

Zeitschrift: L'Enseignement Mathématique
Herausgeber: Commission Internationale de l'Enseignement Mathématique
Band: 14 (1968)
Heft: 1: L'ENSEIGNEMENT MATHÉMATIQUE

Artikel: FLATNESS AND PRIVILEGE
Autor: Douady, A.
Kapitel: §2. The flatness and privilege theorem
DOI: <https://doi.org/10.5169/seals-42343>

Nutzungsbedingungen

Die ETH-Bibliothek ist die Anbieterin der digitalisierten Zeitschriften auf E-Periodica. Sie besitzt keine Urheberrechte an den Zeitschriften und ist nicht verantwortlich für deren Inhalte. Die Rechte liegen in der Regel bei den Herausgebern beziehungsweise den externen Rechteinhabern. Das Veröffentlichen von Bildern in Print- und Online-Publikationen sowie auf Social Media-Kanälen oder Webseiten ist nur mit vorheriger Genehmigung der Rechteinhaber erlaubt. [Mehr erfahren](#)

Conditions d'utilisation

L'ETH Library est le fournisseur des revues numérisées. Elle ne détient aucun droit d'auteur sur les revues et n'est pas responsable de leur contenu. En règle générale, les droits sont détenus par les éditeurs ou les détenteurs de droits externes. La reproduction d'images dans des publications imprimées ou en ligne ainsi que sur des canaux de médias sociaux ou des sites web n'est autorisée qu'avec l'accord préalable des détenteurs des droits. [En savoir plus](#)

Terms of use

The ETH Library is the provider of the digitised journals. It does not own any copyrights to the journals and is not responsible for their content. The rights usually lie with the publishers or the external rights holders. Publishing images in print and online publications, as well as on social media channels or websites, is only permitted with the prior consent of the rights holders. [Find out more](#)

Download PDF: 27.04.2026

ETH-Bibliothek Zürich, E-Periodica, <https://www.e-periodica.ch>

$$\mathcal{O}(\Delta \times V) \xrightarrow{\phi} \mathcal{H}(\Delta, B(K))$$

$$\pi \downarrow \qquad \qquad \downarrow \tilde{\pi}$$

$$\mathcal{O}(S \times V) \xrightarrow{\phi} \mathcal{H}(S, B(K))$$

is commutative; ϕ is evidently an \mathcal{O}_S -algebra homomorphism.

§ 2. *The flatness and privilege theorem*

Notation

Let S be an analytic space, U an open set in \mathbb{C}^n , and $\pi : S \times U \rightarrow S$ the first projection.

If \mathcal{F} is an $\mathcal{O}_{S \times U}$ module, then for every $s \in S$ we denote by $\mathcal{F}(s)$ the \mathcal{O}_U -module $i_s^* \mathcal{F}$, where i_s is the injective morphism $x \rightarrow (s, x)$ from U into $S \times U$. If $x \in U$

$$(\mathcal{F}(s))_x \simeq \mathcal{F}_{(s, x)} / m_s \cdot \mathcal{F}_{(s, x)} \simeq \mathcal{F}_{(s, x)} \otimes_{\mathcal{O}_{S, s}} \mathbb{C}_s.$$

Theorem 1: Let \mathcal{E} be a coherent and S -flat $\mathcal{O}_{S \times U}$ -module, and K a poly-cylinder in U .

(a) When K is privileged for $\mathcal{E}(s_0)$, s_0 has a neighbourhood V such that K is $\mathcal{E}(s)$ -privileged for each $s \in V$. In other words: the set $S' = \{s \in S \mid K \text{ is } \mathcal{E}(s)\text{-privileged}\}$ is open in S .

(b) It is possible to define a Banach vector bundle over S' whose fibre at any $s \in S'$ is $B(K, \mathcal{E}(s))$.

To prove the theorem we need:

Lemma 1: Under the conditions of the theorem, we can, for every $s \in S$, find a neighbourhood W of $\{s\} \times K$ and a free resolution of finite length

$$0 \rightarrow \mathcal{L}_p \xrightarrow{d_p} \dots \xrightarrow{d_2} \mathcal{L}_1 \xrightarrow{d_1} \mathcal{L}_0 \xrightarrow{\varepsilon} \mathcal{E} \rightarrow 0 \text{ in } W.$$

Proof: Let (s, x) be a point of $S \times U$ and \mathcal{L}_*^0 a finite resolution of $\mathcal{F}(x)$ in a neighbourhood of x (there exists such one, by the theorem of syzygies). We shall show that there exists a resolution \mathcal{L}^* of \mathcal{F} in a neighbourhood of (s, x) such that $\mathcal{L}^*(s) = \mathcal{L}_*^0$; if $\mathcal{L}_i^0 = \mathcal{O}_x^{r_i}$ define

$$\mathcal{L}_i = \mathcal{O}_{S \times U}^{r_i} \text{ and } \mathcal{K}_i^0 = \text{Ker } d_i^0 : \mathcal{L}_i^0 \rightarrow \mathcal{L}_{i-1}^0.$$

We shall construct by induction (with respect to i) $d_i : \mathcal{L}_1 \rightarrow \mathcal{L}_{i-1}$ in a neighbourhood of (s, x) such that $d_i(s) = d_i^0$, and prove that $\mathcal{K}_i = \text{Ker } d_i$ is S -flat and that $\mathcal{K}_i(s) = \mathcal{K}_i^0$.

$$\begin{array}{ccc} \mathcal{L}_{i+1} & \xrightarrow{d_{i+1}} & \mathcal{K}_i \\ \downarrow & & \downarrow \\ \mathcal{L}_{i+1}^0 & \xrightarrow{d_{i+1}} & \mathcal{K}_i^0 \end{array}$$
 Suppose that we have constructed d_i and proved the properties for \mathcal{K}_i . We can construct $d_{i+1} : \mathcal{L}_{i+1} \rightarrow \mathcal{L}_i$ in a neighbourhood of (s, x) such that the diagram is commutative.

Nakayama's lemma shows that $\text{Im } d_{i+1} = \mathcal{K}_i$ at the point (s, x) , therefore in a neighbourhood of that point.

The exact sequence

$$0 \rightarrow \mathcal{K}_{i+1} \rightarrow \mathcal{L}_{i+1} \rightarrow \mathcal{K}_i \rightarrow 0,$$

where \mathcal{K}_i and \mathcal{L}_{i+1} are S -flat, shows that \mathcal{K}_{i+1} is S -flat, and that $\mathcal{K}_{i+1}(s) = \mathcal{K}_{i+1}^0$. The first step of the induction is analogous.

Proof of the theorem: Let $s_0 \in S$ and

$$0 \rightarrow \mathcal{L}_p \xrightarrow{d_p} \dots \xrightarrow{d_1} \mathcal{L}_0 \rightarrow \mathcal{E}|_W \rightarrow 0$$

be a free $\mathcal{O}_{S \times U}$ resolution of \mathcal{E} in a neighbourhood $W = V_1 \times V_2$ of $\{s_0\} \times K$. The sheaf \mathcal{E} is \mathcal{O}_S -flat, so for each $s \in V_1$, the sequence

$$0 \rightarrow \mathcal{L}_p \otimes_{\mathcal{O}_{S|V_1}} \mathbf{C}_s \rightarrow \dots \rightarrow \mathcal{L}_1 \otimes_{\mathcal{O}_{S|V_1}} \mathbf{C}_s \rightarrow \mathcal{L}_0 \otimes_{\mathcal{O}_{S|V_1}} \mathbf{C}_s \rightarrow \mathcal{E}|_W \otimes_{\mathcal{O}_{S|V_1}} \mathbf{C}_s \rightarrow 0$$

is exact. So the sequence

$$(A) \quad 0 \rightarrow \mathcal{L}_p(s) \xrightarrow{d_p(s)} \dots \xrightarrow{d_1(s)} \mathcal{L}_0(s) \rightarrow \mathcal{E}(s)|_{V_2} \rightarrow 0$$

is exact when $s \in V_1$. Now $\mathcal{L}_i(s) \simeq \mathcal{O}_{V_2}^{r_i}$ ($0 \leq i \leq p$) and every $d_i(s)$ induces a continuous linear map:

$B(K, \mathcal{L}_i(s)) \rightarrow B(K, \mathcal{L}_{i-1}(s))$, which we also denote by $d_i(s)$. We can consider $d_i = (d_{ijk})$ as an $r_i \times r_{i-1}$ -matrix with entries from $\mathcal{O}_{S \times U}(W)$.

