L'Enseignement Mathématique
Commission Internationale de l'Enseignement Mathématique
36 (1990)
1-2: L'ENSEIGNEMENT MATHÉMATIQUE
MANIN'S PROOF OF THE MORDELL CONJECTURE OVER FUNCTION FIELDS
Coleman, Robert F.
3. Sections of a family and extensions of connections
https://doi.org/10.5169/seals-57915

### Nutzungsbedingungen

Die ETH-Bibliothek ist die Anbieterin der digitalisierten Zeitschriften auf E-Periodica. Sie besitzt keine Urheberrechte an den Zeitschriften und ist nicht verantwortlich für deren Inhalte. Die Rechte liegen in der Regel bei den Herausgebern beziehungsweise den externen Rechteinhabern. Das Veröffentlichen von Bildern in Print- und Online-Publikationen sowie auf Social Media-Kanälen oder Webseiten ist nur mit vorheriger Genehmigung der Rechteinhaber erlaubt. <u>Mehr erfahren</u>

#### **Conditions d'utilisation**

L'ETH Library est le fournisseur des revues numérisées. Elle ne détient aucun droit d'auteur sur les revues et n'est pas responsable de leur contenu. En règle générale, les droits sont détenus par les éditeurs ou les détenteurs de droits externes. La reproduction d'images dans des publications imprimées ou en ligne ainsi que sur des canaux de médias sociaux ou des sites web n'est autorisée qu'avec l'accord préalable des détenteurs des droits. <u>En savoir plus</u>

#### Terms of use

The ETH Library is the provider of the digitised journals. It does not own any copyrights to the journals and is not responsible for their content. The rights usually lie with the publishers or the external rights holders. Publishing images in print and online publications, as well as on social media channels or websites, is only permitted with the prior consent of the rights holders. <u>Find out more</u>

### Download PDF: 19.08.2025

ETH-Bibliothek Zürich, E-Periodica, https://www.e-periodica.ch

connection is the trivial connection on this module. Moreover, it is easy to show that the sequence (2.1) is horizontal with respect to the respective Gauss-Manin connections.

Suppose now that S is an affine curve over K and  $Z = \emptyset$ . Then the short exact sequence (2.2) becomes

$$0 \to f^*\Omega^1_S \otimes \Omega^{\boldsymbol{\cdot}}_{X/S}(-1) \to \Omega^{\boldsymbol{\cdot}}_{X/S} \to \Omega^{\boldsymbol{\cdot}}_{X/S} \to 0 \ .$$

Taking cohomology of this sequence yields the Leray long exact sequence

$$(2.3) \qquad \dots \to H^{i}_{DR}(X/S) \xrightarrow{\nabla} \Omega^{1}_{S} \otimes H^{i}_{DR}(X/S) \to H^{i+1}_{DR}(X) \to H^{i+1}_{DR}(X/S) \xrightarrow{\nabla} \dots$$

# 3. SECTIONS OF A FAMILY AND EXTENSIONS OF CONNECTIONS

Suppose now S is a smooth connected affine curve over a field K of characteristic zero and  $f: X \to S$  is a smooth proper morphism of schemes over K, with geometrically connected fibers. These assumptions will be in force throughout the remainder of this paper. Suppose Z is a closed subscheme of X finite over S. Suppose the normalization  $n: \tilde{Z} \to Z$  of Z is smooth over S. After repeated blowing ups at closed points we find a scheme  $m: \tilde{X}' \to X$ , which contains  $\tilde{Z}$  and is such that the restriction of m to  $\tilde{Z}$  is n. Let  $\tilde{X}$  equal the complement in  $\tilde{X}'$  of the singular locus of  $\tilde{X}'/S$ . This locus is a closed subscheme of  $\tilde{X}'$  disjoint from  $\tilde{Z}$ . The long exact sequence 2.1 becomes

(3.1) 
$$0 \to K[S] \to K[\tilde{Z}] \to H^1_{DR}(\tilde{Z}/S, \tilde{Z}) \to H^1_{DR}(\tilde{X}/S) \to 0$$

Let *H* denote the pullback of  $H_{DR}^1(\tilde{X}/S, \tilde{Z})$  by means of the horizontal monomorphism from  $H_{DR}^1(X/S)$  into  $H_{DR}^1(\tilde{X}/S)$ . We claim that *H* is independent of the choice of  $\tilde{X}$ . Indeed, there exists a non-empty affine open subscheme *S'* of *S* such that the map from  $\tilde{X} \times_S S'$  to  $X' = :X \times_S S'$  is an isomorphism. If  $Z' = Z \times_S S'$ , then *Z'* is smooth over *S'* and it is easy to see that  $H \otimes K[S'] \cong H_{DR}^1(X'/S', Z')$ . Hence *H* is an extension of the connection  $H_{DR}^1(X'/S', Z')$  on *S'* to a connection on *S*. Since such an extension is unique if it exists, it follows that *H* is independent of the choice of  $\tilde{X}$  and so we set  $H_{DR}^1(X/S, Z) = H$ . We obtain from the previous exact sequence, a natural exact sequence

 $0 \to K[S] \to K[\tilde{Z}] \to H^1_{DR}(X/S, Z) \to H^1_{DR}(X/S) \to 0 \ .$ 

For a section s of X/S, we will also use s to denote the induced reduced closed subscheme s(S) of X when convenient. Now suppose s and t are two distinct sections of X/S. Let  $Z = s \cup t$ . Then  $\tilde{Z}$ , the normalization of Z, is just two disjoint copies of S and so is étale over S. (The sections s and t

induce maps from S to  $\tilde{Z}$  which we denote by the same names.) The map  $t^* - s^*: K[\tilde{Z}] \to K[S]$  is horizontal, surjective and its kernel is the image of K[S] under the map in (3.1). Hence we obtain a horizontal exact sequence

$$0 \to K[S] \to H^1_{DR}(X/S, Z) \to H^1_{DR}(X/S) \to 0$$

and so an extension of  $H_{DR}^1(X/S)$  by the trivial connection. We let E(s, t) denote this extension if  $s \neq t$  and E(s, s) denote the trivial extension of  $H_{DR}^1(X/S)$  by K[S]. We call the class of E(s, t) in  $Ext(H_{DR}^1(X/S), K[S])$ M(s, t).

PROPOSITION 1.3.1. Suppose r, s, t are sections of X/S. Then

M(r, t) = M(r, s) + M(s, t).

In particular, M(r, s) = -M(s, r).

**Proof.** If r, s and t are not distinct the proposition is obvious from the definitions. Therefore suppose that r, s and t are distinct. If T is a subset of  $\{r, s, t\}$  let  $Z_T = \bigcup_{u \in T} u$ . Either by replacing X by  $\tilde{X}$  or by shrinking S and using Corollary 1.1.3 we may assume that  $Z_{\{r,s,t\}}$  is étale over S. Let  $\mathscr{F}_T$  denote the complex  $\Omega_{X/S,Z_T}$ . We set  $H(T) = H_{DR}^1(X/S, Z_T)$ . Then from the exact sequence of complexes

$$0 \to \mathcal{F}_{\{r,s,t\}} \to \mathcal{F}_{\{r,s\}} \otimes \mathcal{F}_{\{s,t\}} \to \mathcal{F}_{\{s\}} \to 0$$

(where the first map is the diagonal and the last is the difference) we obtain an exact sequence

$$0 \to H(r, s, t) \to H(r, s) \oplus H(s, t) \to H(s)$$

moreover,  $H(s) \cong H_{DR}^1(X/S)$  and the last map is the difference of the maps from H(r, s) and from H(s, t) to  $H_{DR}^1(X/S)$  (and is, in particular, a surjection).

