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Autor:	Koornwinder, Tom H.
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3.3.5. Further applications of the irreducibility criterium in Theorem 3.2 can be found in MILLER [32, Lemmas 3.2 and 4.5] for the Euclidean motion group of \mathbf{R}^2 and for the harmonic oscillator group, TAKAHASHI [39, §3.4] for the discrete series of $SL(2, \mathbf{R})$ and [41, p. 560, Cor. 2] for the spherical principal series of $F_{4(-20)}$.

3.3.6. The method of this section does not show in an *a priori* way that a Kmultiplicity free principal series representation has only finitely many irreducible subquotient representations. Actually, this property holds quite generally, cf. WALLACH [45, Theorem 8.13.3].

4. Equivalences between irreducible subquotient representations of the principal series

4.1. NAIMARK EQUIVALENCE

In this subsection we derive a criterium (Theorem 4.5) for Naimark equivalence of K-multiplicity free representations. Lemmas 4.3 and 4.4 are preparations for its proof.

Let G be an lcsc. group.

Definition 4.1. Let σ and τ be Hilbert representations of G. The representation σ is called Naimark related to τ if there is a closed (possibly) unbounded) injective linear operator A from $\mathscr{H}(\sigma)$ to $\mathscr{H}(\tau)$ with domain $\mathscr{D}(A)$ dense in $\mathscr{H}(\sigma)$ and range $\mathscr{R}(A)$ dense in $\mathscr{H}(\tau)$ such that $\mathscr{D}(A)$ is σ -invariant and $A\sigma(g)v = \tau(G)Av$ for all $v \in \mathscr{D}(A)$, $g \in G$. Then we use the notation $\sigma \simeq \tau$ or $\sigma \simeq \tau$.

Naimark relatedness is not necessarily a transitive relation (cf. WARNER [48, p. 242]). However, we will see that it becomes an equivalence relation (called *Naimark equivalence*) when restricted to the class of unitary representations or of K-multiplicity free representations, K abelian.

Two unitary representations σ and τ of G are called *unitarily equivalent* if there is an isometry A from $\mathscr{H}(\sigma)$ onto $\mathscr{H}(\tau)$ such that $A\sigma(g)v = \tau(g)Av$ for all $v \in \mathscr{H}(\sigma), g \in G$. Clearly unitary equivalence is an equivalence relation. PROPOSITION 4.2. Two unitary representations of an lcsc. group G are Naimark related if and only if they are unitarily equivalent.

See WARNER [48, Prop. 4.3.1.4] for the proof.

Let K be a compact abelian subgroup of G. Let σ and τ be K-multiplicity free representations of G. Let $\{\phi_{\delta}\}$ and $\{\psi_{\delta}\}$ be K-bases for $\mathscr{H}(\sigma)$ and $\mathscr{H}(\tau)$, respectively.

LEMMA 4.3. If $\sigma \simeq \tau$ then $\mathcal{M}(\sigma) = \mathcal{M}(\tau), \ \phi_{\delta} \in \mathcal{D}(A)$ and $\psi_{\delta} \in \mathcal{R}(A)$ $(\delta \in \mathcal{M}(\sigma))$, and there are nonzero complex numbers $c_{\delta}(\delta \in \mathcal{M}(\sigma))$ such that

(4.1)
$$(Av, \psi_{\delta}) = c_{\delta}(v, \phi_{\delta}), \quad v \in \mathscr{D}(A).$$

In particular

(4.2) $A\phi_{\delta} = c_{\delta}\psi_{\delta}.$

Proof. Let $\delta \in \mathcal{M}(\sigma)$. Let $v \in \mathcal{D}(A)$. We have, by the intertwining property of A,

$$\int_{K} \delta(k^{-1}) \sigma(k) v dk = (v, \phi_{\delta}) \phi_{\delta},$$

$$\int_{K} \delta(k^{-1}) A \sigma(k) v dk = \int_{K} \delta(k^{-1}) \sigma(k) A v dk$$

$$= \begin{cases} (Av, \psi_{\delta}) \psi_{\delta} & \text{if } \delta \in \mathcal{M}(\tau), \\ 0 & \text{if } \delta \notin \mathcal{M}(\tau). \end{cases}$$

