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$$(8) \quad \text{ii) } \bar{\partial}_b^* \phi = - \sum_{|J|=q} \sum_{j=1}^n Z_j \phi_J \bar{\omega}^j \lrcorner \bar{\omega}^J,$$

$$\text{iii) } \square_b \phi = \sum_{|J|=q} \left( -\frac{1}{2} \sum_{j=1}^n (Z_j \bar{Z}_j + \bar{Z}_j Z_j) + i(n-2q)T \right) \phi_J \bar{\omega}^J.$$

Define the function

$$\Phi_\alpha(z, t) = (|z|^2 - it)^{-\frac{n+\alpha}{2}} (|z|^2 + it)^{-\frac{n-\alpha}{2}}.$$

Let  $\phi \in \Lambda_c^{0,q}$ ,  $q \neq 0, n$ . For an appropriate constant,  $c_q$ , define

$$(9) \quad K_q \phi(v) = c_q \sum_{|J|=q} \left( \int_H \phi_J(u) \Phi_{n-2q}(u^{-1}v) du \right) \bar{\omega}^J.$$

Folland and Stein prove that for the appropriate  $c_q$

THEOREM 1. Let  $\phi \in \Lambda_c^{0,q}$ ,  $q \neq 0, n$ . Then  $\square_b K_q \phi = K_q \square_b \phi = \phi$ .

In [4] we prove a stronger version of the following Hodge decomposition theorem.

THEOREM 2. Let  $\phi \in \Lambda_c^{0,q}$ ,  $q \neq 0, n$ . Then

- i)  $H\phi = 0$  where  $H$  is the orthogonal projection onto the kernel of  $\square_b$ .
- ii)  $\phi = \bar{\partial}_b \bar{\partial}_b^* K_q \phi + \bar{\partial}_b^* \bar{\partial}_b K_q \phi$ .

We also prove

THEOREM 3. If  $\phi \in \Lambda_c^{0,q}$ ,  $q \neq 0, n$  and if  $\bar{\partial}_b \phi = 0$  then  $\psi = \bar{\partial}_b^* K_q \phi$  satisfies  $\bar{\partial}_b \psi = \phi$ .

These two theorems are special cases of theorems 6 and 7 proven in section 4.

### 3. DIFFERENTIAL COMPLEXES ON STRATIFIED GROUPS

We study a class of nilpotent Lie groups which we describe in terms of their Lie algebras. A graded Lie algebra,  $\mathfrak{n}$ , is a finite dimensional nilpotent algebra which has a direct sum decomposition,  $\mathfrak{n} = \bigoplus_{i=1}^r \mathfrak{n}_i$  where the  $\mathfrak{n}_i$  satisfy

- i)  $[\mathfrak{n}_i, \mathfrak{n}_j] \subseteq \mathfrak{n}_{i+j}$  if  $i+j \leq r$ ,
- ii)  $[\mathfrak{n}_i, \mathfrak{n}_j] = 0$  if  $i+j > r$ .

Let  $n = \dim \mathfrak{n}$ . Define the homogeneous dimension to be  $Q = \sum_{j=1}^r j \dim(\mathfrak{n}_j)$ .

If  $\mathfrak{n}$  is a graded algebra and if  $\mathfrak{n}_1$  generates  $\mathfrak{n}$  then  $\mathfrak{n}$  is called a stratified algebra. A Lie group is called a stratified group if its Lie algebra is a stratified algebra. For a given stratified algebra  $\mathfrak{n}$  we will restrict our attention to the simply connected group associated to it.

The Heisenberg group is a simply connected stratified group. In fact, identifying the Lie algebra with the left invariant vector fields, we may take  $\mathfrak{n}_1$  to be the span of the  $X$ 's and  $Y$ 's and  $\mathfrak{n}_2$  to be the span of  $T$ . By (3) and (4) we see that  $[\mathfrak{n}_1, \mathfrak{n}_1] = \mathfrak{n}_2$  and  $[\mathfrak{n}_1, \mathfrak{n}_2] = [\mathfrak{n}_2, \mathfrak{n}_2] = 0$ .

Any graded nilpotent group has a natural family of dilations. First we define them on the Lie algebra. Let  $X \in \mathfrak{n}$ . Then by definition  $X = \sum_{j=1}^r X_j$

where  $X_j \in \mathfrak{n}_j$ . For  $s > 0$  set  $\delta_s(X) = \sum_{j=1}^r s^j X_j$ . Because  $\mathfrak{n}$  is nilpotent the exponential map is globally defined. Suppose  $x \in N$  and  $x = \exp(X)$  for  $X \in \mathfrak{n}$ . Define  $\delta_s(x) = \exp(\delta_s X)$ . Suppose we are given an inner product on  $\mathfrak{n}$  such that  $\mathfrak{n}_i \perp \mathfrak{n}_j$  for all  $i \neq j$ . Let  $\|X\|$  be the length defined by the inner product. Suppose  $x = \exp(X)$  where  $X = \sum_{j=1}^r X_j$ ,  $X_j \in \mathfrak{n}_j$ . Then define the homogeneous norm function to be

$$|x| = \left( \sum_{j=1}^r \|X_j\|^{\frac{2r!}{j}} \right)^{\frac{1}{2r!}}.$$

Then (i)  $|x| = 0$  if and only if  $x = 0$ , (ii)  $x \rightarrow |x|$  is continuous on  $N$  and  $C^\infty$  on  $N - \{0\}$ , (iii)  $|\delta_s x| = s|x|$ .

On the Heisenberg group,  $\delta_s((z, t)) = (sz, s^2 t)$  and  $|z| = (|z|^4 + t^2)^{\frac{1}{4}}$ .

Recall that the homogeneous dimension is  $Q = \sum_{j=1}^r j \dim(\mathfrak{n}_j)$ . Let  $f$  be a function on  $N$ . We say  $f$  is homogeneous of degree  $p$  if  $f(\delta_s(x)) = s^p f(x)$ . If  $-Q < p$  then such an  $f$  is in  $L^k_{loc}$  for  $1 \leq k < \infty$ . A distribution  $F$  is called homogeneous of degree  $p$  if

$$\langle F, s^{-Q} g(\delta_{s^{-1}} x) \rangle = s^p \langle F, g \rangle$$

where  $g \in C_c^\infty(N)$  and  $\langle F, g \rangle$  is the pairing of  $C_c^\infty(N)$  with its dual,  $D'(N)$ . A differential operator  $L$  (acting on functions) is homogeneous of degree  $p$  if  $L(f \cdot \delta_s) = s^p (Lf) \circ \delta_s$ . Observe that if  $f$  is a homogeneous function of degree  $p$  and if  $L$  is a homogeneous differential operator of degree  $p'$  then  $Lf$  is a homogeneous function of degree  $p - p'$ .

Let  $X_{i,1}, \dots, X_{i,\dim(\mathfrak{n}_i)}$  be an orthonormal basis of  $\mathfrak{n}_i$  with respect to our inner product. Since  $\mathfrak{n}_i \perp \mathfrak{n}_j$  for  $i \neq j$  the set

$$\{X_{i,j}: 1 \leq i \leq r, 1 \leq j \leq \dim(\mathfrak{n}_i)\}$$

is an orthonormal basis of  $\mathfrak{n}$ . Define the global coordinate chart on  $N$  by

$$(10) \quad (x_{ij}) \rightarrow \sum x_{ij} X_{ij} \rightarrow \exp(\sum x_{ij} X_{ij}).$$

This identifies  $N$  with  $\mathbf{R}^n$  as a manifold.

Let  $m_1, m_2$  and  $m_3$  be positive integers. For  $i = 1, 2, 3$  define  $E_i = \mathbf{R}^n \times \mathbf{F}^{m_i}$  to be the trivial bundle over  $N = \mathbf{R}^n$  with fiber  $\mathbf{F}^{m_i}$ . Consider the differential complex (1). We know that each  $D_i$  can be expressed as an  $m_{i+1} \times m_i$  matrix of differential operators on functions,  $i = 1, 2$ . If each entry is homogeneous of degree  $p$  we say  $D_i$  is a homogeneous differential operator of degree  $p$ . If each entry is left-invariant we say  $D_i$  is a left-invariant differential operator.

