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complex conjugation. By the Stone-Weierstrass theorem [29, p. 151] we conclude that  $B = C_0(\hat{A})$  and hence that  $x \rightarrow \hat{x}$  is onto. Thus the proof of the representation theorem for commutative  $B^*$ -algebras is complete.

The reader who is interested in an unconventional proof of the preceding theorem may consult Edward Nelson [38, p. 78]. Quite simple proofs of the Gelfand-Naimark theorem in the special case of function algebras have been given by Nelson Dunford and Jacob T. Schwartz [14, pp. 274-275] and Karl E. Aubert [5].

### 5. THE GELFAND-NAIMARK THEOREM FOR ARBITRARY $B^*$ -ALGEBRAS

The proof of the representation theorem for an arbitrary  $B^*$ -algebra is much more involved than the commutative case and it will be divided into several steps. After having established that the involution is continuous we will introduce a new equivalent  $B^*$ -norm with isometric involution. An investigation of the unitary elements will show that the original norm on the algebra coincides with this new norm. The representation of  $B^*$ -algebras will then easily be effected by the well known Gelfand-Naimark-Segal construction. General references for material in this section are [13], [37] and [43].

Step. 1. *The involution in a  $B^*$ -algebra  $A$  is continuous.*

*Proof* [39, Lemma 1.3]. First we show that the set  $H(A) = \{ h \in A : h^* = h \}$  of *hermitian elements* in  $A$  is closed. Let  $\{ h_n \}$  be a convergent sequence in  $H(A)$  whose limit is  $h + ik$ , with  $h, k \in H(A)$ . Since  $h_n - h \rightarrow ik$  we may assume (by putting  $h_n$  for  $h_n - h$ ) that  $h_n$  converges to  $ik$ . The spectral mapping theorem for polynomials [43, p. 32] gives  $\sigma_A(h_n^2 - h_n^4) = \{ \lambda^2 - \lambda^4 : \lambda \in \sigma_A(h_n) \}$ ; since  $\| h \| = | h |_\sigma$  and  $\sigma_A(h)$  is real (see the first part of the proof of Theorem I, the Aren's-Fukamiya arguments and recall  $\sigma_A(h) = \hat{h}(\hat{A}) \cup \{ 0 \}$ ) we have

$$\begin{aligned} \| h_n^2 - h_n^4 \| &= \sup \{ \lambda^2 - \lambda^4 : \lambda \in \sigma_A(h_n) \} \\ &\leq \sup \{ \lambda^2 : \lambda \in \sigma_A(h_n) \} = \| h_n^2 \|. \end{aligned}$$

Letting  $n \rightarrow \infty$  we obtain  $\| -k^2 - k^4 \| \leq \| k^2 \|. Hence$

$$\sup \{ \lambda^2 + \lambda^4 : \lambda \in \sigma_A(k) \} \leq \sup \{ \lambda^2 : \lambda \in \sigma_A(k) \}.$$

Choose  $\mu \in \sigma_A(k)$  such that  $\mu^2 = \sup \{ \lambda^2 : \lambda \in \sigma_A(k) \}$ . Then  $\mu^2 + \mu^4 \leq \mu^2$ , so  $\mu = 0$ . It follows that  $\| k \| = | k |_\sigma = 0$  and hence  $k = 0$ . This shows that  $H(A)$  is closed.

Now it is easy to prove that the graph of the map  $x \rightarrow x^*$  of  $A$  onto  $A$  is closed. For suppose  $x_n \rightarrow x$  and  $x_n^* \rightarrow y$ . Then  $x_n + x_n^* \rightarrow x + y$  and  $(x_n - x_n^*)/i \rightarrow (x - y)/i$ . Since  $H(A)$  is closed,  $x + y$  and  $(x - y)/i$  are hermitian and so  $x + y = x^* + y^*$  and  $x - y = y^* - x^*$ , whence  $y = x^*$ . Thus by the closed graph theorem, valid for conjugate linear maps, the involution in  $A$  is continuous.

Step 2. Let  $A$  be a  $B^*$ -algebra. Then  $\|x\|_0 = \|x^*x\|^{1/2}$  is an equivalent  $B^*$ -norm on  $A$  such that  $\|x^*\|_0 = \|x\|_0$  for all  $x \in A$ , and  $\|h\|_0 = \|h\|$  for all hermitian  $h \in A$ .