Since A is closed, we conclude that $(v, \phi_{\delta})\phi_{\delta} \in \mathscr{D}(A)$ and

$$A((v, \phi_{\delta})\phi_{\delta}) = \begin{cases} (Av, \psi_{\delta})\psi_{\delta} & \text{if } \delta \in \mathscr{M}(\tau), \\ 0 & \text{if } \delta \notin \mathscr{M}(\tau). \end{cases}$$

Since A is injective with dense domain, the left hand side is nonzero for certain $v \in \mathscr{D}(A)$. Hence $\delta \in \mathscr{M}(\tau)$, $\phi_{\delta} \in \mathscr{D}(A)$ and (4.2) and (4.1) hold for certain nonzero c_{δ} . Finally, since A is closed with dense range, $\mathscr{M}(\sigma) = \mathscr{M}(\tau)$.

LEMMA 4.4. Let A be a possibly unbounded, not necessarily closed, injective linear operator from $\mathscr{H}(\sigma)$ to $\mathscr{H}(\tau)$ which satisfies all other properties of Definition 4.1. Suppose that $\phi_{\delta} \in \mathscr{D}(A)$ for all $\delta \in \mathscr{M}(\sigma)$, $\mathscr{M}(\sigma) = \mathscr{M}(\tau)$ and, for each $\delta \in \mathcal{M}(\sigma)$, there is a complex number c_{δ} such that $(Av, \psi_{\delta}) = c_{\delta}(v, \phi_{\delta})$ for all $v \in \mathcal{D}(A)$. Then the closure \overline{A} of A is one-valued and injective, \overline{A} satisfies all properties of Definition 4.1 and

(4.3)
$$\mathscr{D}(\bar{A}) = \left\{ v \in \mathscr{H}(\sigma) \mid \sum_{\delta \in \mathscr{M}(\sigma)} \mid c_{\delta}(v, \phi_{\delta}) \mid^{2} < \infty \right\}.$$

Proof. Let $\{v_n\}$ be a sequence in $\mathcal{D}(A)$ such that $v_n \to v$ in $\mathcal{H}(\sigma)$ and $Av_n \to w$ in $\mathcal{H}(\tau)$. Then, for each $\delta \in \mathcal{M}(\sigma)$,

$$(w, \psi_{\delta}) = \lim_{n \to \infty} (Av_n, \psi_{\delta}) = c_{\delta} \lim_{n \to \infty} (v_n, \phi_{\delta}) = c_{\delta}(v, \phi_{\delta}).$$

Hence v = 0 iff w = 0, so \overline{A} is one-valued and injective.

To prove the domain invariance and intertwining property of \overline{A} , let

 $v \in \mathscr{D}(\bar{A})$, so $v_n \to v$, $Av_n \to \bar{A}v$

for some sequence $\{v_n\}$ in $\mathcal{D}(A)$. If $g \in G$ then

 $\sigma(g)v_n \to \sigma(g)v$ and $A\sigma(g)v_n = \tau(g)Av_n \to \tau(g)\overline{A}v$,

so $\sigma(g)v \in \mathcal{D}(\overline{A})$ and $\overline{A}\sigma(g)v = \tau(g)\overline{A}v$.

Finally, to prove (4.3), first suppose that $v \in \mathscr{H}(\sigma)$ and

$$\Sigma_{\delta \in \mathscr{M}(\sigma)} \mid c_{\delta}(v, \phi_{\delta}) \mid^{2} < \infty$$
.

