

Zeitschrift: L'Enseignement Mathématique
Herausgeber: Commission Internationale de l'Enseignement Mathématique
Band: 41 (1995)
Heft: 3-4: L'ENSEIGNEMENT MATHÉMATIQUE

Artikel: CUBIC FORMS AND COMPLEX 3-FOLDS
Autor: Okonek, Ch. / Van de Ven, A.
Kapitel: 2. Realization of cubic forms
DOI: <https://doi.org/10.5169/seals-61829>

Nutzungsbedingungen

Die ETH-Bibliothek ist die Anbieterin der digitalisierten Zeitschriften auf E-Periodica. Sie besitzt keine Urheberrechte an den Zeitschriften und ist nicht verantwortlich für deren Inhalte. Die Rechte liegen in der Regel bei den Herausgebern beziehungsweise den externen Rechteinhabern. Das Veröffentlichen von Bildern in Print- und Online-Publikationen sowie auf Social Media-Kanälen oder Webseiten ist nur mit vorheriger Genehmigung der Rechteinhaber erlaubt. [Mehr erfahren](#)

Conditions d'utilisation

L'ETH Library est le fournisseur des revues numérisées. Elle ne détient aucun droit d'auteur sur les revues et n'est pas responsable de leur contenu. En règle générale, les droits sont détenus par les éditeurs ou les détenteurs de droits externes. La reproduction d'images dans des publications imprimées ou en ligne ainsi que sur des canaux de médias sociaux ou des sites web n'est autorisée qu'avec l'accord préalable des détenteurs des droits. [En savoir plus](#)

Terms of use

The ETH Library is the provider of the digitised journals. It does not own any copyrights to the journals and is not responsible for their content. The rights usually lie with the publishers or the external rights holders. Publishing images in print and online publications, as well as on social media channels or websites, is only permitted with the prior consent of the rights holders. [Find out more](#)

Download PDF: 23.01.2026

ETH-Bibliothek Zürich, E-Periodica, <https://www.e-periodica.ch>

where the 'degree' d corresponds to the cubic form. Such a 4-tuple is admissible iff $d(2x + W)^3 \equiv (p + 24T) \cdot (2x + W) \pmod{48}$ holds for every integer x . This is equivalent to $p \equiv 4d \pmod{24}$ if $\bar{W} = 0$, and to $p \equiv d + 24T \pmod{48}$ with $d \equiv 0 \pmod{2}$ if $\bar{W} \neq 0$.

Two admissible 4-tuples (\bar{W}, \bar{T}, d, p) and $(\bar{W}', \bar{T}', d', p')$ are equivalent iff $\bar{W}' = \bar{W}$, $\bar{T}' = \bar{T}$ and $(d', p') = \pm(d, p)$. Taking the degree d non-negative, we find:

PROPOSITION 1. *There is a 1-1 correspondence between oriented homeomorphism types of cores X_0 with $b_2(X_0) = 1$, and 4-tuples (\bar{W}, \bar{T}, d, p) , normalized so that $d \geq 0$, and $p \geq 0$ if $d = 0$, which satisfy $p \equiv 4d \pmod{24}$ if $\bar{W} = 0$, and $d \equiv 0 \pmod{2}$, $p \equiv d + 24T \pmod{48}$ if $\bar{W} \neq 0$.*

In order to classify the associated homotopy types we first have to determine the subgroup U_F associated to a given cubic form F . By definition we find $U_F = 0$ if $d \equiv 0 \pmod{2}$, $U_F = \mathbf{Z}_{/2}$ if $d \equiv 1 \pmod{2}$. Two normalized 4-tuples (\bar{W}, \bar{T}, d, p) and $(\bar{W}', \bar{T}', d', p')$ are weakly equivalent iff $d' = d$, $\bar{W}' = \bar{W}$, and $p + 24T \equiv p' + 24T' \pmod{48}$ if $d \equiv 0 \pmod{2}$, $p \equiv p' \pmod{24}$ if $d \equiv 1 \pmod{2}$.