Next from the exact sequence of complexes

$$0 \to \mathcal{F}_{\{r,s,t\}} \to \mathcal{F}_{\{r,t\}} \to \mathcal{S} \to 0$$

where  $\mathscr{S}$  is the complex  $(\mathscr{I}_{\{r,t\}}/\mathscr{I}_{\{r,s,t\}}\to 0\to\ldots)\cong (K[S]\to 0\to\ldots)$  we obtain an exact sequence

$$0 \to K[S] \to H(r, s, t) \to H(r, t) \to 0$$

Moreover the first map is the composition of the map from  $K[Z_{\{r,s,t\}}]$  into H(r, s, t) and the map h from K[S] into  $K[Z_{\{r,s,t\}}]$  characterized by  $r^*h(f) = t^*h(f) = 0$  and  $t^*h(f) = f$ . It follows from this that H(r, t) is the

Baer sum of H(r, s) and H(s, t). Since all the maps discussed above are horizontal this statement is true on the level of connections as well. This proves the proposition.  $\Box$ 

Suppose X' is a smooth scheme over S and  $g: X' \to X$  is an S-morphism. Then the natural map  $g^*: H^1_{DR}(X/S) \to H^1_{DR}(X/S)$  induces a natural map  $g^*: \operatorname{Ext}(H^1_{DR}(X'/S), K[S]) \to \operatorname{Ext}(H^1_{DR}(X/S), K[S])$ . By the naturality of all our constructions we have:

PROPOSITION 1.3.2. Suppose X'/S has geometrically connected fibers and s and t are two sections of X'/S. Then

$$M(g \circ s, g \circ t) = g^*M(s, t) .$$

Suppose  $X_0$  is a smooth connected scheme over K and  $X = S \times_K X_0$ . Then

 $(\Omega^{\cdot}_{X/S}, d_{X/S}) \cong K[S] \otimes (\Omega^{\cdot}_{X_0/K}, d_{X_0/K})$ 

and so in particular,

$$H^1_{DR}(X/S) \cong K[S] \otimes H^1_{DR}(X_0/K)$$

and the Gauss-Manin connection

$$\nabla: H^1_{DR}(X/S) \to \Omega^1_S \otimes_{K[S]} H^1_{DR}(X/S)$$

is (d, id). If  $H = H_{DR}^1(X/S)$ , it follows from this that

$$\operatorname{Ext}(H, K[S]) \cong H^{1}(\check{H}, \check{\nabla}) \cong \operatorname{Hom}_{K}(H^{1}_{DR}(X_{0}/K), H^{1}_{DR}(S/K)) .$$

Explicitly, this last isomorphism can be described as follows:

if 
$$h \in \operatorname{Hom}(H, \Omega^1_S) \cong \Omega^1_S \otimes \check{H}$$
,

then  $h \mod \check{\nabla} \check{H}$  goes to the map  $(\omega \in H^1_{DR}(X_0/K) \to h(1 \otimes \omega) \mod dK[S])$ .

PROPOSITION 1.3.3. Suppose  $X_0$  is a smooth connected scheme over K and  $X = S \times_K X_0$ . Suppose u and v are two morphisms from S to  $X_0$  and s = (id, u) and t = (id, v). Then M(s, t) is  $v^* - u^*$  as an element of  $\operatorname{Hom}_K(H^1_{DR}(X_0/K), H^1_{DR}(S/K))$ .

*Proof.* We may suppose that  $s \cap t = \emptyset$ . Let  $Z = s \cup t$ . Suppose  $h: H_{DR}^1(X/S) \to H_{DR}^1(X/S, Z)$  is a section. Let  $(\{\omega_U\}, \{f_{U,V}\})$  be a one-hypercocycle for  $(\Omega_{X_0/K}, d_{X_0/K})$  and  $[\omega]$  the image the class of  $1 \otimes (\{\omega_U\}, \{f_{U,V}\})$ in  $H_{DR}^1(X/S)$ . Then  $\nabla[\omega] = 0$ . We wish to compute  $\nabla h([\omega]) - h(\nabla[\omega])$  $= \nabla h([\omega])$ . We will abuse notation and identify  $\omega_U$  with  $1 \otimes \omega_U$  in  $\Omega_X^1(U)$ and  $f_{U,V}$  with  $1 \otimes f_{U,V}$  in  $\mathscr{P}_X(U \cap V)$ . Let  $\overline{\omega}_U$  denote the image of  $\omega_U$  in  $\Omega_{X/S}^1(U)$ . Then  $h([\omega])$  is the class of

