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The condition on H and the second alternative hold either if K is algebraically closed or if  $K = \mathbf{R}$  and G(K) is compact. In that last case,  $\chi(G(K), H(K)) = \chi(G(K)/H(K))$  by [10], and Theorem A follows.

I wish to thank D. Sullivan for having sent me a preprint of [8], which was the starting point of the present paper, and D. Kazdhan and G. Prasad for having pointed out two errors in a previous proof of Theorem B for  $SL_n$ .

Notation and conventions. In the sequel, G is a connected semi-simple algebraic group over some groundfield, and p the characteristic of the groundfield. For unexplained notation and notions on linear algebraic groups, we refer to [1]. In particular, in such a group, the word "torus" is meant as in [1], i.e., refers to a connected linear algebraic group which is isomorphic to a product of  $GL_1$ 's. In a compact group however it means a topological torus (product of circle groups).

If H is a group, and A, B are subsets of H, then

$${}^{B}A = \{bab^{-1} \mid a \in A, b \in B\}, N_{H}(A) = \{h \in H \mid hAh^{-1} = A\},$$

$$\mathrm{Tr}_{H}(A, B) = \{h \in H \mid h.A.h^{-1} = B\}.$$

If  $\Gamma$  acts on a space X, the isotropy group of  $\Gamma$  at x is

$$\Gamma_x = \{ \gamma \in \Gamma \mid \gamma \cdot x = x \}.$$

We recall that a morphism  $f: X \to Y$  of irreducible algebraic varieties is dominant if its image is not contained in any proper algebraic subvariety. If so, then Im f contains a Zariski-dense open subset of Y [1: AG 10.2]. If the groundfield has characteristic zero, then, since f is separable, the differential of f has maximal rank on some non-empty Zariski open subset of X [1: AG, 17.3].

## §1. Proof of Theorem B

Let m be an integer  $\geq 2$ . Let  $w = w(X_1, ..., X_m)$  be a non-trivial element in the free group  $F(X_1, ..., X_m)$  on m letters  $X_i$ , i.e., a non-trivial reduced word in the  $X_i$ 's, with non-zero integral exponents [3: I.81, Prop. 7]. Then given a group H, the word w defines a map  $f_w: H^m \to H$  by the rule

(1) 
$$f_{w}(\{h_{1},...,h_{m}\}) = w(h_{1},...,h_{m}), \qquad (h_{i} \in H; 1 \leq i \leq m).$$

If H is an algebraic group, then  $f_w$  is a morphism of algebraic varieties which is defined over any field of definition for H. In the case where H = G we want to prove

THEOREM 1. The map  $f_w: G^m \to G$  is dominant.

This is a geometric statement. To prove it, we shall identify G with  $G(\Omega)$ , where  $\Omega$  is some universal field. We have then to prove that  $f_w(G(\Omega)^m)$  is Zariskidense in  $G(\Omega)$ .

The Zariski closure Z of  $\operatorname{Im} f_w$  is irreducible (since  $G^m$  is) and is invariant under conjugation, since  $\operatorname{Im} f_w$  is obviously so. Since the semi-simple elements of G are Zariski-dense, and all conjugate to elements in some fixed maximal torus T, it suffices to show that  $Z \supset T$ .

a) We first consider the case where  $G = \operatorname{SL}_n(n \ge 2)$ . Let us prove that  $G(\Omega)$  contains a Zariski-dense subgroup H, no element of which, except for the identity, has an eigenvalue equal to one. This statement and its proof were directly suggested by [8].

One can find an infinite field L of the same characteristic as  $\Omega$  over which there exists a central division algebra D of degree  $n^2$ . We may for example take for L a local field (see e.g. XIII, §3, Remarque p. 202 in  $\lceil 14 \rceil$ ). We may assume L  $\subset \Omega$ . Let  $\mathcal{Q}^1$  be the algebraic group over L whose points in a commutative Lalgebra M are the elements of reduced norm one in  $D \otimes_L M$ . Then  $\mathcal{D}^1$  is an anisotropic L-form of  $SL_n$ . Of course, D splits over  $\Omega$  and the isomorphism  $D \otimes_L \Omega = \mathbf{M}_n(\Omega)$  yields an isomorphism of  $\mathcal{D}^1(\Omega)$  onto  $G(\Omega)$ . We let H be the image of  $D^1 = \mathcal{D}^1(L)$  under such an isomorphism. The group H is Zariski-dense since L is infinite. The fact that any  $h \in H - \{1\}$  has no eigenvalue equal to one is then proved as in [8]: the element h-1 is a non-zero element of D, hence is invertible, hence has no eigenvalue zero and therefore h has no eigenvalue one. This proves our assertion. Let  $p_0$  be the characteristic exponent of  $\Omega$  ( $p_0 = 1$  if char  $\Omega = 0$  and  $p_0 = \text{char } \Omega$  otherwise). If  $p_0 = 1$ , then H consists of semisimple elements; if not, then  $h^q(q=p_0^{n-1})$  is semi-simple for any  $h \in G$ . Let  $f_w^q : G^m$  $\rightarrow G$  be defined by  $f_w^q(g) = f_w(g)^q$ . Then  $f_w^q(H)$  consists of semi-simple elements. Let  $Z_q$  be the Zariski closure of  $\mathrm{Im} f_w^q$ . Since  $x \mapsto x^q$  is dominant, we have shown:

(\*) Let V be the set of semi-simple elements in  $G(\Omega)$  which have no eigenvalue equal to one. Then  $\{1\} \cup (V \cap \operatorname{Im} f_w^q)$  is Zariski-dense in  $Z_a$ .

We now prove the theorem for  $SL_n(n \ge 2)$  by induction on n. It suffices to show that  $f_w^q$  is dominant and, for this, that  $Z_q \supset T$ . Let n = 2. The group  $SL_2$  has dimension three and the conjugacy classes of non-central elements have dimension two. If  $Z_q \ne G$ , then dim  $Z_q \le 2$  and  $Z_q$  is contained in the union of the set U of unipotent elements of G and of finitely many conjugacy classes of semi-simple elements  $\ne 1$ . Those are closed, disjoint from U. Since  $Z_q$  is irreducible and contains 1, it should then be contained in U. On the other hand,

 $Z_q \neq \{1\}$  since G contains non-commutative free subgroups, as follows from [17] (see also Remark 1 below). We then get

$$\{1\} \underset{\scriptscriptstyle \neq}{\subset} Z_q \subset U \,,$$

but this contradicts (\*), whence the Theorem for  $SL_2$ .

Assume now n > 2 and our assertion proved up to n - 1. This implies in particular that  $Z_q$  contains all subgroups of G isomorphic to  $\operatorname{SL}_{n-1}$ , hence that  $Z_q \cap T$  contains the subtori of T of codimension one consisting of the elements of T which have at least one eigenvalue equal to one. Call Y their union. Assume that  $Z_q \cap T \neq T$ . Then we may write  $Z_q \cap T = Y \cup Y'$ , where Y' is a proper algebraic subset of T not containing any irreducible component of Y. Let Q be the Zariski-closure of the set Y' of conjugates of elements of Y'. We claim that  $Y \neq Q$ . In fact, the subsets Y and Y' are stable under the Weyl group Y' = N(T)/T (which may be identified with the group of permutations of the basic vectors of Y'. Let Y = T be the ideal of Y'. The algebra T is isomorphic, under the restriction mapping, to the algebra T of regular class functions on T [16]. Let T' be the ideal of T' or corresponding to T under this isomorphism and T the variety of zeroes of T'. We have then T under this isomorphism and T the variety of zeroes of T'. We have then T under this

The difference  $Y'-(Y\cap Y')$  contains a conjugate of every semi-simple element of  $Z_q$  not having any eigenvalue equal to one. Therefore (\*) implies that  $Z_q=\{1\}\cup Q$ . But this contradicts the fact that  $Y\not\subset Q$ . Therefore  $T\subset Z_q$  and the theorem is proved for  $\mathbf{SL}_n$ .

b) In the general case we use induction on dim G. If  $\mu: G' \to G$  is an isogeny, then the theorem for G' implies it for G, hence we may assume G to be simply connected. It is then a direct product of almost simple groups, whence also a reduction to the case where G is almost simple. By a), it suffices to consider the case where G is not isomorphic to  $\mathbf{SL}_n$  for any n. But then it contains a proper connected semi-simple subgroup H of maximal rank (see lemma below). By induction G contains a maximal torus of G, and therefore G.

We have just used the following lemma:

Lemma 1. Assume G to be almost simple, and not isogeneous to  $\mathbf{SL}_n$  for any n. Then G contains a proper connected semi-simple subgroup of maximal rank.

For convenience, we may assume G to be isomorphic to its adjoint group. Let  $\Phi = \Phi(G, T)$  be the root system of G with respect to T and  $\Delta = \{\alpha_1, ..., \alpha_l\}$  a

basis of  $\Phi$ . Since G is adjoint,  $\Delta$  is also a basis of the group  $X^*(T)$  of rational characters of T. Let d be the dominant root and write

$$d = \sum_{i=1}^{i=1} d_i \alpha_i.$$

The  $d_i$ 's are strictly positive integers. By assumption,  $\Phi$  is not of type  $A_m$  for any m. Therefore, by the classification of root systems, one of the  $d_i$ 's is prime (see e.g. [4]). Say  $d_1 = q$ , with q prime. Let  $\Psi$  be the set of elements in  $\Phi$  which, when expressed as linear combination of simple roots, have either 0 or  $\pm q$  as coefficient of  $\alpha_1$ . This is a closed set of roots. In fact, it is a root system with basis  $\alpha_2, ..., \alpha_l$  and -d [2]. We claim that there exists a closed connected subgroup H of G containing T with root system  $\Psi$ .

