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Artikel: SIMPLE PROOF OF THE MAIN THEOREM OF ELIMINATION THEORY IN ALGEBRAIC GEOMETRY

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Kapitel: 2. Proof of Hilbert's zero theorem

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$S = \bigoplus_{d \geqq 0} S_d$, and for the multiplication one gets $S_d . S_e \subset S_{d+e}$. Otherwise stated, *$S$ is a graded algebra over the field k.* Since J is generated by homogeneous polynomials, it is a *graded ideal,* namely $J = \bigoplus_{d \geqq 0} (J \cap S_d)$. The factor algebra $R = S/J$ is therefore graded with $R_d = S_d/(J \cap S_d)$ for any nonnegative integer d. It enjoys the following properties:

(i) *As a ring, R is generated by $R_0 \cup R_1$.*

(ii) *For any nonnegative integer d, the vector space R_d is finite-dimensional over k.*

(iii) $R_0 = k$.

Denote by $x_0, x_1, \ldots, x_n$ respectively the cosets of $X_0, X_1, \ldots, X_n$ modulo J. Let φ be any k-linear ring homomorphism from R into K, and put $\xi_0 = \varphi(x_0), \ldots, \xi_n = \varphi(x_n)$. It is clear that the vector $\xi = (\xi_0, \xi_1, \ldots, \xi_n)$ is a common zero of the polynomials in J. Conversely, for any such common zero, there exists a unique k-linear ring homomorphism $\varphi : R \to K$ such that $\xi_0 = \varphi(x_0), \ldots, \xi_n = \varphi(x_n)$. The vector ξ is equal to zero if and only if φ maps $R_1 = kx_0 + \ldots + kx_n$ onto 0, that is if and only if the kernel of φ is equal to the ideal $R^+ = \bigoplus_{d \geqq 0} R_d$ in R.

Theorem A is therefore equivalent to the following.

THEOREM B. *Let R be a graded commutative algebra over k, satisfying hypotheses* (i), (ii) *and* (iii) *above. One has the following dichotomy:*

a) *Either there exists a non-negative integer d_0 such that $R_d = 0$ for $d \geqslant d_0$;*

b) *or for every nonnegative integer d, one has $R_d \neq 0$ and there exists a k-linear ring homomorphism $\varphi : R \to K$ whose kernel is different from $R^+ = \bigoplus_{d \geqq 1} R_d$.*

Notice that R is a finite-dimensional vector space in case a), infinite-dimensional in case b).

2. PROOF OF HILBERT'S ZERO THEOREM

We proceed to the proof of theorem B.

By property (i) above, one gets $R_1 . R_d = R_{d+1}$ hence $R_d = 0$ implies $R_{d+1} = 0$. Hence either R_d is 0 for all sufficiently large d's, or $R_d \neq 0$

for every d. From now on, assume we are in the second case. Since R is generated over the field k by a finite number of elements, the maximum condition holds for the ideals in R. We can therefore select a maximal element in the set $\mathfrak{J}$ of graded ideals I in R such that $R_d \neq I \cap R_d$ for every nonnegative integer d (notice (0) belongs to $\mathfrak{J}$, hence $\mathfrak{J}$ is nonempty). Replacing R by R/I, we may assume that R enjoys the following property:

(M) *For every nonnegative integer d, one has $R_d \neq 0$. Every graded ideal $I \neq (0)$ in R contains R_d for all sufficiently large d's.*

We claim that R_1 *contains a non-nilpotent element.* Assume the converse and let $a_1, ..., a_r$ be a linear basis of R_1 over k. There would then exist an integer $N \geqslant 1$ such that $a_1^N = ... = a_r^N = 0$, any monomial of degree $> Nr$ in $a_1, ..., a_r$ would be equal to zero, and we would have $R_d = 0$ for any integer $d > Nr$, contrary to assumption (M).

Pick a non-nilpotent element x in R_1. *The element* $1 - x$ *has no inverse in* R. Indeed x^d belongs to R_d for any $d \geqslant 0$, and the inverse to $1 - x$ would be congruent to $1 + x + x^2 + ... + x^d$ modulo the ideal $\sum\limits_{i>d} R_i$ for every $d \geqslant 1$, contrary to the assumption that R is the direct sumof the R_d's. By Krull's theorem, we may select a maximal ideal M in R containing $1 - x$. Then $L = R/M$ is a field extension of k, and the element x of R_1 satisfies $x \equiv 1 \bmod M$. Since K is an algebraically closed extension of k, it remains to show that L is of finite degree over k, hence isomorphic to a subextension of K.

