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SOLUTIONS OF NON-LINEAR EQUATIONS

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Then, for the solution u = u(T) in S, the estimate (3.7) holds.

A unique solution of (3.1 a) in  $S(u^*, r)$  also exists for such r and b if (3.6) holds, but in (3.8) the sign ">" cannot be replaced by " $\geq$ ", nor can the constant a in (3.8) be replaced by any larger one.

The last statement can be proved by simple examples in the one-dimensional case and with an operator T which is linear in  $S(u^*, r)$ .

# 4. Inverse function theorems.

Under the conditions of the implicit function Theorem 3.1, the operator T has a local inverse defined in a neighborhood of a point  $w_0$  for which

$$Tu = w. (4.1)$$

has a solution  $u_0$ . This inverse has its range in a neighborhood of  $u_0$ . For the proof set  $T^*u = Tu - w_0$  in Theorem 3.1. However, the conditions of this theorem are still not sufficient for the existence of a solution u of equation (4.1) for all w in  $B_2$  even if T is defined on the whole Banach space  $B_1$  and the conditions are satisfied at each point u of  $B_1$ .

However, this actually is not necessary for the existence of at least one solution u of (4.1) for all  $w \in B_2$  as is indicated by the following theorem.

THEOREM 4.1. Let the operator T, mapping a non-empty domain  $D \subset B_1$  into  $B_2$ , satisfy the following conditions:

For each  $u \in D$  there exist a sphere  $S(u, r) \subset D$ , a linear operator K, and a constant m such that the following conditions hold:

- $\alpha$ ) K has a bounded inverse  $K^{-1}$  on TS(u, r)
- $\beta$ )  $||Tv T\tilde{v} K(v \tilde{v})|| \le m ||v \tilde{v}||$  for  $v, \tilde{v} \in S(u, r)$
- $\gamma$ ) ( $||K^{-1}||^{-1}-m$ )  $r \ge c > 0$  where the constant c is independent of  $u \in D$ .

<sup>1)</sup> Example:  $Tu \equiv \arctan u = w$ , with  $B_1 = B_2 = \{ \text{ real numbers } \}$ , is not solvable for all  $w \in B_2$ , although the conditions of Theorem 3.1 are satisfied at each point  $(u, w = \arctan u)$  for  $T^*u = Tu$ -w.

Then the equation (4.1) has at least one solution for every w in  $B_2$  and each  $w_0 \in B_2$  is the center of a sphere  $||w - w_0|| < c$  for which u = u(w) is continuous and unique in a corresponding neighborhood  $S(u_0, r_0)$  with  $Tu_0 = w_0$  and  $r_0 = r(u_0)$ .

Remark. In this theorem it is not required that T be defined for all  $u \in B_1$  nor that T be continuous, and it cannot be asserted that T has only one solution for each  $w \in B_2$ . The example in the footnote (previous page) shows that the condition  $\gamma$ ) cannot be improved by deleting  $\geq c$  with constant c independent of u. But  $\gamma$ ) can be replaced by other conditions.

Theorem 4.1 a. In Theorem 4.1 the condition  $\gamma$ ) can be replaced by

 $\gamma'$ ) There exists for each R > 0 a constant c = c(R) > 0 such that

$$(\|K^{-1}\|^{-1} - m) r \ge c \text{ for } \|u\| \le R, \text{ and } (4.2)$$

$$||Tu|| \to \infty$$
 as  $||u|| \to \infty$  and  $u \in D$ . (4.3)

Proof of Theorem 4.1. a) Let  $u_0 \in D$  and  $Tu_0 = w_0$  and let  $K_0$ ,  $m_0$ ,  $r_0$  be the corresponding quantities satisfying  $\alpha$ ),  $\beta$ ) and  $\gamma$ ) with  $S_0 = S(u_0, r_0) \subset D$ .

Then by Theorem 3.1 and supplement with  $T^*u = Tu - w_0$ ,  $u^* = u_0$ ,  $r = r^* = r_0$  and b = 0, it follows that each equation  $\tilde{T}u = Tu - w = \theta$  has a unique solution u(w) in  $S_0$  which depends continuously on w provided

$$\| K_0^{-1} \tilde{T} u_0 \| < (1 - m_0 \| K_0^{-1} \|) r_0 = \| K_0^{-1} \| (\| K_0^{-1} \|^{-1} - m_0) r_0.$$

Because of

$$||K_0^{-1} \tilde{T} u_0|| \leq ||K_0^{-1}|| \cdot ||w_0 - w||,$$

and  $\gamma$ ) this inequality holds for  $\|w-w_0\| < c$ , i.e. (4.1) has a solution u(w) for these w. The solution u(w) is unique and continuous in  $S_0$ .

b) Let  $w_1$  be an arbitrary point in  $B_2$ . Then the non-empty set  $\Lambda$  of all real  $\lambda$  with  $0 \le \lambda \le 1$  for which the equation

$$Tu - w_0 + \lambda (w_0 - w_1) = \theta,$$

is solvable is open with respect to the interval [0, 1]. This follows from a). It is also closed, for if  $\tilde{\lambda}$  is the supremum of  $\Lambda$  then there exists a point  $\lambda^* \in \Lambda$  with  $|\tilde{\lambda}^* - \lambda| ||w_0 - w_1|| < c$ . Thus it follows from a), if  $w_0$  is replaced by  $w_0 - \lambda^* (w_0 - w_1)$ , that  $\tilde{\lambda} \in \Lambda$ . Hence  $\Lambda = [0, 1]$  and (4.1) has a solution for all  $w \in B_2$ .

Proof of Theorem 4.1 a. Let  $w_1 \in B_2$  and  $u_0 \in D$  with  $Tu_0 = w_0$  be given. Then the points  $w = w_0 + \lambda (w_1 - w_0)$ ,  $0 \le \lambda \le 1$ , are bounded:

$$\|w\| \le \max (\|w_0\|, \|w_1\|) = A.$$

Because of  $\gamma'$ ) there exists a number R with ||Tu|| > A for all u in the set  $\{u \in D: ||u|| \ge R\}$ . 1)

Then the same conclusion as in the proof of Theorem 4.1 with c = c(R) applied to  $||u|| \le R$  shows that  $Tu = w_1$  is solvable by an element  $u_1$  with  $||u_1|| < R$  for which the assumptions of Theorem 4.1 with c = c(R) hold. This implies the existence of a sphere  $||w-w_1|| < c$  with the asserted properties.

## 5. AN EXAMPLE.

The simple example  $Tu = \tan u$ , given only for illustration purposes, shows that Theorem 4.1 is general enough to cover cases in which either the domain D is not the whole space  $B_1$  or Tu = w does not have a unique solution, although this equation is solvable for all  $w \in B_2$ .

Let  $B_1 = B_2 = B$  be the Banach space of real numbers. Then by Theorem 4.1 the equation

$$Tu \equiv \tan u = w$$
,  $u, w \in B$ ,

is solvable for all  $w \in B$ . 2)

Proof. We choose

$$Kv = \frac{v}{\cos^2 u}$$
 for  $u \in \left(-\frac{\pi}{2}, \frac{\pi}{2}\right)$ .

<sup>1)</sup> This set may be empty.

<sup>2)</sup> This is not true for complex numbers as  $\tan u = i$  is not solvable.