By § 1 we have a \mathcal{O}_S -algebra homomorphism

$$\mathcal{O}_{S \times W}(S \times W) \rightarrow \mathcal{H}(S, B(K)).$$

From the matrix (d_{ijk}) we get by this homomorphism a morphism \tilde{d}_i :

$$V_0 \rightarrow \mathcal{L}(B(K)^{r_i}, B(K)^{r_{i-1}}) = \mathcal{L}(B(K, \mathcal{L}_i(s)), B(K, \mathcal{L}_{i-1}(s))).$$

(Here V_0 is some neighbourhood of s_0) such that $\tilde{d}_i(s) = d_i(s)$ for each $s \in V_0$. In other words we have a sequence of Banach vector bundle morphisms

$$(B) \quad 0 \rightarrow B(K, \mathcal{L}_p) \xrightarrow{d_p} \dots \xrightarrow{\tilde{d}_1} B(K, \mathcal{L}_0).$$

Using the fact that $\mathcal{O}_{S \times U}(S \times U) \rightarrow \mathcal{H}(S, B(K))$ is an \mathcal{O}_S -algebra homomorphism, it easily follows that (B) is complex of Banach vector bundles over S .

Now K is $\mathcal{E}(s_0)$ -privileged, thus

$$0 \rightarrow B(K, \mathcal{L}_p(s_0)) \xrightarrow{d_p(s_0)} \dots \xrightarrow{d_1(s_0)} B(K, \mathcal{L}_0(s_0))$$

is split exact, so by theorem III.1

$$0 \rightarrow B(K, \mathcal{L}_p)|_V \xrightarrow{\tilde{d}_p|_V} \dots \xrightarrow{\tilde{d}_1|_V} B(K, \mathcal{L}_0)|_V$$

is split exact for some neighbourhood V of s_0 .

Because $\tilde{d}_i(s) = d_i(s)$ and the sequence (A) is exact part (a) of the theorem follows.

(b) $B(K, \mathcal{L}_0)|_V$ splits as the direct sum of $\text{im } \tilde{d}_1$ and a bundle E_V , such that $E_{V,s} \simeq B(K, \mathcal{E}(s))$, for each $s \in V$. We must show that these bundle structures fit together globally.

Suppose therefore that V is open in S' and that

$$\begin{aligned} 0 \rightarrow \mathcal{L}_p \xrightarrow{d_p} \dots \xrightarrow{d_2} \mathcal{L}_1 \xrightarrow{d_1} \mathcal{L}_0 \rightarrow \mathcal{E}|_{V \times V_2} \rightarrow 0 \\ 0 \rightarrow \mathcal{L}'_p \xrightarrow{d'_p} \dots \xrightarrow{d'_2} \mathcal{L}'_1 \xrightarrow{d'_1} \mathcal{L}'_0 \rightarrow \mathcal{E}|_{V \times V_2} \rightarrow 0 \end{aligned}$$

are free resolutions of ξ over $V \times V_2$.

If V_1, V_2 are open polycylinders, we can find an $\mathcal{O}_{S \times U}$ -homomorphism $\phi_0 : \mathcal{L}'_0 \rightarrow \mathcal{L}_0$ such that

$$\begin{array}{ccc} & \varepsilon' & \\ & \mathcal{L}'_0 \rightarrow \mathcal{E}|_{V \times V_2} \rightarrow 0 & \\ \phi_0 \uparrow & \parallel & \\ & \varepsilon & \\ & \mathcal{L}_0 \rightarrow \mathcal{E}|_{V \times V_2} \rightarrow 0 & \end{array}$$

commutes. ϕ_0 determines a bundle morphism $\tilde{\phi}_0: B(K, \mathcal{L}_0) \rightarrow B(K, \mathcal{L}'_0)$.
 $B(K, \mathcal{L}_0)$ (resp. $B(K, \mathcal{L}'_0)$) splits as $(\text{im } \tilde{d}_1) \otimes E_V$ [Resp. $(\text{im } \tilde{d}'_1) \otimes E'_V$].