*Proof.* [2], [53]. By Step 1 there exists  $M \geq 1$  such that  $\|x^*\| \leq M \|x\|$  for all  $x \in A$ . Then

$$M^{-1/2} \|x\| \leq \|x^*\|^{1/2} \|x\|^{1/2} = \|x\|_0 \leq M^{1/2} \|x\|$$

so that  $\|\cdot\|_0$  and  $\|\cdot\|$  are equivalent. Clearly  $\|\cdot\|_0$  is homogeneous and submultiplicative. To prove the triangle inequality, let  $x, y \in A$ . Then

$$\|x + y\|_0^2 = \|(x + y)^*(x + y)\| \leq \|x^*x\| + \|y^*y\| + \|x^*y + y^*x\|$$

so it is enough to prove that  $\|x^*y + y^*x\| \leq 2 \|x\|_0 \|y\|_0$ . For any positive integer  $n$

$$\begin{aligned} & \| (x^*y)^{2^{n-1}} + (y^*x)^{2^{n-1}} \|^2 \\ &= \| (x^*y)^{2^n} + (y^*x)^{2^n} + (x^*y)^{2^{n-1}} (y^*x)^{2^{n-1}} + (y^*x)^{2^{n-1}} (x^*y)^{2^{n-1}} \| \\ &\leq \| (x^*y)^{2^n} + (y^*x)^{2^n} \| + 2 (\|x^*x\| \cdot \|y^*y\|)^{2^{n-1}}. \end{aligned}$$

For every  $\varepsilon > 0$  there is an integer  $n$  such that

$$\| (x^*y)^{2^n} \| \leq (|x^*y|_\sigma^2 + \varepsilon)^{2^{n-1}} \quad \text{and} \quad \| (y^*x)^{2^n} \| \leq (|y^*x|_\sigma^2 + \varepsilon)^{2^{n-1}}.$$

Then

$$\begin{aligned} \| (x^*y)^{2^n} \| &\leq (|x^*y|_\sigma |y^*x|_\sigma + \varepsilon)^{2^{n-1}} \leq (\|x^*y\| \cdot \|y^*x\| + \varepsilon)^{2^{n-1}} \\ &\leq (\|x^*x\| \cdot \|y^*y\| + \varepsilon)^{2^{n-1}} \end{aligned}$$

and similarly

$$\| (y^*x)^{2^n} \| \leq (\|x^*x\| \cdot \|y^*y\| + \varepsilon)^{2^{n-1}}$$

so that

$$\| (x^*y)^{2^n} + (y^*x)^{2^n} \|^2 \leq 2 (\|x^*x\| \cdot \|y^*y\| + \varepsilon)^{2^{n-1}}$$

Combining these results we recursively obtain

$$\| (x^*y)^{2^{k-1}} + (y^*x)^{2^{k-1}} \|^2 \leq 4(\|x^*x\| \cdot \|y^*y\| + \varepsilon)^{2^{k-1}}.$$

for any  $k$ ,  $1 \leq k \leq n$ . Thus

$$\|x^*y + y^*x\|^2 \leq 4(\|x^*x\| \cdot \|y^*y\| + \varepsilon)$$

for arbitrary  $\varepsilon > 0$ . Hence  $\|x^*y + y^*x\| \leq 2\|x\|_0\|y\|_0$ . So we have seen that  $\|\cdot\|_0$  is an equivalent algebra norm on  $A$ . Further,  $\|h\|_0 = \|h^*h\|^{1/2} = \|h\|$  for all hermitian  $h \in A$  and so  $\|x\|_0^2 = \|x^*x\| = \|x^*x\|_0$ ; i.e.,  $\|\cdot\|_0$  is a  $B^*$ -norm on  $A$  with  $\|x^*\|_0 = \|x\|_0$  for all  $x \in A$ .

**Step 3. Positive elements and symmetry.** Let  $A$  be a  $B^*$ -algebra with identity  $e$ . Then every hermitian  $h \in A$  lies in a maximal commutative  $B^*$ -algebra  $B$  with identity  $e$ . Observe that  $\sigma_B(x) = \sigma_A(x)$  for all  $x \in B$  [43, p. 35]. By the characterization of commutative  $B^*$ -algebras  $B$  is isometrically  $*$ -isomorphic to  $C(\hat{B})$ . Hence every hermitian element  $h \in A$  has real spectrum.

A hermitian element  $x \in A$  is called *positive*, and we write  $x \geq 0$ , if the spectrum of  $x$  in  $A$  is a subset of the nonnegative reals.

Clearly  $x = h^2$  is positive for every hermitian  $h \in A$ . The set  $P = \{x \in A : x \geq 0\}$  of all positive elements in  $A$  is called the *positive cone*. Indeed,  $P$  is a cone. For  $\lambda \geq 0$  and  $x \geq 0$  then  $\lambda x \geq 0$  since  $\sigma_A(\lambda x) = \lambda \sigma_A(x)$ . That  $x \geq 0$  and  $y \geq 0$  implies  $x + y \geq 0$  may be seen by the following *Kelley-Vaught argument* [31]:

Set  $\alpha = \|x\|$ ,  $\beta = \|y\|$ ,  $z = x + y$ , and  $\gamma = \alpha + \beta$ . Since  $|x|_\sigma = \|x\|$  the assumption  $x \geq 0$  implies  $\sigma_A(x) \subset [0, \alpha]$ , so that  $\sigma_A(\alpha e - x) \subset [0, \alpha]$  and therefore  $\|\alpha e - x\| = |\alpha e - x|_\sigma \leq \alpha$ . For the same reason  $\|\beta e - y\| \leq \beta$ . Hence

$$\|\gamma e - z\| = \|(\alpha e - x) + (\beta e - y)\| \leq \alpha + \beta = \gamma.$$

Since  $z^* = z$ ,  $\sigma_A(\gamma e - z)$  is real so that  $\sigma_A(\gamma e - z) \subset [-\gamma, \gamma]$  which implies that  $\sigma_A(z) \subset [0, 2\gamma]$ . Thus  $x + y = z \geq 0$ .