Putting everything together, we find a single oriented homotopy type for every odd degree $d \geq 0$, which is necessarily spin, and 3 oriented homotopy types for every even degree $d \geq 0$; one of these 3 types has $\bar{W} \neq 0$, the other two are spin, and they are distinguished by $p + 24T \pmod{48}$ i.e. $p \equiv 4d \pmod{48}$, or $p \equiv 4d + 24 \pmod{48}$.

2. REALIZATION OF CUBIC FORMS

In the previous section the (homotopy) topological classification of 1-connected, closed, oriented, 6-dimensional manifolds with torsion-free homology has been transformed into an arithmetical moduli problem: to describe the sets of (weak) equivalence classes of admissible systems of invariants. In this section we begin to investigate the latter problem; we give a simple criterion for the realizability of cubic forms by smooth manifolds, and we describe, at least in principle, the classification of homotopy types of manifolds with a given cohomology ring.

2.1 COHOMOLOGY RINGS OF 6-MANIFOLDS

Let (r, H, w, τ, F, p) be a system of invariants as in section 1; recall that it is admissible iff for every $W \in H$, $T \in H^\vee$ with $\bar{W} = w(\text{mod } 2)$, $\bar{T} \equiv \tau(\text{mod } 2)$ the following congruence holds:

$$(*) \quad W^3 \equiv (p + 24T)(W) \pmod{48}.$$

LEMMA 1. (r, H, w, τ, F, p) is admissible if and only if there exist $W_o \in H$, $T_o \in H^\vee$ with $\bar{W}_o \equiv w(\text{mod } 2)$, $\bar{T}_o \equiv \tau(\text{mod } 2)$, such that

- i) $W_o^3 \equiv (p + 24T_o)(W_o) \pmod{48}$
- ii) $p(x) \equiv 4x^3 + 6x^2 W_o + 3x W_o^2 \pmod{24} \quad \forall x \in H$.

Proof. Obvious since the set of integral lifts of w is a coset $W_o + 2H$.

DEFINITION 3. Let $F \in S^3 H^\vee$ be a symmetric trilinear form on a finitely generated free abelian group H . An element $W \in H$ is characteristic for F iff

$$(**) \quad x \cdot y \cdot (x + y + W) \equiv 0 \pmod{2} \quad \forall x, y \in H.$$

LEMMA 2. $W \in H$ is a characteristic element for $F \in S^3 H^\vee$ if and only if the function $l_W: H \rightarrow \mathbf{Z}$, $l_W(x) := 4x^3 + 6x^2 W + 3x W^2$ is linear in x modulo 24.

Proof. $l_W(x + y) = l_W(x) + l_W(y) + 12(x^2 y + x y^2 + x y W)$, whence the assertion.

The existence of characteristic elements is a necessary and sufficient condition for a cubic form $F \in S^3 H^\vee$ to be realizable by a manifold. In fact, we have:

PROPOSITION 2. A given cubic form $F \in S^3 H^\vee$ on a finitely generated free abelian group H is realizable as cup-form of a 1-connected, closed, oriented, 6-dimensional manifold with torsion-free homology if and only if it possesses a characteristic element.

Proof. If (r, H, w, τ, F, p) is an admissible system of invariants, and $W_o \in H$ any integral lift of w , then we have $p(x) \equiv 4x^3 + 6x^2 W_o + 3x W_o^2 \pmod{24} \quad \forall x \in H$, i.e. the function $l_{W_o}: H \rightarrow \mathbf{Z}$ is linear modulo 24, and W_o is therefore characteristic for F . Conversely, suppose $W_o \in H$ is a characteristic element for a cubic form $F \in S^3 H^\vee$; let $w := \bar{W}_o(\text{mod } 2)$, $r := 0$.

By the main lemma we have to construct linear forms $p, T \in H^\vee$, such that

- i) $W_\circ^3 \equiv (p + 24T)(W_\circ) \pmod{48}$
- ii) $p(x) \equiv 4x^3 + 6x^2 W_\circ + 3x W_\circ^2 \pmod{24} \quad \forall x \in H$.