Then

$$v = \Sigma(v, \phi_{\delta})\phi_{\delta}, w := \Sigma c_{\delta}(v, \phi_{\delta})\psi_{\delta} \in \mathscr{H}(\tau) \text{ and } \overline{A}\phi_{\delta}$$

= $c_{\delta}\psi_{\delta}$, so, $w = \overline{A}v \text{ and } v \in \mathscr{D}(\overline{A})$.

Conversely, let $v \in \mathscr{D}(\bar{A})$. Then $\bar{A}v = \Sigma(\bar{A}v, \psi_{\delta})\psi_{\delta} = \Sigma c_{\delta}(v, \phi_{\delta})\psi_{\delta}$ (note $(\bar{A}v, \psi_{\delta}) = c_{\delta}(v, \phi_{\delta})$ by (4.1)). Hence $\Sigma | c_{\delta}(v, \phi_{\delta}) |^{2} < \infty$.

Next we will prove a criterium for Naimark relatedness of K-multiplicity free representations σ and τ in terms of the canonical matrix elements.

THEOREM 4.5. Let G be an lcsc. group with compact abelian subgroup K. Let σ and τ be K-multiplicity free representations of G. Let $\{\phi_{\delta}\}$ and $\{\psi_{\delta}\}$ be K-bases of $\mathscr{H}(\sigma)$ and $\mathscr{H}(\tau)$, respectively. For each $\delta \in \mathscr{M}(\sigma) \cap \mathscr{M}(\tau)$ let $0 \neq c_{\delta} \in \mathbb{C}$. Then the following two statements are equivalent:

(a)
$$\sigma \simeq \tau$$
 and $A\phi_{\delta} = c_{\delta}\psi_{\delta}, \delta \in \mathcal{M}(\sigma).$
(b) $\mathcal{M}(\sigma) = \mathcal{M}(\tau)$ and, for all $\gamma, \delta \in \mathcal{M}(\sigma)$,

(4.4)
$$\tau_{\gamma, \delta} = C_{\gamma, \delta} \sigma_{\gamma, \delta}$$

with $C_{\gamma,\delta} = c_{\gamma}/c_{\delta}$. If, moreover, σ and τ are irreducible then (a) and (b) are also equivalent to:

(c) For some $\gamma, \delta \in \mathcal{M}(\sigma) \cap \mathcal{M}(\tau)$ (4.4) holds for some nonzero complex $C_{\gamma, \delta}$.

Proof.

(a) \Rightarrow (b): Apply Lemma 4.3. By using (4.1) we have

$$c_{\gamma}(\sigma(g)\phi_{\delta}, \phi_{\gamma}) = (A\sigma(g)\phi_{\delta}, \psi_{\gamma}) = (\tau(g)A\phi_{\delta}, \psi_{\gamma})$$
$$= c_{\delta}(\tau(g)\psi_{\delta}, \psi_{\gamma}).$$

<u>(b)</u> \Rightarrow (a): Define A on the domain $\{v \in \mathscr{H}(\sigma) \mid \Sigma \mid c_{\delta}(v, \phi_{\delta}) \mid^2 < \infty\}$ by $Av := \Sigma c_{\delta}(v, \phi_{\delta})\psi_{\delta}$. Then A is injective with dense domain and range and A satisfies (4.1). We will prove that $\mathscr{D}(A)$ is G-invariant and that A is an intertwining operator. Let $v \in \mathscr{D}(A)$, $g \in G$. Then, by (4.4) and the definition of Av:

$$c_{\gamma}(\sigma(g)v, \phi_{\gamma}) = c_{\gamma}\Sigma_{\delta}(v, \phi_{\delta})\sigma_{\gamma, \delta}(g)$$
$$= \Sigma_{\delta}c_{\delta}(v, \phi_{\delta})\tau_{\gamma, \delta}(g) = (\tau(g)Av, \psi_{\gamma}).$$

Hence

 $\Sigma_{\gamma} \mid c_{\gamma}(\sigma(g)v, \phi_{\gamma}) \mid^{2} = \parallel \tau(g)Av \parallel^{2} < \infty$.

