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## On Serre’s injectivity question and norm principle

Nivedita Bhaskhar\*

**Abstract.** Let  $k$  be a field of characteristic not 2. We give a positive answer to Serre’s injectivity question for any smooth connected reductive  $k$ -group whose Dynkin diagram contains connected components only of type  $A_n$ ,  $B_n$  or  $C_n$ . We do this by relating Serre’s question to the norm principles proved by Barquero and Merkurjev. We give a scalar obstruction defined up to spinor norms whose vanishing will imply the norm principle for the non-trialitarian  $D_n$  case and yield a positive answer to Serre’s question for connected reductive  $k$ -groups whose Dynkin diagrams contain components of (non-trialitarian) type  $D_n$  too. We also investigate Serre’s question for quasi-split reductive  $k$ -groups.

**Mathematics Subject Classification (2010).** 14L35, 20G10, 20G15.

**Keywords.** Principal homogeneous spaces, Serre’s question, zero cycles, norm principles, spinor norm, Galois cohomology.

### 1. Introduction

Let  $k$  be a field. Then the following question of Serre, which is open in general, asks

**Question 1.1** (Serre, [13, p. 233]). *Let  $G$  be any connected linear algebraic group over a field  $k$ . Let  $L_1, L_2, \dots, L_r$  be finite field extensions of  $k$  of degrees  $d_1, d_2, \dots, d_r$  respectively such that  $\gcd_i(d_i) = 1$ . Then is the following sequence exact ?*

$$1 \rightarrow H^1(k, G) \rightarrow \prod_{i=1}^r H^1(L_i, G).$$

The classical result that the index of a central simple algebra divides the degrees of its splitting fields answers Serre’s question affirmatively for the group  $\mathrm{PGL}_n$ . Springer’s theorem for quadratic forms answers it affirmatively for the (albeit sometimes disconnected) group  $\mathrm{O}(q)$  and Bayer–Lenstra’s theorem [2], for the groups of isometries of algebras with involutions. Jodi Black [3] answers Serre’s question positively for absolutely simple simply connected and adjoint  $k$ -groups of classical type. In this paper, we use and extend Jodi’s result to connected reductive  $k$ -groups whose Dynkin diagram contains connected components only of type  $A_n$ ,  $B_n$  or  $C_n$ .

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**Theorem 1.2.** *Let  $k$  be a field of characteristic not 2. Let  $G$  be a connected reductive  $k$ -group whose Dynkin diagram contains connected components only of type  $A_n$ ,  $B_n$  or  $C_n$ . Then Serre's question has a positive answer for  $G$ .*

We also investigate Serre's question for reductive  $k$ -groups whose derived subgroups admit quasi-split simply connected covers. More precisely, we give a uniform proof for the following :

**Theorem 1.3.** *Let  $k$  be a field of characteristic not 2. Let  $G$  be a connected quasi-split reductive  $k$ -group whose Dynkin diagram does not contain connected components of type  $E_8$ . Then Serre's question has a positive answer for  $G$ .*

We relate Serre's question for  $G$  with the norm principles of other closely related groups following a series of reductions previously used by Barquero and Merkurjev to prove the norm principles for reductive groups whose Dynkin diagrams do not contain connected components of type  $D_n$ ,  $E_6$  or  $E_7$  [1]. We also give a scalar obstruction defined up to spinor norms whose vanishing will imply the norm principle for the (non-trialitarian)  $D_n$  case and yield a positive answer to Serre's question for connected reductive  $k$ -groups whose Dynkin diagrams contain components of this type also.

In the next section, we begin with some lemmata and preliminary reductions. In Section 3, we introduce intermediate groups  $\hat{G}$  and  $\tilde{G}$  and relate Serre's question for  $G$  to Serre's question for  $\hat{G}$  and  $\tilde{G}$  via the norm principle. In Section 4, we investigate the norm principle for (non-trialitarian) type  $D_n$  groups and find the scalar obstruction whose vanishing will imply the norm principle for the (non-trialitarian)  $D_n$  case. In the final section, we use the reduction techniques used in Sections 2 and 3 to discuss Serre's question for connected reductive  $k$ -groups whose derived subgroups admit quasi-split simply connected covers.

## 2. Preliminaries

We work over the base field  $k$  of characteristic not 2. By a  $k$ -group, we mean a smooth connected linear algebraic group defined over  $k$ . And mostly, we will restrict ourselves to reductive groups. We say that a  $k$ -group  $G$  satisfies  $SQ$  if Serre's question has a positive answer for  $G$ .

**2.1. Reduction to characteristic 0.** Let  $G$  be a connected reductive  $k$ -group whose Dynkin diagram contains connected components only of type  $A_n$ ,  $B_n$ ,  $C_n$  or (non-trialitarian)  $D_n$ . Without loss of generality we may assume that  $k$  is of characteristic 0 [7, p. 47]. We give a sketch of the reduction argument for the sake of completeness.

Suppose that the characteristic of  $k$  is  $p > 0$ . Let  $L_1, L_2, \dots, L_r$  be finite field extensions of  $k$  of degrees  $d_1, d_2, \dots, d_r$  respectively such that  $\gcd_i(d_i) = 1$  and

let  $\xi$  be an element in the kernel of

$$H^1(k, G) \rightarrow \prod_{i=1}^r H^1(L_i, G).$$

By a theorem of Gabber, Liu and Lorenzini [5, Thm. 9.2] which was pointed out to us by O. Wittenberg, we note that any torsor under a smooth group scheme  $G/k$  which admits a zero-cycle of degree 1 also admits a zero-cycle of degree 1 whose support is étale over  $k$ . Thus without loss of generality we can assume that the given coprime extensions  $L_i/k$  are in fact separable.

By [10, Thms. 1 & 2], there exists a complete discrete valuation ring  $R$  with residue field  $k$  and fraction field  $K$  of characteristic zero. Let  $S_i$  denote corresponding étale extensions of  $R$  with residue fields  $L_i$  and fraction fields  $K_i$ .

There exists a smooth  $R$ -group scheme  $\tilde{G}$  with special fiber  $G$  and connected reductive generic fiber  $\tilde{G}_K$ . Now given any torsor  $t \in H^1(k, G)$ , there exists a torsor  $\tilde{t} \in H^1_{\text{ét}}(R, \tilde{G})$  specializing to  $t$  which is unique upto isomorphism. This in turn gives a torsor  $\tilde{t}_K$  in  $H^1(K, \tilde{G}_K)$  by base change, thus defining a map  $i_k : H^1(k, G) \rightarrow H^1(K, \tilde{G}_K)$  [6, p. 29]. It clearly sends the trivial element to the trivial element. The map  $i$  also behaves well with the natural restriction maps, i.e., it fits into the following commutative diagram :

$$\begin{CD} H^1(k, G) @>i_k>> H^1(K, \tilde{G}_K) \\ @VVV @VVV \\ \prod H^1(L_i, G) @>\prod i_{L_i}>> \prod H^1(K_i, \tilde{G}_K). \end{CD}$$

Let  $\tilde{\xi}$  denote the torsor in  $H^1_{\text{ét}}(R, \tilde{G})$  corresponding to  $\xi$  as above. Therefore  $\tilde{\xi}_K := i_k(\xi)$  is in the kernel of

$$H^1(K, \tilde{G}_K) \rightarrow \prod_{i=1}^r H^1(K_i, \tilde{G}_K).$$

Suppose that  $\tilde{G}_K$  satisfies  $SQ$ . Then  $\tilde{\xi}_K$  is trivial. However by [12], the natural map  $H^1_{\text{ét}}(R, \tilde{G}) \rightarrow H^1(K, \tilde{G}_K)$  is injective and hence  $\tilde{\xi}$  is trivial in  $H^1_{\text{ét}}(R, \tilde{G})$ . This implies that its specialization,  $\xi$ , is trivial in  $H^1(k, G)$ .

Thus from here on, we assume that the base field  $k$  has characteristic 0.

**2.2. Lemmata.**

**Lemma 2.1.** *Let  $k$ -groups  $G$  and  $H$  satisfy  $SQ$ . Then  $G \times_k H$  also satisfies  $SQ$ .*

*Proof.* Let  $L/k$  be a field extension. Then the map

$$H^1(k, G \times_k H) \rightarrow H^1(L, G \times_k H)$$

is precisely the product of the maps

$$H^1(k, G) \rightarrow H^1(L, G) \text{ and } H^1(k, H) \rightarrow H^1(L, H).$$

This immediately shows that if  $G$  and  $H$  satisfy  $SQ$ , so does  $G \times_k H$ . □

**Lemma 2.2.** *Let  $1 \rightarrow Q \rightarrow H \rightarrow G \rightarrow 1$  be a central extension of a  $k$ -group  $G$  by a quasi-trivial torus  $Q$ . Then  $H$  satisfies  $SQ$  if and only if  $G$  satisfies  $SQ$ .*

*Proof.* Let  $L_i$  be field extensions of  $k$  such that  $\gcd[L_i : k] = 1$ . Since  $Q$  is quasi-trivial,  $H^1(L, Q) = \{1\} \forall L/k$ . From the long exact sequence in cohomology, we have the following commutative diagram.

$$\begin{array}{ccccccc} 1 & \longrightarrow & H^1(k, H) & \longrightarrow & H^1(k, G) & \xrightarrow{\delta_k} & H^2(k, Q) \\ & & \downarrow & & \downarrow & & \downarrow \\ 1 & \longrightarrow & \prod H^1(L_i, H) & \longrightarrow & \prod H^1(L_i, G) & \xrightarrow{\prod \delta_{L_i}} & \prod H^2(L_i, Q) \end{array}$$

From the above diagram, it is clear that if  $G$  satisfies  $SQ$ , so does  $H$ .

Conversely, assume that  $H$  satisfies  $SQ$ . Let  $a \in H^1(k, G)$  become trivial in  $\prod H^1(L_i, G)$ . Then  $\delta_k(a)$  becomes trivial in each  $H^2(L_i, Q)$ . Hence the corestriction  $\text{Cor}_{L_i/k}(\delta_k(a)) = \delta_k(a)^{d_i}$  becomes trivial in  $H^2(k, Q)$  where  $d_i = [L_i : k]$ . Since  $\gcd_i(d_i) = 1$ , this implies that  $\delta_k(a)$  is itself trivial in  $H^2(k, Q)$ . Therefore  $a$  comes from an element  $b \in H^1(k, H)$  which is trivial in  $\prod H^1(L_i, H)$ . (The fact that  $H^1(L_i, Q) = \{1\}$  guarantees that  $b$  is trivial in  $H^1(L_i, H)$ .) Since  $H$  satisfies  $SQ$  by assumption,  $b$  is trivial in  $H^1(k, H)$  which implies the triviality of  $a$  in  $H^1(k, G)$ . □

**Lemma 2.3.** *Let  $E$  be a finite separable field extension of  $k$  and let  $H$  be an  $E$ -group satisfying  $SQ$ . Then the  $k$ -group  $R_{E/k}(H)$  also satisfies  $SQ$ .*

*Proof.* Set  $G = R_{E/k}(H)$  and let  $\xi$  be an element in the kernel of  $H^1(k, G) \rightarrow \prod_{i=1}^r H^1(L_i, G)$  where  $\gcd_i [L_i : k] = 1$ .

Since  $\text{char}(k) = 0$ ,  $L_i \otimes_k E$  is an étale  $E$ -algebra and hence isomorphic to  $E_{1,i} \times E_{2,i} \times \dots \times E_{n_i,i}$  where each  $E_{j,i}$  is a separable field extension of  $E$ . Thus  $\sum_{j=1}^{n_i} [E_{j,i} : E] = [L_i : k]$  and therefore  $\gcd [E_{j,i} : E] = 1$  where  $1 \leq i \leq r$  and  $1 \leq j \leq n_i$ .

By Eckmann–Faddeev–Shapiro, we have a natural bijection of pointed sets

$$\begin{aligned} H^1(k, G) &\simeq H^1(E, H), \\ H^1(L_i, G) &\simeq \prod_{j=1}^{n_i} H^1(E_{j,i}, H). \end{aligned}$$

Thus we have that  $\xi$  is in the kernel of  $H^1(E, H) \rightarrow \prod_{i \leq r, j \leq n_i} H^1(E_{j,i}, H)$ . Since  $H$  satisfies  $SQ$ , we see that  $\xi$  is trivial. □

### 3. Serre’s question and norm principles

#### 3.1. Intermediate groups $\hat{G}$ and $\tilde{G}$ . *Notations are as in Section 5 of [1].*

Let  $G$  be our given connected reductive  $k$ -group whose Dynkin diagram contains connected components only of type  $A_n, B_n, C_n$  or (non-trialitarian)  $D_n$  and let  $G'$  denote its derived subgroup. Let  $Z(G) = T$  and  $Z(G') = \mu$ .

Let  $\rho : \mu \hookrightarrow S$  be an embedding of  $\mu$  into a quasi-trivial torus  $S$ . We denote the cofibre product  $e(G', \rho) = \frac{G' \times S}{\mu}$  by  $\hat{G}$ . This  $k$ -group is called an *envelope* of  $G'$ .

$$\begin{array}{ccc} \mu & \xrightarrow{\delta} & G' \\ \downarrow \rho & & \downarrow \\ S & \xrightarrow{\gamma} & \hat{G} \end{array}$$

Now the quasi-trivial torus  $S = Z(\hat{G})$  and  $\hat{G}$  fit into an exact sequence as follows:

$$1 \rightarrow S \rightarrow \hat{G} \rightarrow G'^{ad} \rightarrow 1 \tag{*}$$

where  $G'^{ad}$  corresponds to the adjoint group of  $G'$ . We now recall the following result of Jodi Black which addresses Serre’s question for adjoint groups of classical type.

**Theorem 3.1** (Jodi Black, [3, Thm. 0.2]). *Let  $k$  be a field of characteristic different from 2 and let  $J$  be an absolutely simple algebraic  $k$ -group which is not of type  $E_8$  and which is either a simply connected or adjoint classical group or a quasi-split exceptional group. Then Serre’s question has a positive answer for  $J$ .*

Since every adjoint group of classical type is a product of Weil restrictions of absolutely simple adjoint groups, the above theorem, along with Lemmata 2.1 and 2.3, implies that  $G'^{ad}$  satisfies  $SQ$ . Applying Lemma 2.2 to the exact sequence (\*) above, we see that  $\hat{G}$  satisfies  $SQ$ . *Let us chose such an envelope  $\hat{G}$  of  $G'$  which satisfies  $SQ$ .*

Define an intermediate abelian group  $\tilde{T}$  to be the cofibre product  $\frac{T \times S}{\mu}$ .

$$\begin{array}{ccc} \mu & \longrightarrow & T \\ \downarrow \rho & & \downarrow \alpha \\ S & \xrightarrow{\nu} & \tilde{T} \end{array}$$

Let the algebraic group  $\tilde{G}$  be the cofibre product defined by the following diagram:

$$\begin{array}{ccc} G' \times T & \xrightarrow{m} & G \\ \downarrow id \times \alpha & & \downarrow \beta \\ G' \times \tilde{T} & \xrightarrow{\epsilon} & \tilde{G}. \end{array}$$

Then we have the following commutative diagram with exact rows [1, Prop. 5.1]. Note that each row is a central extension of  $\tilde{G}$ .

$$\begin{array}{ccccccc}
 1 & \longrightarrow & \mu & \xrightarrow{\delta, \nu\rho} & G' \times \tilde{T} & \xrightarrow{\epsilon} & \tilde{G} \longrightarrow 1 \\
 & & \downarrow \rho & & \downarrow & & \downarrow id \\
 1 & \longrightarrow & S & \xrightarrow{\gamma, \nu} & \hat{G} \times \tilde{T} & \longrightarrow & \tilde{G} \longrightarrow 1
 \end{array}
 \quad \begin{array}{l} (**) \\ (***) \end{array}$$

Since  $\tilde{T}$  is abelian, the existence of the co-restriction map shows that  $\tilde{T}$  satisfies  $SQ$ . Since  $\hat{G}$  satisfies  $SQ$ , we can apply Lemmata 2.1 and 2.2 to (\*\*\*) to see that  $\tilde{G}$  satisfies  $SQ$ .

**3.2. Norm principle and weak norm principle.** Let  $f : G \rightarrow T$  be a map of  $k$ -groups where  $T$  is an abelian  $k$ -group. Then we have norm maps  $N_{L/k} : T(L) \rightarrow T(k)$  for any separable field extension  $L/k$ .

$$\begin{array}{ccc}
 G(L) & \xrightarrow{f(L)} & T(L) \\
 & & \downarrow N_{L/k} \\
 G(k) & \xrightarrow{f(k)} & T(k)
 \end{array}$$

We say that the *norm principle* holds for  $f : G \rightarrow T$  if for all separable field extensions  $L/k$ ,

$$N_{L/k}(\text{Image } f(L)) \subseteq \text{Image } f(k).$$

That is, we say that the *norm principle* holds for  $f : G \rightarrow T$  if given any separable field extension  $L/k$  and any  $t \in T(L)$  such that

$$t \in (\text{Image } f(L) : G(L) \rightarrow T(L)),$$

then

$$N_{L/k}(t) \in (\text{Image } f(k) : G(k) \rightarrow T(k)).$$

Note that the norm principle holds for any algebraic group homomorphism between abelian groups.

We say that the *weak norm principle* holds for  $f : G \rightarrow T$  if given any  $t \in T(k)$  such that

$$t \in (\text{Image } f(L) : G(L) \rightarrow T(L)),$$

then

$$t^{[L:k]} = N_{L/k}(t) \in (\text{Image } f(k) : G(k) \rightarrow T(k)).$$

It is clear that if the norm principle holds for  $f$ , then so does the weak norm principle.

**3.3. Relating Serre’s question and norm principle.** The deduction of SQ for  $G$  from  $\hat{G}$  and  $\tilde{G}$  follows via the (weak) norm principles.

