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whenever  $x \in \tilde{K}$ , we get  $a = \inf_{K} |h(x)| > 0$ . Hence  $||hf|| = \sup_{K} |hf(x)| \ge a \sup_{K} |f(x)| = a ||f||$ .

(i')  $\Rightarrow$  (ii). Suppose that  $X \cap K \neq \emptyset$  and  $x = (x_1, x_2) \in X \cap K$ . We choose an analytic function  $f_1 : U_1 \rightarrow \mathbb{C}$ , where  $U_1 \supset K_1$ , and  $U_1$  is open, such that  $f_1(x_1) = 1$ ,  $|f_1(z)| < 1$  if  $z \in K_1$ ,  $z \neq x_1$ . Similarly we choose an analytic function  $f_2 : U_2 \rightarrow \mathbb{C}$ , with the same properties. Consider the function  $f \in B(K) : (z_1, z_2) \rightarrow f_1(z_1) f_2(z_2)$ . Since h(x) = 0 it follows that the sequence  $\{hf^n\}$  converges pointwise to 0 in K.

Applying Dini's theorem we get  $||hf^n|| \to 0$ . From the inequality  $a ||f^n|| \le \le ||hf^n||$  we get  $||f^n|| \to 0$ , which is a contradiction, because for every  $n : f^n(x) = 1$ .

(b) Use the Weierstrass preparation theorem (extended form).

*Question.* Does the condition (ii) imply that  $h : B(K) \rightarrow B(K)$  is a split monomorphism?

### IV. FLATNESS AND PRIVILEGE

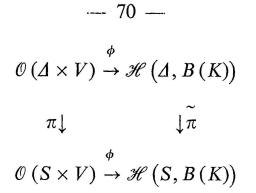
## § 1. Morphisms from an analytic space into B(K)

Let S be an analytic space and K a polycylinder in an open set  $U \subset \mathbb{C}^n$ . We want to construct an  $\mathcal{O}_S$ -algebra homomorphism  $\phi : \mathcal{O}_{S \times U} (S \times U) \rightarrow \mathcal{H} (S; B(K))$ .

- (a) Consider first  $S = U' \subset \mathbb{C}^m$ , U'-open. If  $h \in \mathcal{O}_{U' \times U}$   $(U' \times U)$  and  $s \in U'$ ,  $x \in K$ , define  $(\phi(h)(s))(x) = h(s,x)$ . Using the Cauchy integral, one can show that  $\phi(h)$  is analytic. On the other hand its obvious that  $\phi$  is an  $\mathcal{O}_{U'}$ -algebra homomorphism.
- (b) Let S have a special model in the polydisc  $\Delta$  in  $\mathbb{C}^m$ , defined by a sheaf  $\mathscr{J}$  of ideals of  $\mathscr{O}_{\Delta}$ , and let  $\mathscr{J}$  be generated by  $f_1, ..., f_p$ , V-a polycylinder neighbourhood of K in U. By Cartan's theorem B for a polycylinder,

the sequence  $0 \to \mathscr{J}(\Delta \times V) \to \mathscr{O}(\Delta \times V) \to \mathscr{O}(S \times V) \to 0$  is exact. If we denote by  $\tilde{\pi}$  the projection  $\mathscr{H}(\Delta, B(K)) \to \mathscr{H}(S, B(K)), (f_1, ..., f_p) \cdot \mathscr{H}(\Delta, B(K)) \subset \mathbb{C}$  $\subset \operatorname{Ker} \tilde{\pi}$ . Therefore, because  $\pi$  is surjection, there exists a unique

 $\phi: \mathcal{O}(S \times V) \rightarrow \mathscr{H}(S, B(K))$ , such that the diagram



is commutative;  $\phi$  is evidently an  $\mathcal{O}_s$ -algebra homomorphism.

# § 2. The flatness and privilege theorem

### Notation

Let S be an analytic space, U an open set in  $\mathbb{C}^n$ , and  $\pi : S \times U \rightarrow S$  the first projection.

