Zeitschrift: L'Enseignement Mathématique

Herausgeber: Commission Internationale de l'Enseignement Mathématique

**Band:** 29 (1983)

**Heft:** 1-2: L'ENSEIGNEMENT MATHÉMATIQUE

Artikel: THE TOPOLOGY OF REAL ALGEBRAIC SETS

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**Kapitel:** §4. Isolated Singularities

**DOI:** https://doi.org/10.5169/seals-52981

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PROPOSITION 3.3. Given L, W, V as above, then  $D_q(V) \cup L$  is an algebraic subset of  $W \times \mathbb{R}^n$ .

*Proof*: Let  $p: \mathbb{R}^m \times \mathbb{R}^n \to \mathbb{R}$  be an overt polynomial of degree e with  $V = p^{-1}(0)$  and let q be as above. Define a polynomial  $r: \mathbb{R}^m \times \mathbb{R}^n \to \mathbb{R}$  by

$$r(x, y) = q(x)^e p\left(x, \frac{y}{q(x)}\right)$$

We claim  $r^{-1}(0) = D_a(V) \cup L$ . It is easy to see that

$$r^{-1}(0) \cap (W-L) \times \mathbf{R}^n = D_q(V) \cap (W-L) \times \mathbf{R}^n$$
,

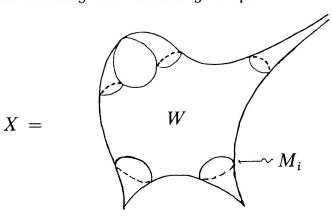
so it suffices to show that  $r^{-1}(0) \cap (L \times \mathbf{R}^n) = L \times 0$ . We decompose  $p(x, y) = p_e(x, y) + \alpha(x, y)$  where  $p_e(x, y)$  is homogeneous of degree e and  $\alpha(x, y)$  is a polynomial of degree less than e. Hence if  $(x, y) \in r^{-1}(0) \cap (L \times \mathbf{R}^n)$  then r(x, y) = 0 and q(x) = 0, which implies  $r(x, y) = p_e(0, y) = 0$ . Then y = 0 since p is overt, so  $(x, y) \in L \times 0$ . Conversely if  $(x, y) \in L \times 0$  then y = 0 and q(x) = 0. Hence  $r(x, y) = p_e(0, 0) = 0$ , i.e.  $(x, y) \in r^{-1}(0) \cap (L \times \mathbf{R}^n)$ .

There is a more useful version of Proposition 3.3 which says that after modifying  $D_q$  we can get  $D_q(V) \cup L$  to be a projectively closed algebraic set (Proposition 3.1 of  $[AK_6]$ ). This allows us to iterate this blowing down process.

## §4. ISOLATED SINGULARITIES

The topology of real algebraic sets with isolated singularities is completely understood by the following Theorem.

Theorem 4.1 ([AK<sub>2</sub>]). X is homeomorphic to an algebraic set with isolated singularities if and only if X is obtained by taking a smooth compact manifold W with boundary  $\partial W = \bigcup_{i=1}^{r} M_i$ , where each  $M_i$  bounds, then crushing some  $M_i$ 's to points and deleting the remaining  $M_i$ 's.

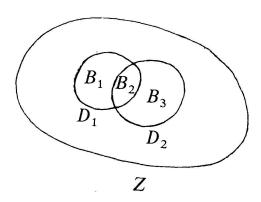


One direction the proof follows from the resolution of singularities [H]. To prove it to the other direction we need the following:

Proposition 4.2. If a closed smooth manifold M bounds a compact manifold, then it bounds a compact manifold W such that there are transversally intersecting closed smooth codimension one submanifolds  $W_1, ..., W_r$  with  $W/\cup W_i \approx \text{con}(M)$ , in other words  $\cup W_i$  is a spine of W.