Let  $\beta : G \rightarrow \tilde{G}$  be the embedding of  $k$ -groups with the cokernel  $P$  isomorphic to the torus  $\frac{S}{\mu}$  where  $\tilde{G}$  and  $G$  are as in Section 3.1. Thus we have the following exact sequence:

$$1 \rightarrow G \xrightarrow{\beta} \tilde{G} \xrightarrow{\pi} P \rightarrow 1.$$

**Lemma 3.2.** *If the weak norm principle holds for  $\pi : \tilde{G} \rightarrow P$ , then  $G$  satisfies SQ.*

*Proof.* From the long exact sequence of cohomology, we have the following commutative diagram:

$$\begin{array}{ccccccccccc} 1 & \rightarrow & G(k) & \rightarrow & \tilde{G}(k) & \xrightarrow{\pi_k} & P(k) & \xrightarrow{\delta_k} & H^1(k, G) & \xrightarrow{\beta_k} & H^1(k, \tilde{G}) \\ & & \downarrow \\ 1 & \rightarrow & \prod G(L_i) & \rightarrow & \prod \tilde{G}(L_i) & \xrightarrow{\prod \pi_{L_i}} & \prod P(L_i) & \xrightarrow{\prod \delta_{L_i}} & \prod H^1(L_i, G) & \rightarrow & \prod H^1(L_i, \tilde{G}). \end{array}$$

Let  $a \in H^1(k, G)$  become trivial in  $\prod H^1(L_i, G)$ . As  $\tilde{G}$  satisfies SQ,  $\beta_k(a)$  becomes trivial in  $H^1(k, \tilde{G})$ . Hence  $a = \delta_k(b)$  for some  $b \in P(k)$  and  $\delta_{L_i}(b)$  is trivial in  $H^1(L_i, G)$ . Therefore, there exist  $c_i \in \tilde{G}(L_i)$  such that  $\pi_{L_i}(c_i) = b$ .

Showing that  $G$  satisfies SQ, i.e. that  $a$  is trivial, is equivalent to showing

$$b \in (\text{Image } \pi_k : \tilde{G}(k) \rightarrow P(k)).$$

However  $b \in (\text{Image } \pi_{L_i} : \tilde{G}(L_i) \rightarrow P(L_i))$ . Since the weak norm principle holds for  $\pi : \tilde{G} \rightarrow P$ ,  $b^{d_i} \in \text{Image } (\pi_k : \tilde{G}(k) \rightarrow P(k))$  where  $[L_i : k] = d_i$  for each  $i$ . As  $\text{gcd}_i(d_i) = 1$ , this means  $b \in \text{Image } (\pi_k : \tilde{G}(k) \rightarrow P(k))$ . □

We recall now the norm principle of Merkurjev and Barquero for reductive groups of classical type.

**Theorem 3.3** (Barquero–Merkurjev, [1]). *Let  $G$  be a reductive group over a field  $k$ . Assume that the Dynkin diagram of  $G$  does not contain connected components  $D_n$ ,  $n \geq 4$ ,  $E_6$  or  $E_7$ . Let  $T$  be any commutative  $k$ -group. Then the norm principle holds for any group homomorphism  $G \rightarrow T$ .*

This shows that the norm principle and hence the weak norm principle holds for the map  $\pi : \tilde{G} \rightarrow P$  for reductive  $k$ -groups  $G$  as in the main theorem (Theorem 1.2). Thus we have concluded the proof for the following:

**Theorem 1.2.** *Let  $k$  be a field of characteristic not 2. Let  $G$  be a connected reductive  $k$ -group whose Dynkin diagram contains connected components only of type  $A_n$ ,  $B_n$  or  $C_n$ . Then Serre’s question has a positive answer for  $G$ .*

**4. Obstruction to norm principle for (non-trialitarian)  $D_n$**

**4.1. Preliminaries.** Let  $(A, \sigma)$  be a central simple algebra of degree  $2n$  over  $k$  and let  $\sigma$  be an orthogonal involution. Let  $C(A, \sigma)$  denote its Clifford algebra which is a central simple algebra over its center,  $Z/k$ , the discriminant extension. Let  $i$  denote the non-trivial automorphism of  $Z/k$  and let  $\underline{\sigma}$  denote the canonical involution of  $C(A, \sigma)$ .

Recall that, depending on the parity of  $n$ ,  $\underline{\sigma}$  is either an involution of the second kind (when  $n$  is odd) or of the first kind (when  $n$  is even). Let  $\underline{\mu} : \text{Sim}(C(A, \sigma), \underline{\sigma}) \rightarrow R_{Z/k} \mathbb{G}_m$  denote the multiplier map sending similitude  $c$  to  $\underline{\sigma}(c)c$ .

Let  $\Omega(A, \sigma)$  be the *extended Clifford group*. Note that this has center  $R_{Z/k} \mathbb{G}_m$  and is an *envelope* of  $\text{Spin}(A, \sigma)$  [1, Ex. 4.4]. We recall below the map  $\varkappa : \Omega(A, \sigma)(k) \rightarrow Z^*/k^*$  as defined in [9, p. 182].

Given  $\omega \in \Omega(A, \sigma)(k)$ , let  $g \in \text{GO}^+(A, \sigma)(k)$  be some similitude such that  $\omega \rightsquigarrow gk^*$  under the natural surjection  $\Omega(A, \sigma)(k) \rightarrow \text{PGO}^+(A, \sigma)(k)$ .

Let  $h = \mu(g)^{-1}g^2 \in \text{O}^+(A, \sigma)(k)$  and let  $\gamma \in \Gamma(A, \sigma)(k)$  be some element in the *special Clifford group* which maps to  $h$  under the vector representation  $\chi' : \Gamma(A, \sigma)(k) \rightarrow \text{O}^+(A, \sigma)(k)$ . Then  $\omega^2 = \gamma z$  for some  $z \in Z^*$  and  $\varkappa(\omega) = zk^*$ .

Note that the map  $\varkappa$  has  $\Gamma(A, \sigma)(k)$  as kernel. Also if  $z \in Z^*$ , then  $\varkappa(z) = z^2k^*$ .

By following the reductions in [1], it is easy to see that one needs to investigate whether the norm principle holds for the canonical map

$$\Omega(A, \sigma) \rightarrow \frac{\Omega(A, \sigma)}{[\Omega(A, \sigma), \Omega(A, \sigma)]}.$$

We will need to investigate the norm principle for two different maps depending on the parity of  $n$ .

**The map  $\mu_*$  for  $n$  odd.** Let  $U \subset \mathbb{G}_m \times R_{Z/k} \mathbb{G}_m$  be the algebraic subgroup defined by

$$U(k) = \{(f, z) \in k^* \times Z^* \mid f^4 = N_{Z/k}(z)\}.$$

Recall the map  $\mu_* : \Omega(A, \sigma) \rightarrow U$  defined in [9, p. 188] which sends

$$\omega \rightsquigarrow \left( \underline{\mu}(\omega), ai(a)^{-1} \underline{\mu}(\omega)^2 \right),$$

where  $\omega \in \Omega(A, \sigma)(k)$  and  $\varkappa(\omega) = a k^*$ . This induces the following exact sequence [9, p. 190]

$$1 \rightarrow \text{Spin}(A, \sigma) \rightarrow \Omega(A, \sigma) \xrightarrow{\mu_*} U \rightarrow 1.$$

Since the semisimple part of  $\Omega(A, \sigma)$  is  $\text{Spin}(A, \sigma)$ , the above exact sequence shows that it suffices to check the norm principle for the map  $\mu_*$ .

**The map  $\underline{\mu}$  for  $n$  even.** Recall the following exact sequence induced by restricting  $\underline{\mu}$  to  $\Omega(A, \sigma)$  [9, p. 187]

$$1 \rightarrow \text{Spin}(A, \sigma) \rightarrow \Omega(A, \sigma) \xrightarrow{\underline{\mu}} R_{Z/k} \mathbb{G}_m \rightarrow 1.$$

Since the semisimple part of  $\Omega(A, \sigma)$  is  $\text{Spin}(A, \sigma)$ , the above exact sequence shows that it suffices to check the norm principle for the map  $\underline{\mu}$ .

**4.2. An obstruction to being in the image of  $\mu_*$  for  $n$  odd.** Given  $(f, z) \in U(k)$ , we would like to formulate an obstruction which prevents  $(f, z)$  from being in the image  $\mu_*(\Omega(A, \sigma)(k))$ . Note that for  $z \in Z^*$ ,  $\mu_*(z) = (N_{Z/k}(z), z^4)$  and hence the algebraic subgroup  $U_0 \subseteq U$  defined by

$$U_0(k) = \{(N_{Z/k}(z), z^4) | z \in Z^*\}$$

has its  $k$ -points in the image  $\mu_*(\Omega(A, \sigma)(k))$ .

Let  $\mu_{n[Z]}$  denote the kernel of the norm map  $R_{K/k} \mu_n \xrightarrow{N} \mu_n$  where  $K/k$  is a quadratic extension. Note that  $\mu_{4[Z]}$  is the center of  $\text{Spin}(A, \sigma)$  as  $n$  is odd. Also recall that [9, Prop. 30.13, p. 418]

$$H^1(k, \mu_{4[Z]}) \cong \frac{U(k)}{U_0(k)}.$$

Thus, we can construct the map  $S : \text{PGO}^+(A, \sigma)(k) \rightarrow H^1(k, \mu_{4[Z]})$  induced by the following commutative diagram with exact rows:

$$\begin{array}{ccccccc} 1 & \longrightarrow & Z^* & \longrightarrow & \Omega(A, \sigma)(k) & \xrightarrow{\chi'} & \text{PGO}^+(A, \sigma)(k) \longrightarrow 1 \\ & & \downarrow \mu_* & & \downarrow \mu_* & & \downarrow S \\ 1 & \longrightarrow & U_0(k) & \longrightarrow & U(k) & \longrightarrow & H^1(k, \mu_{4[Z]}) \longrightarrow 1 \end{array}$$

The map  $S$  also turns out to be the connecting map from  $\text{PGO}^+(A, \sigma)(k) \rightarrow H^1(k, \mu_{4[Z]})$  [9, Prop. 13.37, p. 190] in the long exact sequence of cohomology corresponding to the exact sequence

$$1 \rightarrow \mu_{4[Z]} \rightarrow \text{Spin}(A, \sigma) \rightarrow \text{PGO}^+(A, \sigma) \rightarrow 1.$$

Since the maps  $\mu_* : Z^* \rightarrow U_0(k)$  and  $\chi' : \Omega(A, \sigma)(k) \rightarrow \text{PGO}^+(A, \sigma)(k)$  are surjective, an element  $(f, z) \in U(k)$  is in the image  $\mu_*(\Omega(A, \sigma)(k))$  if and only if its image  $[f, z] \in H^1(k, \mu_{4[Z]})$  is in the image  $S(\text{PGO}^+(A, \sigma)(k))$ .

Therefore we look for an obstruction preventing  $[f, z]$  from being in the image  $S(\text{PGO}^+(A, \sigma)(k))$ . Recall the following commutative diagram with exact rows and columns:

$$\begin{array}{ccccccc}
 & & & & & & 1 \\
 & & & & & & \downarrow \\
 & & & & & & \mu_2 \\
 & & & & & & \downarrow \\
 1 & \longrightarrow & \mu_2 & \longrightarrow & \text{Spin}(A, \sigma) & \xrightarrow{\chi} & \text{O}^+(A, \sigma) & \longrightarrow & 1 \\
 & & \downarrow & & \downarrow id & & \downarrow \pi & & \\
 1 & \longrightarrow & \mu_{4[Z]} & \longrightarrow & \text{Spin}(A, \sigma) & \xrightarrow{\chi'} & \text{PGO}^+(A, \sigma) & \longrightarrow & 1 \\
 & & & & & & \downarrow & & \\
 & & & & & & 1 & & 
 \end{array}$$

The long exact sequence of cohomology induces the following commutative diagram (Figure 1) with exact columns [9, Prop. 13.36, p. 189], where

$$\begin{array}{ccc}
 \text{O}^+(A, \sigma)(k) & \xrightarrow{S_n} & \frac{k^*}{k^{*2}} \\
 \downarrow \pi & & \downarrow i \\
 \text{PGO}^+(A, \sigma)(k) & \xrightarrow{S} & \text{H}^1(k, \mu_{4[Z]}) \\
 \downarrow \mu & & \downarrow j \\
 \frac{k^*}{k^{*2}} & = & \frac{k^*}{k^{*2}}
 \end{array}$$

Figure 1. Spinor norms and S for  $n$  odd

$\mu : \text{PGO}^+(A, \sigma)(k) \rightarrow \frac{k^*}{k^{*2}}$  is induced by the multiplier map  $\mu : \text{GO}^+(A, \sigma) \rightarrow \mathbb{G}_m$

$i : \frac{k^*}{k^{*2}} \rightarrow \text{H}^1(k, \mu_{4[Z]}) = \frac{U(k)}{U_0(k)}$  is the map sending  $f k^{*2} \rightsquigarrow [f, f^2]$

$j : \frac{U(k)}{U_0(k)} = \text{H}^1(k, \mu_{4[Z]}) \rightarrow \frac{k^*}{k^{*2}}$  is the map sending  $[f, z] \rightsquigarrow \text{N}(z_0)k^{*2}$ ,

where  $z_0 \in Z^*$  is such that  $z_0 i(z_0)^{-1} = f^{-2}z$ .