If  $\mathscr{F}$  is an  $\mathscr{O}_{S \times U}$  module, then for every  $s \in S$  we denote by  $\mathscr{F}(s)$  the  $\mathscr{O}_U$ -module  $i_s^* \mathscr{F}$ , where  $i_s$  is the injective morphism  $x \to (s, x)$  from U into  $S \times U$ . If  $x \in U$ 

 $(\mathscr{F}(s))_x \simeq \mathscr{F}_{(s,x)}/m_s \cdot \mathscr{F}_{(s,x)} \simeq \mathscr{F}_{(s,x)} \otimes_{\mathscr{O}_{S,s}} \mathbf{C}_s.$ 

Theorem 1: Let  $\mathscr{E}$  be a coherent and S-flat  $\mathscr{O}_{S \times U}$ -module, and K a polycylinder in U.

- (a) When K is privileged for  $\mathscr{E}(s_0)$ ,  $s_0$  has a neighbourhood V such that K is  $\mathscr{E}(s)$ -privileged for each  $s \in V$ . In other words: the set  $S' = \{s \in S \mid K \text{ is } \mathscr{E}(s)\text{-privileged}\}$  is open in S.
- (b) It is possible to define a Banach vector bundle over S' whose fibre at any  $s \in S'$  is  $B(K, \mathscr{E}(s))$ .

To prove the theorem we need:

Lemma 1: Under the conditions of the theorem, we can, for every  $s \in S$ , find a neighbourhood W of  $\{s\} \times K$  and a free resolution of finite length

$$0 \to \mathscr{L}_p \xrightarrow{d_p} \dots \xrightarrow{d_2} \mathscr{L}_1 \xrightarrow{d_1} \mathscr{L}_0 \xrightarrow{\varepsilon} \mathscr{E} \to 0 \text{ in } W.$$

*Proof*: Let (s, x) be a point of  $S \times U$  and  $\mathscr{L}^0_*$  a finite resolution of  $\mathscr{F}(x)$  in a neighbourhood of x (there exists such one, by the theorem of syzygies). We shall show that that there exists a resolutin  $\mathscr{L}^*$  of  $\mathscr{F}$  in a neighbourhood of (s, x) such that  $\mathscr{L}^*(s) = \mathscr{L}^0_*$ ; if  $\mathscr{L}^0_i = \mathscr{O}^{r_i}_x$  define

$$\mathscr{L}_{i} = \mathscr{O}_{S \times U}^{r_{i}} \text{ and } \mathscr{K}_{i}^{0} = \operatorname{Ker} d_{i}^{0} \colon \mathscr{L}_{i}^{0} \to \mathscr{L}_{i-1}^{0}.$$

We shall construct by induction (with respect to i)  $d_i : \mathscr{L}_1 \to \mathscr{L}_{i-1}$  in a neighbourhood of (s, x) such that  $d_i(s) = d_i^0$ , and prove that  $\mathscr{K}_i = \operatorname{Ker} d_i$  is S-flat and that  $\mathscr{K}_i(s) = \mathscr{K}_i^0$ .

Nakayama's lemma shows that Im  $d_{i+1} = \mathscr{K}_i$  at the point (s, x), therefore in a neighbourhood of that point.

The exact sequence

$$0 \to \mathcal{K}_{i+1} \to \mathcal{L}_{i+1} \to \mathcal{K}_i \to 0 ,$$

where  $\mathscr{K}_i$  and  $\mathscr{L}_{i+1}$  are S-flat, shows that  $\mathscr{K}_{i+1}$  is S-flat, and that  $\mathscr{K}_{i+1}(s) = \mathscr{K}_{i+1}^0$ . The first step of the induction is analogous.

*Proof of the theorem* : Let  $s_0 \in S$  and

$$\begin{array}{ccc} d_p & d_1 \\ 0 \to \mathcal{L}_p \to \dots \to \mathcal{L}_0 \to \mathscr{E} | W \to 0 \end{array}$$

be a free  $\mathcal{O}_{S \times U}$  resolution of  $\mathscr{E}$  in a neighbourhood  $W = V_1 \times V_2$  of  $\{s_0\} \times K$ . The sheaf  $\mathscr{E}$  is  $\mathcal{O}_S$ -flat, so for each  $s \in V_1$ , the sequence

$$0 \to \mathcal{L}_p \otimes_{\mathscr{O}_{S|V_1}} \mathbf{C}_s \to \dots \to \mathcal{L}_1 \otimes_{\mathscr{O}_{S|V_1}} \mathbf{C}_s \to \mathcal{L}_0 \otimes_{\mathscr{O}_{S|V_1}} \mathbf{C}_s \to \mathscr{E}_{|W} \otimes_{\mathscr{O}_{S|V_1}} \mathbf{C}_s \to 0$$

is exact. So the sequence

(A) 
$$0 \to \mathcal{L}_p(s) \xrightarrow{d_p(s)} \dots \to \mathcal{L}_1(s) \xrightarrow{d_1(s)} \dots \to \mathcal{L}_0(s) \xrightarrow{\varepsilon(s)} \to \mathscr{E}(s)_{|V_2} \to 0$$

is exact when  $s \in V_1$ . Now  $\mathscr{L}_i(s) \simeq \mathscr{O}_{V_2}^{r_i}$   $(0 \leq i \leq p)$  and every  $d_i(s)$  induces a continuous linear map:

 $B(K, \mathscr{L}_i(s)) \rightarrow B(K, \mathscr{L}_{i-1}(s))$ , which we also denote by  $d_i(s)$ . We can consider  $d_i = (d_{ijk})$  as an  $r_i \times r_{i-1}$ -matrix with entries from  $\mathcal{O}_{S \times U}(W)$ .

By § 1 we have a  $\mathcal{O}_s$ -algebra homomorphism

$$\mathcal{O}_{S \times W}(S \times W) \rightarrow \mathcal{H}(S, B(K)).$$

From the matrix  $(d_{ijk})$  we get by this homomorphism a morphism  $d_i$ :

$$V_0 \to \mathscr{L}(B(K)^{r_i}, B(K)^{r_{i-1}}) = \mathscr{L}(B(K, \mathscr{L}_i(s)), B(K, \mathscr{L}_{i-1}(s))).$$

(Here  $V_0$  is some neighbourhood of  $s_0$ ) such that  $d_i(s) = d_i(s)$  for each  $s \in V_0$ . In other words we have a sequence of Banach vector bundle morphisms

(B) 
$$d_p \quad \tilde{d}_1$$
  
 $0 \to B(K, \mathscr{L}_p) \to \dots \to B(K, \mathscr{L}_0).$ 

Using the fact that  $\mathcal{O}_{S \times U}(S \times U) \rightarrow \mathscr{H}(S, B(K))$  is an  $\mathcal{O}_S$ -algebra homomorphism, it easily follows that (B) is complex of Banach vector bundles over S.

Now K is  $\mathscr{E}(s_0)$ -privileged, thus

$$0 \to B\left(K, \mathscr{L}_{p}(s_{0})\right) \xrightarrow{d_{p}(s_{0})} d_{1}(s_{0}) \to \dots \to B\left(K, \mathscr{L}_{0}(s_{0})\right)$$

is split exact, so by theorem III.1

$$\begin{array}{c} \widetilde{d}_p | V \quad \widetilde{d}_i | V \\ 0 \to B(K, \mathcal{L}_p)_{|V} \to \dots \to B(K, \mathcal{L}_0)_{|V} \end{array}$$

is split exact for some neighbourhood V of  $s_0$ .

Because  $d_i(s) = d_i(s)$  and the sequence (A) is exact part (a) of the theorem follows.

(b)  $B(K, \mathscr{L}_0)|V$  splits as the direct sum of im  $d_1$  and a bundle  $E_V$ , such that  $E_{V,s} \simeq B(K, \mathscr{E}(s))$ , for each  $s \in V$ . We must show that these bundle structures fit together globally.

Suppose therefore that V is open in S' and that

$$\begin{array}{cccc} d_{p} & d_{2} & d_{1} \\ 0 \rightarrow \mathcal{L}_{p} \rightarrow \dots \rightarrow \mathcal{L}_{1} \rightarrow \mathcal{L}_{0} \rightarrow \mathcal{E}_{|V \times V_{2}} \rightarrow 0 \\ d_{p}^{'} & d_{2}^{'} & d_{1}^{'} \\ 0 \rightarrow \mathcal{L}_{p}^{'} \rightarrow \dots \rightarrow \mathcal{L}_{1}^{'} \rightarrow \mathcal{L}_{0}^{'} \rightarrow \mathcal{E}_{|V \times V_{2}} \rightarrow 0 \end{array}$$

are free resolutions of  $\xi$  over  $V \times V_2$ .

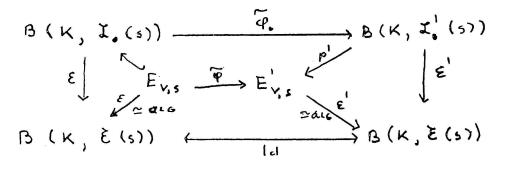
If  $V_1, V_2$  are open polycylinders, we can find an  $\mathcal{O}_{S \times U}$ -homomorphism  $\phi_0 : \mathscr{L}_0 \to \mathscr{L}_0'$  such that

$$\begin{aligned} \mathscr{L}_{0}^{'} \xrightarrow{\varepsilon'} \mathscr{E}_{|V \times V_{2}} \to 0 \\ \phi_{0} \uparrow \qquad || \\ \mathscr{L}_{0} \xrightarrow{\varepsilon} \mathscr{E}_{|V \times V_{2}} \to 0 \end{aligned}$$

commutes.  $\phi_0$  determines a bundle morphism  $\tilde{\phi}_0: B(K, \mathscr{L}_0) \to B(K, \mathscr{L}'_0)$ .  $B(K, \mathscr{L}_0)$  (resp.  $B(K, \mathscr{L}'_0)$ ) splits as  $(\operatorname{im} \tilde{d}_1) \otimes E_V$  [Resp.  $(\operatorname{im} \tilde{d}'_1) \otimes E'_V$ ].

Let p' be the projection morphism:  $B(K, \mathscr{L}_0) \rightarrow E'_V$  with kernel im  $d'_1$ , and put  $\tilde{\phi} = p' \circ \phi_0 | E_V$ .

The commutative diagram



and the open mapping theorem shows that  $\phi(s)$  is an isomorphism of Banach spaces for each  $s \in V$ , so  $\tilde{\phi}: E_V \to E'_V$  is a bundle isomorphism. We also notice that  $\tilde{\phi}$  depends only on the choice of splittings in  $B(K, \mathcal{L}_0)$  and  $B(K, \mathcal{L}'_0)$ , and not on the choice of  $\tilde{\phi}_0$ . This ends the proof of the theorem.

Remark : Consider the general situation where X and S are analytic spaces, and  $\pi : X \to S$  is a morphism,  $\mathscr{E}$  an  $\mathscr{O}_X$ -module. To study the local  $\stackrel{\pi \times \phi}{\longrightarrow} S \times U$  dependence of  $\mathscr{E}$  on S, one can imbed an open set X' in X in the open set  $U \subset \mathbb{C}^n$ . The morphism  $\phi : X' \to U, \pi : X' \to S$  determine the imbedding  $\pi \times \phi : X' \to S \times U$  such that the diagram commutes.  $\mathscr{E}$  can be extended by zero into a sheaf  $\mathscr{E}'$  over  $U \times S$ . Obviously this sheaf  $\mathscr{E}'$  is S-flat iff  $\mathscr{E}$  is S-flat.

Therefore theorem 1 makes clear also this general situation.

Corollary: If  $\pi: X \to S$  is a morphism and  $\mathscr{E}$  a coherent  $\mathscr{O}_X$ -module. Then  $\pi \mid \text{Supp}(\mathscr{E})$  is an open map.

*Proof*: Suppose as above that X is imbedded in  $S \times U$ , and  $\mathscr{E}$  in extended by zero to  $S \times U$ . Let  $x_0 \in$  Supp  $\mathscr{E}$ , and V be a neighbourhood of  $x_0$  in  $S \times U$ . Let  $s_0 = \pi(x_0)$  and choose an  $\mathscr{E}(s_0)$ -privileged polycylinder K in U, such that  $\{s_0\} \times K \subset V$ , over some neighbourhood W of  $s_0$ . We have the Banach bundle  $B(K, \mathscr{E} | \pi^{-1}(W))$ , whose fiber over s is  $B(K, \mathscr{E}(s))$ . Since  $x_0 \in$  Supp  $\mathscr{E}(s_0)$  and K is a neighbourhood of  $x_0$ ,  $B(K; \mathscr{E}(s_0)) \neq 0$ . As all the fibers are isomorphic, then for all  $s \in U$ ,  $B(K; \mathscr{E}(s)) \neq 0$  and therefore  $\{s\} \times K \cap$  Supp  $\mathscr{E} \neq 0$ , and  $s \in \pi$  (Supp  $\mathscr{E}$ ). This proves that  $\pi$  is open.