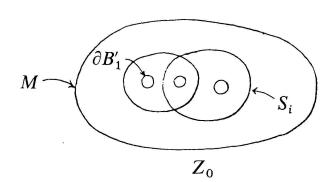
*Proof*: Let  $M = \partial Z$  where Z is some closed smooth manifold. Then pick balls  $D_i$ , i = 1, 2, ..., r lying in interior (Z) such that:

- (a)  $\bigcup_{i} D_i$  is a spine of Z
- (b) The spheres  $S_i = \partial D_i$  intersect transversally with each other in Z
- (c)  $\cup D_i \bigcup \partial D_i$  is a union of open balls  $\bigcup_{j=1}^s B_j$ .

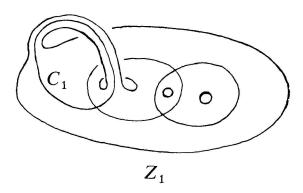


Let  $B'_j \subset B_j$  denote a smaller ball. Then  $Z_0 = Z - \bigcup_{j=1}^s \operatorname{interior}(B'_j)$  is a manifold with spine  $\bigcup S_i$ , and

$$\partial Z_0 = M \cup \bigcup_{j=1}^s \partial B'_j, \quad \partial B'_j \approx S^m$$

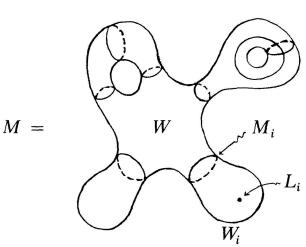


Order  $\{B_j'\}$  so that there is an arc from M to  $\partial B_1'$  intersecting exactly one  $S_i$ . Then attach a 1-handle to  $\partial Z_0$  connecting M to  $\partial B_1'$  get  $Z_1 = Z_0 \cup (1\text{-handle})$  as in the figure:



Then  $\partial Z_1 = M \cup \bigcup_{j=2}^s \partial B_j'$  and  $\bigcup S_i \cup C_1$  is a spine of  $Z_1$ , where  $C_1$  is the circle defined by the core of the 1-handle union of the arc. By continuing this fashion we get  $Z_s$  with  $\partial Z_s = M$ ; and the spine of  $Z_s$  is transversally intersecting codimension one spheres and circles  $\bigcup S_i \cup \bigcup_{j=1}^s C_j$ . We are finished except  $C_j$  are not codimension one. We remedy this by topologically blowing up  $Z_s$  along  $\bigcup C_j$ , i.e. let  $W = B(Z_s, \bigcup C_j)$  and let  $W_i$  to be the projectified normal bundles  $P(C_j, Z_s)$  of  $C_j$  (i.e. the blown up circles), and  $B(S_i, S_i \cap \bigcup C_j)$  we are done.  $\square$ 

Proof of Theorem 4.1: By Proposition 3.1 it suffices to prove this for one point compactification of X. Hence we can assume that X is compact. Let W be a compact smooth manifold,  $\partial W = \bigcup_{i=1}^{r} M_i$  and each  $M_i$  bounds. By Proposition 4.2 we can assume  $M_i = \partial W_i$  such that each  $W_i$  has a spine consisting of union of transversally intersecting codimension one closed smooth submanifolds  $L_i$ . Let  $M = W \cup \bigcup_{i \in I} W_i$ 



By Theorem 2.12 we can assume that the manifolds  $(M; L_1, ..., L_r)$  are pairwise diffeomorphic to nonsingular algebraic sets  $(Z; Z_1, ..., Z_r)$ . Let  $h: Z \to \mathbf{R}$  be an entire rational function with  $h|_{Z_i} = i(h \text{ exists by Lemma 0.1})$ . Let  $\lambda: Z \to \mathbf{R}$  be a polynomial with  $\lambda^{-1}(0) = \bigcup_i Z_i$ . By Proposition 3.2 there exists a nonsingular projectively closed algebraic set  $V \subset \mathbf{R}^2 \times \mathbf{R}^n$  and a birational diffeomorphism g making the following commute

$$V \hookrightarrow \mathbf{R}^2 \times \mathbf{R}^n$$

$$g \uparrow \approx \qquad \downarrow^{\pi}$$

$$Z \longrightarrow \mathbf{R}^2$$

where  $f = (h, \lambda)$ . Let  $L = \{(1, 0), (2, 0), ..., (r, 0)\}$  then by Proposition 3.3 we can blow down V over L algebraically. This gives an algebraic set homeomorphic to X.

