# 5. The Gelfand-Naimark theorem for arbitrary B*-algebras 

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complex conjugation. By the Stone-Weierstrass theorem [29, p. 151] we conclude that $B=C_{0}(\hat{A})$ and hence that $x \rightarrow \hat{x}$ is onto. Thus the proof of the representation theorem for commutative $\mathrm{B}^{*}$-algebras is complete.

The reader who is interested in an unconventional proof of the preceding theorem may consult Edward Nelson [38, p. 78]. Quite simple proofs of the Gelfand-Naimark theorem in the special case of function algebras have been given by Nelson Dunford and Jacob T. Schwartz [14, pp. 274-275] and Karl E. Aubert [5].

## 5. The Gelfand-Naimark theorem for arbitrary $B^{*}$-algebras

The proof of the representation theorem for an arbitrary $\mathrm{B}^{*}$-algebra is much more involved than the commutative case and it will be divided into several steps. After having established that the involution is continuous we will introduce a new equivalent $\mathrm{B}^{*}$-norm with isometric involution. An investigation of the unitary elements will show that the original norm on the algebra coincides with this new norm. The representation of $\mathrm{B}^{*}$-algebras will then easily be effected by the well known Gelfand-Naimark-Segal construction. General references for material in this section are [13], [37] and [43].

Step. 1. The involution in a $\mathrm{B}^{*}$-algebra $A$ is continuous.
Proof [39, Lemma 1.3]. First we show that the set $H(A)=\left\{h \in A: h^{*}\right.$ $=h\}$ of hermitian elements in $A$ is closed. Let $\left\{h_{n}\right\}$ be a convergent sequence in $H(A)$ whose limit is $h+i k$, with $h, k \in H(A)$. Since $h_{n}-h \rightarrow i k$ we may assume (by putting $h_{n}$ for $h_{n}-h$ ) that $h_{n}$ converges to $i k$. The spectral mapping theorem for polynomials [43, p. 32] gives $\sigma_{A}\left(h_{n}^{2}-h_{n}^{4}\right)=\left\{\lambda^{2}\right.$ $\left.-\lambda^{4}: \lambda \in \sigma_{A}\left(h_{n}\right)\right\}$; since $\|h\|=|h|_{\sigma}$ and $\sigma_{A}(h)$ is real (see the first part of the proof of Theorem I, the Aren's-Fukamiya arguments and recall $\left.\sigma_{A}(h)=\hat{h}(\hat{A}) \cup\{0\}\right)$ we have

$$
\begin{aligned}
\left\|h_{n}^{2}-h_{n}^{4}\right\| & =\sup \left\{\lambda^{2}-\lambda^{4}: \lambda \in \sigma_{A}\left(h_{n}\right)\right\} \\
& \leqslant \sup \left\{\lambda^{2}: \lambda \in \sigma_{A}\left(h_{n}\right)\right\}=\left\|h_{n}^{2}\right\| .
\end{aligned}
$$

Letting $n \rightarrow \infty$ we obtain $\left\|-k^{2}-k^{4}\right\| \leqslant\left\|k^{2}\right\|$. Hence

$$
\sup \left\{\lambda^{2}+\lambda^{4}: \lambda \in \sigma_{A}(k)\right\} \leqslant \sup \left\{\lambda^{2}: \lambda \in \sigma_{A}(k)\right\} .
$$

Choose $\mu \in \sigma_{A}(k)$ such that $\mu^{2}=\sup \left\{\lambda^{2}: \lambda \in \sigma_{A}(k)\right\}$. Then $\mu^{2}+\mu^{4}$ $\leqslant \mu^{2}$, so $\mu=0$. It follows that $\|k\|=|k|_{\sigma}=0$ and hence $k=0$. This shows that $H(A)$ is closed.

Now it is easy to prove that the graph of the map $x \rightarrow x^{*}$ of $A$ onto $A$ is closed. For suppose $x_{n} \rightarrow x$ and $x_{n}^{*} \rightarrow y$. Then $x_{n}+x_{n}^{*} \rightarrow x+y$ and $\left(x_{n}-x_{n}^{*}\right) / i \rightarrow(x-y) / i$. Since $H(A)$ is closed, $x+y$ and $(x-y) / i$ are hermitian and so $x+y=x^{*}+y^{*}$ and $x-y=y^{*}-x^{*}$, whence $y=x^{*}$. Thus by the closed graph theorem, valid for conjugate linear maps, the involution in $A$ is continuous.

Step 2. Let $A$ be a $\mathrm{B}^{*}$-algebra. Then $\|x\|_{0}=\left\|x^{*} x\right\|^{1 / 2}$ is an equivalent $\mathrm{B}^{*}$-norm on $A$ such that $\left\|x^{*}\right\|_{0}=\|x\|_{0}$ for all $x \in A$, and $\|h\|_{0}$ $=\|h\|$ for all hermitian $h \in A$.