On our prototype, the Heisenberg group, we have the left-invariant metric which makes the  $Z$ 's,  $\bar{Z}$ 's, and  $T$  into an orthonormal basis. Let  $\bar{\omega}_1, \dots, \bar{\omega}_n$  be a basis for  $T^{0,1}$  which is dual to  $\bar{Z}_1, \dots, \bar{Z}_n$ . Then

$$\{\bar{\omega}^J: J = (j_1, \dots, j_q), 1 \leq j_1 < j_2 < \dots < j_q \leq n\}$$

is a global orthonormal basis of  $\Lambda^{0,q}$  for each  $q$ . So  $\Lambda^{0,q}$  is a trivial bundle over  $H \approx \mathbf{R}^{2n+1}$ , and we may identify sections of  $\Lambda^{0,q}$  with  $C^\infty(\mathbf{R}^{2n+1}, \mathbf{C}^m)$  where  $m = n!/q!(n-q)!$ . By (8(iii)) the operator  $\square_b: \Lambda^{0,q} \rightarrow \Lambda^{0,q}$  is given by the matrix  $(\delta_{ij} L)_{1 \leq i, j \leq m}$  where  $L = -\frac{1}{2} \sum_{k=1}^n (Z_k \bar{Z}_j + \bar{Z}_j Z_k) + i(n-2q)T$ .  $L$  is left-invariant and homogeneous of degree 2. So,  $\square_b$  is left-invariant and homogeneous of degree 2. Similarly,  $K_q \phi$  defined by (9) can be written as

$$K_q \phi = \int_H c_q \Phi_{n-2q}(u^{-1}v) I \phi du$$

where  $\phi \in \Lambda_c^{0,q}$  is a  $q \times 1$  column vector and  $I$  is the  $q \times q$  identity matrix. Note that  $\Phi_{n-2q}$  is a homogeneous function of degree  $-2n$ . This example motivates the following definition of a homogeneous convolution operator.

Return to  $N$ , our stratified Lie group with global coordinates defined by (10). Let  $k: N \rightarrow \text{Mat}(m' \times m, \mathbf{F})$  be a mapping of  $N$  into the space of  $m' \times m$  matrices with entries in  $\mathbf{F}$ . Given  $f \in C_c^\infty(\mathbf{F}^m)$  and  $x, y \in N$  the product  $k(y^{-1}x)f(y)$  is an  $m' \times 1$  column vector. We set

$$(11) \quad Kf(x) = \int_N k(y^{-1}x)f(y)dy.$$

The measure,  $dy$ , is the Haar measure on  $N$ . Under suitable restrictions on  $k$  the integral exists. The operator  $K$  is called a convolution operator with kernel  $k$ . If each entry of  $k$  is smooth away from 0 and homogeneous of degree  $-Q + p$ ,  $0 < p < Q$ , we say that  $K$  is a homogeneous convolution operator of type  $p$ . As we mentioned before, a homogeneous function is in  $L^p_{\text{loc}}$  so the integral in (11) exists for  $f \in C_c^\infty(\mathbf{F}^m)$ .

Suppose  $k$  is homogeneous of degree  $-Q$  and for each entry

$$k_{ij}, \quad 1 \leq i \leq m', \quad 1 \leq j \leq m,$$

we have

$$(12) \quad \int_{a \leq |x| \leq b} k_{ij}(x)dx = 0$$

for all  $a$  and  $b$ . We say an operator  $K$  is of type 0 if for some constant  $c$  we have

$$Kf(x) = \lim_{\varepsilon \rightarrow 0} \int_{\varepsilon \leq |y| \leq 1/\varepsilon} k(y^{-1}x)f(y)dy + cf(0) \quad \text{for all } f \in C_c^\infty(\mathbf{F}^m)$$

where  $k$  satisfies (12). We refer the reader to Folland [9] or Rothschild and Stein [16] for details.

To study the continuity properties of these operators we define  $L^p$  spaces and Sobolev-type spaces of sections from  $N$  to  $\mathbf{F}^m$ . Let  $\| \cdot \|_{L^p}$  denote the usual  $L^p$  norm on functions. Let  $f \in C_c^\infty(\mathbf{F}^m)$  and let  $f_i, i = 1, \dots, m$  be the components of  $f$ . Define the norm

$$\| f \|_{L^p(\mathbf{F}^m)} = \left( \sum_{i=1}^m \| f_i \|_{L^p}^p \right)^{1/p}.$$

Let  $L^p(\mathbf{F}^m)$  be the completion of  $C_c^\infty(\mathbf{F}^m)$  under this norm.

Let  $\{X_{1,1}, \dots, X_{1,d}\}$  be the orthonormal basis of  $\mathfrak{n}_1$ , with  $d = \dim(\mathfrak{n}_1)$ . For brevity, we will drop reference to the first subscript. Let  $J$  be a multi-index,  $J = (j_1, j_2, \dots, j_q)$  with  $1 \leq j_1 < j_2 < \dots < j_q \leq d$ . Define  $|J| = q$  and define  $X_J = X_{j_1}X_{j_2} \dots X_{j_q}$ . Define  $S_q^p(\mathbf{F}^m)$  to be the closure of  $C_c^\infty(\mathbf{F}^m)$  under the norm

$$\| f \|_{S_q^p(\mathbf{F}^m)} = \left( \| f \|_{L^p(\mathbf{F}^m)}^p + \sum_{i=1}^m \sum_{|J| \leq q} \| X_J f_i \|_{L^p}^p \right)^{1/p}.$$

A modification of a theorem by Folland [9] yields

THEOREM 4. (i) Let  $K$  be a convolution operator of type  $r$  for  $r > 0$ . Then  $K$  extends from  $C_c^\infty(\mathbf{F}^m)$  to a bounded operator from  $L^p(\mathbf{F}^m)$  to  $L^q(\mathbf{F}^{m'})$  where  $1 < p < Q/r$  and  $q^{-1} = p^{-1} - r/Q$ . (ii) Let  $K$  be a convolution operator of type 0. Then  $K$  extends from  $C_c^\infty(\mathbf{F}^m)$  to a bounded operator from  $S_k^p(\mathbf{F}^m)$  to  $S_k^p(\mathbf{F}^{m'})$ .

Finally, we mention the interaction between the homogeneous convolution operators and the left-invariant differential operators. Let  $D: C^\infty(\mathbf{F}^{m'}) \rightarrow C^\infty(\mathbf{F}^{m''})$  be a left-invariant homogeneous differential operator of degree 1 and let  $K$  be a homogeneous convolution operator of type  $r$ , with  $r \geq 1$ . Then  $DK$  is a homogeneous convolution operator of type  $r - 1$ . Moreover, if  $r > 1$  the kernel of  $DK$  is given by  $Dk(x)$ .

#### 4. THE HODGE DECOMPOSITION

Consider the complex (1) where  $E_i = \mathbf{R}^n \times \mathbf{F}^{m_i}$ . Assume that each of the  $D_i$  is a first order, left-invariant operator, homogeneous of degree 1. So each entry of  $D_i$  is of the form  $\sum_{j=1}^d a_j X_{1,j}$  where  $a_j$  is constant. Construct the Laplacian,  $\Delta$ , with respect to the euclidian inner products on  $\mathbf{F}^{m_i}$ ,  $i = 1, 2, 3$ . Assume there exists a homogeneous convolution operator of type 2,  $K$ , which inverts  $\Delta$ . If  $f \in C_c^\infty(\mathbf{F}^{m_2})$  then  $f(x) = \Delta K f(x) = K \Delta f(x)$ .

THEOREM 5. Let  $f \in S_2^2(\mathbf{F}^{m_2})$ . As distributions,  $\Delta f = 0$  if and only if  $f = 0$ .

*Proof.* Obviously, if  $f = 0$  then  $\Delta f = 0$ .

Assume  $\Delta f = 0$ . Let  $\{f_j\}$  be a sequence in  $C_c^\infty(\mathbf{F}^{m_2})$  such that  $f_j \rightarrow f$  in  $S_2^2(\mathbf{F}^{m_2})$ . Then  $f_j \rightarrow f$  in the sense of distributions. Moreover,  $\Delta f_j \rightarrow \Delta f = 0$  in  $L^2(\mathbf{F}^{m_2})$ . Let  $g \in C_c^\infty(\mathbf{F}^{m_2})$ . Then

$$\langle f, g \rangle = \lim_{j \rightarrow \infty} \langle f_j, g \rangle = \lim_{j \rightarrow \infty} \langle f_j, \Delta K g \rangle = \lim_{j \rightarrow \infty} \langle \Delta f_j, K g \rangle.$$

Because  $g \in C_c^\infty(\mathbf{F}^{m_2})$  it is in  $L^p$  where  $p = 2Q/(Q+4)$ . Therefore, by Theorem 4(i),  $Kg \in L^q$  where

$$q^{-1} = (Q+4)/2Q - 2/Q = 1/2, \text{ i.e., } Kg \in L^2(\mathbf{F}^{m_2}).$$

For  $Q \geq 5$ ,  $1 < p < q < \infty$ . So

$$|\langle f, g \rangle| = \lim_{j \rightarrow \infty} |\langle \Delta f_j, K g \rangle| \leq \lim_{j \rightarrow \infty} \|\Delta f_j\|_{L^2(\mathbf{F}^{m_2})} \|Kg\|_{L^2(\mathbf{F}^{m_2})} = 0.$$