The function $l_{W_\circ}: H \rightarrow \mathbf{Z}, l_{W_\circ}(x) = 4x^3 + 6x^2 W_\circ + 3x W_\circ^2$ is linear modulo 24 since W_\circ is a characteristic element for F : we therefore choose a linear form $p_\circ \in H^\vee$ with $p_\circ(x) \equiv l_{W_\circ}(x) \pmod{24} \quad \forall x \in H$. Substituting $x = W_\circ$ we find $p_\circ(W_\circ) \equiv 13 W_\circ^3 \pmod{24}$; but since W_\circ is characteristic we have $W_\circ^3 \equiv 0 \pmod{2}$, thus $p_\circ(W_\circ) \equiv W_\circ^3 \pmod{24}$. Write $p_\circ(W_\circ) = W_\circ^3 + 24k$ for some $k \in \mathbf{Z}$.

case 1) $k \equiv 0 \pmod{2}$: define $p := p_\circ, T := 0$.

case 2) $k \equiv 1 \pmod{2}$: we must find a linear form $T_\circ \in H^\vee$ with $T_\circ(W_\circ) \equiv 1 \pmod{2}$; clearly this can be done if and only if W_\circ is not divisible by 2. If W_\circ were divisible by 2, $W_\circ = 2V_\circ$ for some $V_\circ \in H$, then $2p_\circ(V_\circ) = p_\circ(W_\circ) = W_\circ^3 + 24k = 8V_\circ^3 + 24k$ would give $p_\circ(V_\circ) = 4V_\circ^3 + 12k$; then, using $p_\circ(V_\circ) \equiv 4V_\circ^3 + 6V_\circ^2 W_\circ + 3V_\circ W_\circ^2 \pmod{24}$ we would find $k \equiv 0 \pmod{2}$, which is not the case by assumption.

This shows that $F \in S^3 H^\vee$ is realizable by a topological manifold with Pontrjagin class p_\circ and non-vanishing triangulation obstruction $\tau_\circ := \bar{T}_\circ \pmod{2}$. In order to realize F by a smooth manifold, one can take $p := p_\circ + 24T_\circ$, and $\tau := 0$.

REMARK 3. The topological counterpart of the existence of a characteristic element for a given cubic form $F \in S^3 H^\vee$ is the existence of a mod-2 Steenrod-algebra structure, which is a necessary condition for a ring to be a cohomology ring.

The existence and the classification of characteristic elements for a given cubic form is essentially a linear algebra problem over $\mathbf{Z}_{/2}$. To see this, let $F \in S^3 H^\vee$ be a fixed cubic form on a finitely generated free abelian group H . Associated with F we have a linear map $F^t: H \rightarrow S^2 H^\vee$ sending an element $h \in H$ to the bilinear form $F^t(h): H \otimes H \rightarrow \mathbf{Z}, (x, y) \rightarrow x \cdot y \cdot h$. Let $\bar{H} := H/_{2H}$. $\bar{F} \in S^3 \bar{H}^\vee$ be the reductions of H and F modulo 2, and let $-: H \rightarrow \bar{H}$ be the natural epimorphism. The symmetric trilinear form \bar{F} on the $\mathbf{Z}_{/2}$ -module \bar{H} defines a natural symmetric bilinear form $q_{\bar{F}} \in S^2 \bar{H}^\vee$ given by $q_{\bar{F}}(\bar{x}, \bar{y}) := \bar{x} \cdot \bar{y} \cdot (\bar{x} + \bar{y})$.

LEMMA 3. $F \in S^3 H^\vee$ admits characteristic elements if and only if $q_{\bar{F}}$ lies in the image of $\bar{F}^t \in \text{Hom}_{\mathbf{Z}}(H, S^2 \bar{H}^\vee)$. The set of all characteristic elements for F is a coset of the form $W_\circ + \text{Ker}(\bar{F}^t)$.

