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CONNECTED SOLVABLE AFFINE ALGEBRAIC GROUPS

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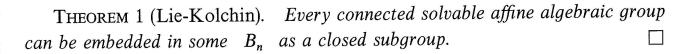
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The group of all invertible $n \times n$ upper triangular matrices will be denoted by B_n . Its subgroup consisting of all diagonal matrices is denoted by D_n . We have $B_n = U_n \times D_n$ where U_n is the closed connected subgroup of B_n consisting of all unipotent elements of B_n .

We start with some preliminary facts.



COROLLARY. If G is a connected solvable affine group then $G' \subset G_n$.

Theorem 2 (Chevalley). If N is a closed normal subgroup of an affine group G then there exists a homomorphism $f: G \to GL_n(k)$ such that Ker f = N.

For the proofs of Theorems 1 and 2 see, for instance, [5, Theorems 6.7 and 5.1.3].

Lemma 1. If $f: G \to H$ is a surjective homomorphism of affine algebraic groups and $N:= \operatorname{Ker} f$ then:

- (i) $f(G^0) = H^0$;
- (ii) $f(G_u) = H_u$ and $f(G_s) = H_s$;
- (iii) $\dim G = \dim N + \dim H$;
- (iv) If N and H are connected then G is connected.

Proof. For the proofs of (i) and (iii) see for instance [4, Section 7.4]. (ii) follows from the fact that f preserves the Jordan decomposition [4, Theorem 2.4.8]. We shall sketch the proof of (iv). Since N is connected, we have $N \subset G^0$. By (i) we have $f(G^0) = H^0 = H$, and consequently $G = NG^0 = G^0$.

We need a lemma to prove the centralizer theorem. For a more general version of this lemma see [2, Proposition (9.3)].

LEMMA 2. Let N be a closed normal connected abelian unipotent subgroup of an affine group G and let $s \in G_s$. Then $M := \{sus^{-1}u^{-1} : u \in N\}$ is a closed connected subgroup of N, the multiplication map $\mu : M \times Z_N(s) \to N$ is bijective, and $Z_N(s)$ is connected.

Proof. Since N is abelian, the map $f: N \to N$, defined by $f(u) = sus^{-1}u^{-1}$, is a morphism of algebraic groups whose kernel is $Z_N(s)$ and image M, so M is a closed connected subgroup of N. If $x \in M \cap Z_N(s)$ then $x = sus^{-1}u^{-1}$ for some $u \in N$. Since $usu^{-1} = x^{-1}s = sx^{-1}$ is semi-simple and x is unipotent, the uniqueness of the Jordan decomposition implies that x = 1. Hence $M \cap Z_N(s) = 1$ and so μ is injective. By Lemma 1 (iii) we have dim $N = \dim M + \dim Z_N(s)$, which implies that the homomorphism μ is also surjective, i.e., $MZ_N(s) = N$. The same argument shows that $MZ_N(s)^0 = N$, and so $Z_N(s)$ must be connected.

Theorem 3. If G is a connected solvable affine group and $s \in G_s$ then $Z_G(s)$ is connected and $G = G_u Z_G(s)$.

Proof. We use induction on dim G. If G is abelian the assertions are trivial. Otherwise let N be the last non-trivial term of the derived series of G. By the Corollary of Theorem 1, N is unipotent. We now apply Theorem 2 to this G and N. Let f be as in that theorem. We shall write \bar{x} for f(x) and \bar{G} for f(G).

Let $z \in G$ be such that $\bar{z} \in Z_{\bar{G}}(\bar{s})$. Then $szs^{-1}z^{-1} \in N$. By Lemma 2 there exists $u \in N$ and $v \in Z_N(s)$ such that $szs^{-1}z^{-1} = sus^{-1}u^{-1} \cdot v$. Since v commutes with u and s, and $zsz^{-1} = v^{-1} \cdot usu^{-1}$, it follows that v = 1. Thus $u^{-1}z \in Z_G(s)$ and consequently we have a short exact sequence

$$1 \to Z_N(s) \hookrightarrow Z_{\bar{G}}(s) \to Z_{\bar{G}}(\bar{s}) \to 1$$
.