Corollary 4.3. Up to diffeomorphism nonsingular algebraic sets are exactly the interiors of compact smooth manifolds with boundary (possibly empty).

The following is a local knottedness theorem of real algebraic sets. It is an ambient version of Theorem 4.1. It says that unlike complex algebraic sets all knots can occur as links of singularities.

Theorem 4.4 ([AK<sub>4</sub>]). Let  $W^m$  be a compact smooth submanifold of  $S^{n-1}$  imbedded with trivial normal bundle with codimension  $\geq 1$ . Then there exists an algebraic set  $V \subset \mathbf{R}^n$  with  $\operatorname{Sing}(V) = \{0\}$  such that  $(B_{\varepsilon}, B_{\varepsilon} \cap V) \approx (B^n, \operatorname{cone}(\partial W))$  for all small  $\varepsilon > 0$ , where  $B_{\varepsilon}$  is the ball of radius  $\varepsilon$  centered at 0. In fact  $\varepsilon(\partial W)$  is isotopic to  $\partial B_{\varepsilon} \cap V$  in  $\partial B_{\varepsilon}$ .

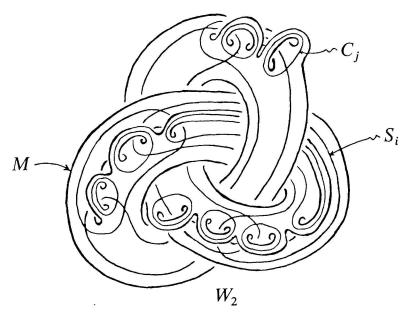
By taking W to be the Seifert surface of a knot we get an interesting fact.

COROLLARY 4.5. Any knot  $K^{n-3} \subset S^{n-1}$  is isotopic to a link of an algebraic set V in  $\mathbb{R}^n$ .

A sketch proof of Theorem 4.4: First identify  $W \subset \mathbb{R}^{n-1} \approx S^{n-1} - \infty$ , and call  $M = \partial W$ . Then apply the process of getting nice spines to  $W^m$  (Proposition 4.2); i.e. pick a family of discs  $D_i$ , i = 1, ..., r in W whose boundaries are in general position, and  $W/\cup D_i \approx \operatorname{cone}(M)$  and  $\bigcup D_i - \bigcup S_i$  is a disjoint union of open balls  $\bigcup B_j$  where  $S_i = \partial D_i$ . Let  $W_1$  be the manifold obtained by removing a small open ball from each  $B_j$ . Now by attaching 1-handles to  $W_1$  as in

Proposition 4.2 we obtain  $W_2$ , whose spine consists of  $\bigcup S_i$  union circles  $\bigcup C_j$ , with  $\partial W_2 = M$ .

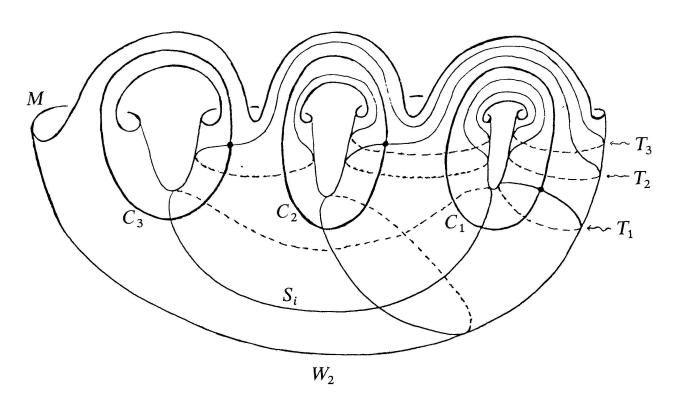
Observe that this whole process can be done inside  $\mathbb{R}^{n-1}$  and  $C_j$  and  $S_i$  are unknotted in  $\mathbb{R}^{n-1}$ 



We claim that there is disjointly imbedded m-1 spheres  $T_j$ , j=1,...,s in  $W_2$  such that

- (1) Each  $T_i$  is unknotted in  $\mathbb{R}^{n-1}$ .
- (2) Each  $T_j$  meets  $C_j$  at a single point, and  $T_j \cap C_i = \emptyset$  for  $i \neq j$ .
- (3) For each *i* there is  $B_i \subset \{1, 2, ..., s\}$  so that  $S_i \cup \bigcup_{j \in B_i} T_j$  separates  $W_2$ .

This can be easily done as in the following picture.



(1) and (2) are easily checked from the picture. To see (3), let  $B_i = \{j \mid C_i \cap S_i \neq \emptyset\}$ .

Let  $W_3 = W_2 \cup -W_2$ . The imbedding  $W_2 \subset \mathbf{R}^{n-1}$  can be extended to an imbedding of  $W_3$ . Since  $T_j$  and  $C_j$  are unknotted and by (2), we can isotop  $W_3$  so that  $T_j \cup C_j$  in  $W_3$  coincides with  $S^{m-1} \cup S^1$  in  $(S^{m-1} \times S^1)_j$ , where  $(S^{m-1} \times S^1)_j$ , j = 1, ..., s are disjointly imbedded copies of the standard  $S^{m-1} \times S^1$  in  $\mathbf{R}^{n-1}$ . We can assume that some open neighborhoods of these sets in  $W_3$  and  $(S^{m-1} \times S^1)_j$  also coincide. By Theorem 2.3 and Remark 2.4 we can isotop  $W_3$  to a component of a nonsingular algebraic set Z fixing  $T_j \cup C_j$  for all j. In fact after a minor adjustment (to proof of Theorem 2.3) we can assume that Z is projectively closed. Continue to call isotoped copy of  $S_i$  by  $S_j$ .

Since as codimension one homology classes  $[S_i] = [\bigcup_{j \in B_i} T_j]$  and  $\bigcup T_j$  is a nonsingular algebraic set,  $S_i$  can be made a nonsingular algebraic set for each i (Theorem 2.6). Hence the spine  $L = \bigcup S_i \cup \bigcup C_j$  of  $W_2 \subset Z$  can be assumed to be an algebraic set. Since Z is projectively closed so is L.

Let p, q be overt polynomials with  $p^{-1}(0) = Z$  and  $q^{-1}(0) = L$ . Define

$$V = \{(x, t) \in \mathbf{R}^{n-1} \times \mathbf{R} \mid t^{2e+1} = q^*(x, t)^2, p^*(x, t) = 0\}$$

where  $p^*(x, t) = t^d p(x/t)$ ,  $q^*(x, t) = t^e q(x/t)$  where d = degree p, e = degree q. If  $(x, t) \in V$  then  $t \ge 0$ ; and if t = 0 then x = 0 since p is overt.

$$(\mathbf{R}^{n-1} \times \varepsilon, (\mathbf{R}^{n-1} \times \varepsilon) \cap V) \approx (\mathbf{R}^{n-1}, q^{-1}(\varepsilon) \cap Z) \approx (\mathbf{R}^{n-1}, M),$$

since  $q^{-1}(\varepsilon) \cap Z \approx \partial W_2 = M$ . We are almost done.

Let  $S_{\varepsilon}^{n-1} = \{(x, t) \in \mathbf{R}^{n-1} \times \mathbf{R} \mid |x|^2 + t^2 = \varepsilon^2\}$ , and  $\varphi_{\varepsilon} : \mathbf{R}^{n-1} \to S_{\varepsilon}^{n-1}$  be the imbedding  $\varphi_{\varepsilon}(y) = (1+|y|^2)^{-1/2}(\varepsilon y, \varepsilon)$ . Then

$$\varphi_{\varepsilon}^{-1}(S_{\varepsilon}^{n-1} \cap V) = \{ y \in \mathbf{R}^{n-1} \mid p(y) = 0, \quad q^{4}(y) (1+|y|^{2}) = \varepsilon^{2} \}$$

which is isotopic to M in  $\mathbb{R}^{n-1}$  for all small  $\varepsilon > 0$ . Hence  $(S_{\varepsilon}^{n-1}, S_{\varepsilon}^{n-1} \cap V) \approx (S^{n-1}, M)$  for all small  $\varepsilon > 0$ .