Since $x \,.\, R = \bigoplus\limits_{d \geq 0} x \,.\, R_d$ is a graded ideal in R, one gets from (M) the existence of an integer $d_0 \geqslant 0$ such that $x \,.\, R_d = R_{d+1}$ for $d \geqslant d_0$. Hence, as a module over its subring $k\,[x]$, R is generated by $R_0 + R_1 + ... + R_{d_0}$ hence by a (finite) basis $b_1, ..., b_N$ of this vector space over k. That is, any element u in R is of the form

$$u = b_1 f_1(x) + ... + b_N f_N(x) \tag{1}$$

where $f_1, ..., f_N$ are polynomials in one indeterminate with coefficients in k. From (1) one gets

$$u \equiv b_1 f_1(1) + ... + b_N f_N(1) \mod M,$$

hence $[L : k] \leqslant N$ is finite.

Q.E.D.

For the reader who doesn't want to appeal to Hilbert's basis theorem, here is a direct construction of a maximal element in $\mathfrak{J}$. Let $r_0 = 0$,

$I_0 = (0)$ and $\mathfrak{I}_0 = \mathfrak{I}$ and define inductively r_d, I_d and $\mathfrak{I}_d$ as follows. For $d \geqslant 0$, let r_{d+1} be equal to the maximum of the dimensions of $I \cap R_{d+1}$ for I running over $\mathfrak{I}_d$, let I_{d+1} be any ideal in $\mathfrak{I}_d$ such that $\dim (I_{d+1} \cap R_{d+1}) = r_{d+1}$ and let $\mathfrak{I}_{d+1}$ be the set of ideals I in $\mathfrak{I}_d$ such that $I \cap R_{d+1} = I_{d+1} \cap R_{d+1}$. Then the ideal $\bigoplus_{d \geq 1} (I_d \cap R_d)$ is a maximal element in $\mathfrak{I}$, as it is easily checked.

3. Elimination theory

The main theorem of elimination theory may be formulated as follows. Let $P_1, ..., P_r$ be polynomials in $k[X_0, X_1, ..., X_n; Y_1, ..., Y_m]$ with P_j homogeneous of degree d_j in the variables $X_0, X_1, ..., X_n$ alone, i.e. of the form

$$P_j = \sum_{\alpha_0 + \ldots + \alpha_n = d_j} X_0^{\alpha_0} X_1^{\alpha_1} \ldots X_n^{\alpha_n} f_{\alpha,j}(Y_1, \ldots, Y_m)$$

where the $f_{\alpha,j}$'s are polynomials in $k[Y_1, ..., Y_m]$.

Denote by J the ideal in $k[X_0, X_1, ..., X_n; Y_1, ..., Y_m]$ generated by $P_1, ..., P_r$ and by $\mathfrak{A}$ the ideal of polynomials f in $k[Y_1, ..., Y_m]$ with the following property (the so-called Hurwitz' Trägheitsformen):

(E) There exists an integer $N \geqslant 1$ such that $f X_0^N, f X_1^N, ..., f X_n^N$ all belong to J.

As usual we denote by $\mathbf{P}^n(K)$ the n-dimensional projective space over K.

Theorem C. *Let V be the subset of $\mathbf{P}^n(K) \times K^m$ consisting of the pairs (x, y) with $x = (x_0 : x_1 : ... : x_n)$ and $y = (y_1, ..., y_m)$ such that $P_j(x_0, x_1, ..., x_n; y_1, ..., y_m) = 0$ for $1 \leqslant j \leqslant r$. Let W be the subset of K^m consisting of the vectors y such that $Q(y) = 0$ for every Q in $\mathfrak{A}$. Then the projection of $V \subset \mathbf{P}^n(K) \times K^m$ onto the second factor K^m is equal to W.*

To reformulate theorem C, let us consider the ring

$$B = k[X_0, X_1, ..., X_n; Y_1, ..., Y_m]$$

together with its subring $B_0 = k[Y_1, ..., Y_m]$. Denote by B_d the B_0-module generated in B by the monomials of degree d in $X_0, X_1, ..., X_n$. Then $B = \bigoplus_{d \geq 0} B_d$ is a graded ring with J a graded ideal. Define the *graded ring* $A = B/J$ with $A_d = B_d/(B_d \cap J)$. We have the following properties: