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THEORY IN ALGEBRAIC GEOMETRY

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 $S=\bigoplus_{d\geq 0} S_d$, and for the multiplication one gets S_d . $S_e\subset S_{d+e}$. Otherwise stated, S is a graded algebra over the field k. Since J is generated by homogeneous polynomials, it is a graded ideal, namely $J=\bigoplus_{d\geq 0} (J\cap S_d)$. The factor algebra R=S/J is therefore graded with $R_d=S_d/(J\cap S_d)$ for any nonnegative integer d. It enjoys the following properties:

- (i) As a ring, R is generated by $R_0 \cup R_1$.
- (ii) For any nonnegative integer d, the vector space R_d is finite-dimensional over k.
- (iii) $R_0 = k$.

Denote by $x_0, x_1, ..., x_n$ respectively the cosets of $X_0, X_1, ..., X_n$ modulo J. Let φ be any k-linear ring homomorphism from R into K, and put $\xi_0 = \varphi(x_0), ..., \xi_n = \varphi(x_n)$. It is clear that the vector $\xi = (\xi_0, \xi_1, ..., \xi_n)$ is a common zero of the polynomials in J. Conversely, for any such common zero, there exists a unique k-linear ring homomorphism $\varphi: R \to K$ such that $\xi_0 = \varphi(x_0), ..., \xi_n = \varphi(x_n)$. The vector ξ is equal to zero if and only if φ maps $R_1 = kx_0 + ... + kx_n$ onto 0, that is if and only if the kernel of φ is equal to the ideal $R^+ = \bigoplus_{n \in \mathbb{N}} R_n$ in R.

Theorem A is therefore equivalent to the following.

Theorem B. Let R be a graded commutative algebra over k, satisfying hypotheses (i), (ii) and (iii) above. One has the following dichotomy:

- a) Either there exists a non-negative integer d_0 such that $R_d=0$ for $d\geqslant d_0$;
- b) or for every nonnegative integer d, one has $R_d \neq 0$ and there exists a k-linear ring homomorphism $\varphi: R \to K$ whose kernel is different from $R^+ = \bigoplus_{d \geq 1} R_d$.

Notice that R is a finite-dimensional vector space in case a), infinite-dimensional in case b).

2. Proof of Hilbert's zero theorem

We proceed to the proof of theorem B.

By property (i) above, one gets R_1 . $R_d = R_{d+1}$ hence $R_d = 0$ implies $R_{d+1} = 0$. Hence either R_d is 0 for all sufficiently large d's, or $R_d \neq 0$

for every d. From now on, assume we are in the second case. Since R is generated over the field k by a finite number of elements, the maximum condition holds for the ideals in R. We can therefore select a maximal element in the set \Im of graded ideals I in R such that $R_d \neq I \cap R_d$ for every nonnegative integer d (notice (0) belongs to \Im , hence \Im is nonempty). Replacing R by R/I, we may assume that R enjoys the following property:

(M) For every nonnegative integer d, one has $R_d \neq 0$. Every graded ideal $I \neq (0)$ in R contains R_d for all sufficiently large d's.

We claim that R_1 contains a non-nilpotent element. Assume the converse and let $a_1, ..., a_r$ be a linear basis of R_1 over k. There would then exist an integer $N \ge 1$ such that $a_1^N = ... = a_r^N = 0$, any monomial of degree > Nr in $a_1, ..., a_r$ would be equal to zero, and we would have $R_d = 0$ for any integer d > Nr, contrary to assumption (M).

Pick a non-nilpotent element x in R_1 . The element 1-x has no inverse in R. Indeed x^d belongs to R_d for any $d \ge 0$, and the inverse to 1-x would be congruent to $1+x+x^2+...+x^d$ modulo the ideal $\sum\limits_{i>d}R_i$ for every $d \ge 1$, contrary to the assumption that R is the direct sum of the R_d 's. By Krull's theorem, we may select a maximal ideal M in R containing 1-x. Then L=R/M is a field extension of k, and the element x of R_1 satisfies $x \equiv 1 \mod M$. Since K is an algebraically closed extension of k, it remains to show that L is of finite degree over k, hence isomorphic to a subextension of K.