Proof. [2], [53]. By Step 1 there exists $M \geqslant 1$ such that $\left\|x^{*}\right\|$ $\leqslant M\|x\|$ for all $x \in A$. Then

$$
M^{-1 / 2}\|x\| \leqslant\left\|x^{*}\right\|^{1 / 2}\|x\|^{1 / 2}=\|x\|_{0} \leqslant M^{1 / 2}\|x\|
$$

so that $\|\cdot\|_{0}$ and $\|\cdot\|$ are equivalent. Clearly $\|\cdot\|_{0}$ is homogeneous and submultiplicative. To prove the triangle inequality, let $x, y \in A$. Then

$$
\|x+y\|_{0}^{2}=\left\|(x+y)^{*}(x+y)\right\| \leqslant\left\|x^{*} x\right\|+\left\|y^{*} y\right\|+\left\|x^{*} y+y^{*} x\right\|
$$

so it is enough to prove that $\left\|x^{*} y+y^{*} x\right\| \leqslant 2\|x\|_{0}\|y\|_{0}$. For any positive integer $n$

$$
\begin{gathered}
\left\|\left(x^{*} y\right)^{2^{n-1}}+\left(y^{*} x\right)^{2^{n-1}}\right\|^{2} \\
=\left\|\left(x^{*} y\right)^{2^{n}}+\left(y^{*} x\right)^{2^{n}}+\left(x^{*} y\right)^{2^{n-1}}\left(y^{*} x\right)^{2^{n-1}}+\left(y^{*} x\right)^{2^{n-1}}\left(x^{*} y\right)^{2^{n-1}}\right\| \\
\leqslant\left\|\left(x^{*} y\right)^{2^{n}}+\left(y^{*} x\right)^{2^{n}}\right\|+2\left(\|x * x\| \cdot\left\|y^{*} y\right\|\right)^{2^{n-1}}
\end{gathered}
$$

For every $\varepsilon>0$ there is an integer $n$ such that

$$
\left\|\left(x^{*} y\right)^{2^{n}}\right\| \leqslant\left(\left|x^{*} y\right|_{\sigma}^{2}+\varepsilon\right)^{2^{n-1}} \text { and }\left\|\left(y^{*} x\right)^{2^{n}}\right\| \leqslant\left(\left|y^{*} x\right|_{\sigma}^{2}+\varepsilon\right)^{2^{n-1}}
$$

Then

$$
\begin{gathered}
\left\|\left(x^{*} y\right)^{2^{n}}\right\| \leqslant\left(\left|x^{*} y\right|_{\sigma}\left|y^{*} x\right|_{\sigma}+\varepsilon\right)^{2^{n-1}} \leqslant\left(\left\|x^{*} y\right\| \cdot\left\|y^{*} x\right\|+\varepsilon\right)^{2^{n-1}} \\
\leqslant\left(\left\|x^{*} x\right\| \cdot\left\|y^{*} y\right\|+\varepsilon\right)^{2^{n-1}}
\end{gathered}
$$

and similarly

$$
\left\|\left(y^{*} x\right)^{2^{n}}\right\| \leqslant\left(\|x * x\| \cdot\left\|y^{*} y\right\|+\varepsilon\right)^{2^{n-1}}
$$

so that

$$
\left\|\left(x^{*} y\right)^{2^{n}}+\left(y^{*} x\right)^{2^{n}}\right\|^{2} \leqslant 2\left(\|x * x\| \cdot\left\|y^{*} y\right\|+\varepsilon\right)^{2^{n-1}}
$$

Combining these results we recursively obtain

$$
\left\|\left(x^{*} y\right)^{2^{k-1}}+\left(y^{*} x\right)^{2^{k-1}}\right\|^{2} \leqslant 4\left(\|x * x\| \cdot\left\|y^{*} y\right\|+\varepsilon\right)^{2^{k-1}}
$$

for any $k, 1 \leqslant k \leqslant n$. Thus

$$
\left\|x^{*} y+y^{*} x\right\|^{2} \leqslant 4\left(\left\|x^{*} x\right\| \cdot\left\|y^{*} y\right\|+\varepsilon\right)
$$

for arbitrary $\varepsilon>0$. Hence $\left\|x^{*} y+y^{*} x\right\| \leqslant 2\|x\|_{0}\|y\|_{0}$. So we have seen that $\|\cdot\|_{0}$ is an equivalent algebra norm on $A$. Further, $\|h\|_{0}$ $=\left\|h^{*} h\right\|^{1 / 2}=\|h\|$ for all hermitian $h \in A$ and so $\|x\|_{0}^{2}=\left\|x^{*} x\right\|$ $=\left\|x^{*} x\right\|_{0}$; i.e., $\|\cdot\|_{0}$ is a $\mathrm{B}^{*}$-norm on $A$ with $\left\|x^{*}\right\|_{0}=\|x\|_{0}$ for all $x \in A$.

Step 3. Positive elements and symmetry. Let $A$ be a $\mathrm{B}^{*}$-algebra with identity $e$. Then every hermitian $h \in A$ lies in a maximal commutative $\mathrm{B}^{*}$ algebra $B$ with identity $e$. Observe that $\sigma_{B}(x)=\sigma_{A}(x)$ for all $x \in B$ [43, p. 35]. By the characterization of commutative $\mathrm{B}^{*}$-algebras $B$ is isometrically *-isomorphic to $C(\hat{B})$. Hence every hermitian element $h \in A$ has real spectrum.

A hermitian element $x \in A$ is called positive, and we write $x \geqslant 0$, if the spectrum of $x$ in $A$ is a subset of the nonnegative reals.

Clearly $x=h^{2}$ is positive for every hermitian $h \in A$. The set $P=\{x \in A$ : $x \geqslant 0\}$ of all positive elements in $A$ is called the positive cone. Indeed, $P$ is a cone. For $\lambda \geqslant 0$ and $x \geqslant 0$ then $\lambda x \geqslant 0$ since $\sigma_{A}(\lambda x)=\lambda \sigma_{A}(x)$. That $x \geqslant 0$ and $y \geqslant 0$ implies $x+y \geqslant 0$ may be seen by the following KelleyVaught argument [31]:

Set $\alpha=\|x\|, \beta=\|y\|, \quad z=x+y$, and $\gamma=\alpha+\beta$. Since $|x|_{\sigma}$ $=\|x\|$ the assumption $x \geqslant 0$ implies $\sigma_{A}(x) \subset[0, \alpha]$, so that $\sigma_{A}(\alpha e-x)$ $\subset[0, \alpha]$ and therefore $\|\alpha e-x\|=|\alpha e-x|_{\sigma} \leqslant \alpha$. For the same reason $\|\beta e-y\| \leqslant \beta$. Hence

$$
\|\gamma e-z\|=\|(\alpha e-x)+(\beta e-y)\| \leqslant \alpha+\beta=\gamma .
$$

Since $z^{*}=z, \sigma_{A}(\gamma e-z)$ is real so that $\sigma_{A}(\gamma e-z) \subset[-\gamma, \gamma]$ which implies that $\sigma_{A}(z) \subset[0,2 \gamma]$. Thus $x+y=z \geqslant 0$.

The symmetry of the involution in $A$ now follows readily by Kaplansky's argument [45]:

We intend to show $x^{*} x \geqslant 0$ for all $x \in A$. By observing that a realvalued continuous function is the difference of two nonnegative realvalued continuous functions whose product is zero, we can write the hermitian element $x^{*} x$ in the form

$$
x^{*} x=u-v, u \geqslant 0, v \geqslant 0, u v=0=v u
$$

Now $(x v)^{*}(x v)=v^{*} x^{*} x v=v x^{*} x v=v(u-v) v=-v^{3}$ so that $(x v)^{*}(x v)$ $\leq 0$. Since $(x v)^{*}(x v)$ and $(x v)(x v)^{*}$ have the same nonzero spectrum, also $(x v)(x v)^{*} \leqslant 0$. Write $x v=h+i k$ with $h$ and $k$ hermitian. Then

$$
0 \geqslant(x v)^{*}(x v)+(x v)(x v)^{*}=2\left(h^{2}+k^{2}\right) \geqslant 0 .
$$

Thus $h=0=k$ or $x v=0$. But then $0=(x v)^{*}(x v)=-v^{3}$ and so $v=0$. Hence $x^{*} x=u \geqslant 0$; in particular, $e+x^{*} x$ is invertible for all $x \in A$.

Step 4. Let $A$ be a $B^{*}$-algebra with isometric involution. Then there exists a net $\left\{e_{\alpha}\right\}$ of hermitian elements in $A$, bounded by one, such that $\lim e_{\alpha} x=x=\lim x e_{\alpha}$ for all $x \in A$. The net $\left\{e_{\alpha}\right\}$ is called an approximate identity.

Proof. The following construction is due to Irving E. Segal [50]. If $A$ has no identity, we may embed $A$ in a $\mathrm{B}^{*}$-algebra $A_{e}$ with identity $e$ (see the proof of Theorem I). Thus in any case we can use the preceding results about positive elements.

For any $\alpha=\left\{x_{1}, \ldots, x_{n}\right\}$ in the class of all finite subsets of $A$, ordered by inclusion, set $h=x_{1}^{*} x_{1}+\ldots+x_{n}^{*} x_{n}$. Then $h \geqslant 0$ and so $e_{\alpha}=n h(e+n h)^{-1}$ is a well defined element in $A$. Viewing $h$ as a non-negative function on the structure space of some maximal commutative $\mathrm{B}^{*}$-subalgebra we see that $\left\|e_{\alpha}\right\|=\left|e_{\alpha}\right|_{\sigma} \leqslant 1$. It remains to show that $\lim e_{\alpha} x=x=\lim x e_{\alpha}$. Observe that

$$
\begin{aligned}
{\left[x_{i}\left(e-e_{\alpha}\right)\right]^{*}\left[x_{i}\left(e-e_{\alpha}\right)\right] } & \leqslant \sum_{j=1}^{n}\left[x_{j}\left(e-e_{\alpha}\right)\right]^{*}\left[x_{j}\left(e-e_{\alpha}\right)\right] \\
& \leqslant\left(e-e_{\alpha}\right) h\left(e-e_{\alpha}\right) \\
& \leqslant h(e+n h)^{-2} \leqslant e / 4 n
\end{aligned}
$$

where the last inequality follows from the fact that the real function $t \rightarrow t(1+n t)^{-2}(t \geqslant 0)$ has maximum value $1 / 4 n$. Thus

$$
\left\|x_{i}\left(e-e_{\alpha}\right)\right\|^{2}=\left\|\left[x_{i}\left(e-e_{\alpha}\right)\right]^{*}\left[x_{i}\left(e-e_{\alpha}\right)\right]\right\| \leqslant 1 / 4 n .
$$

Now for arbitrary $x \in A$ and $\varepsilon>0$ choose a finite set $\alpha_{0}$ of $n$ elements in $A$ such that $x \in \alpha_{0}$ and $n>\varepsilon^{-2}$. Then for all $\alpha \geqslant \alpha_{0}$ we have $\left\|x-x e_{\alpha}\right\|$ $=\left\|x\left(e-e_{\alpha}\right)\right\|<\varepsilon$. Hence $\lim x e_{\alpha}=x$ for every $x \in A$; and by the continuity of the involution also $\lim e_{\alpha} x=\left(\lim x^{*} e_{\alpha}\right)^{*}=\left(x^{*}\right)^{*}=x$.

Step 5. Every $B^{*}$-algebra without identity can be isometrically embedded in a $B^{*}$-algebra with identity.