**Definition 4.1.** We call an element  $(f, z) \in U(k)$  to be *special* if there exists a  $[g] \in \text{PGO}^+(A, \sigma)(k)$  such that  $j([f, z]) = \mu([g])$ .

Let  $(f, z) \in U(k)$  be a special element and let  $[g] \in \text{PGO}^+(A, \sigma)(k)$  be such that  $j([f, z]) = \mu([g])$ . From the discussion above, it is clear that  $(f, z)$  is in the image  $\mu_*(\Omega(A, \sigma)(k))$  if and only if  $[f, z]$  is in the image  $S(\text{PGO}^+(A, \sigma)(k))$ .

Thus  $S([g])[f, z]^{-1}$  is in kernel  $j = \text{Image } i$  and hence there exists some  $\alpha \in k^*$  such that

$$[f, z] = S([g])[\alpha, \alpha^2] \in \frac{U(k)}{U_0(k)}.$$

Note that if  $g$  is changed by an element in  $O^+(A, \sigma)(k)$ , then  $\alpha$  changes by a spinor norm by Figure 1 above. Thus given a special element, we have produced a scalar  $\alpha \in k^*$  which is well defined upto spinor norms.

$$\begin{aligned} [f, z] \in S(\text{PGO}^+(A, \sigma)(k)) &\iff [\alpha, \alpha^2] \in S(\text{PGO}^+(A, \sigma)(k)) \\ &\iff (\alpha, \alpha^2) \in \mu_*(\Omega(A, \sigma)(k)). \end{aligned}$$

This happens if and only if there exists  $w \in \Omega(A, \sigma)(k)$  such that

$$\begin{aligned} \alpha &= \underline{\mu}(w) \\ \alpha^2 &= \kappa(w)i(\kappa(w))^{-1}\underline{\mu}(w)^2 \end{aligned}$$

This implies  $\kappa(w) \in k^*$  and hence  $w \in \Gamma(A, \sigma)(k)$ . Thus  $\alpha$  is a spinor norm, being the similarity of an element in the special Clifford group. Also note if  $\alpha$  is a spinor norm, then  $\alpha = \underline{\mu}(\gamma)$  for some  $\gamma \in \Gamma(A, \sigma)(k)$  and  $\mu_*(\gamma) = (\underline{\mu}(\gamma), \underline{\mu}(\gamma)^2)$ .

Thus a special element  $(f, z)$  is in the image of  $\mu_*$  if and only if the produced scalar  $\alpha$  is a spinor norm. We call the class of  $\alpha$  in  $\frac{k^*}{\text{Sn}(A, \sigma)}$  to be the scalar obstruction preventing the special element  $(f, z) \in U(k)$  from being in the image  $\mu_*(\Omega(A, \sigma)(k))$ .

**4.3. An obstruction to being in the image of  $\underline{\mu}$  for  $n$  even.** Given  $z \in Z^*$ , we would like to formulate an obstruction which prevents  $z$  from being in the image  $\underline{\mu}(\Omega(A, \sigma)(k))$ . Note that for  $z \in Z^*$ ,  $\underline{\mu}(z) = z^2$  and hence the subgroup  $Z^{*2}$  is in the image  $\underline{\mu}(\Omega(A, \sigma)(k))$ .

Like in the case of odd  $n$ , we can construct the map  $S : \text{PGO}^+(A, \sigma)(k) \rightarrow \frac{Z^*}{Z^{*2}}$  induced by the following commutative diagram with exact rows [9, Def. 13.32, p. 187]:

$$\begin{array}{ccccccc} 1 & \longrightarrow & Z^* & \longrightarrow & \Omega(A, \sigma)(k) & \xrightarrow{\chi'} & \text{PGO}^+(A, \sigma)(k) & \longrightarrow & 1 \\ & & \downarrow \underline{\mu} & & \downarrow \underline{\mu} & & \downarrow S & & \\ 1 & \longrightarrow & Z^{*2} & \longrightarrow & Z^* & \longrightarrow & \frac{Z^*}{Z^{*2}} & \longrightarrow & 1 \end{array}$$

Again by the surjectivity of the maps,  $\underline{\mu} : Z^* \rightarrow Z^{*2}$  and  $\chi' : \Omega(A, \sigma)(k) \rightarrow \text{PGO}^+(A, \sigma)(k)$ , an element  $z \in Z^*$  is in the image  $\underline{\mu}(\Omega(A, \sigma)(k))$  if and only

if its image  $[z] \in \frac{Z^*}{Z^{*2}}$  is in the image  $S(\text{PGO}^+(A, \sigma)(k))$ . Therefore we look for an obstruction preventing  $[z]$  from being in the image  $S(\text{PGO}^+(A, \sigma)(k))$ . And as before, we arrive at the the following commutative diagram (Figure 2) with exact rows and columns [9, Prop. 13.33, p. 188], where

$$\begin{array}{ccc}
 \text{O}^+(A, \sigma)(k) & \xrightarrow{Sn} & \frac{k^*}{k^{*2}} \\
 \downarrow \pi & & \downarrow i \\
 \text{PGO}^+(A, \sigma)(k) & \xrightarrow{S} & \frac{Z^*}{Z^{*2}} \\
 \downarrow \mu & & \downarrow j \\
 \frac{k^*}{k^{*2}} & = & \frac{k^*}{k^{*2}}
 \end{array}$$

Figure 2. Spinor norms and S for  $n$  even

$\mu : \text{PGO}^+(A, \sigma)(k) \rightarrow \frac{k^*}{k^{*2}}$  is induced by the multiplier map  $\mu : \text{GO}^+(A, \sigma) \rightarrow \mathbb{G}_m$

$i : \frac{k^*}{k^{*2}} \rightarrow \frac{Z^*}{Z^{*2}}$  is the inclusion map

$j : \frac{Z^*}{Z^{*2}} \rightarrow \frac{k^*}{k^{*2}}$  is induced by the norm map from  $Z^* \rightarrow k^*$ .

**Definition 4.2.** We call an element  $z \in Z^*$  to be *special* if there exists a  $[g] \in \text{PGO}^+(A, \sigma)(k)$  such that  $j([z]) = \mu([g])$ .

Let  $z \in Z^*$  be a special element and let  $[g] \in \text{PGO}^+(A, \sigma)(k)$  be such that  $j([z]) = \mu([g])$ . As before a *special* element  $z \in Z^*$  is in the image  $\underline{\mu}(\Omega(A, \sigma)(k))$  if and only if  $[z]$  is in the image  $S(\text{PGO}^+(A, \sigma)(k))$ .

Thus  $S([g])[z]^{-1}$  is in kernel  $j = \text{Image } i$  and hence there exists some  $\alpha \in k^*$  such that

$$[z] = S([g])[\alpha] \in \frac{Z^*}{Z^{*2}}.$$

Note that if  $g$  is changed by an element in  $\text{O}^+(A, \sigma)(k)$ , then  $\alpha$  changes by a spinor norm by Figure 2 above. Thus given a special element, we have produced a scalar  $\alpha \in k^*$  which is well defined up to spinor norms.

$$\begin{aligned}
 [z] \in S(\text{PGO}^+(A, \sigma)(k)) & \iff [\alpha] \in S(\text{PGO}^+(A, \sigma)(k)) \\
 & \iff (\alpha) \in \underline{\mu}(\Omega(A, \sigma)(k)).
 \end{aligned}$$

Since  $\alpha \in k^*$  also, this is equivalent to  $\alpha$  being a spinor norm [9, Prop. 13.25, p. 184].

We call the class of  $\alpha$  in  $\frac{k^*}{\text{Sn}(A, \sigma)}$  to be the scalar obstruction preventing the *special* element  $z \in Z^*$  from being in the image  $\underline{\mu}(\Omega(A, \sigma)(k))$ .

**4.4. Scharlau’s norm principle for  $\mu : \text{GO}^+(A, \sigma) \rightarrow \mathbb{G}_m$ .** Let  $\mu : \text{GO}^+(A, \sigma) \rightarrow \mathbb{G}_m$  denote the multiplier map and let  $L/k$  be a separable field extension of finite degree. Let  $g_1 \in \text{GO}^+(A, \sigma)(L)$  be such that  $\mu(g_1) = f_1 \in L^*$ . Let  $f$  denote  $N_{L/k}(f_1)$ . We would like to show that  $f$  is in the image  $\mu(\text{GO}^+(A, \sigma)(k))$ .

Note that by a generalization of Scharlau’s norm principle ([9, Prop. 12.21]; [3, Lemma 4.3]) there exists a  $\tilde{g} \in \text{GO}(A, \sigma)(k)$  such that  $f = \mu(\tilde{g})$ . However we would like to find a *proper* similitude  $g \in \text{GO}^+(A, \sigma)(k)$  such that  $\mu(g) = f$ .

We investigate the cases when the algebra  $A$  is non-split and split separately.

**Case I:  $A$  is non-split.** Note that  $g_1 \in \text{GO}^+(A, \sigma)(L)$ . If  $\tilde{g} \in \text{GO}^+(A, \sigma)(k)$ , we are done. Hence assume  $\tilde{g} \notin \text{GO}^+(A, \sigma)(k)$ . By a generalization of Dieudonné’s theorem [9, Thm. 13.38, p. 190], we see that the quaternion algebras

$$\begin{aligned} B_1 &= (Z, f_1) = 0 \in \text{Br}(L), \\ B_2 &= (Z, f) = A \in \text{Br}(k). \end{aligned}$$

Since  $A$  is non-split,  $B_2 \neq 0 \in \text{Br}(k)$ . However co-restriction of  $B_1$  from  $L$  to  $k$  gives a contradiction, because

$$0 = \text{Cor } B_1 = (Z, N_{L/k}(f_1)) = B_2 \in \text{Br}(k).$$

Hence  $\tilde{g} \in \text{GO}^+(A, \sigma)(k)$ .

**Case II:  $A$  is split.** Since  $A$  is split,  $A = \text{End } V$  where  $(V, q)$  is a quadratic space and  $\sigma$  is the adjoint involution for the quadratic form  $q$ . Again, if  $\tilde{g} \in \text{GO}^+(A, \sigma)(k)$ , we are done. Hence assume  $\tilde{g} \notin \text{GO}^+(A, \sigma)(k)$ . That is

$$\det(\tilde{g}) = -f^{2n/2} = -(f^n).$$

Since  $A$  is of even degree  $(2n)$  and split, there exists an isometry<sup>1</sup>  $h$  of determinant  $-1$ . Set  $g = \tilde{g}h$ . Then  $\det(g) = f^n$  where  $\mu(g) = f$ . Thus we have found a suitable  $g \in \text{GO}^+(A, \sigma)(k)$  which concludes the proof of the following:

**Theorem 4.3.** *The norm principle holds for the map  $\mu : \text{GO}^+(A, \sigma) \rightarrow \mathbb{G}_m$ .*

**4.5. Spinor obstruction to norm principle for non-trialitarian  $D_n$ .** Let  $L/k$  be a separable field extension of finite degree. And let  $w_1 \in \Omega(A, \sigma)(L)$  be such that for

$$\begin{aligned} n \text{ odd} : \mu_*(w_1) &= \theta \text{ which is equal to } (f_1, z_1) \in U(L), \\ n \text{ even} : \underline{\mu}(w_1) &= \theta \text{ which is equal to } z_1 \in (R_{Z/k}\mathbb{G}_m)(L). \end{aligned}$$

---

<sup>1</sup>Since  $V$  is of even dimension  $2n$ ,  $h$  can be chosen to be a hyperplane reflection for instance

We would like to investigate whether  $N_{L/k}(\theta)$  is in the image of  $\mu_* (\Omega (A, \sigma) (k))$  (resp.  $\underline{\mu} (\Omega (A, \sigma) (k))$ ) when  $n$  is odd (resp. even) in order to check if the norm principle holds for the map  $\mu_* : \Omega (A, \sigma) \rightarrow U$  (resp.  $\underline{\mu} : \Omega (A, \sigma) \rightarrow R_{Z/k} \mathbb{G}_m$ ).

Let  $[g_1] \in \text{PGO}^+ (A, \sigma) (L)$  be the image of  $w_1$  under the canonical map  $\chi' : \Omega (A, \sigma) (L) \rightarrow \text{PGO}^+ (A, \sigma) (L)$ . Clearly  $\theta$  is *special* and let  $g_1 \in \text{GO}^+ (A, \sigma) (L)$  be such that  $\mu([g_1]) = j([\theta])$ .

By Theorem 4.3, there exists a  $g \in \text{GO}^+ (A, \sigma) (k)$  such that<sup>2</sup>

$$\mu([g]) = N_{L/k} (j[\theta]) = j ([N_{L/k} \theta]) .$$

Hence  $N_{L/k}(\theta)$  is *special*.

By Subsection 4.2 (resp. 4.3) ,  $N_{L/k}(\theta)$  is in the image of  $\mu_*$  (resp  $\underline{\mu}$ ) if and only if the scalar obstruction  $\alpha \in \frac{k^*}{\text{Sn}(A, \sigma)}$  defined for  $N_{L/k}(\theta)$  vanishes. Thus we have a spinor norm obstruction given below.

**Theorem 4.4** (Spinor norm obstruction). *Let  $L/k$  be a finite separable extension of fields. Let  $f$  denote the map  $\mu_*$  (resp  $\underline{\mu}$ ) in the case when  $n$  is odd (resp. even). Given  $\theta \in f (\Omega (A, \sigma) (L))$ , there exists scalar obstruction  $\alpha \in k^*$  such that*

$$N_{L/k}(\theta) \in f (\Omega (A, \sigma) (k)) \iff \alpha = 1 \in \frac{k^*}{\text{Sn}(A, \sigma)} .$$

Thus the norm principle for the canonical map

$$\Omega (A, \sigma) \rightarrow \frac{\Omega (A, \sigma)}{[\Omega (A, \sigma), \Omega (A, \sigma)]}$$

and hence for non-trialitarian  $D_n$  holds if and only if the scalar obstructions are spinor norms.

### 5. Quasi-split groups

Let  $G$  be a connected reductive  $k$ -group whose Dynkin diagram does not contain connected components of type  $E_8$  and let  $G'$  denote its derived subgroup. Let  $G^{sc}$  denote the simply connected cover of  $G'$ . Then one has the exact sequence  $1 \rightarrow C \rightarrow G^{sc} \rightarrow G' \rightarrow 1$ , where  $C$  is a finite  $k$ -group of multiplicative type, central in  $G^{sc}$ . Assuming that  $G^{sc}$  is quasi-split, we would like to show that  $G$  satisfies  $SQ$  by following the reduction techniques used in Sections 2 and 3.

**Lemma 5.1.** *Let  $G$  be a connected reductive  $k$ -group. If  $G^{sc}$  is quasi-split, then there exists an extension  $1 \rightarrow Q \rightarrow H \xrightarrow{\psi} G \rightarrow 1$ , where  $Q$  is a quasi-trivial  $k$ -torus, central in reductive  $k$ -group  $H$  with  $H'$  simply connected and quasi-split.*

<sup>2</sup>The map  $j$  commutes with  $N_{L/k}$  in both cases.

*Proof.* Recall that there is a central extension (called a  $z$ -extension) of  $G$  by a quasi-trivial torus  $Q$  such that  $H'$  is semisimple and simply connected ([11, Prop. 3.1] and [4, Lemma 1.1.4]).

$$1 \rightarrow Q \rightarrow H \xrightarrow{\psi} G \rightarrow 1.$$

The restriction  $\psi|_{H'} : H' \rightarrow G$  yields the fact that  $H'$  is the simply connected cover of  $G'$  and hence is quasi-split.  $\square$

Lemmata 2.2 and 5.1 imply that we can restrict ourselves to connected reductive  $k$ -groups  $G$  such that  $G'$  is simply connected and quasi-split.

**Lemma 5.2.** *Let  $H$  be any reductive  $k$ -group such that its derived subgroup  $H'$  is semisimple simply connected and quasi-split. Let  $T$  denote the  $k$ -torus  $H/H'$ .*

*Then the natural exact sequence  $1 \rightarrow H' \rightarrow H \xrightarrow{\phi} T \rightarrow 1$  induces surjective maps  $\phi(L) : H(L) \rightarrow T(L)$  for all field extensions  $L/k$ . In particular, the norm principle holds for  $\phi : H \rightarrow T$ .*

*Proof.* There exists a quasi-trivial maximal torus  $Q_1$  of  $H'$  defined over  $k$  [8, Lem. 6.7]. Let  $Q_1 \subset Q_2$ , where  $Q_2$  is a maximal torus of  $H$  defined over  $k$ . The proof of [8, Lem. 6.6] shows that  $\phi|_{Q_2} : Q_2 \rightarrow T$  is surjective and that  $Q_2 \cap H'$  is a maximal torus of  $H'$ . Since  $Q_2 \cap H' \subseteq Q_1$ , we get the following extension of  $k$ -tori

$$1 \rightarrow Q_1 \rightarrow Q_2 \rightarrow T \rightarrow 1$$

Since  $Q_1$  is quasitrivial,  $H^1(L, Q_1) = 0$  for any field extension  $L/k$  which gives the surjectivity of  $\phi(L) : Q_2(L) \rightarrow T(L)$  and hence of  $\phi(L) : H(L) \rightarrow T(L)$ .  $\square$

Let  $\hat{G}$  be an envelope of  $G'$  defined using an embedding of  $\mu = Z(G')$  into a quasi-trivial torus  $S$ . Note that  $G'$  is assumed to be simply connected and quasi-split and is also the derived subgroup of  $\hat{G}$  by construction.

$$\begin{array}{ccc} \mu & \xrightarrow{\delta} & G' \\ \downarrow \rho & & \downarrow \\ S & \xrightarrow{\gamma} & \hat{G} \end{array}$$

Thus, we get an exact sequence  $1 \rightarrow G' \rightarrow \hat{G} \rightarrow \hat{G}/G' \rightarrow 1$  to which we can apply Lemma 5.2 to conclude that the norm principle holds for the canonical map  $\hat{G} \rightarrow \frac{\hat{G}}{[\hat{G}, \hat{G}]}$ .

Constructing the intermediate group  $\tilde{G}$  as in Section 3.1, we see that the norm principle also holds for the natural map  $\tilde{G} \rightarrow \tilde{G}/G$  [1, Prop. 5.1]. Then using Theorem 3.1 [3], Lemma 3.2, and a remark from Gopal Prasad that  $G^{sc}$  is quasi-split if and only if  $G$  is quasi-split, we can conclude that Theorem 1.3 (restated below) holds.

**Theorem 1.3.** *Let  $k$  be a field of characteristic not 2. Let  $G$  be a connected quasi-split reductive  $k$ -group whose Dynkin diagram does not contain connected components of type  $E_8$ . Then Serre's question has a positive answer for  $G$ .*

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