**Zeitschrift:** L'Enseignement Mathématique

Herausgeber: Commission Internationale de l'Enseignement Mathématique

**Band:** 41 (1995)

**Heft:** 3-4: L'ENSEIGNEMENT MATHÉMATIQUE

Artikel: SYNTHETIC PROJECTIVE GEOMETRY AND POINCARÉ'S

THEOREM ON AUTOMORPHISMS OF THE BALL

Autor: Shiffman, Bernard

**Kapitel:** 2. The local collineation theorem

**DOI:** https://doi.org/10.5169/seals-61825

#### Nutzungsbedingungen

Die ETH-Bibliothek ist die Anbieterin der digitalisierten Zeitschriften auf E-Periodica. Sie besitzt keine Urheberrechte an den Zeitschriften und ist nicht verantwortlich für deren Inhalte. Die Rechte liegen in der Regel bei den Herausgebern beziehungsweise den externen Rechteinhabern. Das Veröffentlichen von Bildern in Print- und Online-Publikationen sowie auf Social Media-Kanälen oder Webseiten ist nur mit vorheriger Genehmigung der Rechteinhaber erlaubt. Mehr erfahren

#### **Conditions d'utilisation**

L'ETH Library est le fournisseur des revues numérisées. Elle ne détient aucun droit d'auteur sur les revues et n'est pas responsable de leur contenu. En règle générale, les droits sont détenus par les éditeurs ou les détenteurs de droits externes. La reproduction d'images dans des publications imprimées ou en ligne ainsi que sur des canaux de médias sociaux ou des sites web n'est autorisée qu'avec l'accord préalable des détenteurs des droits. En savoir plus

### Terms of use

The ETH Library is the provider of the digitised journals. It does not own any copyrights to the journals and is not responsible for their content. The rights usually lie with the publishers or the external rights holders. Publishing images in print and online publications, as well as on social media channels or websites, is only permitted with the prior consent of the rights holders. Find out more

**Download PDF:** 19.08.2025

ETH-Bibliothek Zürich, E-Periodica, https://www.e-periodica.ch

# 2. The Local collineation theorem

In this section, we show that continuous local collineations of real or complex projective space are projective-linear or anti-projective-linear (Theorem 3). Our methods involve using Desargues' Theorem to extend to a global collineation and then applying the fundamental description of collineations over an arbitrary field (Proposition 1).

We let  $\mathcal{L}_K^n$  denote the set of projective lines in projective n-space  $\mathbf{P}_K^n$  over a field K. (We are interested here in the cases  $K = \mathbf{R}$  or  $\mathbf{C}$ .) Note that  $\mathcal{L}_K^n$  can be identified with the Grassmannian of 2-dimensional subspaces of  $K^{n+1}$ . A collineation on  $\mathbf{P}_K^n$  is a bijective self-map  $f: \mathbf{P}_K^n \to \mathbf{P}_K^n$  such that  $f(L) \in \mathcal{L}_K^n$  for all  $L \in \mathcal{L}_K^n$ . Examples of collineations on  $\mathbf{P}(K^{n+1})$  are provided by elements of the projective linear group  $\mathrm{PGL}(n+1,K) = \mathrm{GL}(n+1,K)/(K\setminus\{0\})$ . However, these are not the only collineations. We let the group  $\mathrm{Gal}(K)$  of automorphisms of K (the Galois group of K over its prime field,  $\mathbf{Z}_p$  or  $\mathbf{Q}$ ) act on  $\mathbf{P}_K^n$  by

$$g(z) = (gz_0 : \dots : gz_n)$$
 for  $g \in Gal(K)$ ,  $z = (z_0 : \dots : z_n) \in \mathbf{P}_K^n$ ;

then elements of Gal(K) also give collineations on  $\mathbf{P}_{K}^{n}$ . The following well-known result (see [Ar, Theorem 2.26]) states that these examples provide all the collineations on  $\mathbf{P}_{K}^{n}$ :

PROPOSITION 1. Let  $f: \mathbf{P}_K^n \to \mathbf{P}_K^n$  be a collineation, where  $n \ge 2$  and K is an arbitrary field. Then there exist a unique  $A \in \mathrm{PGL}(n+1,K)$  and a unique  $g \in \mathrm{Gal}(K)$  such that  $f = g \circ A$ .