Proof. Let $A$ be a $\mathrm{B}^{*}$-algebra without identity. By Step $2, A$ is a $\mathrm{B}^{*}$ algebra with isometric involution with respect to the equivalent norm $\|x\|_{0}=\left\|x^{*} x\right\|^{1 / 2}$. Hence, by Step 4, $A$ has an approximate identity $\left\{e_{\alpha}\right\}$ consisting of hermitian elements such that $\left\|e_{\alpha}\right\|=\left\|e_{\alpha}\right\|_{0} \leqslant 1$. Now observe that for every $x \in A$,
$\|x\|=\sup \{\|x y\|: y \in A,\|y\| \leqslant 1\}=\sup \{\|y x\|: y \in A,\|y\| \leqslant 1\}$
and extend the norm on $A$ to $A_{e}$ by

$$
\begin{aligned}
\|x+\lambda e\| & =\sup \{\|(x+\lambda e) y\|: y \in A,\|y\| \leqslant 1\} \\
& =\sup \{\|y(x+\lambda e)\|: y \in A,\|y\| \leqslant 1\} .
\end{aligned}
$$

Then $A_{e}$ is a Banach *-algebra with identity in which $A$ is isometrically embedded as a closed ideal of codimension one. To see that the $\mathrm{B}^{*}$-condition holds in $A_{e}$ we first prove that

$$
\|x+\lambda e\|=\lim _{\alpha}\left\|(x+\lambda e) e_{\alpha}\right\|=\lim _{\alpha}\left\|e_{\alpha}(x+\lambda e)\right\| .
$$

Given any $\varepsilon>0$ there exists $y \in A$ with $\|y\| \leqslant 1$ such that

$$
\|(x+\lambda e) y\|>\|x+\lambda e\|-\varepsilon .
$$

Since $\lim _{\alpha}(x+\lambda e) e_{\alpha} y=(x+\lambda e) y$, there exists $\alpha_{0}$ such that for all $\alpha \geqslant \alpha_{0}$, $\left\|(x+\lambda e) e_{\alpha} y\right\|>\|x+\lambda e\|-\varepsilon$. Since $\left\|(x+\lambda e) e_{\alpha} y\right\| \leqslant\left\|(x+\lambda e) e_{\alpha}\right\|$ $\leqslant\|x+\lambda e\|$, it follows that $\lim _{\alpha}\left\|(x+\lambda e) e_{\alpha}\right\|$ exists and is equal to $\|x+\lambda e\|$. Similarly $\lim _{\alpha}\left\|e_{\alpha}(x+\lambda e)\right\|=\|x+\lambda e\|$. Thus

$$
\begin{aligned}
\left\|(x+\lambda e)^{*}\right\| \cdot\|(x+\lambda e)\| & =\lim _{\alpha}\left\|e_{\alpha}(x+\lambda e)^{*}\right\| \cdot \lim _{\alpha}\left\|(x+\lambda e) e_{\alpha}\right\| \\
& =\lim _{\alpha}\left\|e_{\alpha}(x+\lambda e)^{*}(x+\lambda e) e_{\alpha}\right\| \\
& =\left\|(x+\lambda e)^{*}(x+\lambda e)\right\| .
\end{aligned}
$$

Therefore $\left\|(x+\lambda e)^{*}(x+\lambda e)\right\|=\left\|(x+\lambda e)^{*}\right\| \cdot\|x+\lambda e\|$, and so $A_{e}$ is a $\mathrm{B}^{*}$-algebra.

Step 6. Let $A$ be a $B^{*}$-algebra with identity $e$ and isometric involution. Denote by $U=\left\{u \in A: u^{*} u=e=u u^{*}\right\}$ the group of unitary elements in $A$. Then every element $x$ in $A$ is a linear combination of unitary elements and $\|x\|=\|x\|_{u}$, where

$$
\|x\|_{u}=\inf \left\{\sum_{n=1}^{N}\left|\lambda_{n}\right|: x=\sum_{n=1}^{N} \lambda_{n} u_{n}, \lambda_{n} \in C, u_{n} \in U\right\} .
$$

Proof. To prove that every $x \in A$ is a linear combination of unitary elements it clearly suffices to show that every hermitian $h \in A$ with $\|h\|<1$
can be written as a linear combination of unitary elements. If $\|h\|<1$, then $\left\|h^{2}\right\| \leqslant\|h\|^{2}<1$ and so

$$
k=\sum_{n=0}^{\infty}\binom{1 / 2}{n}\left(-h^{2}\right)^{n}
$$

is a well-defined element in $A$. Clearly, $k$ is a hermitian element commuting with $h$ such that $k^{2}=e-h^{2}$. Thus $u=h+i k$ is unitary and $h=\frac{1}{2} u+\frac{1}{2} u^{*}$.

It now follows that $\|x\|_{u}$ (as given in Step 6) is well-defined for each $x \in A$; further, it is clear from the definition that $\|\cdot\|_{u}$ is a seminorm on $A$. We shall call it the unitary seminorm. Since the unitary elements form a group under multiplication $\|\cdot\|_{u}$ is submultiplicative.

Let us compare the unitary seminorm with the $\mathrm{B}^{*}$-norm on $A$. Observe that $\|h\|_{u} \leqslant\|h\|$ for every hermitian $h \in A$. Indeed, if $\|h\|<1$, then $h=\frac{1}{2} u+\frac{1}{2} u^{*}$ for some unitary $u \in A$ and so $\|h\|_{u} \leqslant 1$. Thus $\|h\|_{u}$ $\leqslant\|h\|$ for every hermitian $h \in A$. Further $\|x\|_{u} \leqslant 2\|x\|$ for every $x \in A$. For if $x=h+i k$ with hermitian $h$ and $k$, then $\|x\|_{u} \leqslant\|h\|_{u}+\|k\|_{u}$ $\leqslant 2\|x\|$. On the other hand $\|x\| \leqslant\|x\|_{u}$ for all $x \in A$. Indeed, if $x$ $=\sum_{n=1}^{N} \lambda_{n} u_{n}, \lambda_{n} \in C, u_{n} \in U$, then