So $\sigma(g)v \in \mathcal{D}(A)$ and $A\sigma(g)v = \tau(g)Av$. Now apply Lemma 4.4.

<u>(c)</u> \Rightarrow (b): (σ , τ irreducible): We will first show that $\mathcal{M}(\sigma) = \mathcal{M}(\tau)$ and, for each $\beta \in \mathcal{M}(\sigma)$, $\tau_{\gamma,\beta} = C_{\gamma,\beta}\sigma_{\gamma,\beta}$ and $\tau_{\beta,\delta} = C_{\beta,\delta}\sigma_{\beta,\delta}$ for some nonzero complex $C_{\gamma,\beta}$ and $C_{\beta,\delta}$. It follows from (4.4) evaluated for $g = g_1 k g_2$ that

$$\sum_{\substack{\beta \in \mathcal{M} \ (\tau)}} \beta(k) \tau_{\gamma, \beta}(g_1) \tau_{\beta, \delta}(g_2)$$

$$= C_{\delta, \gamma} \sum_{\substack{\beta \in \mathcal{M} \ (\sigma)}} \beta(k) \sigma_{\gamma, \beta}(g_1) \sigma_{\beta, \delta}(g_2) , \quad g_1, g_2 \in G, k \in K .$$

Both sides are absolutely and uniformly convergent Fourier series in $k \in K$. Because of Theorem 3.2 and the irreducibility of σ and τ , for each $\beta \in \mathcal{M}(\tau)$ respectively $\beta \in \mathcal{M}(\sigma)$ the Fourier coefficient at the left respectively right hand side does not vanish identically in g_1, g_2 . Hence $\mathcal{M}(\sigma) = \mathcal{M}(\tau)$ and

$$\mathfrak{r}_{\gamma,\beta}(g_1)\mathfrak{r}_{\beta,\delta}(g_2) = C_{\gamma,\delta}\sigma_{\gamma,\beta}(g_1)\sigma_{\beta,\delta}(g_2).$$

This implies

$$\tau_{\gamma, \beta} = C_{\gamma, \beta} \sigma_{\gamma, \beta}$$
 and $\tau_{\beta, \delta} = C_{\beta, \delta} \sigma_{\beta, \delta}$ with $C_{\gamma, \beta} C_{\beta, \delta} = C_{\gamma, \delta}$

By repeating this argument we prove that $\tau_{\alpha, \beta} = C_{\alpha, \beta} \sigma_{\alpha, \beta}$ for all $\alpha, \beta \in \mathcal{M}(\sigma)$ and that $C_{\alpha, \beta}C_{\beta, \delta} = C_{\alpha, \delta}$, i.e. $C_{\alpha, \beta} = C_{\alpha, \delta}/C_{\beta, \delta}$.

COROLLARY 4.6. Let G be an lcsc. group with compact abelian subgroup K. Then Naimark relatedness is an equivalence relation in the class of K-multiplicity free representations of G.

4.2. The case SU(1, 1)

Consider irreducible subquotient representations of $\pi_{\xi,\lambda}$ as classified in Theorem 3.4. By comparing K-contents it follows that the only possible nontrivial Naimark equivalences are:

$$\pi_{\xi, \lambda} \simeq \pi_{\xi, \mu}(\lambda + \xi, \mu + \xi \notin \mathbb{Z} + \frac{1}{2}, \lambda \neq \mu)$$

and

$$\begin{aligned} \pi^+_{\xi, \lambda} \simeq \pi^+_{\xi, -\lambda}, \quad \pi^0_{\xi, \lambda} \simeq \pi^0_{\xi, -\lambda}, \quad \pi^-_{\xi, \lambda} \simeq \pi^-_{\xi, -\lambda} \\ (\lambda + \xi \in \mathbb{Z} + \frac{1}{2}, \lambda \neq 0) . \end{aligned}$$