We shall use of the following immediate consequence of Proposition 1:

COROLLARY 2. Let  $f: \mathbf{P}_K^n \to \mathbf{P}_K^n$  be a collineation, where  $K = \mathbf{R}$  or  $\mathbf{C}, n \ge 2$ . Suppose f is continuous on a nonempty open subset of  $\mathbf{P}_K^n$ . If  $K = \mathbf{R}$ , then  $f \in \mathrm{PGL}(n+1, \mathbf{R})$ . If  $K = \mathbf{C}$ , then either f or  $\bar{f}$  is in  $\mathrm{PGL}(n+1, \mathbf{C})$ .

We let  $\langle a_1, ..., a_m \rangle$  denote the projective linear subspace of  $\mathbf{P}_K^n$  determined by the points  $a_1, ..., a_m \in \mathbf{P}_K^n$ . In particular,  $\langle a, b \rangle$  is the projective line through a and b (for  $a \neq b \in \mathbf{P}_K^n$ ). We also let a denote the one-point set  $\langle a \rangle = \{a\}$ . We now give a short proof of Proposition 1. First we need two well-known, elementary lemmas:

LEMMA (a). Let  $f: \mathbf{P}_K^n \to \mathbf{P}_K^n$  be a collineation. If  $a_1, ..., a_m$  are points in general position in  $\mathbf{P}_K^n$ , then  $f(a_1), ..., f(a_m)$  are in general position and  $f(\langle a_1, ..., a_m \rangle) = \langle f(a_1), ..., f(a_m) \rangle$ .

*Proof.* It suffices to consider  $m \le n+1$ . If m=1 the conclusion is just the definition of a collineation. So let  $2 \le m \le n+1$  and assume by induction that the lemma has been verified for m-1 points. We write  $f(a) = \hat{a}$ . Since  $f(\langle a_1, ..., a_{m-1} \rangle) = \langle \hat{a}_1, ..., \hat{a}_{m-1} \rangle$  and f is injective, it follows that  $\hat{a}_m \notin \langle \hat{a}_1, ..., \hat{a}_{m-1} \rangle$  and thus  $\hat{a}_1, ..., \hat{a}_m$  are in general position. The second conclusion follows from the fact that  $\langle \hat{a}_1, ..., \hat{a}_m \rangle$  is the union of lines  $\langle \hat{a}_m, b \rangle$ , where b runs through the points of  $\langle \hat{a}_1, ..., \hat{a}_{m-1} \rangle$ .  $\square$ 

LEMMA (b). Let  $f: \mathbf{P}_K^n \to \mathbf{P}_K^n$  be a collineation. If there exists a line  $L \in \mathcal{L}_K^n$  such that  $f|_L: L \to f(L)$  is projective-linear, then  $f \in \mathrm{PGL}(n+1,K)$ .

*Proof.* Let  $\tilde{e_j} = (0, ..., \overset{j\text{-th}}{1}, ..., 0) \in K^{n+1}, \ 0 \leq j \leq n, \ \tilde{\delta} = \widetilde{e_0} + \cdots + \widetilde{e_n},$  and let  $e_0, ..., e_n, \delta$  be the corresponding points in  $\mathbf{P}_K^n$ . Let  $f: \mathbf{P}_K^n \to \mathbf{P}_K^n$  be as in the hypothesis; we can assume without loss of generality that  $f \mid_{\langle e_0, e_1 \rangle}$  is projective-linear. By Lemma (a), the points  $f(e_0), ..., f(e_n), f(\delta)$  are in general position. Choose representatives  $f(e_0), ..., f(e_n), f(\delta)$  in  $K^{n+1} \setminus \{0\}$  of  $f(e_0), ..., f(e_n), f(\delta)$  respectively. Let  $\lambda_j \in K \setminus \{0\}$   $(0 \leq j \leq n)$  be given by  $\sum \lambda_j f(e_j) = f(\delta)$ , and let  $T \in GL(n+1, K)$  be given by  $T(\tilde{e_j}) = \lambda_j f(e_j)$ . Then  $T(\tilde{\delta}) = \sum \lambda_j f(e_j) = f(\delta)$ .