$$
\|x\|=\left\|\sum_{n=1}^{N} \lambda_{n} u_{n}\right\| \leqslant \sum_{n=1}^{N}\left|\lambda_{n}\right| \cdot\left\|u_{n}\right\|=\sum_{n=1}^{N}\left|\lambda_{n}\right|
$$

since $\|u\|^{2}=\left\|u^{*} u\right\|=1$ for every unitary $u \in A$. Thus $\|x\| \leqslant\|x\|_{u}$. Hence the unitary seminorm and the $\mathrm{B}^{*}$-norm on $A$ are equivalent norms with $\|x\| \leqslant\|x\|_{u} \leqslant 2\|x\|$ for all $x \in A$. To see that these two norms are actually equal we need the following result of Russo and Dye [44] about the closure of the convex hull of the unitary elements in $A$.

Russo-Dye Theorem. Let $A$ be a $B^{*}$-algebra with identity $e$ and isometric involution. Then then open unit ball of $A$ is contained in the closed convex hull of the unitary elements of $A$; that is, for each $x$ in $A$ with $\|x\|<1$ and each $\varepsilon>0$ there exists a positive integer $m$ and unitary elements $u_{k}$ such that $\left\|x-\sum_{k=1}^{m} \frac{1}{m} u_{k}\right\|<\varepsilon$.

The equality of the unitary seminorm and the $\mathrm{B}^{*}$-norm on $A$ is an immediate consequence of this result. Indeed, let $x \in A$ with $\|x\|<1$.

Then for every $\varepsilon>0$ there is a positive integer $m$ and unitary elements $u_{k}$ such that $\left\|x-\sum_{k=1}^{m} \frac{1}{m} u_{k}\right\|<\varepsilon$ and so

$$
\begin{aligned}
& \|x\|_{u} \leqslant\left\|\sum_{k=1}^{m} \frac{1}{m} u_{k}\right\|_{u}+\left\|x-\sum_{k=1}^{m} \frac{1}{m} u_{k}\right\|_{u} \\
\leqslant & \sum_{k=1}^{m} \frac{1}{m}\left\|u_{k}\right\|_{u}+2\left\|x-\sum_{k=1}^{m} \frac{1}{m} u_{k}\right\| \leqslant 1+2 \varepsilon
\end{aligned}
$$

since $\varepsilon>0$ was arbitrary, $\|\mathrm{x}\|_{u} \leqslant 1$. This proves $\|x\|_{u} \leqslant\|x\|$ and so $\|x\|=\|x\|_{u}$ for all $x \in A$.

For completeness we will now prove the Russo-Dye Theorem The following elementary proof, valid for arbitrary Banach *-algebras with isometric involution, is based on ideas of Harris [28].

Proof of the Russo-Dye Theorem: Let $x \in A$ with $\|x\|<1$. Then $\left\|x x^{*}\right\| \leqslant\|x\| \cdot\left\|x^{*}\right\|=\|x\|^{2}<1$. Hence the hermitian element $e-x x^{*}$ is invertible and has the invertible hermitian square root $\left(e-x x^{*}\right)^{1 / 2}$ $=\sum_{n=0}^{\infty}\binom{1 / 2}{n}\left(-x x^{*}\right)^{n}$. Similarly $e-x^{*} x$ has invertible hermitian square $\operatorname{root}\left(e-x^{*} x\right)^{1 / 2}=\sum_{n=0}^{\infty}\binom{1 / 2}{n}\left(-x^{*} x\right)^{n}$. For complex $\lambda$ with $|\lambda|=1$ define

$$
u_{\lambda}=\left(e-x x^{*}\right)^{-1 / 2}(x-\lambda e)\left(e-\lambda x^{*}\right)^{-1}\left(e-x^{*} x\right)^{1 / 2} .
$$

We intend to show that $u_{\lambda}$ is unitary. Since $\lambda \bar{\lambda}=1$,

$$
\begin{aligned}
u_{\lambda}^{*} & =\left(e-x^{*} x\right)^{1 / 2}(e-\bar{\lambda} x)^{-1}\left(x^{*}-\bar{\lambda} e\right)\left(e-x x^{*}\right)^{-1 / 2} \\
& =\left(e-x^{*} x\right)^{1 / 2}(\lambda e-x)^{-1}\left(\lambda x^{*}-e\right)\left(e-x x^{*}\right)^{-1 / 2} .
\end{aligned}
$$

Observe that

$$
\begin{aligned}
(\lambda e-x)^{-1}\left(\lambda x^{*}-e\right) & =(\lambda e-x)^{-1}\left[(\lambda e-x) x^{*}-\left(e-x x^{*}\right)\right] \\
& =x^{*}-(\lambda e-x)^{-1}\left(e-x x^{*}\right), \\
\left(e-\lambda x^{*}\right)(x-\lambda e)^{-1} & =\left[x^{*}(\lambda e-x)-\left(e-x^{*} x\right)\right](\lambda e-x)^{-1} \\
& =x^{*}-\left(e-x^{*} x\right)(\lambda e-x)^{-1},
\end{aligned}
$$

and

$$
\begin{gathered}
x(e-x * x)^{1 / 2}=\sum_{n=0}^{\infty}\binom{1 / 2}{n} x(-x * x)^{n}=\sum_{n=0}^{\infty}\binom{1 / 2}{n}\left(-x x^{*}\right)^{n} x \\
=\left(e-x x^{*}\right)^{1 / 2} x
\end{gathered}
$$

which may be conjugated to give the related equality

$$
\left(e-x^{*} x\right)^{1 / 2} x^{*}=x^{*}\left(e-x x^{*}\right)^{1 / 2}
$$

Utilizing these relations it follows easily that $u_{\lambda}^{*}=u_{\lambda}^{-1}$ so $u_{\lambda}$ is unitary.

Let $u_{k / m}$ denote the unitary element $u_{\lambda}$ with $\lambda=\exp \left(2 \pi i \frac{k}{m}\right)$ where $k, m$ are positive integers. We will show that $x=\lim \Sigma_{k=1}^{m}(1 / m) u_{k / m}$.

With $\lambda$ as above, let $x_{k / m}$ denote the element

$$
x_{\lambda}=(x-\lambda e)\left(e-\lambda x^{*}\right)^{-1} .
$$

Then

$$
\begin{aligned}
x-\sum_{k=1}^{m} \frac{1}{m} u_{k / m}=x & -\frac{1}{m} \sum_{k=1}^{m}\left(e-x x^{*}\right)^{-1 / 2} x_{k / m}\left(e-x^{*} x\right)^{1 / 2} \\
& =\left(e-x x^{*}\right)^{-1 / 2}\left[x-\frac{1}{m} \sum_{k=1}^{m} x_{k / m}\right]\left(e-x^{*} x\right)^{1 / 2}
\end{aligned}
$$

and so

$$
\begin{gather*}
\left\|x-\sum_{k=1}^{m} \frac{1}{m} u_{k / m i}\right\|  \tag{1}\\
\leqslant\left\|\left(e-x x^{*}\right)^{-1 / 2}\right\| \cdot\left\|x-\frac{1}{m} \sum_{k=1}^{m} x_{k / m}\right\| \cdot\left\|\left(e-x^{*} x\right)^{1 / 2}\right\| \cdot
\end{gather*}
$$

Observe that

$$
x_{\lambda}=\sum_{n=0}^{\infty}(x-\lambda e)\left(\lambda x^{*}\right)^{n}=\sum_{n=0}^{\infty} \lambda^{n} x\left(x^{*}\right)^{n}-\sum_{n=0}^{\infty} \lambda^{n+1}\left(x^{*}\right)^{n}
$$

and so

$$
\begin{aligned}
x-x_{\lambda} & =\sum_{n=0}^{\infty} \lambda^{n+1}\left(x^{*}\right)^{n}-\sum_{n=1}^{\infty} \lambda^{n} x\left(x^{*}\right)^{n} \\
& =\sum_{n=1}^{\infty} \lambda^{n}\left[\left(x^{*}\right)^{n-1}-x\left(x^{*}\right)^{n}\right] \\
& =\left(e-x x^{*}\right) \sum_{n=1}^{\infty} \lambda^{n}\left(x^{*}\right)^{n-1}
\end{aligned}
$$

Summing over $k, 1 \leqslant k \leqslant m$, and dividing by $m$ we have

$$
\begin{aligned}
x-\frac{1}{m} \sum_{k=1}^{m} x_{k / m} & =\frac{1}{m} \sum_{k=1}^{m}\left(x-x_{k / m}\right) \\
& =\left(e-x x^{*}\right) \sum_{n=1}^{\infty} \frac{1}{m} \sum_{k=1}^{m}\left[\exp \left(2 \pi i \frac{k}{m}\right)\right]^{n}(x *)^{n-1} \\
& =\left(e-x x^{*}\right) \sum_{n=1}^{\infty} \frac{1}{m} \sum_{k=1}^{m}\left[\exp \left(2 \pi i \frac{n}{m}\right)\right]^{k}\left(x^{*}\right)^{n-1}
\end{aligned}
$$

Now, if $1 \leqslant n<m$, then $\exp \left(2 \pi i \frac{n}{m}\right) \neq 1$ and so by the sum formula for
a finite geometric sum

$$
\sum_{k=1}^{m}\left[\exp \left(2 \pi i \frac{n}{m}\right)\right]^{k}=\frac{\exp \left(2 \pi i \frac{n}{m}\right)-\exp \left(2 \pi i \frac{n(m+1)}{m}\right)}{1-\exp \left(2 \pi i \frac{n}{m}\right)}=0
$$

hence we have

$$
x-\frac{1}{m} \sum_{k=1}^{m} x_{k / m}=\left(e-x x^{*}\right) \sum_{n=m}^{\infty} \frac{1}{m} \sum_{k=1}^{m}\left[\exp \left(2 \pi i \frac{n}{m}\right)\right]^{k}\left(x^{*}\right)^{n-1} .
$$

Then

$$
\begin{aligned}
\left\|x-\frac{1}{m} \sum_{k=1}^{m} x_{k / n}\right\| & \leqslant\left\|e-x x^{*}\right\| \sum_{n=m-1}^{\infty}\left\|\left(x^{*}\right)^{n}\right\| \\
& \leqslant\left\|e-x x^{*}\right\| \sum_{n=m-1}^{\infty}\|x\|^{n} \\
& \leqslant\left\|e-x x^{*}\right\| \frac{\|x\|^{m-1}}{1-\|x\|} .
\end{aligned}
$$

Since the right hand side converges to 0 as $m \rightarrow \infty$, the theorem now follows immediately from relation (1) above.

Step 7. The involution in a $B^{*}$-algebra $A$ is isometric.
Proof. Since every $\mathrm{B}^{*}$-algebra without identity can be isometrically embedded in a $\mathrm{B}^{*}$-algebra with identity we may assume $A$ has an identity. By Step $2\|x\|_{0}=\left\|x^{*} x\right\|^{1 / 2}$ is an equivalent $\mathrm{B}^{*}$-norm on $A$ such that $\left\|x^{*}\right\|_{0}=\|x\|_{0}$ for all $x \in A$. Hence, by Step $6,\|x\|_{0}=\|x\|_{u}$ where $\|\cdot\|_{u}$ is the unitary seminorm on $A$.