Proof. W_o is characteristic for F if and only if $q_{\bar{F}} = \bar{F}^t(W_o)$.

In terms of a \mathbf{Z} -basis $\{e_1, \dots, e_b\}$ for H the condition $q_{\bar{F}} \in \text{Im}(\bar{F}^t)$ translates into a simple rank condition over $\mathbf{Z}_{/2}$: the $\mathbf{Z}_{/2}$ -rank of the $b \times \binom{b+1}{2}$ -matrix A representing \bar{F}^t must be equal to the $\mathbf{Z}_{/2}$ -rank of the matrix A extended by the column $(\bar{e}_i \cdot \bar{e}_j \cdot (\bar{e}_i + \bar{e}_j))_{1 \leq i \leq j \leq b}$

EXAMPLE 3. Let $H = \mathbf{Z}e_1 \oplus \mathbf{Z}e_2$ be free of rank 2, $F \in S^3 H^\vee$ given by $e_1^3 = a$, $e_1^2 e_2 = b$, $e_1 e_2^2 = c$, $e_2^3 = d$ with $a, b, c, d \in \mathbf{Z}$. The rank condition becomes

$$rk_2 \begin{bmatrix} \bar{a} & \bar{b} \\ \bar{c} & \bar{d} \\ \bar{b} & \bar{c} \end{bmatrix} = rk_2 \begin{bmatrix} \bar{a} & \bar{b} & \bar{0} \\ \bar{c} & \bar{d} & \bar{0} \\ \bar{b} & \bar{c} & \overline{b+c} \end{bmatrix}$$

2.2 HOMOTOPY TYPES WITH A GIVEN COHOMOLOGY RING

Our next task is to describe the set of oriented homotopy types of 1-connected, closed, oriented, 6-dimensional manifolds with a fixed torsion-free cohomology ring.

From Žubr's classification theorem we know that in algebraic terms this means the following: fix a non-negative integer r_o , a finitely generated free abelian group H_o , and a symmetric trilinear form $F_o \in S^3 H_o^\vee$ which admits characteristic elements.

Let $\mathcal{M}(r_o, H_o, F_o)$ be the set of 1-connected, closed, oriented, 6-dimensional manifolds X with $b_3(X) = 2r_o$, such that there exists an isomorphism $\alpha: H_o \rightarrow H^2(X, \mathbf{Z})$ with $\alpha^* F_X = F_o$. Denote by $\text{Aut}(F_o)$ the subgroup of \mathbf{Z} -automorphisms of H_o which leave $F_o \in S^3 H_o^\vee$ invariant; $\text{Aut}(F_o)$ acts on pairs $(w, [l]) \in \bar{H}_o \times H_o^\vee / {}_{48}H_o^\vee / {}_{U_{F_o}}$ in a natural way:

$$\gamma \cdot (w, [l]) := (\gamma(w), (\gamma^{-1})^*[l]) .$$

Let ${}_{\text{Aut}(F_o)} \backslash \bar{H}_o \times H_o^\vee / {}_{48}H_o^\vee / {}_{U_{F_o}}$ be the set of $\text{Aut}(F_o)$ -orbits.

A manifold X in $\mathcal{M}(r_o, H_o, F_o)$ and an isomorphism $\alpha: H_o \rightarrow H^2(X, \mathbf{Z})$ with $\alpha^* F_X = F_o$ yields a well-defined $\text{Aut}(F_o)$ -orbit:

$$(\alpha^{-1}(w_2(X)), \alpha^*[p_1(X) + 24T]) \text{ (modulo } \text{Aut}(F_o) \text{) ,}$$

where $T \in H^4(X, \mathbf{Z})$ is an arbitrary integral lifting of $\tau(X) \in H^4(X, \mathbf{Z}_{/2})$.