The symmetry of the involution in  $A$  now follows readily by *Kaplansky's argument* [45]:

We intend to show  $x^*x \geq 0$  for all  $x \in A$ . By observing that a real-valued continuous function is the difference of two nonnegative real-valued continuous functions whose product is zero, we can write the hermitian element  $x^*x$  in the form

$$x^*x = u - v, \quad u \geq 0, \quad v \geq 0, \quad uv = 0 = vu.$$

Now  $(xv)^*(xv) = v^*x^*xv = vx^*xv = v(u-v)v = -v^3$  so that  $(xv)^*(xv) \leq 0$ . Since  $(xv)^*(xv)$  and  $(xv)(xv)^*$  have the same nonzero spectrum, also  $(xv)(xv)^* \leq 0$ . Write  $xv = h + ik$  with  $h$  and  $k$  hermitian. Then

$$0 \geq (xv)^*(xv) + (xv)(xv)^* = 2(h^2 + k^2) \geq 0.$$

Thus  $h = 0 = k$  or  $xv = 0$ . But then  $0 = (xv)^*(xv) = -v^3$  and so  $v = 0$ . Hence  $x^*x = u \geq 0$ ; in particular,  $e + x^*x$  is invertible for all  $x \in A$ .

Step 4. Let  $A$  be a  $B^*$ -algebra with isometric involution. Then there exists a net  $\{e_\alpha\}$  of hermitian elements in  $A$ , bounded by one, such that  $\lim e_\alpha x = x = \lim x e_\alpha$  for all  $x \in A$ . The net  $\{e_\alpha\}$  is called an approximate identity.

*Proof.* The following construction is due to Irving E. Segal [50]. If  $A$  has no identity, we may embed  $A$  in a  $B^*$ -algebra  $A_e$  with identity  $e$  (see the proof of Theorem I). Thus in any case we can use the preceding results about positive elements.

For any  $\alpha = \{x_1, \dots, x_n\}$  in the class of all finite subsets of  $A$ , ordered by inclusion, set  $h = x_1^*x_1 + \dots + x_n^*x_n$ . Then  $h \geq 0$  and so  $e_\alpha = nh(e + nh)^{-1}$  is a well defined element in  $A$ . Viewing  $h$  as a non-negative function on the structure space of some maximal commutative  $B^*$ -subalgebra we see that  $\|e_\alpha\| = |e_\alpha|_\sigma \leq 1$ . It remains to show that  $\lim e_\alpha x = x = \lim x e_\alpha$ . Observe that

$$\begin{aligned} [x_i(e - e_\alpha)]^* [x_i(e - e_\alpha)] &\leq \sum_{j=1}^n [x_j(e - e_\alpha)]^* [x_j(e - e_\alpha)] \\ &\leq (e - e_\alpha) h (e - e_\alpha) \\ &\leq h (e + nh)^{-2} \leq e/4n \end{aligned}$$

where the last inequality follows from the fact that the real function  $t \rightarrow t(1 + nt)^{-2}$  ( $t \geq 0$ ) has maximum value  $1/4n$ . Thus

$$\|x_i(e - e_\alpha)\|^2 = \|[x_i(e - e_\alpha)]^* [x_i(e - e_\alpha)]\| \leq 1/4n.$$

Now for arbitrary  $x \in A$  and  $\varepsilon > 0$  choose a finite set  $\alpha_0$  of  $n$  elements in  $A$  such that  $x \in \alpha_0$  and  $n > \varepsilon^{-2}$ . Then for all  $\alpha \geq \alpha_0$  we have  $\|x - x e_\alpha\| = \|x(e - e_\alpha)\| < \varepsilon$ . Hence  $\lim x e_\alpha = x$  for every  $x \in A$ ; and by the continuity of the involution also  $\lim e_\alpha x = (\lim x^* e_\alpha)^* = (x^*)^* = x$ .

Step 5. Every  $B^*$ -algebra without identity can be isometrically embedded in a  $B^*$ -algebra with identity.