Let  $\varphi = T^{-1} \circ f$ . Thus the lemma is reduced to the following statement:  $(A_n)$  Let  $\varphi \colon \mathbf{P}_K^n \to \mathbf{P}_K^n$  be a collineation such that  $\varphi \mid_{\langle e_0, e_1 \rangle}$  is projective-linear,  $\varphi(e_j) = e_j \ (0 \leqslant j \leqslant n)$ , and  $\varphi(\delta) = \delta$ . Then  $\varphi$  is the identity. We verify  $(A_n)$  by induction on n. For n = 1 the conclusion is immediate. So let  $n \geqslant 2$  and assume  $(A_{n-1})$ . We write  $\mathbf{P}_K^{n-1} = \langle e_0, ..., e_{n-1} \rangle$  and let  $\delta' = (1:...:1:0) \in \mathbf{P}_K^{n-1}$ ; thus  $\langle e_n, \delta \rangle \cap \mathbf{P}_K^{n-1} = \{\delta'\}$ . By Lemma (a),  $\varphi(\mathbf{P}_K^{n-1}) = \mathbf{P}_K^{n-1}$  and thus  $\varphi(\delta') = \delta'$ . Hence by  $(A_{n-1})$ ,  $\varphi$  is the identity on  $\mathbf{P}_K^{n-1}$ . If a line  $L \in \mathcal{L}_K^n$  contains a point  $b \notin \mathbf{P}_K^{n-1}$  such that  $\varphi(b) = b$ , then  $\varphi(L) = L$ , since L must contain another fixed point of  $\varphi$  in  $\mathbf{P}_K^{n-1}$ . Let  $a \in \langle e_0, e_n \rangle$ ,  $a \neq e_0$ , be arbitrary. Since  $\{a\} = \langle a, \delta \rangle \cap \langle e_0, e_n \rangle$  and the points  $\delta$ ,  $e_n$  are fixed by  $\varphi$ , it follows that  $\varphi(\langle a, \delta \rangle) = \langle a, \delta \rangle$  and  $\varphi(\langle e_0, e_n \rangle) = \langle e_0, e_n \rangle$  and thus  $\varphi(a) = a$ . Finally, let  $x \in \mathbf{P}_K^n \setminus \langle e_0, e_n \rangle$  be arbitrary. Since  $\{x\} = \langle a, x \rangle \cap \langle e_n, x \rangle$ , where a is as above and  $\varphi$  fixes a,  $e_n$ , it follows as before that  $\varphi(x) = x$ .

Proof of Proposition 1. Consider the usual embeddings  $\mathbf{P}_{K}^{1} \subset \mathbf{P}_{K}^{2} \subset \mathbf{P}_{K}^{n}$ . By Lemma (a),  $f(\mathbf{P}_{K}^{2})$  is a projective 2-plane. Hence there exists a projective linear map  $T: f(\mathbf{P}_{K}^{2}) \to \mathbf{P}_{K}^{2}$  such that the map  $f' = T \circ f|_{\mathbf{P}_{K}^{2}}: \mathbf{P}_{K}^{2} \to \mathbf{P}_{K}^{2}$  leaves the points (1:0:0), (0:1:0), (0:0:1) and (1:1:1) fixed. Then,

for each  $a \in K$ , we can write  $f'(1:a:0) = (1:\hat{a}:0)$ , where  $\hat{a} \in K$ . We observe that the map  $a \mapsto \hat{a}$  is an element of Gal(K). This follows from the fact that if  $a, b \in K$ , then a - b and a/b can be constructed from the following "projective straightedge" constructions:

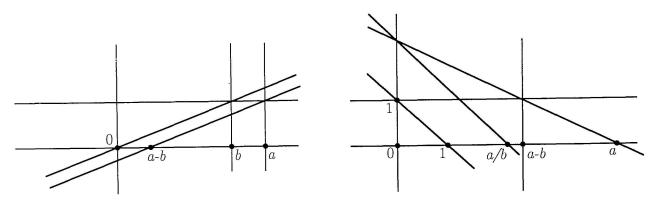


FIGURE 0

(Figure 0 shows the affine plane  $K^2 \subset \mathbf{P}_K^2$ .) Let  $g \in \operatorname{Gal}(K)$  with  $g(a) = \hat{a}$ . Then  $f' \circ g^{-1}|_{\mathbf{P}_K^1}$  is the identity map, and it follows that the map  $f \circ g^{-1}|_{\mathbf{P}_K^1} : \mathbf{P}_K^1 \to f(\mathbf{P}_K^1)$  is projective-linear. Therefore by Lemma (b),  $f \circ g^{-1} = A' \in \operatorname{PGL}(n+1,K)$ , and thus  $f = A' \circ g = g \circ A$ , where  $A = g^{-1}A'g \in \operatorname{PGL}(n+1,K)$ .  $\square$ 

For a subset  $U \subset \mathbf{P}_K^n$ , we write

$$\mathcal{L}(U) = \{ L \in \mathcal{L}_K^n : L \cap U \neq \emptyset \} .$$

We give the projective spaces  $\mathbf{P}_{\mathbf{R}}^{n}$ ,  $\mathbf{P}_{\mathbf{C}}^{n}$  and the Grassmannians  $\mathcal{L}_{\mathbf{R}}^{n}$ ,  $\mathcal{L}_{\mathbf{C}}^{n}$  the usual metric topologies. The main result of this section gives a condition for a local collineation to be projective-linear:

THEOREM 3. Let U be a connected open set in  $\mathbf{P}_K^n(n \ge 2)$ , where K denotes either  $\mathbf{R}$  or  $\mathbf{C}$ , and let  $\mathcal{L}_0$  be an open subset of  $\mathcal{L}(U)$  such that  $\bigcup \mathcal{L}_0 \supset U$ . Suppose that  $f: U \to \mathbf{P}_K^n$  is a continuous injective map such that  $f(L \cap U)$  is contained in a projective line for all  $L \in \mathcal{L}_0$ . Then there exists  $A \in \mathrm{PGL}(n+1,K)$  such that

(i) 
$$f = A \mid_U$$
, if  $K = \mathbf{R}$ ,

(ii) 
$$f = A \mid_U$$
 or  $\bar{f} = A \mid_U$ , if  $K = \mathbb{C}$ .

The case  $K = \mathbf{R}$  of Theorem 3 follows easily from Prenowitz's theorem [Pr, Theorem V], which provides a much stronger result for n = 2. (We include an elementary proof of the case  $K = \mathbf{R}$  below.)

We begin by proving the following weaker form of Theorem 3:

LEMMA 4. Let U be an open set in  $\mathbf{P}_K^n(n \ge 2)$ , where K denotes either  $\mathbf{R}$  or  $\mathbf{C}$ , and let  $f: U \to \mathbf{P}_K^n$  be a continuous injective map. If  $f(L \cap U)$  is contained in a projective line for all  $L \in \mathcal{L}(U)$ , then the conclusion of Theorem 3 holds.

Proof. Let  $f: U \to \mathbf{P}_K^n$  be as in the statement of the lemma, and let  $f(U) = \hat{U}$ . We write  $\hat{a} = f(a)$  for  $a \in U$ . Note that if three points  $a_1, a_2, a_3$  of U are not collinear, then  $\hat{a}_1, \hat{a}_2, \hat{a}_3$  are not collinear, since otherwise the sets  $f(\langle a_1, a_2 \rangle \cap U)$  and  $f(\langle a_1, a_3 \rangle \cap U)$  would both be neighborhoods of  $a_1$  in the line  $\langle \hat{a}_1, \hat{a}_2 \rangle$  and hence f would not be injective. We also observe that if  $L = \langle a, b \rangle$ , where a, b are distinct points of U, then by hypothesis,  $f(L \cap U) \subset \langle \hat{a}, \hat{b} \rangle$ , and in fact we have  $f(L \cap U) = \langle \hat{a}, \hat{b} \rangle \cap \hat{U}$ . To verify this equality, let  $\chi \in \langle \hat{a}, \hat{b} \rangle \cap \hat{U}$  be arbitrary and write  $\chi = \hat{x}$ , where  $x \in U$ . Since  $\hat{a}, \hat{b}, \hat{x}$  are collinear, it follows from the above that x, a, b are collinear and thus  $x \in L$ .

We first consider the case n=2. Choose a connected open set  $U_0 \subset U$ . Let  $x \in \mathbf{P}_K^2$ . We want to define  $\hat{x} = \tilde{f}(x)$ . Choose  $a, b \in U_0$  such that a, b, x are not collinear. Let  $\hat{L}_a$ ,  $\hat{L}_b \in \mathcal{L}(\hat{U})$  be given by  $f(\langle a, x \rangle \cap U) = \hat{L}_a \cap \hat{U}$ ,  $f(\langle b, x \rangle \cap U) = \hat{L}_b \cap \hat{U}$ . We define  $\hat{x}(a, b) \in \mathbf{P}_K^2$  by

$$\hat{L}_a \cap \hat{L}_b = \hat{x}(a,b) .$$

(Note that  $\hat{L}_a \neq \hat{L}_b$  since  $\langle a, x \rangle \neq \langle b, x \rangle$  and f is injective.)

We observe that if  $a' \in \langle a, x \rangle \cap U_0$ ,  $b' \in \langle b, x \rangle \cap U_0$  with  $a' \neq a$ ,  $b' \neq b$ , then

$$\hat{x}(a,b) = \langle \hat{a}, \hat{a}' \rangle \cap \langle \hat{b}, \hat{b}' \rangle .$$

In particular if  $x \in U$ , then

$$\hat{x}(a,b) = \langle \hat{a}, \hat{x} \rangle \cap \langle \hat{b}, \hat{x} \rangle = \hat{x}.$$

STEP 1.  $\hat{x}(a,b)$  is independent of the choice of  $a,b \in U_0$ .

We can assume by the above that  $x \notin U$ . Let  $a \in U_0$  and let  $b_0, b_1 \in U_0 \setminus \langle a, x \rangle$  be arbitrary. It suffices to show that  $\hat{x}(a, b_0) = \hat{x}(a, b_1)$ .

We first consider the case  $K = \mathbb{C}$ . Let C be a real curve from  $b_0$  to  $b_1$  in  $U_0 \setminus \langle a, x \rangle$ . Let  $\varepsilon > 0$ , and suppose that  $b_2$ ,  $b_3$  are points in C such that  $\operatorname{dist}(b_2, b_3) < \varepsilon$  (with respect to some metric on  $\mathbb{P}^2_{\mathbb{C}}$  defining the usual topology). Choose  $a', a'' \in \langle a, x \rangle \cap U_0$  with a, a', a'' distinct. Then let

$$b_3', b_3'', b_2', c, b_2''$$

be constructed (in the above order) as in Figure 1 below.

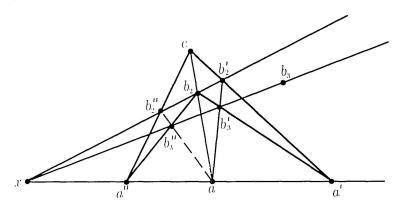


FIGURE 1

We claim that  $a, b_2'', b_3''$  are collinear: Let  $b_3^* = \langle a, b_2'' \rangle \cap \langle a'', b_2 \rangle$ ; to verify the claim, we must show that  $b_3^* = b_3''$ . By Desargues' Theorem [Co, 2.32; see Fig. 4.4a on p. 39]  $b_3', b_3^*, x$  are collinear and thus

$$b_3^* \in \langle b_3', x \rangle \cap \langle a'', b_2 \rangle = b_3'',$$

as desired.

We note that if  $b_3 = b_2$ , then

$$b_2 = b_3' = b_3'' = b_2' = c = b_2''$$
.

Since C is compact, it follows that we can choose  $\varepsilon$  small enough so that all the labeled points in Figure 1 except x lie in  $U_0$  whenever  $b_2$ ,  $b_3$  are points of C with dist $(b_2, b_3) < \varepsilon$ . Again by Desargues' Theorem,  $\langle \hat{a}, \hat{a}' \rangle$ ,  $\langle \hat{b}_2, \hat{b}'_2 \rangle$  and  $\langle \hat{b}'_3, \hat{b}''_3 \rangle$  are coincident. Thus

$$\hat{x}(a,b_2) = \langle \hat{a}, \hat{a}' \rangle \cap \langle \hat{b}_2, \hat{b}'_2 \rangle = \langle \hat{a}, \hat{a}' \rangle \cap \langle \hat{b}'_3, \hat{b}''_3 \rangle$$

$$= \langle \hat{a}, \hat{a}' \rangle \cap \langle \hat{b}_3, \hat{b}'_3 \rangle = \hat{x}(a,b_3).$$

It follows that  $\hat{x}(a, b_0) = \hat{x}(a, b_1)$ , which completes Step 1 for the case  $K = \mathbb{C}$ .

We now suppose that  $K = \mathbf{R}$ . (The proof must be modified for the case  $K = \mathbf{R}$ , since  $U_0 \setminus \langle a, x \rangle$  may not be connected.) We may assume without loss of generality that the line segment

$$C \stackrel{\text{def}}{=} \{ tb_0 + (1-t)b_1 : 0 \leqslant t \leqslant 1 \}$$

is contained in  $U_0$ . If  $C \cap \langle a, x \rangle = \emptyset$ , then we conclude that  $\hat{x}(a, b_0) = \hat{x}(a, b_1)$ , by the proof for the case  $K = \mathbb{C}$  above. On the other hand, if  $C \cap \langle a, x \rangle = b'$ , then

$$\hat{x}(b_0, a) = \hat{x}(b_0, b') = \hat{x}(b_0, b_1) = \hat{x}(b', b_1) = \hat{x}(a, b_1),$$

which completes Step 1 for the case  $K = \mathbf{R}$ .

We now write  $\hat{x} = \hat{x}(a, b) = \tilde{f}(x)$  for all  $x \in \mathbf{P}_K^2$ .

Step 2.  $\tilde{f}$  is a collineation.

Let x, y, z be collinear. We must show that  $\hat{x}, \hat{y}, \hat{z}$  are collinear. Choose collinear points  $a, b, c \in U_0 \setminus \langle x, y \rangle$ . Let a', b', c' be as in Figure 2 below. We note that if a = b = c, then a' = b' = c' = a. Thus we can choose distinct collinear  $a, b, c \in U_0 \setminus \langle x, y \rangle$  such that a', b', c' are in  $U_0$ . By moving the line  $\langle a, b \rangle$  slightly if necessary, we can assume further that  $x, y, z \notin \langle a, b \rangle$ , and hence a', b', c' are distinct. By Pappas' Theorem (see for example [Co, 4.41 and Fig. 4.4a]), a', b', c' are collinear. It further follows from the above that no four of the nine labeled points in Figure 2 are collinear. By the collinearity of f on U, the points  $\hat{a}, \hat{b}, \hat{c}$  are collinear and distinct, and the same is true for  $\hat{a}', \hat{b}', \hat{c}'$ ; furthermore, no four of the points  $\hat{a}, \hat{b}, \hat{c}, \hat{a}', \hat{b}', \hat{c}'$  are collinear. Hence  $\hat{x}, \hat{y}, \hat{z}$  are distinct, and thus  $\hat{f}$  is injective. Applying Pappas' Theorem again (with a, b, c, x, y, z, a', b', c' replaced by  $\hat{a}, \hat{b}, \hat{c}, \hat{a}', \hat{b}', \hat{c}', \hat{c}', \hat{c}', \hat{c}', \hat{c}', \hat{c}', \hat{c}', \hat{c}'$ , respectively), we conclude that  $\hat{x}, \hat{y}, \hat{z}$  are collinear.

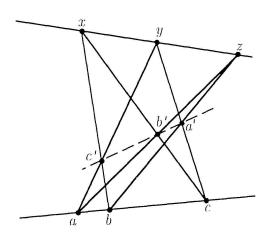


FIGURE 2

Finally, to show that  $\tilde{f}$  is surjective, let  $\chi \in \mathbf{P}_K^2$  be arbitrary. Choose points  $\alpha, \alpha', \beta, \beta' \in \hat{U}_0 = f(U_0)$  such that  $\chi = \langle \alpha, \alpha' \rangle \cap \langle \beta, \beta' \rangle$ . The points  $\alpha, \alpha', \beta, \beta'$  are the respective images of points  $a, a', b, b' \in U_0$ . If we set  $x = \langle a, a' \rangle \cap \langle b, b' \rangle$ , then  $\chi = \hat{\chi}$ .

Hence  $\tilde{f}$  is a collineation. The case n=2 then follows from Corollary 2.

STEP 3. The proof for n > 2.

Let n > 2. We easily see that f takes 2-planes in U to 2-planes in  $\hat{U}$ . Let  $L \in \mathcal{L}(U)$  be arbitrary. By applying the case n = 2 to a projective 2-plane containing L, we see that  $f|_{L \cap U}: L \cap U \to \hat{L} \cap \hat{U}$  is either projective-linear or anti-projective-linear. If  $f|_{L \cap U}$  is anti-projective-linear for one L, it must be anti-projective-linear for all L (by the case n = 2), so by replacing f with  $\bar{f}$  if necessary, we can assume that  $f|_{L \cap U}$  is projective-linear for all  $L \in \mathcal{L}(U)$ . Now fix  $a \in U$ . For  $x \in \mathbf{P}_K^n$ , define  $\hat{x} = T(x)$  where  $T: \langle a, x \rangle \to \langle \hat{a}, \hat{x} \rangle$  is the projective-linear transformation extending  $f|_{\langle a,x\rangle \cap U}$ . By applying the case n=2 to the plane determined by a,a',x(for an arbitrary point  $a' \notin \langle a, x \rangle$ ), we see that  $\hat{x}$  is independent of a. Thus we can define  $\tilde{f}(x) = \hat{x}$ . If x, y, z are collinear and  $a \notin \langle x, y \rangle$ , then the case n=2 applied to the plane determined by a, x, y implies that  $\hat{x}, \hat{y}, \hat{z}$ are collinear. The injectivity of  $\tilde{f}$  similarly follows from the case n=2. To show surjectivity, let  $\chi \in \mathbf{P}_K^n$  be arbitrary, and choose a point  $\alpha \in \langle \hat{a}, \chi \rangle$  $\cap \hat{U} \setminus \{\hat{a}\}\$ . Then  $\alpha$  is the image of a point  $a' \in U$  and  $\tilde{f}(\langle a, a' \rangle) = \langle \hat{a}, \alpha \rangle$ . Hence  $\chi \in \langle \hat{a}, \alpha \rangle \subset \text{image } \tilde{f}$ .

Thus  $\tilde{f}$  is a collineation. The conclusion of the lemma follows as before from Corollary 2.  $\square$ 

DEFINITION. A subset U of  $\mathbf{P}_{\mathbf{R}}^n$  or  $\mathbf{P}_{\mathbf{C}}^n$  is said to be *projectively convex* if  $L \cap U$  is connected for all projective lines  $L \in \mathcal{L}(U)$ . (Note that if  $U \subset \mathbf{R}^n \subset \mathbf{P}_{\mathbf{R}}^n$ , then U is projectively convex if and only if U is convex.) We use the following lemma to complete the proof of Theorem 3:

LEMMA 5. Let U be a projectively convex, open set in  $\mathbf{P}_K^n$ , where K denotes either  $\mathbf{R}$  or  $\mathbf{C}$ , and let  $\mathcal{L}_0$  be an open subset of  $\mathcal{L}(U)$  such that  $\bigcup \mathcal{L}_0 \supset U$ . Suppose that  $f: U \to \mathbf{P}_K^n$  is a continuous injective map such that  $f(L \cap U)$  is contained in a projective line for each  $L \in \mathcal{L}_0$ . Then  $f(L \cap U)$  is contained in a projective line for every  $L \in \mathcal{L}(U)$ .

*Proof.* We again write  $\hat{p} = f(p)$ , for  $p \in U$ . Let  $L \in \mathcal{L}(U)$  be arbitrary, and let  $x \in L \cap U$ . Since  $L \cap U$  is connected, it suffices to show that there is a neighborhood  $V \subset U$  of x such that  $\hat{x}, \hat{y}, \hat{z}$  are collinear whenever  $y, z \in L \cap V$ . Choose a line  $L_x \in \mathcal{L}_0$  containing x. We can assume that  $L_x \neq L$ , since otherwise we are done. Choose  $w \in L_x \cap U$ ,  $w \neq x$ . Next choose a neighborhood  $V \subset U$  of x such that  $\langle y, w \rangle \in \mathcal{L}_0$  for all  $y \in V$ .

Let  $y, z \in L \cap V$ . We must show that  $\hat{x}, \hat{y}, \hat{z}$  are collinear. We can assume that x, y, z are distinct points. Choose  $v \in L \cap V$  distinct from x, y, z (see Figure 3). Since  $\langle v, w \rangle \in \mathcal{L}_0$ , we can choose  $a \in L_x \setminus \{x, w\}$  sufficiently close to w so that the line  $L_a = \langle v, a \rangle \in \mathcal{L}_0$ . Let  $b = \langle y, w \rangle \cap L_a$ ,  $c = \langle z, w \rangle \cap L_a$ . By choosing a close enough to w, we can assume further that  $a, b, c \in U$  and the six lines

$$\langle x, b \rangle$$
,  $\langle x, c \rangle$ ,  $\langle y, a \rangle$ ,  $\langle y, c \rangle$ ,  $\langle z, a \rangle$ ,  $\langle z, b \rangle$ 

are in  $\mathcal{L}_0$ . Let a', b', c' be as in Figure 3. Since all the points and lines of Figure 3 lie in a plane, we can use Desargues' Theorem to conclude that v, a', b', c' are collinear. Write  $L' = \langle v, c' \rangle$ ; thus  $a', b' \in L'$ . Since a', b', c' (as well as b, c) converge to w as  $a \to w$ , by choosing a sufficiently close to w we can assume also that  $a', b', c' \in U$  and  $L' \in \mathcal{L}_0$ . Since all the labeled points in Figure 3 lie in U and all the lines in Figure 3 except L are in  $\mathcal{L}_0$ , we conclude that the f-images of the points in Figure 3 lie in the plane determined by the image lines  $\widehat{L}_a$  and  $\widehat{L}_x$ . We now apply Pappas' Theorem to the image to conclude (as in Step 2 of the proof of Lemma 4) that  $\hat{x}, \hat{y}, \hat{z}$  are collinear.  $\square$ 

