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AND PROGRESSION-FREE SEQUENCES OF INTEGERS

Autor: Allouche, Jean-Paul / Bacher, Roland
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in [11], [26] and [12]). But nothing is known for the case where k is not prime (see [12]).

Let us define for every integer  $k \ge 3$ ,  $(U_k(n))$  as the increasing sequence of the integers without the digit k-1 in their base-k expansion. It is not difficult to obtain:

(\*) 
$$\forall j \in [0, k-2], \quad U_k((k-1)n+j) = kU_k(n)+j.$$

If one considers the sequence of first differences of, say  $U_3$ , one obtains the sequence:

This sequence resembles somewhat the paperfolding sequence, (except that it takes infinitely many values), which gives the idea of the following easy proposition:

PROPOSITION. Let k be an integer greater than or equal to 3, define the sequence  $(U_k(n))$  by (\*). Let  $D_k(n) = U_k(n+1) - U_k(n)$ . Finally let  $g_k$  be defined on  $\mathbb{N} \cup \{\omega\}$  by  $g_k(x) = kx - k + 2$  if x is in  $\mathbb{N}$  and  $g_k(\omega) = \omega$ .

Then

$$D_k = Tt((1^{k-2}\omega)^{\infty}, g_k),$$

(see notations in paragraph 1).

*Proof.* From the definition of  $U_k$ , one has

$$D_k((k-1)n+j)=1$$
 for every  $j$  in  $[0, k-3]$  and every integer  $n$ , 
$$D_k((k-1)n+k-2)=kD_k(n)-(k-2)=g_k(D_k(n))$$
 for every integer  $n$ .

Remark. For a very curious occurrence of the sequence  $U_k$  see [19].

## 6. MISCELLANEOUS QUESTIONS

In this paragraph we first give some other examples of naturally occurring Toeplitz sequences. Second we shall study the connections with automatic sequences.

- 1) Among other examples of Toeplitz transforms let us give three natural sequences:
- Let p be a prime number, and  $v_p(n)$  be the highest power of p dividing n. Let  $U(n) = v_p(n+1)$ , and let f be the function defined

over  $\mathbb{N} \cup \{\omega\}$  by f(x) = x + 1 for every integer x and  $f(\omega) = \omega$ . Then:  $U = Tt((0^{p-1}\omega)^{\infty}, f)$ .

- Define, for  $n \ge 1$ , Q(n) = 1 if n is the sum of three squares, and Q(n) = 0 otherwise. Then  $Q = Tt((111\omega 110\omega)^{\infty}, id)$ .
- Let C(n) be the van der Corput sequence (see [8]), used in the theory of distribution modulo 1 and defined by:

if 
$$n = \sum_{i \ge 0} b_i(n) 2^i$$
, where  $b_i$  is 0 or 1, then  $C(n) = \sum_{i \ge 0} b_i(n) 2^{-i-1}$ .

Let V(n) = C(n+1) - C(n) be the difference sequence of C. Let finally h be defined on the rational numbers by  $h(x) = \frac{x-1}{2}$  and at  $\omega$  by  $h(\omega) = \omega$ .

Then one has 
$$V = Tt\left(\left(\frac{1}{2}\omega\right)^{\infty}, h\right)$$
.

The first and second proofs are left to the reader; a hint for the third proof is that  $C(2n) = \frac{C(n)}{2}$  and  $C(2n+1) = \frac{(1+C(n))}{2}$ .

- 2) The interested reader can find in [27] a beautiful continued fraction expansion for  $\psi(1)$  where  $\psi$  is the Carlitz exponential function for  $F_2[T]$ , and he will certainly recognize a Toeplitz transform hidden in this expansion.
- 3) Actually all sequences given so far are either q-automatic (see [6] or [2]) or q-regular (see [5]). Let us recall that a sequence (U(n)) is said to be q-automatic if its q-kernel (i.e. the set of subsequences  $n \to U(q^k n + r)$  of the sequence U, where  $k \ge 0$  and  $0 \le r \le q^k 1$ ) is finite. A sequence U with values in a noetherian ring R is said to be q-regular if its q-kernel spans an R-module of finite type.