*Proof.* Let  $A$  be a  $B^*$ -algebra without identity. By Step 2,  $A$  is a  $B^*$ -algebra with isometric involution with respect to the equivalent norm  $\|x\|_0 = \|x^*x\|^{1/2}$ . Hence, by Step 4,  $A$  has an approximate identity  $\{e_\alpha\}$  consisting of hermitian elements such that  $\|e_\alpha\| = \|e_\alpha\|_0 \leq 1$ . Now observe that for every  $x \in A$ ,

$$\|x\| = \sup \{ \|xy\| : y \in A, \|y\| \leq 1 \} = \sup \{ \|yx\| : y \in A, \|y\| \leq 1 \}$$

and extend the norm on  $A$  to  $A_e$  by

$$\begin{aligned} \|x + \lambda e\| &= \sup \{ \|(x + \lambda e)y\| : y \in A, \|y\| \leq 1 \} \\ &= \sup \{ \|y(x + \lambda e)\| : y \in A, \|y\| \leq 1 \}. \end{aligned}$$

Then  $A_e$  is a Banach  $*$ -algebra with identity in which  $A$  is isometrically embedded as a closed ideal of codimension one. To see that the  $B^*$ -condition holds in  $A_e$  we first prove that

$$\|x + \lambda e\| = \lim_\alpha \| (x + \lambda e) e_\alpha \| = \lim_\alpha \| e_\alpha (x + \lambda e) \|.$$

Given any  $\varepsilon > 0$  there exists  $y \in A$  with  $\|y\| \leq 1$  such that

$$\| (x + \lambda e) y \| > \|x + \lambda e\| - \varepsilon.$$

Since  $\lim_\alpha (x + \lambda e) e_\alpha y = (x + \lambda e) y$ , there exists  $\alpha_0$  such that for all  $\alpha \geq \alpha_0$ ,  $\| (x + \lambda e) e_\alpha y \| > \|x + \lambda e\| - \varepsilon$ . Since  $\| (x + \lambda e) e_\alpha y \| \leq \| (x + \lambda e) e_\alpha \| \leq \|x + \lambda e\|$ , it follows that  $\lim_\alpha \| (x + \lambda e) e_\alpha \|$  exists and is equal to  $\|x + \lambda e\|$ . Similarly  $\lim_\alpha \| e_\alpha (x + \lambda e) \| = \|x + \lambda e\|$ . Thus

$$\begin{aligned} \| (x + \lambda e)^* \| \cdot \| (x + \lambda e) \| &= \lim_\alpha \| e_\alpha (x + \lambda e)^* \| \cdot \lim_\alpha \| (x + \lambda e) e_\alpha \| \\ &= \lim_\alpha \| e_\alpha (x + \lambda e)^* (x + \lambda e) e_\alpha \| \\ &= \| (x + \lambda e)^* (x + \lambda e) \|. \end{aligned}$$

Therefore  $\| (x + \lambda e)^* (x + \lambda e) \| = \| (x + \lambda e)^* \| \cdot \|x + \lambda e\|$ , and so  $A_e$  is a  $B^*$ -algebra.

*Step 6.* Let  $A$  be a  $B^*$ -algebra with identity  $e$  and isometric involution. Denote by  $U = \{ u \in A : u^*u = e = uu^* \}$  the group of unitary elements in  $A$ . Then every element  $x$  in  $A$  is a linear combination of unitary elements and  $\|x\| = \|x\|_u$ , where

$$\|x\|_u = \inf \left\{ \sum_{n=1}^N |\lambda_n| : x = \sum_{n=1}^N \lambda_n u_n, \lambda_n \in \mathbb{C}, u_n \in U \right\}.$$

*Proof.* To prove that every  $x \in A$  is a linear combination of unitary elements it clearly suffices to show that every hermitian  $h \in A$  with  $\|h\| < 1$

can be written as a linear combination of unitary elements. If  $\|h\| < 1$ , then  $\|h^2\| \leq \|h\|^2 < 1$  and so

$$k = \sum_{n=0}^{\infty} \binom{1/2}{n} (-h^2)^n$$

is a well-defined element in  $A$ . Clearly,  $k$  is a hermitian element commuting with  $h$  such that  $k^2 = e - h^2$ . Thus  $u = h + ik$  is unitary and  $h = \frac{1}{2}u + \frac{1}{2}u^*$ .

It now follows that  $\|x\|_u$  (as given in Step 6) is well-defined for each  $x \in A$ ; further, it is clear from the definition that  $\|\cdot\|_u$  is a seminorm on  $A$ . We shall call it the *unitary seminorm*. Since the unitary elements form a group under multiplication  $\|\cdot\|_u$  is submultiplicative.

Let us compare the unitary seminorm with the  $B^*$ -norm on  $A$ . Observe that  $\|h\|_u \leq \|h\|$  for every hermitian  $h \in A$ . Indeed, if  $\|h\| < 1$ , then  $h = \frac{1}{2}u + \frac{1}{2}u^*$  for some unitary  $u \in A$  and so  $\|h\|_u \leq 1$ . Thus  $\|h\|_u \leq \|h\|$  for every hermitian  $h \in A$ . Further  $\|x\|_u \leq 2\|x\|$  for every  $x \in A$ . For if  $x = h + ik$  with hermitian  $h$  and  $k$ , then  $\|x\|_u \leq \|h\|_u + \|k\|_u \leq 2\|x\|$ . On the other hand  $\|x\| \leq \|x\|_u$  for all  $x \in A$ . Indeed, if  $x = \sum_{n=1}^N \lambda_n u_n$ ,  $\lambda_n \in \mathbb{C}$ ,  $u_n \in U$ , then

$$\|x\| = \left\| \sum_{n=1}^N \lambda_n u_n \right\| \leq \sum_{n=1}^N |\lambda_n| \cdot \|u_n\| = \sum_{n=1}^N |\lambda_n|$$

since  $\|u\|^2 = \|u^*u\| = 1$  for every unitary  $u \in A$ . Thus  $\|x\| \leq \|x\|_u$ . Hence the unitary seminorm and the  $B^*$ -norm on  $A$  are equivalent norms with  $\|x\| \leq \|x\|_u \leq 2\|x\|$  for all  $x \in A$ . To see that these two norms are actually equal we need the following result of Russo and Dye [44] about the closure of the convex hull of the unitary elements in  $A$ .