Observe that $\|u\|=1$ for every unitary $u \in A$. Indeed, since $u$ and $u^{*}$ commute, by the argument given in the first step of the proof of Theorem I, we have $\left\|u^{*}\right\|=\|u\|$ and so $\|u\|=1$.

Now, if $x=\sum_{n=1}^{N} \lambda_{n} u_{n}, \lambda_{n} \in C, u_{n} \in U$, then

$$
\|x\|=\left\|\sum_{n=1}^{N} \lambda_{n} u_{n}\right\| \leqslant \sum_{n=1}^{N}\left|\lambda_{n}\right| \cdot\left\|u_{n}\right\|=\sum_{n=1}^{N}\left|\lambda_{n}\right| .
$$

Thus $\|x\| \leqslant\|x\|_{u}=\|x\|_{0}=\left\|x^{*} x\right\|^{1 / 2}$ and so $\left\|x^{*}\right\|=\|x\|$.

Step 8. The Gelfand-Naimark-Segal Construction. We have seen that the involution in a $\mathrm{B}^{*}$-algebra $A$ is isometric. Further, if $A$ has no identity
we can embed $A$ isometrically as a closed ideal of codimension one in the $\mathrm{B}^{*}$-algebra $A_{e}$ with identity $e$. Thus we can and will assume without loss of generality that $A$ has an identity $e$.

The representation of such an algebra $A$ as a norm-closed *-subalgebra of bounded linear operators on a Hilbert space is effected by means of positive functionals on $A$ and a construction due to Gelfand-Naimark [23] and Segal [49].

A positive functional on $A$ is a linear functional $p$ such that $p\left(x^{*} x\right)$ $\geqslant 0$ for all $x \in A$. For $x, y \in A$ set $(x, y)=p\left(y^{*} x\right)$. This scalar product on $A$ is linear in $x$, conjugate linear in $y$ and $(x, x)$ is nonnegative for all $x$. Thus in particular $p\left(y^{*} x\right)=\overline{p\left(x^{*} y\right)}$ and $\left|p\left(y^{*} x\right)\right|^{2} \leqslant p\left(x^{*} x\right) p\left(y^{*} y\right)$ (Schwarz inequality). Setting $y=e$ we get $p\left(x^{*}\right)=\overline{p(x)}$ and $|p(x)|^{2}$ $\leqslant p(e) p\left(x^{*} x\right)$.

In general the scalar product on $A$ is degenerate so that a reduction is necessary to obtain nondegeracy. To this end we define the associated null ideal $I=\left\{x \in A: p\left(x^{*} x\right)=0\right\}$. Since by the above properties of positive functionals

$$
I=\left\{x \in A: p\left(y^{*} x\right)=0 \text { for all } y \in A\right\},
$$

the null ideal is clearly a left ideal in $A$. Then the quotient space $X=A / I$ is a pre-Hilbert space with respect to the induced scalar product

$$
(x+I, y+I)=p\left(y^{*} x\right)
$$

and, further, for each $a \in A$ we can define a linear operator $T_{a}$ on $X$ by $T_{a}(x+I)=a x+I$. The map $a \rightarrow T_{a}$ has the following easily verified properties: $T_{a+b}=T_{a}+T_{b^{\prime}} T_{\lambda a}=\lambda T_{a^{\prime}} T_{a b}=T_{a} T_{b^{\prime}}$ and $T_{c}$ is the identity operator; also

$$
\left(T_{a}(x+I), y+I\right)=\left(x+I, T_{a}^{*}(y+I)\right)
$$

so that $a \rightarrow T_{a}$ is a *-representation of $A$ on the pre-Hilbert space $X$.
Let $H$ be the Hilbert space completion of $X$. We want to show that every operator $T_{a}$ on $X$ can be extended to a bounded operator on $H$. We claim that $\left\|T_{a}\right\| \leqslant\|a\|$. Note that $\left\|T_{a}(x+I)\right\|^{2}=(a x+I, a x+I)$ $=p\left(x^{*} a^{*} a x\right)$. For any $\alpha>\left\|a^{*} a\right\|=\|a\|^{2}$ there exists a hermitian $h \in A$ such that $h^{2}=\alpha e-a^{*} a$. Hence

$$
\alpha p\left(x^{*} x\right)-p\left(x^{*} a^{*} a x\right)=p\left(x^{*}\left(\alpha e-a^{*} a\right) x\right)=p\left((h x)^{*}(h x)\right) \geqslant 0
$$

and so $p\left(x^{*} a^{*} a x\right) \leqslant\|a\|^{2} p\left(x^{*} x\right)$. Thus $\left\|T_{a}\right\| \leqslant\|a\|$. Denote the extended operator on $H$ also by $T_{a}$.

The preceding discussion has shown that for every positive functional on $A$ there is associated $a *$-representation of $A$ as a *-subalgebra of bounded linear operators on a Hilbert space $H$ such that $\left\|T_{a}\right\| \leqslant\|a\|$. In general this representation is neither injective nor norm-preserving. By constructing appropriate positive functionals in the next step we will, however, be able to build a representation with these properties.

