term of maximal degree s+t and a unique term of minimal degree s-t. More generally, for each integer  $u, 0 \le u \le t, \eta(x)$  has  $\binom{t}{u}$  terms of degree s+t-2u, and each of these terms has coefficient  $(-1)^u$ .  $\square$ 

There may be some cancellation among the terms of degree strictly between s-t and s+t, but since there is only one term of maximum and one term of minimal degree, they cannot be cancelled and we draw the following conclusions.

5.3 COROLLARY. No element of  $SB_n$  maps to zero under  $\eta$ .

The kernel of  $\eta$  is also trivial in another sense.

5.4 COROLLARY. If  $1 \in B_n \subset SB_n$  denotes the identity braid, then  $\eta^{-1}(1) = 1$ .  $\square$ 

To close this section we consider the natural extension of  $\eta$  to the monoid ring  $\mathbb{Z}SB_n$ .

5.5 Proposition. The extension  $\eta: \mathbf{Z}SB_n \to \mathbf{Z}B_n$  is not injective.

*Proof.*  $\tau_1$  and  $\sigma_1 - \sigma_1^{-1}$  are two elements of  $\mathbf{Z}SB_n$  with the same image. For a more subtle example, consider the elements

$$x = \tau_1 \tau_2 \sigma_1^{-1} + \tau_1 \sigma_2 \tau_1, \quad y = \tau_2 \sigma_1^{-1} \tau_2 + \sigma_2 \tau_1 \tau_2.$$

An easy calculation verifies that  $\eta(x) = \eta(y)$ . However,  $x \neq y$ , as can be seen by examining their images under the map  $\tau_i \to \sigma_i$ ,  $\sigma_i \to \sigma_i$ .

The above example is related to certain canonical relations obeyed by the Vassiliev invariants — see [Bir2], p. 274, or [Bar].

## 6. Results regarding injectivity of $\eta$

Note that if  $x, y \in SB_n$  satisfy  $\eta(x) = \eta(y)$ , then they both have the same number of singularities, i.e.  $x \in SB_n^{(t)}$  if and only if  $y \in SB_n^{(t)}$ . The relevance of bands to the injectivity question will be illustrated by first checking

injectivity of  $\eta$  restricted to  $SB_n^{(1)}$ . (Of course, it is injective on  $SB_n^{(0)} = B_n$ , because it is simply the inclusion of the basis of  $\mathbb{Z}B_n$ .)

6.1 LEMMA. For a braid  $\beta \in B_n$ , the following are equivalent:

- (a)  $\tau_i \beta = \beta \tau_i$ ,
- (b)  $\tau_i^m \beta = \beta \tau_i^m$  for some positive integer m.
- (c)  $\beta$  has an (i, j)-band.

*Proof.* Clearly (a)  $\Rightarrow$  (b) and, using the homomorphism  $SB_n \to B_n$  defined by  $\tau_k \to \sigma_k$ ,  $\sigma_k \to \sigma_k$ , we see that (b) implies  $\sigma_i^m \beta = \beta \sigma_j^m$ , which implies (c) by Theorem 2.2. Finally, (c)  $\Rightarrow$  (a), because the band can be used to convey  $\tau_i$  on the left of  $\beta$  to become  $\tau_i$  on the right.

In Section 7 we will prove a generalisation of this lemma in which  $\beta$  is allowed to be a singular braid.

6.2 THEOREM. If  $x, y \in SB_n^{(1)}$  and  $\eta(x) = \eta(y)$ , then x = y.

*Proof.* We can write  $x = \alpha \tau_i \beta$  and  $y = \alpha' \tau_j \beta'$  for (nonsingular) braids  $\alpha, \alpha', \beta, \beta'$  and compute:

$$\eta(x) = \alpha \sigma_i \beta - \alpha \sigma_i^{-1} \beta ,$$
  
$$\eta(y) = \alpha' \sigma_j \beta' - \alpha' \sigma_j^{-1} \beta' .$$

Equating the terms of highest and lowest degree, we have:

$$\alpha \sigma_i \beta = \alpha' \sigma_j \beta'$$
 and  $\alpha \sigma_i^{-1} \beta = \alpha' \sigma_i^{-1} \beta'$ .

It follows that

$$\sigma_i^2(\beta\beta'^{-1}) = (\beta\beta'^{-1})\sigma_i^2$$

and, by the lemma,

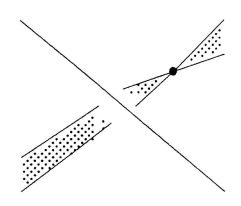
$$\tau_i(\beta\beta'^{-1}) = (\beta\beta'^{-1})\tau_j,$$
  
$$\sigma_i(\beta\beta'^{-1}) = (\beta\beta'^{-1})\sigma_j.$$

We quickly deduce that  $\beta\beta'^{-1} = \alpha\alpha'^{-1}$  and it follows that

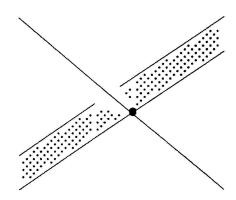
$$\alpha \tau_i \beta = \alpha' \tau_i \beta'$$

We will now work towards the injectivity of  $\eta$  on  $SB_n^{(2)}$ . Define a singular ribbon to be a map  $R: \mathbf{I} \times \mathbf{I} \to \mathbf{C} \times \mathbf{I}$  such that R embeds  $\mathbf{I} \times t$  into  $\mathbf{C} \times t$ , except for finitely many points t, for which the image is a single point in  $\mathbf{C} \times t$ . One also assumes, at these singular points, that there is a

tangent plane in  $\mathbb{C} \times \mathbb{I}$  for the singular ribbon. Singular ribbons are the best one can do for ribbons for singular braids. As with braids, we say a singular ribbon is *proper* for a singular braid if it sends  $\{0, 1\} \times \mathbb{I}$  along two of its strands and the image is disjoint from the other strings of the singular braid. An isotopy of a singular braid can be extended to an isotopy of any of its proper singular ribbons, with the following caveat: under the equivalence  $\tau_i \tau_j = \tau_j \tau_i$  one may have to reparametrise the singular ribbon.



A singular ribbon



NOT a singular ribbon

FIGURE 7

Singular ribbons only intersect two strands of a singular braid

In contrast to the situation for ordinary braids, it is not always possible to find a singular ribbon proper for a given singular braid x and with a given arc A as its intersection with  $\mathbb{C} \times 0$ . For example, consider an (i, i+1)-arc A, suppose  $\beta$  is a braid such that  $\{i, i+1\} * \beta = \{j, j+1\}$  and consider a singular braid x of the form  $x = \beta \tau_j \cdots$ . Then a necessary condition for the existence of a singular ribbon, whose intersection with  $\mathbb{C} \times 0$  is A, would be  $A * \beta = [j, j+1]$ . On the other hand, for the same reason as for ribbons, we do have the following.

6.3 PROPOSITION. If a singular ribbon R is proper for the singular braid x and  $R(\mathbf{I} \times 0)$  and  $R(\mathbf{I} \times 1)$  are isotopic as proper arcs to  $[j, j+1] \times 0$  and  $[k, k+1] \times 1$ , respectively, then  $\sigma_i x = x \sigma_j$  in  $SB_n$ .  $\square$ 

DEFINITION. We will extend our previous definition and say that a singular braid has a (j, k)-band if it has a proper ribbon or singular ribbon connecting  $[j, j+1] \times 0$  to  $[k, k+1] \times 1$ . The crucial facts we've proved are that a braid  $\beta$  has a (j, k)-band if and only if  $\sigma_j \beta = \beta \sigma_k$ , and for singular braids, having a (j, k)-band is a sufficient condition for satisfying such an equation.