**Russo-Dye Theorem.** *Let  $A$  be a  $B^*$ -algebra with identity  $e$  and isometric involution. Then the open unit ball of  $A$  is contained in the closed convex hull of the unitary elements of  $A$ ; that is, for each  $x$  in  $A$  with  $\|x\| < 1$  and each  $\varepsilon > 0$  there exists a positive integer  $m$  and unitary elements  $u_k$  such that  $\left\| x - \sum_{k=1}^m \frac{1}{m} u_k \right\| < \varepsilon$ .*

The equality of the unitary seminorm and the  $B^*$ -norm on  $A$  is an immediate consequence of this result. Indeed, let  $x \in A$  with  $\|x\| < 1$ .

Then for every  $\varepsilon > 0$  there is a positive integer  $m$  and unitary elements  $u_k$  such that  $\left\| x - \sum_{k=1}^m \frac{1}{m} u_k \right\| < \varepsilon$  and so

$$\begin{aligned} \|x\|_u &\leq \left\| \sum_{k=1}^m \frac{1}{m} u_k \right\|_u + \left\| x - \sum_{k=1}^m \frac{1}{m} u_k \right\|_u \\ &\leq \sum_{k=1}^m \frac{1}{m} \|u_k\|_u + 2 \left\| x - \sum_{k=1}^m \frac{1}{m} u_k \right\| \leq 1 + 2\varepsilon; \end{aligned}$$

since  $\varepsilon > 0$  was arbitrary,  $\|x\|_u \leq 1$ . This proves  $\|x\|_u \leq \|x\|$  and so  $\|x\| = \|x\|_u$  for all  $x \in A$ .

For completeness we will now prove the Russo-Dye Theorem. The following elementary proof, valid for arbitrary Banach \*-algebras with isometric involution, is based on ideas of Harris [28].

*Proof of the Russo-Dye Theorem:* Let  $x \in A$  with  $\|x\| < 1$ . Then  $\|xx^*\| \leq \|x\| \cdot \|x^*\| = \|x\|^2 < 1$ . Hence the hermitian element  $e - xx^*$  is invertible and has the invertible hermitian square root  $(e - xx^*)^{1/2} = \sum_{n=0}^{\infty} \binom{1/2}{n} (-xx^*)^n$ . Similarly  $e - x^*x$  has invertible hermitian square root  $(e - x^*x)^{1/2} = \sum_{n=0}^{\infty} \binom{1/2}{n} (-x^*x)^n$ . For complex  $\lambda$  with  $|\lambda| = 1$  define

$$u_\lambda = (e - xx^*)^{-1/2} (x - \lambda e) (e - \lambda x^*)^{-1} (e - x^*x)^{1/2}.$$

We intend to show that  $u_\lambda$  is unitary. Since  $\lambda\bar{\lambda} = 1$ ,

$$\begin{aligned} u_\lambda^* &= (e - x^*x)^{1/2} (e - \bar{\lambda}x)^{-1} (x^* - \bar{\lambda}e) (e - xx^*)^{-1/2} \\ &= (e - x^*x)^{1/2} (\lambda e - x)^{-1} (\lambda x^* - e) (e - xx^*)^{-1/2}. \end{aligned}$$

Observe that

$$\begin{aligned} (\lambda e - x)^{-1} (\lambda x^* - e) &= (\lambda e - x)^{-1} [(\lambda e - x)x^* - (e - xx^*)] \\ &= x^* - (\lambda e - x)^{-1} (e - xx^*), \\ (e - \lambda x^*) (x - \lambda e)^{-1} &= [x^* (\lambda e - x) - (e - x^*x)] (\lambda e - x)^{-1} \\ &= x^* - (e - x^*x) (\lambda e - x)^{-1}, \end{aligned}$$

and

$$\begin{aligned} x (e - x^*x)^{1/2} &= \sum_{n=0}^{\infty} \binom{1/2}{n} x (-x^*x)^n = \sum_{n=0}^{\infty} \binom{1/2}{n} (-xx^*)^n x \\ &= (e - xx^*)^{1/2} x \end{aligned}$$

which may be conjugated to give the related equality

$$(e - x^*x)^{1/2} x^* = x^* (e - xx^*)^{1/2}.$$

Utilizing these relations it follows easily that  $u_\lambda^* = u_\lambda^{-1}$  so  $u_\lambda$  is unitary.

