

Zeitschrift: L'Enseignement Mathématique
Herausgeber: Commission Internationale de l'Enseignement Mathématique
Band: 29 (1983)
Heft: 1-2: L'ENSEIGNEMENT MATHÉMATIQUE

Artikel: FREE GROUPS IN LINEAR GROUPS
Autor: de la Harpe, Pierre
Kapitel: 5. SOME OTHER CASES OF TITS' THEOREM
DOI: <https://doi.org/10.5169/seals-52975>

Nutzungsbedingungen

Die ETH-Bibliothek ist die Anbieterin der digitalisierten Zeitschriften auf E-Periodica. Sie besitzt keine Urheberrechte an den Zeitschriften und ist nicht verantwortlich für deren Inhalte. Die Rechte liegen in der Regel bei den Herausgebern beziehungsweise den externen Rechteinhabern. Das Veröffentlichen von Bildern in Print- und Online-Publikationen sowie auf Social Media-Kanälen oder Webseiten ist nur mit vorheriger Genehmigung der Rechteinhaber erlaubt. [Mehr erfahren](#)

Conditions d'utilisation

L'ETH Library est le fournisseur des revues numérisées. Elle ne détient aucun droit d'auteur sur les revues et n'est pas responsable de leur contenu. En règle générale, les droits sont détenus par les éditeurs ou les détenteurs de droits externes. La reproduction d'images dans des publications imprimées ou en ligne ainsi que sur des canaux de médias sociaux ou des sites web n'est autorisée qu'avec l'accord préalable des détenteurs des droits. [En savoir plus](#)

Terms of use

The ETH Library is the provider of the digitised journals. It does not own any copyrights to the journals and is not responsible for their content. The rights usually lie with the publishers or the external rights holders. Publishing images in print and online publications, as well as on social media channels or websites, is only permitted with the prior consent of the rights holders. [Find out more](#)

Download PDF: 19.08.2025

ETH-Bibliothek Zürich, E-Periodica, <https://www.e-periodica.ch>

$X^d F\left(\frac{1}{2}X + \frac{1}{2}X^{-1}\right)$, which is of degree $2d$ in $Z[X]$, so that $2d \geq \varphi(q)$. If $q \in \{1, 2, 3, 4, 6\}$, one checks easily that $\exp\left(i2\pi \frac{p}{q}\right) \neq \frac{3+4i}{5}$. If $q = 5$ or if $q \geq 7$, then $\varphi(q) > 2$ so that $\cos\left(2\pi \frac{p}{q}\right)$ is not rational. Thus the root of unity μ cannot be equal to $\frac{3+4i}{5}$.

5. SOME OTHER CASES OF TITS' THEOREM

Let n be an integer with $n \geq 2$.

Define a subgroup Γ of $GL(n, \mathbb{C})$ [respectively of $PGL(n, \mathbb{C})$] to be *irreducible* if any linear subspace of \mathbb{C}^n [resp. of $P_{\mathbb{C}}^{n-1}$] invariant by Γ is trivial, and *not almost reducible* if any subgroup of Γ of finite index is irreducible. When referring to the Zariski topology on $PGL(n, \mathbb{C})$, we use below the letter Z .

Reduction. Tits' theorem for complex linear groups is equivalent to the following statements (one for each $n \geq 2$):

Let Γ be a subgroup of $PGL(n, \mathbb{C})$ which is not almost solvable. Assume that

- (i) is not almost reducible;
- (ii) the Z -closure G of Γ in $PGL(n, \mathbb{C})$ is Z -connected. Then Γ contains free groups.

That one may assume (i) without loss of generality is an easy exercise on reducibility, and one may assume (ii) because the Z -closure of any subgroup of $PGL(n, \mathbb{C})$ has finitely many Z -connected components. (The hypothesis of the reduced statement are redundant: (i) and (ii) imply by Lie's theorem that G is not solvable, so that Γ is not almost solvable!)

Now let $g \in PGL(n, \mathbb{C})$ and choose a representative $\tilde{g} \in GL(n, \mathbb{C})$ of g . Let us define g to be

elliptic if \tilde{g} is semi-simple with all eigenvalues of equal moduli,

parabolic if \tilde{g} is not semi-simple and has all its eigenvalues of equal moduli,

hyperbolic if \tilde{g} has at least two eigenvalues of distinct moduli.

These definitions are obviously independent on the choice of \tilde{g} . They generalize those of section 3 as follows from [Gr]. The meaning of "hyperbolic" fits with current use in dynamical systems theory (see e.g. definition 5.1 in [Sh]).