If one takes the regular paperfolding sequence A, it is not hard to check that ist 2-kernel is finite and equal to  $\{A, (01)^{\infty}, (0)^{\infty}, (1)^{\infty}\}$ , hence the sequence A is 2-automatic.

In which case does a periodic sequence B with values in a finite alphabet give rise to an automatic Toeplitz transform? We give the following answer to this question:

THEOREM. Let B be a periodic sequence of period T with values in  $\Gamma = \{a_1, a_2, \dots, a_r, \omega\}$ , such that  $B(0) \neq \omega$ . Denote by d the cardinality of the set  $\{h \in [0, T-1] \mid B(h) = \omega\}$ . If  $d \geqslant 1$  and d divides T, then Tt(B, id) is (T/d)-automatic.

*Proof.* Let A = Tt(B, id). Denote by  $h_0 < h_1 < h_2 < \cdots$  the strictly increasing sequence of the integers to which B assigns  $\omega$ . Let  $h_0 < h_1 < \cdots < h_{d-1}$  be the values of  $h_j$  which belong to [0, T-1]. It readily follows from the definition of A that A can be recursively defined by

$$\forall n \notin \{h_0, h_1, \dots\}$$
  $A(n) = B(n),$   
 $\forall n \geqslant 0,$   $A(h_n) = A(n).$ 

Moreover it is not hard to check that

$$\forall n \geqslant 0$$
,  $\forall j \in [0, d-1]$ ,  $h_{dn+j} = h_j + Tn$ .

Hence

$$\forall n \geqslant 0$$
,  $\forall j \in [0, d-1]$ ,  $\forall a \in [0, d-1] - \{h_0, h_1, \dots, h_{d-1}\}$ ,  $A(h_j + Tn) = A(h_{dn+j}) = A(dn+j)$ ,  $A(a + Tn) = B(a + Tn) = B(a)$ .

Now let us define the set of sequences S with values in  $\Gamma - \{\omega\}$  by: U is an element of S if and only if for every  $j = 0, 1, \dots, d - 1$ , the sequence  $n \to U(dn+j)$  is either constant (hence the constant is an element of  $\Gamma - \{\omega\}$ ), or of the form  $n \to A(dn+k)$  for some  $k = k(j) \in [0, d-1]$ . Notice that the set S is finite as it contains at most (Card  $\Gamma - 1 + d$ ) delements. Let e = T/d. To prove that the e-kernel of the sequence A is finite, it suffices to prove that the set S is stable under the maps  $(X(n)) \to (X(en+r))$  for every r in [0, e-1] (note that the sequences  $n \to A(q^k n + r)$ ,  $k \ge 0$ ,  $0 \le r \le q^k - 1$  are obtained from the sequence  $n \to A(n)$  by applying finitely many such maps, and that the sequence A itself belongs to S).

So let us take a sequence X in the set S, and an element r in [0, e-1]. Let W(n) = X(en+r). To prove that W is in S, one computes W(dn+j) for every j in [0, d-1]: W(dn+j) = X(e(dn+j)+r) = X(d(en)+ej+r), (note that  $ej+r \le e(d-1)+e-1=T-1$ ). Define a and b by ej+r=10 by ej+r=11. With a2 in a3 in a4 by a5 in a5 in a5 in a6 in a7 in a8 in a9 in a9

$$W(dn+j) = X(den+ej+r) = X(d(en+a)+b).$$

As X belongs to S, the sequence (X(dn+b)) is either constant or equal to the sequence (A(dn+c)) for a certain c in [0, d-1]. Hence (X(d(en+a)+b)) is either constant or equal to the sequence (A(d(en+a)+c))) = (A(Tn+ad+c)). But in turn the sequence (A(Tn+ad+c)) is either constant (if ad+c is not one of the  $h_j$ 's), or equal to the sequence  $(A(Tn+h_u)) = (A(dn+u))$  (if  $ad+c=h_u$ , hence  $u \le d-1$  because  $ad+c \le T-1$ ).

Finally one has (W(dn+j)) = (X(den+ej+r)) = (X(d(en+a)+b)) is either constant or equal to the sequence (A(dn+u)), hence W belongs to S, which concludes the proof.

4) One can notice that the definition of Tt(B, id) can be rewritten without supposing that B is periodic. One can then ask whether the Toeplitz transform of an automatic sequence is still automatic (see [1] for a particular case):

PROPOSITION. Let B be a (non necessarily periodic) sequence on the alphabet  $\Gamma$  such that the set of h for which  $B(h) = \omega$  is exactly the set  $\{qn + b; n \ge 0\}$ , where q and b are two natural numbers  $(q \ge 2)$  and 0 < b < q.

Then Tt(B, id) is q-automatic if and only if B is itself q-automatic. If the set  $B^{-1}(\omega)$  is not of the previous kind, the result need not hold.

*Proof.* Let A = Tt(B, id). Then, as usual,

$$\forall n \notin \{qk + b ; k \ge 0\}$$
  $A(n) = B(n),$   
 $\forall n \ge 0$   $A(qn + b) = A(n).$ 

From the first relation one has

$$\forall j \in [0, q-1] - \{b\}, \quad A(qn+j) = B(qn+j).$$

Moreover  $B(qn + b) = \omega$ .

If A is q-automatic, so are the sequences (A(qn+j)), hence so are all the sequences (B(qn+j)) for j in  $[0, q-1]-\{b\}$ ; but the sequence (B(qn+b)) is constant, hence q-automatic. Finally all the sequences (B(qn+j)) are q-automatic for j in [0, q-1], and this implies the q-automaticity of the sequence B itself.

If B is q-automatic, let K be its q-kernel (remember this is the set of subsequences  $\{n \to B(q^k n + r); k \ge 0, 0 \le r \le q^k - 1\}$ ). K is finite. It is clear that the q-kernel of A is included in  $K \cup \{A\}$ , hence also finite, thus A is a q-automatic sequence.

Finally we give an example of a 2-automatic sequence which does not satisfy the condition on  $B^{-1}(\omega)$ , and for which Tt(B, id) is not 2-automatic. Indeed define B by:

$$B(2^n) = \omega \quad \forall n \geqslant 1,$$
  
 $B(k) = 1$  if  $k$  is odd,  
 $B(k) = 0$  if  $k$  is even and not in  $\{2, 4, 8, 16, \dots\}.$ 

One easily computes

$$B(2n) = \omega$$
 if  $n \in \{1, 2, 4, 8, \dots\}$ ,  
 $B(2n) = 0$  otherwise,  
 $B(2n+1) = 1$   $\forall n \ge 0$ ,  
 $B(4n) = B(2n)$   $\forall n \ge 0$ ,  
 $B(4n+2) = \omega$  if  $n = 0$ ,  
 $B(4n+2) = 0$   $\forall n \ge 1$ ,  
 $B(8n+2) = B(4n+2)$   $\forall n \ge 0$ ,  
 $B(8n+6) = 0$   $\forall n \ge 0$ .

Hence the sequence B is 2-automatic (its kernel contains 5 elements). Note that A = Tt(B, id) satisfies

$$A(n) = 1$$
 if  $n$  is odd,  
 $A(2^n) = A(n)$   $\forall n \ge 1$ ,  
 $A(n) = 0$  if  $n$  is even and not in  $\{2, 4, 8, \dots\}$ .

If A were a 2-automatic sequence, it is well known that the sequence  $A(2^n)$  would be ultimately periodic; hence from the second relation the sequence A itself would be ultimately periodic. Taking j large enough, and looking at  $A(2^j + 1)$ ,  $A(2^j + 2)$ ,  $\cdots$ ,  $A(2^{j+1} - 1)$ , one sees that A ends ultimately by  $010101 \cdots$  (or by  $101010 \cdots$ , which is the same!). But for a huge odd number u one has  $A(2^u) = A(u) = 1$ , and  $A(2^u + 1) = 1$ , which yields the desired contradiction.

Remark. A recent paper studies the ergodic properties of the generalized Rudin-Shapiro sequences (in the sense of [6]) using the Toeplitz device: A criterion for Toeplitz flows to be topologically isomorphic and applications, J. Kwiatkowski and Y. Lacroix, preprint, 1991.

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