Let  $u_{k/m}$  denote the unitary element  $u_\lambda$  with  $\lambda = \exp\left(2\pi i \frac{k}{m}\right)$  where  $k, m$  are positive integers. We will show that  $x = \lim_{m \rightarrow \infty} \sum_{k=1}^m (1/m) u_{k/m}$ .

With  $\lambda$  as above, let  $x_{k/m}$  denote the element

$$x_\lambda = (x - \lambda e)(e - \lambda x^*)^{-1}.$$

Then

$$\begin{aligned} x - \sum_{k=1}^m \frac{1}{m} u_{k/m} &= x - \frac{1}{m} \sum_{k=1}^m (e - x x^*)^{-1/2} x_{k/m} (e - x^* x)^{1/2} \\ &= (e - x x^*)^{-1/2} \left[ x - \frac{1}{m} \sum_{k=1}^m x_{k/m} \right] (e - x^* x)^{1/2} \end{aligned}$$

and so

$$\begin{aligned} (1) \quad & \left\| x - \sum_{k=1}^m \frac{1}{m} u_{k/m} \right\| \\ & \leq \left\| (e - x x^*)^{-1/2} \right\| \cdot \left\| x - \frac{1}{m} \sum_{k=1}^m x_{k/m} \right\| \cdot \left\| (e - x^* x)^{1/2} \right\|. \end{aligned}$$

Observe that

$$x_\lambda = \sum_{n=0}^{\infty} (x - \lambda e)(\lambda x^*)^n = \sum_{n=0}^{\infty} \lambda^n x (x^*)^n - \sum_{n=0}^{\infty} \lambda^{n+1} (x^*)^n$$

and so

$$\begin{aligned} x - x_\lambda &= \sum_{n=0}^{\infty} \lambda^{n+1} (x^*)^n - \sum_{n=1}^{\infty} \lambda^n x (x^*)^n \\ &= \sum_{n=1}^{\infty} \lambda^n [(x^*)^{n-1} - x (x^*)^n] \\ &= (e - x x^*) \sum_{n=1}^{\infty} \lambda^n (x^*)^{n-1}. \end{aligned}$$

Summing over  $k, 1 \leq k \leq m$ , and dividing by  $m$  we have

$$\begin{aligned} x - \frac{1}{m} \sum_{k=1}^m x_{k/m} &= \frac{1}{m} \sum_{k=1}^m (x - x_{k/m}) \\ &= (e - x x^*) \sum_{n=1}^{\infty} \frac{1}{m} \sum_{k=1}^m \left[ \exp\left(2\pi i \frac{k}{m}\right) \right]^n (x^*)^{n-1} \\ &= (e - x x^*) \sum_{n=1}^{\infty} \frac{1}{m} \sum_{k=1}^m \left[ \exp\left(2\pi i \frac{n}{m}\right) \right]^k (x^*)^{n-1}. \end{aligned}$$

Now, if  $1 \leq n < m$ , then  $\exp\left(2\pi i \frac{n}{m}\right) \neq 1$  and so by the sum formula for

a finite geometric sum

$$\sum_{k=1}^m \left[ \exp \left( 2\pi i \frac{n}{m} \right) \right]^k = \frac{\exp \left( 2\pi i \frac{n}{m} \right) - \exp \left( 2\pi i \frac{n(m+1)}{m} \right)}{1 - \exp \left( 2\pi i \frac{n}{m} \right)} = 0;$$

hence we have

$$x - \frac{1}{m} \sum_{k=1}^m x_{k/m} = (e - xx^*) \sum_{n=m}^{\infty} \frac{1}{m} \sum_{k=1}^m \left[ \exp \left( 2\pi i \frac{n}{m} \right) \right]^k (x^*)^{n-1}.$$

Then

$$\begin{aligned} \left\| x - \frac{1}{m} \sum_{k=1}^m x_{k/m} \right\| &\leq \| e - xx^* \| \sum_{n=m-1}^{\infty} \| (x^*)^n \| \\ &\leq \| e - xx^* \| \sum_{n=m-1}^{\infty} \| x \|^n \\ &\leq \| e - xx^* \| \frac{\| x \|^{m-1}}{1 - \| x \|}. \end{aligned}$$

Since the right hand side converges to 0 as  $m \rightarrow \infty$ , the theorem now follows immediately from relation (1) above.

*Step 7. The involution in a B\*-algebra A is isometric.*

*Proof.* Since every B\*-algebra without identity can be isometrically embedded in a B\*-algebra with identity we may assume  $A$  has an identity. By Step 2  $\| x \|_0 = \| x^*x \|^{1/2}$  is an equivalent B\*-norm on  $A$  such that  $\| x^* \|_0 = \| x \|_0$  for all  $x \in A$ . Hence, by Step 6,  $\| x \|_0 = \| x \|_u$  where  $\| \cdot \|_u$  is the unitary seminorm on  $A$ .