Let g be hyperbolic and let \tilde{g} be as above. Let $\tilde{A}(g)$ [respectively $\tilde{A}'(g)$] be the direct sum of the nilspaces of \tilde{g} corresponding to all eigenvalues of maximal modulus [resp. to all other eigenvalues] of \tilde{g} . Let $A(g)$ [resp. $A'(g)$] be the canonical image of $\tilde{A}(g) - \{0\}$ [resp. $\tilde{A}'(g) - \{0\}$] in $\mathbf{P} = P_{\mathbb{C}}^{n-1}$. Then $A(g) \cap A'(g) = \emptyset$ and the smallest linear subspace of \mathbf{P} containing both $A(g)$ and $A'(g)$ is \mathbf{P} itself. Tits calls $A(g)$ [resp. $A(g^{-1})$] the *attracting space* [resp. *repulsing space*] of g . We say that g is *sharp* if $A(g)$ is a point and that g is *very sharp* if both $A(g)$ and $A(g^{-1})$ are points. For each $k \in \{1, 2, \dots, n-1\}$, the fundamental representation of $GL(n, \mathbb{C})$ in $\wedge^k \mathbb{C}^n$ induces an injection

$$\lambda_k: PGL(n, \mathbb{C}) \rightarrow PGL(\binom{n}{k}, \mathbb{C});$$

as g is hyperbolic, $\lambda_k(g)$ is sharp for some k . We also say that two hyperbolic elements $g, h \in PGL(n, \mathbb{C})$ are in *general position* if

$$\begin{aligned} A(g) \cup A(g^{-1}) &\subset \mathbf{P} - \{A'(h) \cup A'(h^{-1})\} \\ A(h) \cup A(h^{-1}) &\subset \mathbf{P} - \{A'(g) \cup A'(g^{-1})\}. \end{aligned}$$

Observe that any hyperbolic element of $PGL(2, \mathbb{C})$ is very sharp, and that two hyperbolic elements of $PGL(2, \mathbb{C})$ are in general position if and only if they do not have any common fixed point on S^2 .

Recall that an element of $PGL(n, \mathbb{C})$ is *semi-simple* if its inverse image in $GL(n, \mathbb{C})$ contains diagonalisable matrices.

LEMMA 1. *Let Γ be an irreducible subgroup of $PGL(n, \mathbb{C})$ having a Z -connected Z -closure. If Γ contains a sharp semi-simple element g , then Γ contains a very sharp element.*

About the proof. Let $\tilde{g} \in GL(n, \mathbb{C})$ be some representative of g having an eigenvalue of “large” modulus and all other eigenvalues with moduli “near” 1. For suitable $h, u \in \Gamma$ and for $j \in \mathbb{N}$ large enough, one may hope that $g^{-j} h g^j h^{-1} u$ has a representative in $GL(n, \mathbb{C})$ with one eigenvalue of very large modulus (look at $h g^j h^{-1} u$), one eigenvalue of very small modulus (look at g^{-j}), and other eigenvalues of moduli “near” 1. Section 3 of [T] shows that this hope is realistic. (See also below, after the theorem.) \square

LEMMA 2. *Let Γ be an irreducible subgroup of $PGL(n, \mathbb{C})$ having a Z -connected Z -closure. If Γ contains a very sharp element, then Γ contains two very sharp elements in general position.*

Proof. Let P_1, P_2 be two linear subspaces of \mathbf{P} with $P_1 \neq \emptyset$ and $P_2 \neq \mathbf{P}$. Then $\{x \in G \mid x(P_1) \not\subset P_2\}$ is obviously a Z -open subset of G . It is not empty:

Choose indeed $p \in P_1$; then the subspace of \mathbf{P} spanned by the orbit Gp is stable under G and must therefore coincide with \mathbf{P} ; hence there exists $x \in G$ with $x(p) \notin P_2$ and, a fortiori, $x(P_1) \not\subset P_2$.

Let g be a very sharp element in Γ . It follows from above that

$$X = \left\{ x \in G \left| \begin{array}{l} A(g) \text{ and } A(g^{-1}) \text{ are not contained in any of } xA'(g), \\ xA'(g^{-1}), x^{-1}A'(g), x^{-1}A'(g^{-1}) \end{array} \right. \right\}$$

is a non empty Z -open subset of G . Let $y \in X \cap \Gamma$. Then g and ygy^{-1} are both very sharp and are in general position. \square

For the next lemma, we choose as above k with $1 \leq k \leq n-1$ and we consider the k^{th} fundamental representation $\lambda_k: SL(n, \mathbf{C}) \rightarrow SL(\binom{n}{k}, \mathbf{C})$ of $SL(n, \mathbf{C})$.

LEMMA. Let Γ be a group and let $\rho: \Gamma \rightarrow SL(n, \mathbf{C})$ be an irreducible representation. Then the Z -closure G of $\rho(\Gamma)$ in $SL(n, \mathbf{C})$ is semi-simple and the representation $\sigma = \lambda_k \rho: \Gamma \rightarrow SL(\binom{n}{k}, \mathbf{C})$ is completely reducible.

Proof. We show first that G is semi-simple. Consider the solvable radical R of G . By Lie's theorem, there exists an eigenvector for R , namely there exist $v \in \mathbf{C}^n - \{0\}$ and $\alpha \in \text{Hom}(R, \mathbf{C}^*)$ with $r(v) = \alpha(r)v$ for all $r \in R$. As R is normal in G , any vector $g(v)$ ($g \in G$) is also an eigenvector for R . By irreducibility, any vector in \mathbf{C}^n is also an eigenvector, so that R is made up of dilations. But R is connected and is in $SL(n, \mathbf{C})$, so that $R = 1$.