Suppose that σ and τ are irreducible subquotient representations of $\pi_{\xi, \lambda}$ and $\pi_{\xi, \mu}$, respectively, and that $\phi_m \in \mathscr{H}(\sigma) \cap \mathscr{H}(\tau)$ for some $m \in \mathbb{Z} + \xi$. It follows from Theorem 4.5 that $\sigma \simeq \tau$ iff $\tau_{\xi, \lambda, m, m} = \pi_{\xi, \mu, m, m}$. This last identity already holds if it is valid for the restrictions to A. In view of (2.29) and (2.30) we have: $\sigma \simeq \tau$ iff

(4.5)
$$\phi_{2i\lambda}^{(0, 2m)}(t) = \phi_{2i\mu}^{(0, 2m)}(t), \quad t \in \mathbf{R}.$$

Formula (4.5) holds if $\lambda = \pm \mu$ (cf. (2.26)). Conversely, assume (4.5) and expand both sides of (4.5) as a power series in $-(sh t)^2$ by using (2.23) and (2.20). The coefficients of $-(sh t)^2$ yield the equality

$$(m+1+\lambda)(m+1-\lambda) = (m+1+\mu)(m+1-\mu)$$

Hence $\lambda = \pm \mu$. We have proved :

THEOREM 4.7. Let σ and $\tau(\sigma \neq \tau)$ be irreducible subquotient representations of the principal series. Then σ is Naimark equivalent to τ in precisely the following situations (cf. the notation of Theorem 3.4):

(a)
$$\pi_{\xi,\lambda} \simeq \pi_{\xi,-\lambda} (\lambda + \xi \notin \mathbb{Z} + \frac{1}{2}, \lambda \neq 0)$$

(b)
$$\pi_{\xi,\lambda}^+ \simeq \pi_{\xi,-\lambda}^+, \pi_{\xi,\lambda}^0 \simeq \pi_{\xi,-\lambda}^0, \pi_{\xi,\lambda}^- \simeq \pi_{\xi,-\lambda}^- (\lambda + \xi \in \mathbb{Z} + \frac{1}{2}, \lambda \neq 0).$$

Remark 4.8. It follows from Theorem 3.4 and Theorem 4.7 that each irreducible subquotient representation of some $\pi_{\xi, \lambda}$ is Naimark equivalent to some irreducible subrepresentation of some $\pi_{\xi, \lambda}$.

It follows from Theorems 4.7 and 4.5 that for each $\xi \in \{0, \frac{1}{2}\}$ and $\lambda \in \mathbb{C} \setminus \{0\}$ we have identities

(4.6)
$$\pi_{\xi, -\lambda, m, n} = C_{\xi, \lambda, m, n} \pi_{\xi, \lambda, m, n}$$

for certain nonzero complex constants $C_{\xi, \lambda, m, n}$, where $m, n \in \mathbb{Z} + \xi$ and, if $\lambda + \xi \in \mathbb{Z} + \frac{1}{2}$, we have the further restriction that $m, n \in (-\infty, -|\lambda| - \frac{1}{2}]$ or $m, n \in [-|\lambda| + \frac{1}{2}, |\lambda| - \frac{1}{2}]$ or $m, n \in [|\lambda| + \frac{1}{2}, \infty)$. Indeed, it follows from (2.29) and (2.26) that (4.6) holds with

(4.7)
$$C_{\xi, \lambda, m, n} = \frac{C_{\xi, -\lambda, m, n}}{C_{\xi, \lambda, m, n}}$$

A calculation using (4.7) and (2.30) shows that

(4.8)
$$C_{\xi, \lambda, m, n} = c_{\xi, \lambda, m}/c_{\xi, \lambda, n}$$

with

(4.9)
$$c_{\xi, \lambda, m} = \text{const.} \frac{\Gamma(-\lambda + m + \frac{1}{2})}{\Gamma(\lambda + m + \frac{1}{2})} = \text{const.} \frac{\Gamma(-\lambda - m + \frac{1}{2})}{\Gamma(\lambda - m + \frac{1}{2})}$$
$$= \text{const.} (-1)^{m-\xi} \Gamma(-\lambda + m + \frac{1}{2}) \Gamma(-\lambda - m + \frac{1}{2})$$
$$= \text{const.} \frac{(-1)^{m-\xi}}{\Gamma(\lambda + m + \frac{1}{2}) \Gamma(\lambda - m + \frac{1}{2})}.$$

If $\lambda + \xi \notin \mathbb{Z} + \frac{1}{2}$ then we can use all alternatives for $c_{\xi, \lambda, m}$, but if $\lambda + \xi \in \mathbb{Z} + \frac{1}{2}$ then we can use precisely one alternative. Now, by Theorem 4.5, we obtain:

PROPOSITION 4.9. Let $\sigma \simeq \tau$ be one of the equivalences of Theorem 4.7 with σ being a subquotient representation of $\pi_{\epsilon,\lambda}$. Then

(4.10)

 $A\phi_m = c_{\xi,\lambda,m}\phi_m$

where $m \in \mathbb{Z} + \xi$ such that $\delta_m \in \mathcal{M}(\sigma)$ and $c_{\xi, \lambda, m}$ is given by (4.9).

4.3. Notes

4.3.1. Definition 4.1 of Naimark relatedness goes back to NAIMARK [33]. He introduced this concept in the context of representations of the Lorentz group on a reflexive Banach space. Next he gave a much more involved definition in his book [34, Ch. 3, §9, No. 3]. Afterwards, many different versions of this definition appeared in literature, which all refer to [34]. We mention ZELOBENKO & NAIMARK [51, Def. 2] ("weak equivalence" for representations on locally convex spaces), Fell [13, §6] (Naimark relatedness for "linear system representations") and WARNER [48, p. 232 and p. 242]. Warner starts with the definition of Naimark relatedness for Banach representations of an associative algebra over C (this definition is similar to our Definition 4.1) and next he defines Naimark relatedness for Banach representations of an lcsc. group G in terms of Naimark relatedness for the corresponding representations of $M_c(G)$ or (equivalently) $C_c(G)$. Warner's definition seems to be standard now. POULSEN [35, Def. 33] gives Naimark's original definition [33] and he calls it weak equivalence. Fell [13] (see also WARNER [48, Theorem 4.5.5.2]) proved that, for K-finite Banach representations of a connected unimodular Lie group, two representations are Naimark related iff they are infinitesimally equivalent.

Our implication (c) \Rightarrow (a) in Theorem 4.5 is related to WALLACH [44, 4.3.2. Cor. 2.1]. Theorem 4.7 can be formulated for general semisimple Lie groups G. If $\pi_{\xi,\lambda}$ is an irreducible principal series representation and if $s \in W$ then $\pi_{\xi,\lambda}$ $\simeq \pi_{\xi^{s}, s \cdot \lambda}$ (cf. WALLACH [44, Theorem 3.1]). This yields part (a). Regarding part (b) see LEPOWSKY's [29, Theorem 9.8] result that $\pi_{\xi, \lambda}$ and $\pi_{\xi^s, s \cdot \lambda}$ have equivalent composition series.

Theorem 4.7 was first proved in the unitarizable cases by BARGMANN 4.3.3. [2]. He used infinitesimal methods. TAKAHASHI [39] proved Theorem 4.7 (again in the unitarizable cases) by calculating the diagonal matrix elements $\pi_{\xi, \lambda, m, n}(a_t)$ and by observing that they are even in λ . GELFAND, GRAEV & VILENKIN [17, Ch. VII, §4] obtained Theorem 4.7 by working in the noncompact realization of the principal series and by explicitly constructing all possible intertwining operators.

Analogues of the results in $\S4.1$ hold for nonabelian K and (in 4.3.4. Lemmas 4.3, 4.4 and Corollary 4.6) for K-finite representations, cf. [27, §4].