Observe that  $\| u \| = 1$  for every unitary  $u \in A$ . Indeed, since  $u$  and  $u^*$  commute, by the argument given in the first step of the proof of Theorem I, we have  $\| u^* \| = \| u \|$  and so  $\| u \| = 1$ .

Now, if  $x = \sum_{n=1}^N \lambda_n u_n$ ,  $\lambda_n \in \mathbb{C}$ ,  $u_n \in U$ , then

$$\| x \| = \left\| \sum_{n=1}^N \lambda_n u_n \right\| \leq \sum_{n=1}^N |\lambda_n| \cdot \| u_n \| = \sum_{n=1}^N |\lambda_n|.$$

Thus  $\| x \| \leq \| x \|_u = \| x \|_0 = \| x^*x \|^{1/2}$  and so  $\| x^* \| = \| x \|$ .

*Step 8. The Gelfand-Naimark-Segal Construction.* We have seen that the involution in a B\*-algebra  $A$  is isometric. Further, if  $A$  has no identity

we can embed  $A$  isometrically as a closed ideal of codimension one in the  $B^*$ -algebra  $A_e$  with identity  $e$ . Thus we can and will assume without loss of generality that  $A$  has an identity  $e$ .

The representation of such an algebra  $A$  as a norm-closed  $*$ -subalgebra of bounded linear operators on a Hilbert space is effected by means of positive functionals on  $A$  and a construction due to Gelfand-Naimark [23] and Segal [49].

A *positive functional* on  $A$  is a linear functional  $p$  such that  $p(x^*x) \geq 0$  for all  $x \in A$ . For  $x, y \in A$  set  $(x, y) = p(y^*x)$ . This scalar product on  $A$  is linear in  $x$ , conjugate linear in  $y$  and  $(x, x)$  is nonnegative for all  $x$ . Thus in particular  $p(y^*x) = \overline{p(x^*y)}$  and  $|p(y^*x)|^2 \leq p(x^*x)p(y^*y)$  (Schwarz inequality). Setting  $y = e$  we get  $p(x^*) = \overline{p(x)}$  and  $|p(x)|^2 \leq p(e)p(x^*x)$ .

In general the scalar product on  $A$  is degenerate so that a reduction is necessary to obtain nondegeneracy. To this end we define the associated *null ideal*  $I = \{x \in A : p(x^*x) = 0\}$ . Since by the above properties of positive functionals

$$I = \{x \in A : p(y^*x) = 0 \text{ for all } y \in A\},$$

the null ideal is clearly a left ideal in  $A$ . Then the quotient space  $X = A/I$  is a pre-Hilbert space with respect to the induced scalar product

$$(x+I, y+I) = p(y^*x)$$

and, further, for each  $a \in A$  we can define a linear operator  $T_a$  on  $X$  by  $T_a(x+I) = ax + I$ . The map  $a \rightarrow T_a$  has the following easily verified properties:  $T_{a+b} = T_a + T_b$ ,  $T_{\lambda a} = \lambda T_a$ ,  $T_{ab} = T_a T_b$  and  $T_e$  is the identity operator; also

$$(T_a(x+I), y+I) = (x+I, T_a^*(y+I))$$

so that  $a \rightarrow T_a$  is a *\*-representation* of  $A$  on the pre-Hilbert space  $X$ .

Let  $H$  be the Hilbert space completion of  $X$ . We want to show that every operator  $T_a$  on  $X$  can be extended to a bounded operator on  $H$ . We claim that  $\|T_a\| \leq \|a\|$ . Note that  $\|T_a(x+I)\|^2 = (ax+I, ax+I) = p(x^*a^*ax)$ . For any  $\alpha > \|a^*a\| = \|a\|^2$  there exists a hermitian  $h \in A$  such that  $h^2 = \alpha e - a^*a$ . Hence

$$\alpha p(x^*x) - p(x^*a^*ax) = p(x^*(\alpha e - a^*a)x) = p((hx)^*(hx)) \geq 0$$

and so  $p(x^*a^*ax) \leq \|a\|^2 p(x^*x)$ . Thus  $\|T_a\| \leq \|a\|$ . Denote the extended operator on  $H$  also by  $T_a$ .

The preceding discussion has shown that for every positive functional on  $A$  there is associated a  $*$ -representation of  $A$  as a  $*$ -subalgebra of bounded linear operators on a Hilbert space  $H$  such that  $\|T_a\| \leq \|a\|$ . In general this representation is neither injective nor norm-preserving. By constructing appropriate positive functionals in the next step we will, however, be able to build a representation with these properties.

Step 9. *Construction of positive functionals.* We will construct for every fixed  $z \in A$  a positive functional  $p$  on  $A$  such that  $p(e) = 1$  and  $p(z^*z) = \|z\|^2$ . Clearly the associated  $*$ -representation has the property  $\|T_z\| = \|z\|$ . Indeed,

$$\begin{aligned} \|z\|^2 &= p(z^*z) = (T_z(e+I), T_z(e+I)) = \|T_z(e+I)\|^2 \\ &\leq \|T_z\|^2 \|e+I\|^2 = \|T_z\|^2 p(e) = \|T_z\|^2 \end{aligned}$$

which together with  $\|T_z\| \leq \|z\|$  gives  $\|T_z\| = \|z\|$ .