Step 9. Construction of positive functionals. We will construct for every fixed $z \in A$ a positive functional $p$ on $A$ such that $p(e)=1$ and $p\left(z^{*} z\right)=\|z\|^{2}$. Clearly the associated *-representation has the property $\left\|T_{z}\right\|=\|z\|$. Indeed,

$$
\begin{gathered}
\|z\|^{2}=p\left(z^{*} z\right)=\left(T_{z}(e+I), T_{z}(e+I)\right)=\left\|T_{z}(e+I)\right\|^{2} \\
\leqslant\left\|T_{z}\right\|^{2}\|e+I\|^{2}=\left\|T_{z}\right\|^{2} p(e)=\left\|T_{z}\right\|^{2}
\end{gathered}
$$

which together with $\left\|T_{z}\right\| \leqslant\|z\|$ gives $\left\|T_{z}\right\|=\|z\|$.
The following construction of the desired positive functional is a special case of an extension theorem for positive functionals due to M. Krein [32].

Construction: Let $H(A)$ be the real vector space of hermitian elements in $A$ and $P$ the positive cone of all positive elements in $A$. On the subspace $R e+R z^{*} z$ of $H(A)$ generated by $e$ and $z^{*} z$ define $p$ by

$$
p\left(\alpha e+\beta z^{*} z\right)=\alpha+\beta\left\|z^{*} z\right\| .
$$

Note that $p$ is well-defined on $R e+R z^{*} z$ even if $e$ and $z^{*} z$ are linearly dependent. Since $\left\|z^{*} z\right\|=\left|z^{*} z\right|_{\sigma} \in \sigma_{A}\left(z^{*} z\right)$ we have that $\alpha+\beta\left\|z^{*} z\right\|$ lies in $\sigma_{A}\left(\alpha e+\beta z^{*} z\right)$. In other words, $p(x) \in \sigma_{A}(x)$ if $x \in R e+R z^{*} z$ so that $p(x) \geqslant 0$ for all $x \in P \cap\left(R e+R z^{*} z\right)$.

Assume $p$ has been extended to a real-linear functional on a subspace $W$ of $H(A)$ such that $p(x) \geqslant 0$ for all $x \in P \cap W$ and assume that there is a $y \in H(A)$ with $y \notin W$. Set

$$
a=\inf \{p(v): y \leqslant v \in W\} \text { and } b=\sup \{p(u): y \geqslant u \in W\}
$$

Since $y \leqslant\|y\| e$ and $y \geqslant-\|y\| e$ the infimum and supremum are taken over nonempty sets, and are therefore finite numbers, clearly satisfying $a \geqslant b$. Define $p$ on the subspace of $H(A)$ generated by $W$ and $y$ by

$$
p(x+\alpha y)=p(x)+\alpha c(x \in W, \alpha \in R)
$$

where $c$ is any fixed number such that $a \geqslant c \geqslant b$.

Suppose that $x+\alpha y \geqslant 0(x \in W, \alpha \in R)$. We shall show that $p(x+y)$ $\geqslant 0$. If $\alpha=0$, then $p(x+\alpha y)=p(x) \geqslant 0$ by assumption.

If $\alpha>0$, then $x+\alpha y \geqslant 0$ implies ' $y \geqslant-\frac{x}{\alpha} \in W$, so that $p\left(-\frac{x}{\alpha}\right) \leqslant c$, or $p(x+\alpha y) \geqslant 0$.

If $\alpha<0$, then $x+\alpha y \geqslant 0$ implies $y \leqslant-\frac{x}{\alpha} \in W$, so that $p\left(-\frac{x}{\alpha}\right) \geqslant c$, or $p(x+\alpha y) \geqslant 0$.

By Zorn's Lemma we conclude that $p$ can be extended to a real linear functional $p$ on $H(A)$ such that $p(x) \geqslant 0$ for all $x \in P$.

Finally set $p(x)=p(h)+i p(k)$ if $x=h+i k$ with $h, k \in H(A)$. Then $p$ is a positive functional on $A$ such that $p(e)=1$ and $p\left(z^{*} z\right)=\left\|z^{*} z\right\|$ $=\|z\|^{2}$. This completes the construction.

Step 10. The isometric *-representation. In the preceding step we constructed for every $z \in A$ a positive functional on $A$ such that the associated *-representation $T^{(z)}$ of $A$ on the Hilbert space $H^{(z)}$ is norm-decreasing and $\left\|T_{z}^{(z)}\right\|=\|z\|$.

Let $H$ be the direct sum of the Hilbert spaces $H^{(z)}$. The direct sum of the family $H^{(z)}, z \in A$, is defined as the set of all mappings $f$ on $A$ with $f(z)$ $\in H^{(z)}$ such that $\sum_{z \in A}(f(z), f(z))<\infty$. The algebraic operations in $H$ are pointwise and the scalar product is given by $(f, g)=\sum_{z \in A}(f(z), g(z))$. The reader may easily verify that all Hilbert space axioms are satisfied by $H$ (see [14]).

Define the *-representation $T$ of $A$ on $H$ by

$$
\left(T_{a} f\right)(z)=T_{a}^{(z)}(f(z))
$$

Note that the inequality

$$
\sum_{z \in A}\left(\left(T_{a} f\right)(z),\left(T_{a} f\right)(z)\right) \leqslant\|a\|^{2} \sum_{z \in A}(f(z), f(z))
$$

shows that with $f$ also $T_{a} f$ belongs to $H$. Then $T_{a}$ is a bounded operator on $H$ such that

$$
\left\|T_{a}\right\|=\sup _{z \in A}\left\|T_{a}^{(z)}\right\|=\left\|T_{a}^{(a)}\right\|=\|a\|
$$

Hence the map $a \rightarrow T_{a}$ is a norm-preserving *-representation of $A$ on $H$. This completes the proof of Theorem II as stated in the introduction.