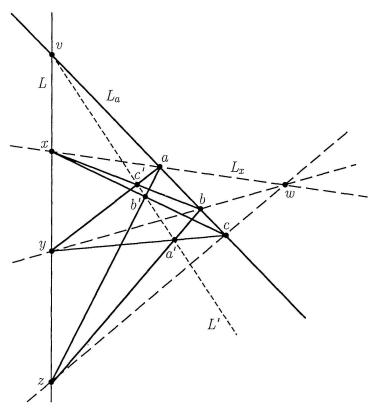


FIGURE 3

*Proof of Theorem 3.* Choose a sequence  $\{U_1, U_2, ...\}$  of projectively convex, open subsets of U such that  $U = \bigcup_{j=1}^{\infty} U_j$  and  $U_1 \cup \cdots \cup U_j$  is connected for each  $j \ge 1$ . If  $K = \mathbb{R}$ , let  $G = \operatorname{PGL}(n+1, \mathbb{R})$ ; if  $K = \mathbb{C}$ ,

let  $G = \{e, \tau\} \cdot \operatorname{PGL}(n+1, \mathbf{C})$ , where  $\tau \colon \mathbf{P}_{\mathbf{C}}^n \to \mathbf{P}_{\mathbf{C}}^n$  is given by  $\tau(z) = \bar{z}$  and e is the identity map. By Lemmas 5 and 4 applied to the restrictions  $f|_{U_j}$ , there are transformations  $A_j \in G$  such that  $f|_{U_j} = A_j|_{U_j}$ . Since an element of G is uniquely determined by its values on a nonempty open subset of  $\mathbf{P}_K^n$  and  $(U_1 \cup \cdots \cup U_j) \cap U_{j+1} \neq \emptyset$ , it follows by induction that  $A_j = A_1$  for all j. Hence  $f = A_1|_U$ .

## 3. THE POINCARÉ-TANAKA AND CHERN-JI THEOREMS

The Segre family  $\mathcal{M}_{B_n}$  mentioned in the introduction has the projective analogue

$$\mathcal{M}_{K}^{n} = \{(z, w) \in \mathbf{P}_{K}^{n} \times \mathbf{P}_{K}^{n} : \sum_{j=0}^{n} z_{j} w_{j} = 0\}.$$

(In fact  $\mathcal{M}_K^n$  is a compactification of  $\mathcal{M}_{B_n}$ ; see the proof of Corollary 8.) We let  $\pi_i : \mathbf{P}_K^n \times \mathbf{P}_K^n \to \mathbf{P}_K^n$  denote the projection to the *i*-th factor, for i=1,2. The main result of this section is the following generalization of the Chern-Ji theorem [CJ, Theorem 2]; our generalization says that a pair of local homeomorphisms of  $\mathbf{P}_K^n$  ( $K=\mathbf{R}$  or  $\mathbf{C}$ ) mapping  $\mathcal{M}_K^n$  into itself must be projective-linear, or possibly anti-projective-linear (if  $K=\mathbf{C}$ ):

THEOREM 6. Let  $(a^1, a^2) \in \mathcal{M}_K^n$ , where  $K = \mathbf{R}$  or  $\mathbf{C}$ ,  $n \geq 2$ . Let  $U_1, U_2$  be open sets in  $\mathbf{P}_K^n$  containing  $a^1, a^2$  respectively, and let  $V_i$  be the connected component of  $\pi_i(\mathcal{M}_K^n \cap U_1 \times U_2)$  containing  $a_i$ , for i = 1, 2. If  $f_i: U_i \to \mathbf{P}_K^n$  (i = 1, 2) are continuous injective maps such that

$$(f_1 \times f_2) (\mathcal{M}_K^n \cap U_1 \times U_2) \subset \mathcal{M}_K^n$$
,

then there exists  $A \in PGL(n+1, K)$  such that

- (i)  $f_1 = A$  on  $V_1$  and  $f_2 = {}^t A^{-1}$  on  $V_2$ , if  $K = \mathbf{R}$ ,
- (ii) either (i) holds or  $\bar{f}_1 = A$  on  $V_1$  and  $\bar{f}_2 = {}^t A^{-1}$  on  $V_2$ , if  $K = \mathbb{C}$ .

REMARK. If the sets  $\pi_i(\mathcal{M}_K^n \cap U_1 \times U_2)$  are connected, then  $V_i = \pi_i(\mathcal{M}_K^n \cap U_1 \times U_2)$  and we have  $\mathcal{M}_K^n \cap U_1 \times U_2 = \mathcal{M}_K^n \cap V_1 \times V_2$ . In fact, if we assume that only one of the projections  $\pi_1(\mathcal{M}_K^n \cap U_1 \times U_2)$  is connected, then by the uniqueness of A it follows that the conclusion of Theorem 6 holds with  $V_i = \pi_i(\mathcal{M}_K^n \cap U_1 \times U_2)$ , for i = 1, 2.