The set of oriented homotopy types $\mathcal{M}(r_o, H_o, F_o) / \simeq$ of manifolds in $\mathcal{M}(r_o, H_o, F_o)$ can now be described in the following way:

PROPOSITION 3. *The assignment $X \mapsto (\alpha^{-1}(w_2(X)), \alpha^*[p_1(X) + 24T])$ (modulo $\text{Aut}(F_o)$) defines an injection.*

$$I: \mathcal{M}(r_o, H_o, F_o)/\simeq \rightarrow_{\text{Aut}(F_o)} \bar{H}_o \times H_o^\vee /_{48H_o^\vee} /_{U_{F_o}}.$$

Proof. Suppose X and X' are manifolds in $\mathcal{M}(r_o, H_o, F_o)$, $\alpha: H_o \rightarrow H^2(X, \mathbf{Z})$ and $\alpha': H_o \rightarrow H^2(X', \mathbf{Z})$ isomorphisms with $\alpha^*F_X = F_o$ and $(\alpha')^*F_{X'} = F_o$. X and X' have the same image under I iff there exists an automorphism $\gamma \in \text{Aut}(F_o)$ with $\gamma\alpha^{-1}(w_2(X)) = (\alpha')^{-1}w_2(X')$ and $(\gamma^{-1})^*\alpha^*[p_1(X) + 24T] = (\alpha')^*[p_1(X') + 24T']$. Consider $\beta := \alpha \circ \gamma \circ \alpha^{-1}: H^2(X, \mathbf{Z}) \rightarrow H^2(X', \mathbf{Z})$; β is obviously an isomorphism with $\beta^*F_{X'} = F_X$, $\beta w_2(X) = w_2(X')$, and $\beta^*[p_1(X') + 24T'] = [p_1(X) + 24T]$; but this means that the systems of invariants associated with X and X' are weakly equivalent, and therefore X and X' oriented homotopy equivalent.

A complete description of the set $\mathcal{M}(r_o, H_o, F_o)/\simeq$ i.e. of the image of I is only possible if the automorphism group $\text{Aut}(F_o)$ is known; this can be a serious problem, but we will see that the ‘general’ automorphism group is finite (and usually small), so that the next proposition gives a reasonable estimate for the number of elements in $\mathcal{M}(r_o, H_o, F_o)/\simeq$.

PROPOSITION 4. *Fix $r_o \in \mathbf{N}$, a finitely generated free abelian group H_o , and a symmetric trilinear form $F_o \in S^3 H_o^\vee$ which admits characteristic elements. Set $b := rk_{\mathbf{Z}} H_o$, $s := rk_{\mathbf{Z}/2}(\bar{F}_o^t)$, and let $t := rk_{\mathbf{Z}/2}(\cdot_{\bar{F}_o})$ be the $\mathbf{Z}/2$ -rank of the $\mathbf{Z}/2$ -linear square map $\cdot_{\bar{F}_o}: \bar{H}_o \rightarrow \bar{H}_o^\vee$ sending $\bar{u} \in \bar{H}_o$ to $\bar{u}^2 \in \bar{H}_o^\vee$. Then $\mathcal{M}(r_o, H_o, F_o)/\simeq$ contains at most 2^{2b-s-t} elements.*

Proof. Fix any admissible system of invariants $(r_o, H_o, w_o, \tau_o, F_o, p_o)$ for a manifold in $\mathcal{M}(r_o, H_o, F_o)$. Given (r_o, H_o, F_o) , we know from the last lemma that the possible elements w_o form a coset of $\text{Ker}(\bar{F}_o^t)$ in \bar{H}_o , so that there exist precisely 2^{b-s} such elements. It remains to count the classes $[l] \in H_o^\vee /_{48H_o^\vee} /_{U_{F_o}}$, such that the $\text{Aut}(F_o)$ -orbit of $(w_o, [p_o + 24T_o + l])$ lies in the image of I .