By Lemma 2, $Z_N(s)$ is connected. By Lemma 1 (iii) we have dim $\bar{G} < \dim G$. By induction hypothesis, we conclude that $Z_{\bar{G}}(\bar{s})$ is connected and that $\bar{G} = (\bar{G})_u \cdot Z_{\bar{G}}(\bar{s})$. Now Lemma 1 (iv) implies that $Z_G(s)$ is connected. By part (ii) of the same lemma we have $f(G_u) = (\bar{G})_u$ and so $f(G_uZ_G(s)) = (\bar{G})_uZ_{\bar{G}}(\bar{s}) = \bar{G}$. Since $N \subset G_u$, it follows that $G = G_uZ_G(s)$.

We now proceed to prove the main results about the structure of connected solvable affine groups. But first we need two lemmas.

Lemma 3. Let $S \subset B_n$ be a commuting set of semisimple elements. Then there exists $b \in B_n$ such that $b^{-1}Sb \subset D_n$.

Proof. It is an elementary fact of linear algebra that there exists $a \in GL_n(k)$ such that $a^{-1}Sa \subset D_n$. Hence if $M_n(k)$ is the algebra of n by n matrices over k and A its subalgebra generated by S, we know that A is semisimple (and commutative). Let $V := k^n$ be the space of column

vectors and let e_1 , ..., e_n be its standard basis. We shall view V as a left $M_n(k)$ -module via matrix multiplication. The subspace V_i spanned by the vectors e_1 , ..., e_i is an A-submodule of V for each i. Since A is semisimple, there exist $v_i \in V_i \setminus V_{i-1}$, $1 \le i \le n$, such that $Av_i = kv_i$. Thus if b is the matrix whose i-th column is v_i , $1 \le i \le n$, then $b \in B_n$ and $b^{-1}Sb \subset D_n$.

Lemma 4. If G is a connected solvable affine group, $T \subset G_s$ a closed subgroup, and $G = G_uT$ then T is a torus and $G = G_u \times T$.

Proof. By the Lie-Kolchin theorem we may assume that G is a closed subgroup of some B_n . By using the projection map $B_n \to D_n$ we obtain a short exact sequence $1 \to G_u \hookrightarrow G \xrightarrow{p} D \to 1$, where $D \subset D_n$ is a torus. Since $D = p(G) = p(G_uT) = p(T)$, Lemma 1 (i) implies that $p(T^0) = D$. Thus $G = G_uT^0$ and using $T \cap G_u = 1$ we conclude that $T = T^0$. In particular T is abelian and by Lemma 3 we may assume that $T \subset D_n$, i.e., T = D. Since $B_n = U_n \rtimes D_n$, $G_u \subset U_n$, $T = D \subset D_n$, and $G = G_uT$, it follows that $G = G_u \rtimes T$.

Theorem 4. Let G be a connected solvable affine group. Then $G = G_u \rtimes T$ where T is a maximal torus. In particular, G_u is connected.

Proof. We use induction on dim G. Assume first that $G_s \subset Z(G)$. Then $G_s = Z(G)_s$ is a closed subgroup of G and $G = G_uG_s$. The assertion then follows from Lemma 4. Now assume that there exists $s \in G_s \setminus Z(G)$. Then $Z_G(s)$ is a proper closed subgroup of G, see e.g. [4, Section 8.2]. By Theorem 3 it is connected and $G = G_uZ_G(s)$. By induction hypothesis there exists a torus T such that $Z_G(s) = Z_G(s)_uT$. Then $G = G_uZ_G(s) = G_uT$ and $G = G_u \times T$ by Lemma 4.

Theorem 5. Let $G = G_u \times T$ be a connected solvable affine group. Then every $s \in G_s$ is conjugate to an element of T.

Proof. We use induction on dim G. We have s = ut where $u \in G_u$ and $t \in T$. If G is abelian then u = 1 and s = t. Otherwise let N be the last non-trivial term of the derived series of G. By the corollary of Theorem 1 we have $N \subset G_u$. Hence N is a closed connected normal abelian unipotent subgroup of G. By Theorem 2 and the induction hypothesis there exists $x \in G$ such that $xsx^{-1} = tv$ where $v \in N$. By Lemma 2, $v = t^{-1}utu^{-1}z$ where $u \in N$ and $z \in Z_N(t)$. Hence $xsx^{-1} = utu^{-1}z$. Since xsx^{-1} , $utu^{-1} \in G_s$, $z \in G_u$, and z commutes with u and t, it follows that z = 1 and consequently $xsx^{-1} = utu^{-1}$.