The following construction of the desired positive functional is a special case of an extension theorem for positive functionals due to M. Krein [32].

Construction: Let  $H(A)$  be the real vector space of hermitian elements in  $A$  and  $P$  the positive cone of all positive elements in  $A$ . On the subspace  $Re + Rz^*z$  of  $H(A)$  generated by  $e$  and  $z^*z$  define  $p$  by

$$p(\alpha e + \beta z^*z) = \alpha + \beta \|z^*z\|.$$

Note that  $p$  is well-defined on  $Re + Rz^*z$  even if  $e$  and  $z^*z$  are linearly dependent. Since  $\|z^*z\| = |z^*z|_\sigma \in \sigma_A(z^*z)$  we have that  $\alpha + \beta \|z^*z\|$  lies in  $\sigma_A(\alpha e + \beta z^*z)$ . In other words,  $p(x) \in \sigma_A(x)$  if  $x \in Re + Rz^*z$  so that  $p(x) \geq 0$  for all  $x \in P \cap (Re + Rz^*z)$ .

Assume  $p$  has been extended to a real-linear functional on a subspace  $W$  of  $H(A)$  such that  $p(x) \geq 0$  for all  $x \in P \cap W$  and assume that there is a  $y \in H(A)$  with  $y \notin W$ . Set

$$a = \inf \{ p(v) : y \leq v \in W \} \text{ and } b = \sup \{ p(u) : y \geq u \in W \}.$$

Since  $y \leq \|y\|e$  and  $y \geq -\|y\|e$  the infimum and supremum are taken over nonempty sets, and are therefore finite numbers, clearly satisfying  $a \geq b$ . Define  $p$  on the subspace of  $H(A)$  generated by  $W$  and  $y$  by

$$p(x + \alpha y) = p(x) + \alpha c \quad (x \in W, \alpha \in \mathbb{R}),$$

where  $c$  is any fixed number such that  $a \geq c \geq b$ .

Suppose that  $x + \alpha y \geq 0$  ( $x \in W, \alpha \in R$ ). We shall show that  $p(x + y) \geq 0$ . If  $\alpha = 0$ , then  $p(x + \alpha y) = p(x) \geq 0$  by assumption.

If  $\alpha > 0$ , then  $x + \alpha y \geq 0$  implies ' $y \geq -\frac{x}{\alpha} \in W$ , so that  $p\left(-\frac{x}{\alpha}\right) \leq c$ , or  $p(x + \alpha y) \geq 0$ .

If  $\alpha < 0$ , then  $x + \alpha y \geq 0$  implies  $y \leq -\frac{x}{\alpha} \in W$ , so that  $p\left(-\frac{x}{\alpha}\right) \geq c$ , or  $p(x + \alpha y) \geq 0$ .

By Zorn's Lemma we conclude that  $p$  can be extended to a real linear functional  $p$  on  $H(A)$  such that  $p(x) \geq 0$  for all  $x \in P$ .

Finally set  $p(x) = p(h) + ip(k)$  if  $x = h + ik$  with  $h, k \in H(A)$ . Then  $p$  is a positive functional on  $A$  such that  $p(e) = 1$  and  $p(z^*z) = \|z^*z\| = \|z\|^2$ . This completes the construction.

Step 10. *The isometric \*-representation.* In the preceding step we constructed for every  $z \in A$  a positive functional on  $A$  such that the associated \*-representation  $T^{(z)}$  of  $A$  on the Hilbert space  $H^{(z)}$  is norm-decreasing and  $\|T_z^{(z)}\| = \|z\|$ .

Let  $H$  be the direct sum of the Hilbert spaces  $H^{(z)}$ . The *direct sum* of the family  $H^{(z)}$ ,  $z \in A$ , is defined as the set of all mappings  $f$  on  $A$  with  $f(z) \in H^{(z)}$  such that  $\sum_{z \in A} (f(z), f(z)) < \infty$ . The algebraic operations in  $H$  are pointwise and the scalar product is given by  $(f, g) = \sum_{z \in A} (f(z), g(z))$ .

The reader may easily verify that all Hilbert space axioms are satisfied by  $H$  (see [14]).

Define the \*-representation  $T$  of  $A$  on  $H$  by

$$(T_a f)(z) = T_a^{(z)}(f(z)).$$

Note that the inequality

$$\sum_{z \in A} ((T_a f)(z), (T_a f)(z)) \leq \|a\|^2 \sum_{z \in A} (f(z), f(z))$$

shows that with  $f$  also  $T_a f$  belongs to  $H$ . Then  $T_a$  is a bounded operator on  $H$  such that

$$\|T_a\| = \sup_{z \in A} \|T_a^{(z)}\| = \|T_a^{(a)}\| = \|a\|.$$

Hence the map  $a \rightarrow T_a$  is a norm-preserving \*-representation of  $A$  on  $H$ . This completes the proof of Theorem II as stated in the introduction.