Now $\lambda_k: G \rightarrow SL(\binom{n}{k}, \mathbf{C})$ is completely reducible; denote by $\lambda_{k,j}: G \rightarrow SL(W_j)$ the components of a decomposition $\lambda_k = \bigoplus_{j \in J} \lambda_{k,j}$ and define $\sigma_j = \lambda_{k,j} \rho$ ($j \in J$). One has clearly $\sigma = \bigoplus_{j \in J} \sigma_j$, and each $\sigma_j: \Gamma \rightarrow SL(W_j)$ is irreducible (this because $\lambda_{k,j}$ is irreducible and by Schur's lemma). \square

THEOREM. Let Γ be a subgroup of $PGL(n, \mathbf{C})$ and assume

- (i) Γ is neither almost solvable nor almost reducible,
- (ii) Γ contains a semi-simple hyperbolic element.

Then Γ contains free groups.

Proof. As one may consider instead of Γ a subgroup of finite index, there is no loss of generality if we assume that the Z -closure of Γ is Z -connected. We denote by $\tilde{\Gamma}$ the inverse image of Γ in $SL(n, \mathbf{C})$. By (ii), there exists $k \in \{1, \dots, n-1\}$ and a semi-simple element $\tilde{\gamma} \in \tilde{\Gamma}$ having eigenvalues μ_1, \dots, μ_n with $|\mu_1| = \dots = |\mu_k| > |\mu_j|$ for $j = k+1, \dots, n$. Let $N = \binom{n}{k}$, and denote by λ_k both the fundamental representation $GL(n, \mathbf{C}) \rightarrow GL(N, \mathbf{C})$ and the induced

homomorphism $PGL(n, \mathbb{C}) \rightarrow PGL(N, \mathbb{C})$. Then $\lambda_k(\tilde{\gamma})$ has eigenvalues v_1, \dots, v_N with $|v_1| > |v_j|$ for $j = 2, \dots, N$. By lemma 3, there exists a $\lambda_k(\tilde{\Gamma})$ -irreducible subspace W_0 of \mathbb{C}^N , associated to a representation $\sigma_0: \tilde{\Gamma} \rightarrow GL(W_0)$, such that v_1 is an eigenvalue of $\sigma_0(\tilde{\gamma})$. As the Z -closure \tilde{G} of $\tilde{\Gamma}$ in $SL(n, \mathbb{C})$ is semi-simple, the group \tilde{G} is perfect and $\sigma_0(\tilde{\Gamma})$ lies in $SL(W_0)$. As $|v_1| > 1$, one has $\dim_{\mathbb{C}} W_0 \geq 2$.

Thus one may assume from the start that Γ contains a sharp semi-simple element, and indeed by lemmas 1 and 2 two very sharp elements in general position. The conclusion follows as in case 2 of the proof of the proposition in section 4. \square

Now lemma 1 remains true without the hypothesis "semi-simple". This has been announced by Y. Guivarch', who uses ideas of H. Fürstenberg to show the following: given an appropriate subset S of Γ containing a sharp element, then almost any "long" word in the letters of S is very sharp. Using this, one may replace (ii) in the theorem above by the following a priori weaker hypothesis

(ii') Γ is not relatively compact.

Then, one first checks as for theorem 2 of section 4 that Γ contains hyperbolic elements; one concludes as in the previous proof, with Guivarch's version of lemma 1.

For subgroups of $PU(n)$, one may repeat the discussion at the end of section 4.

REFERENCES

- [A] AHLFORS, L. V. *Möbius transformations in several dimensions*. School of Mathematics, University of Minnesota, 1981.
- [Ba] BASS, H. Groups of integral representation type. *Pacific J. Math.* 86 (1980), 15-51.
- [BL] BASS, H. and A. LUBOTZKY. Automorphisms of groups and of schemes of finite type. *Preprint*.
- [B] BOURBAKI, N. *Eléments d'histoire des mathématiques*. Hermann 1969.
- [CL] CODDINGTON, E. A. and N. LEVINSON. *Theory of ordinary differential equations*. McGraw Hill, 1955.
- [CG] CONZE, J. P. and Y. GUIVARCH'. Remarques sur la distalité dans les espaces vectoriels. *C. R. Acad. Sc. Paris, Sér. A*, 278 (1974), 1083-1086.
- [CR] CURTIS, C. and I. REINER. *Representation theory of finite groups and associative algebras*. Interscience, 1962.
- [DE] DUBINS, L. E. and M. EMERY. Le paradoxe de Hausdorff-Banach-Tarski. *Gazette des Mathématiciens* 12 (1979), 71-76.
- [D] DIXON, J. D. Free subgroups of linear groups. *Lecture Notes in Math.* 319 (Springer, 1973), 45-56.
- [E] EPSTEIN, D. B. A. Almost all subgroups of a Lie group are free. *J. of Algebra* 19 (1971), 261-262.
- [FK] FRICKE, R. and F. KLEIN. *Vorlesungen über die Theorie der automorphen Functionen*, vol. I. Teubner, 1897.