To understand the latter condition we fix integral liftings $W_o, \in H_o, T_o \in H_o^\vee$ of w_o and τ_o satisfying the admissibility conditions

- i) $W_o^3 \equiv (p_o + 24T_o)(W_o) \pmod{48}$
- ii) $p_o(x) \equiv 4x^3 + 6x^2 W_o + 3x W_o^2 \pmod{24} \quad \forall x \in H_o.$

Clearly the $\text{Aut}(F_o)$ -orbit of $(w_o, [p_o + 24T_o + l])$ lies in the image of I if and only if

$$\text{i}') \quad W_o^3 \equiv (p_o + 24T_o + l)(W_o) \pmod{48},$$

$$\text{ii}') \quad (p_o + l)(x) \equiv 4x^3 + 6x^2 W_o + 3x W_o^2 \pmod{24} \quad \forall x \in H_o,$$

which is equivalent to $l(W_o) \equiv 0 \pmod{48}$, and $l \equiv 0 \pmod{24H_o^\vee}$ because of i) and ii).

Now, by definition of the subgroup $U_{F_o} \subset H_o^\vee / {}_{48}H_o^\vee$ we have the following commutative diagram with exact rows and columns:

$$\begin{array}{ccccccc}
 & & \text{Ker}(\cdot \bar{F}_o) & & 0 & & \\
 & & \downarrow & & \downarrow & & \\
 0 \rightarrow \text{Ker}(24 \cdot \bar{F}_o) & \hookrightarrow & H_o / {}_2H_o & \xrightarrow{24 \cdot \bar{F}_o} & U_{F_o} & \rightarrow & 0 \\
 & & \downarrow \cdot \bar{F}_o & & \downarrow & & \\
 & 0 \rightarrow & H_o^\vee / {}_2H_o^\vee & \xrightarrow{24} & H_o^\vee / {}_{48}H_o^\vee & \rightarrow & H_o^\vee / {}_{24}H_o^\vee \rightarrow 0 \\
 & & \downarrow & & \downarrow & & \parallel \\
 0 \rightarrow \text{Coker}(\cdot \bar{F}_o) & \rightarrow & H_o^\vee / {}_{48}H_o^\vee / U_{F_o} & \rightarrow & H_o^\vee / {}_{24}H_o^\vee & \rightarrow & 0 \\
 & & \downarrow & & \downarrow & & \\
 & & 0 & & 0 & &
 \end{array}$$

The number of elements $[l] \in H_o^\vee / {}_{48}H_o^\vee / U_{F_o}$ to be counted coincides therefore with the cardinality of the kernel of the map $ev(w_o): \text{Coker}(\cdot \bar{F}_o) \rightarrow \mathbf{Z}_{/2}$ induced by evaluation in w_o . This number is at most $2^{b-t}(2^{b-t-1}$ if $w_o \neq 0$ and $t \neq b$).

COROLLARY 2. *If the $\mathbf{Z}_{/2}$ -rank $s = rk_{\mathbf{Z}_{/2}}(\cdot \bar{F}_o)$ is maximal, then $\mathcal{M}(r_o, H_o, F_o) / \simeq$ contains at most one class.*

Proof. Suppose $\cdot \bar{F}_o: \bar{H}_o \rightarrow \bar{H}_o^\vee$ is surjective; then $\bar{F}_o^t: \bar{H}_o \rightarrow S^2 \bar{H}_o^\vee$ must have a trivial kernel, since $\bar{h}\bar{x}^2 = 0$ for all $\bar{x} \in \bar{H}_o$ implies $\bar{h} = 0$ if every linear form is a square. But this means $s = t = b$, so that $\mathcal{M}(r_o, H_o, F_o) / \simeq$ has at most one element.

EXAMPLE 4. Let $H_o = \mathbf{Z}e_1 \oplus \mathbf{Z}e_2$, $e_1^3 = a$, $e_1^2 e_2 = b$, $e_1 e_2^2 = c$, $e_2^3 = d$. If $\bar{b} \equiv \bar{c} \pmod{2}$, and $\bar{a}\bar{d} - \bar{b}\bar{c} \equiv 1 \pmod{2}$, then $\mathcal{M}(r_o, H_o, F_o) / \simeq$ contains precisely one class for every $r_o \geq 0$.