Let first  $q \neq \text{char}$ . K. Then there is an element  $t \in T$ ,  $t \neq 1$ , such that

$$d(t) = \alpha_i(t) = 1$$
,  $(i = 2, ..., l)$ .

It has order q, and  $\Psi$  is the set of roots which are equal to one on t. Then the identity component of the centralizer of t satisfies our condition.

Let now  $q = \text{char. } \Omega$ . Let t be the Lie algebra of T and  $\mathfrak u$  be the subspace of t which annihilates the differentials  $d\alpha_i$  of the roots  $\alpha_i$  (i = 2, ..., l). It is one dimensional and does not annihilate  $d\alpha_1$  (since, as recalled above,  $\Delta$  is a basis of  $X^*(T)$ , hence the  $d\alpha_i(1 \le i \le l)$  form a basis of the dual space to t). Of course, the differential of any  $\lambda \in X^*(T)$  which is divisible by q in  $X^*(T)$  is identically zero on t. It follows then that

$$\Psi \ = \ \big\{\alpha \in \Phi \mid d\alpha(\mathfrak{u}) \ = \ 0\big\} \ .$$

Let g be the Lie algebra of G and

$$g_{\alpha} = \{x \in g \mid Ad \ t(x) = \alpha(t) \cdot x(t \in T)\}, \quad (\alpha \in \Phi),$$

be the (1-dimensional) eigenspace of T corresponding to  $\alpha[1, \S14]$ . The previous relation implies that

$$\mathfrak{z}_g(\mathfrak{u}) \; = \; \mathfrak{t} \; \bigoplus_{\alpha \in \psi} \; \mathfrak{g}_\alpha \; .$$

By [1: §14] the Lie algebra of the centralizer

$$Z_G(\mathfrak{u}) = \{g \in G \mid \text{Ad } g(x) = x, (x \in \mathfrak{u})\},$$

of u in G is equal to 3g(u); therefore  $Z_G(u)$  is a semi-simple subgroup satisfying our conditions.

Remarks. 1) We have used [17] only for  $SL_2(\Omega)$ , but it is possible to bypass [17] in this case and make our proof, and the whole paper, independent of [17].

We need only to prove that  $\mathbf{SL}_2(\Omega)$  contains a non-commutative free subgroup F. If  $\Omega$  has characteristic zero, we may take any torsion-free subgroup of  $\mathbf{SL}_2(\mathbf{Z})$ . Let now  $p = \text{char } \Omega$  be >0. Then, by the arithmetic method, using division quaternion algebras over global fields, we can construct a discrete cocompact subgroup of  $\mathbf{SL}_2(L)$ , where L is a local field of characteristic p (cf. A. Borel-G. Harder, Crelle J. 298 (1978), 53-74). The latter has a torsion-free subgroup F of finite index (H. Garland, Annals of Math. 97 (1973), 375-423) which is then free, since it acts freely on a tree, namely the Bruhat-Tits building of  $\mathbf{SL}_2(L)$ .

- 2) For any non-zero  $n \in \mathbb{Z}$ , the power map  $g \mapsto g^n$  is dominant (because it is surjective on any maximal torus [1:8.9]), hence Theorem 1 is obvious if the sum of the exponents of one letter in the word w is not zero. (See [11] for a similar remark in the context of compact groups.)
- 3) If U and V are non-empty open subsets in a connected algebraic group H, then  $H = U \cdot V$  [1:1.3]. It follows then from Theorem 1 that if w, w' are two words in two letters, say, then the map  $G^4 \rightarrow G$  defined by

$$f(g_1, g_2, g_3, g_4) = w(g_1, g_2) \cdot w'(g_3, g_4),$$

is surjective. For instance, every element of  $G(\Omega)$  is the product of two commutators. However, the map  $f_w$  itself is not always surjective; for instance  $x \mapsto x^2$  is not surjective in  $\mathbf{SL}_2(\mathbf{C})$ , as pointed out in [11].

4) If  $K = \mathbb{C}$ , then Theorem 1 implies that Im  $f_w$  contains a dense open set in the ordinary topology. If G is defined over  $\mathbb{R}$ , then Theorem 1 also shows that  $f_w(G(\mathbb{R}))$  contains a non-empty subset of  $G(\mathbb{R})$  which is open in the ordinary topology. However it may not be dense. For instance, it is pointed out in [11] that for  $SU_2$ , the image of the map defined by  $[x^2, yxy^{-1}]$  omits a neighborhood of -1; however this map is surjective in  $SO_3$ .

It seems that little is known about the image of  $f_w$ , even over **R** or **C**. A general fact however is that the commutator map is surjective in any compact connected semi-simple Lie group [9].

# §2. Free subgroups with strongly regular elements

- 1. In the sequel, K is a field of infinite transcendence degree over its prime field. We shall need the following lemma:
- LEMMA 2. Let X be an irreducible unirational K-variety. Let L be a finitely generated subfield of K containing a field of definition of X, and  $V_i(i \in \mathbb{N})$  a sequence of proper irreducible algebraic subsets of X defined over an