Let p' be the projection morphism: $B(K, \mathcal{L}'_0) \rightarrow E'_V$ with kernel $\text{im } \tilde{d}'_1$,
 and put $\tilde{\phi} = p' \circ \phi_0|_{E_V}$.

The commutative diagram

$$\begin{array}{ccc}
 B(K, \mathcal{L}_0(s)) & \xrightarrow{\tilde{\phi}_0} & B(K, \mathcal{L}'_0(s)) \\
 \varepsilon \downarrow & \swarrow & \downarrow \varepsilon' \\
 & E_{V,s} & \xrightarrow{\tilde{\phi}} E'_{V,s} \\
 & \swarrow \varepsilon \simeq \alpha \circ \varepsilon_0 & \searrow \varepsilon' \simeq \alpha' \circ \varepsilon'_0 \\
 B(K, \mathcal{E}(s)) & \xleftarrow{\text{id}} & B(K, \mathcal{E}'(s))
 \end{array}$$

and the open mapping theorem shows that $\tilde{\phi}(s)$ is an isomorphism of Banach spaces for each $s \in V$, so $\tilde{\phi}: E_V \rightarrow E'_V$ is a bundle isomorphism. We also notice that $\tilde{\phi}$ depends only on the choice of splittings in $B(K, \mathcal{L}_0)$ and $B(K, \mathcal{L}'_0)$, and not on the choice of $\tilde{\phi}_0$. This ends the proof of the theorem.

Remark: Consider the general situation where X and S are analytic spaces, and $\pi: X \rightarrow S$ is a morphism, \mathcal{E} an \mathcal{O}_X -module. To study the local dependence of \mathcal{E} on S , one can imbed an open set X' in X in the open set $U \subset \mathbb{C}^n$. The morphism $\phi: X' \rightarrow U, \pi: X' \rightarrow S$ determine the imbedding $\pi \times \phi: X' \rightarrow S \times U$ such that the diagram commutes. \mathcal{E} can be extended by zero into a sheaf \mathcal{E}' over $U \times S$. Obviously this sheaf \mathcal{E}' is S -flat iff \mathcal{E} is S -flat.

Therefore theorem 1 makes clear also this general situation.

Corollary: If $\pi: X \rightarrow S$ is a morphism and \mathcal{E} a coherent \mathcal{O}_X -module. Then $\pi|_{\text{Supp}(\mathcal{E})}$ is an open map.

Proof: Suppose as above that X is imbedded in $S \times U$, and \mathcal{E} is extended by zero to $S \times U$. Let $x_0 \in \text{Supp } \mathcal{E}$, and V be a neighbourhood of x_0 in $S \times U$. Let $s_0 = \pi(x_0)$ and choose an $\mathcal{E}(s_0)$ -privileged polycylinder K in U , such that $\{s_0\} \times K \subset V$, over some neighbourhood W of s_0 . We have the Banach bundle $B(K, \mathcal{E}|_{\pi^{-1}(W)})$, whose fiber over s is $B(K, \mathcal{E}(s))$. Since $x_0 \in \text{Supp } \mathcal{E}(s_0)$ and K is a neighbourhood of x_0 , $B(K; \mathcal{E}(s_0)) \neq 0$. As all the fibers are isomorphic, then for all $s \in U$, $B(K; \mathcal{E}(s)) \neq 0$ and therefore $\{s\} \times K \cap \text{Supp } \mathcal{E} \neq \emptyset$, and $s \in \pi(\text{Supp } \mathcal{E})$. This proves that π is open.