6.4 LEMMA. Let  $\alpha, \beta$  be braids such that both  $\alpha \sigma_i \beta$  and  $\alpha \beta$  have (j, k)-bands. Then  $\alpha \tau_i \beta$  also has a (j, k)-band.

*Proof.* Consideration of the induced permutation implies that the pair  $\{j, j+1\} * \alpha$  is either  $\{i, i+1\}$  (case 1) or disjoint from  $\{i, i+1\}$  (case 2). In either case, let  $A = [j, j+1] * \alpha$ . Then, since  $\alpha\beta$  has a (j, k)-band we have  $[j, j+1] * (\alpha\beta) = [k, k+1]$ , and so  $A = [k, k+1] * \beta^{-1} = \beta * [k, k+1]$ . Similarly the hypothesis that  $\alpha\sigma_i\beta$  has a (j, k)-band implies that  $A * \sigma_i = A$ .

Now, in case 1, A is an (i, i + 1)-arc and we must have  $A * \sigma_i = \overline{A}$ . Lemma 3.2 implies that A = [i, i + 1]. We conclude that  $\alpha$  has a (j, i)-band and  $\beta$  has an (i, k)-band, and these combine with the obvious singular (i, i)-band for  $\tau_i$  to provide a (j, k)-band for  $\alpha \tau_i \beta$ .

In case 2, Lemma 3.1 applies, and we may assume after an isotopy of the (j, k) band for  $\alpha\beta$  that its intersection, A, with  $C \times 1/2$  is disjoint from [i, i+1]. This implies that we may insert  $\tau_i$  between  $\alpha$  and  $\beta$  so that the singular strands are disjoint from the band, and we conclude that  $\alpha\tau_i\beta$  has a nonsingular (j, k)-band.

6.5 THEOREM. The map  $\eta$  is injective on  $SB_n^{(2)}$ .

*Proof.* Consider an equation of the form

$$\eta(\alpha\tau_i\beta\tau_j\gamma)=\eta(\alpha'\tau_{i'}\beta'\tau_{j'}\gamma')$$

where  $\alpha$ ,  $\alpha'$ ,  $\beta$ ,  $\beta'$ ,  $\gamma$ ,  $\gamma'$ ,  $\in B_n$ .

Now

$$\eta(\alpha \tau_i \beta \tau_j \gamma) = \alpha \sigma_i \beta \sigma_j \gamma - \alpha \sigma_i^{-1} \beta \sigma_j \gamma - \alpha \sigma_i \beta \sigma_j^{-1} \gamma + \alpha \sigma_i^{-1} \beta \sigma_j^{-1} \gamma$$

and  $\eta(\alpha'\tau_{i'}\beta'\tau_{j'}\gamma')$  has a similar expansion. If they are equal in  $\mathbb{Z}B_n$ , then considering the degrees we must have one of two sets of equations. Either

(1) 
$$\alpha \sigma_i \beta \sigma_j \gamma = \alpha' \sigma_{i'} \beta' \sigma_{j'} \gamma'$$

(2) 
$$\alpha \sigma_i^{-1} \beta \sigma_j \gamma = \alpha' \sigma_{i'}^{-1} \beta' \sigma_{j'} \gamma'$$

(3) 
$$\alpha \sigma_i \beta \sigma_i^{-1} \gamma = \alpha' \sigma_{i'} \beta' \sigma_{i'}^{-1} \gamma'$$

(4) 
$$\alpha \sigma_i^{-1} \beta \sigma_j^{-1} \gamma = \alpha' \sigma_{i'}^{-1} \beta' \sigma_{j'}^{-1} \gamma'$$

or

$$\alpha \sigma_i \beta \sigma_j \gamma = \alpha' \sigma_{i'} \beta' \sigma_{j'} \gamma'$$

(2') 
$$\alpha \sigma_i^{-1} \beta \sigma_j \gamma = \alpha' \sigma_{i'} \beta' \sigma_{i'}^{-1} \gamma'$$

(3') 
$$\alpha \sigma_i \beta \sigma_j^{-1} \gamma = \alpha' \sigma_{i'}^{-1} \beta' \sigma_{j'} \gamma'$$

(4) 
$$\alpha \sigma_i^{-1} \beta \sigma_i^{-1} \gamma = \alpha' \sigma_{i'}^{-1} \beta' \sigma_{j'}^{-1} \gamma'$$

We claim that in either case the following are true:

$$\alpha\beta\gamma = \alpha'\beta'\gamma'$$

(6) 
$$\alpha \tau_i \beta \tau_j \gamma = \alpha' \tau_{i'} \beta' \tau_{j'} \gamma'.$$

Assume initially that (1), (2), (3) and (4) are satisfied. Eliminating  $\beta' \sigma_{j'} \gamma'$  between (1) and (2) gives  $\alpha'^{-1} \alpha \sigma_i^2 = \sigma_{i'}^2 \alpha'^{-1} \alpha$ . The main theorem now implies that  $\alpha'^{-1} \alpha$  has an (i', i)-band. Similarly eliminating  $\alpha' \sigma_{i'} \beta'$  between (1) and (3) implies that  $\gamma \gamma'^{-1}$  has a (j, j')-band. Applying these facts to (1) gives

$$\sigma_{i'}\beta'\sigma_{j'} = \alpha'^{-1}\alpha\sigma_i\beta\sigma_j\gamma\gamma'^{-1} = \sigma_{i'}\alpha'^{-1}\alpha\beta\gamma\gamma'^{-1}\sigma_{j'}$$

and (5) follows in this case.

Similarly using (5)

$$\tau_{i'}\beta'\tau_{i'} = \tau_{i'}\alpha'^{-1}\alpha\beta\gamma\gamma'^{-1}\tau_{j} = \alpha'^{-1}\alpha\tau_{i}\beta\tau_{j}\gamma\gamma'^{-1} ,$$

and therefore (6) also holds in this case.

Now assume that the equations (1), (2'), (3') and (4) hold. A similar elimination as in the first case implies that  $\beta \sigma_j \gamma \gamma'^{-1}$  has an (i, j')-band and  $\alpha'^{-1} \alpha \sigma_i \beta$  has an (i', j)-band. So

$$\sigma_{i'}\beta'\sigma_{j'} = \alpha'^{-1}\alpha\sigma_i\beta\sigma_j\gamma\gamma'^{-1} = \sigma_{i'}\alpha'^{-1}\alpha\sigma_i\beta\gamma\gamma'^{-1}$$

The above can be written as

(7) 
$$\alpha \sigma_i \beta \gamma = \alpha' \beta' \sigma_{j'} \gamma'$$

Similarly from equation (4) we have

(8) 
$$\alpha \sigma_i^{-1} \beta \gamma = \alpha' \beta' \sigma_{j'}^{-1} \gamma'$$

Eliminating  $\alpha^{-1}\alpha'\beta'$  between (7) and (8) gives  $\sigma_i^2\beta\gamma\gamma'^{-1} = \beta\gamma\gamma'^{-1}\sigma_{j'}^2$  so  $\beta\gamma\gamma'^{-1}$  has an (i,j')-band, and with Lemma 6.6 we deduce that  $\beta\tau_j\gamma\gamma'^{-1}$  has an (i,j')-band. We can also conclude that equation (5) holds in this case. A similar argument shows that  $\alpha'^{-1}\alpha\beta$  has an (i',j)-band.

Hence

$$\alpha'^{-1}\alpha\tau_{i}\beta\tau_{j}\gamma\gamma'^{-1} = \alpha'^{-1}\alpha\beta\tau_{j}\gamma\gamma'^{-1}\tau_{j'} \qquad (i,j')\text{-band}$$

$$= \tau_{i'}\alpha'^{-1}\alpha\beta\gamma\gamma'^{-1}\tau_{j'} \qquad (i',j)\text{-band}$$

$$= \tau_{i'}\beta'\tau_{j'}.$$

So (6) is true in this case as well.  $\Box$