$$(\{\tilde{\omega}_U - d_{X/S}g_U\}, \{f_{U,V} - (g_U - g_V)\})$$

for some one-chain  $\{g_U\}$  with coefficients in  $\mathscr{P}_X$  such that

 $s^* f_{U,V} = u^* f_{U,V} = s^* (g_U - g_V)$  and  $t^* f_{U,V} = v^* f_{U,V} = t^* (g_U - g_V)$ .

Let  $\eta_U = \omega_U - dg_U$ . Now

$$s^*\eta_U - s^*\eta_V = s^*df_{U,V} - s^*d(g_U - g_V) = 0$$

by the conditions that  $\{g_U\}$  must satisfy and the fact that  $(\{\omega_U\}, \{f_{U,V}\})$  is a hypercocycle. Similarly,  $t^*\eta_U - t^*\eta_V = 0$ . Let  $\eta_s$  and  $\eta_t$  be the the elements of  $\Omega_s^1$  determined by the cocycles  $\{s^*\eta_U\}$  and  $\{t^*\eta_U\}$  respectively.

Now to compute  $\nabla h([\omega])$  we must lift  $\bar{\omega}_U - d_{X/S}g_U$  to a section of  $\Omega^1_{X,Z}$ . Let  $e_{s,U}$  and  $e_{t,U}$  be elements of  $\mathscr{P}_X(U)$  such that  $s^*e_{s,U} = 1 t^*e_{t,U} = 0$ ,  $t^*e_{t,U} = 1$  and  $s^*e_{t,U} = 0$ . These elements exist since Z is étale over S. Then  $\eta_U - (e_{s,U}\eta_s + e_{t,U}\eta_t)$  is such a lifting. To compute  $\nabla h([\omega])$  we must take the hyper-coboundary of  $(\{\eta_U - (e_{s,U}\eta_s + e_{t,U}\eta_t)\}, \{f_{U,V} - (g_U - g_V)\})$ . It is

$$(\{\eta_s \otimes d_{X/S} e_{s, U} + \eta_t \otimes d_{X/S} e_{t, U}\}, \{\eta_s \otimes (e_{s, U} - e_{s, V}) + \eta_t \otimes (e_{t, U} - e_{t, V})\}, 0).$$

The class of this hypercocycle is the image of

$$\eta_t - \eta_s \in \Omega^1_S$$
 in  $\Omega^1_S \otimes H^1_{DR}(X/S, Z)$ 

(recall that we've determined a map of K[S] into  $H_{DR}^1(X/S, Z)$ ). Hence  $\nabla h([\omega]) = \eta_t - \eta_s$ .

The proposition now follows from the fact that

$$(\{\eta_s + ds^*g_U\}, \{s^*g_U - s^*g_V\}) = u^*(\{\omega_U\}, \{f_{U,V}\})$$

and

$$(\{\eta_t + dt^*g_U\}, \{t^*g_U - t^*g_V\}) = v^*(\{\omega_U\}, \{f_{U,V}\}). \qquad \Box$$

COROLLARY 1.3.4. If, in the above, u and v are constant, then M(s, t) = 0.

## 4. ABELIAN SCHEMES

Suppose now that A is an Abelian scheme over S. Let  $m: A \times_S A \to A$  be the addition law and e the zero section. For  $s, t \in A(S)$ , let M(s) = M(e, s) and s + t = m(s, t).

THEOREM 1.4.1. The map M from A(S) to  $Ext(H_{DR}^1(A/S), K[S])$  is a homomorphism.