Since $x cdot R = \bigoplus_{d \geq 0} x cdot R_d$ is a graded ideal in R, one gets from (M) the existence of an integer $d_0 \geqslant 0$ such that $x cdot R_d = R_{d+1}$ for $d \geqslant d_0$. Hence, as a module over its subring k[x], R is generated by $R_0 + R_1 + \ldots + R_{d_0}$ hence by a (finite) basis b_1, \ldots, b_N of this vector space over k. That is, any element u in R is of the form

(1)
$$u = b_1 f_1(x) + \dots + b_N f_N(x)$$

where $f_1, ..., f_N$ are polynomials in one indeterminate with coefficients in k. From (1) one gets

$$u \equiv b_1 f_1(1) + \dots + b_N f_N(1) \mod M,$$

hence $[L:k] \leq N$ is finite.

Q.E.D.

For the reader who doesn't want to appeal to Hilbert's basis theorem, here is a direct construction of a maximal element in \Im . Let $r_0 = 0$,

 $I_0=(0)$ and $\mathfrak{I}_0=\mathfrak{I}$ and define inductively r_d , I_d and \mathfrak{I}_d as follows. For $d\geqslant 0$, let r_{d+1} be equal to the maximum of the dimensions of $I\cap R_{d+1}$ for I running over \mathfrak{I}_d , let I_{d+1} be any ideal in \mathfrak{I}_d such that dim $(I_{d+1}\cap R_{d+1})=r_{d+1}$ and let \mathfrak{I}_{d+1} be the set of ideals I in \mathfrak{I}_d such that $I\cap R_{d+1}=I_{d+1}\cap R_{d+1}$. Then the ideal \bigoplus $(I_d\cap R_d)$ is a maximal element in \mathfrak{I}_d , as it is easily checked.

3. Elimination theory

The main theorem of elimination theory may be formulated as follows. Let $P_1, ..., P_r$ be polynomials in $k [X_0, X_1, ..., X_n; Y_1, ..., Y_m]$ with P_j homogeneous of degree d_j in the variables $X_0, X_1, ..., X_n$ alone, i.e. of the form

$$P_{j} = \sum_{\alpha_{0} + ... + \alpha_{n} = d_{j}} X_{0}^{\alpha_{0}} X_{1}^{\alpha_{1}} ... X_{n}^{\alpha_{n}} f_{\alpha, j} (Y_{1}, ..., Y_{m})$$

where the $f_{\alpha,j}$'s are polynomials in $k [Y_1, ..., Y_m]$.

Denote by J the ideal in $k[X_0, X_1, ..., X_n; Y_1, ..., Y_m]$ generated by $P_1, ..., P_r$ and by \mathfrak{A} the ideal of polynomials f in $k[Y_1, ..., Y_m]$ with the following property (the so-called Hurwitz' Trägheitsformen):

(E) There exists an integer $N \ge 1$ such that $f(X_0^N, f(X_1^N, ..., f(X_n^N))$ all belong to J.

As usual we denote by $\mathbf{P}^{n}(K)$ the *n*-dimensional projective space over K.

THEOREM C. Let V be the subset of $\mathbf{P}^n(K) \times K^m$ consisting of the pairs (x, y) with $x = (x_0 : x_1 : ... : x_n)$ and $y = (y_1, ..., y_m)$ such that $P_j(x_0, x_1, ..., x_n; y_1, ..., y_m) = 0$ for $1 \le j \le r$. Let W be the subset of K^m consisting of the vectors y such that Q(y) = 0 for every Q in \mathfrak{A} . Then the projection of $V \subset \mathbf{P}^n(K) \times K^m$ onto the second factor K^m is equal to W.

To reformulate theorem C, let us consider the ring

$$B = k [X_0, X_1, ..., X_n; Y_1, ..., Y_m]$$

together with its subring $B_0 = k [Y_1, ..., Y_m]$. Denote by B_d the B_0 -module generated in B by the monomials of degree d in $X_0, X_1, ..., X_n$. Then $B = \bigoplus_{d \ge 0} B_d$ is a graded ring with J a graded ideal. Define the graded ring A = B/J with $A_d = B_d/(B_d \cap J)$. We have the following properties: