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Orbit closures and rank schemes

Christine Riedtmann and Grzegorz Zwara

Dedicated to Andrzej Skowroński on the occasion of his 60th birthday

Abstract. Let A be a finitely generated associative algebra over an algebraically closed field k , and consider the variety $\text{mod}_A^d(k)$ of A -module structures on k^d . In case A is of finite representation type, equations defining the closure $\overline{\mathcal{O}}_M$ are known for $M \in \text{mod}_A^d(k)$; they are given by rank conditions on suitable matrices associated with M . We study the schemes \mathcal{C}_M defined by such rank conditions for modules over arbitrary A , comparing them with similar schemes defined for representations of quivers and obtaining results on singularities. One of our main theorems is a description of the ideal of $\overline{\mathcal{O}}_M$ for a representation M of a quiver of type \mathbb{A}_n , a result Lakshmibai and Magyar established for the equioriented quiver of type \mathbb{A}_n in [12].

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1. Introduction

Throughout the paper, k denotes an algebraically closed field of arbitrary characteristic. By abuse of notation, a k -scheme \mathcal{X} and its functor of points, i.e. the functor from the category of commutative k -algebras to the category of sets sending \mathcal{X} to the set of morphisms $\text{Spec}(R) \rightarrow \mathcal{X}$, will be denoted by the same symbol. Any scheme \mathcal{X} considered in the paper will be of finite type over k . In fact, $\mathcal{X}(k)$ can be viewed as the set of closed points of the scheme \mathcal{X} .

Let $d \in \mathbb{N}$. We denote by \mathbb{M}_d the k -scheme of $d \times d$ -matrices and by GL_d the group k -scheme of invertible $d \times d$ -matrices. Let A be a finitely generated associative k -algebra with a unit. The module scheme mod_A^d can be easily described in terms of its functor of points

$$\text{mod}_A^d(R) = \text{Hom}_{k\text{-alg.}}(A, \mathbb{M}_d(R)).$$

The name is justified by the fact that $\text{mod}_A^d(k)$ can be identified with the set of A -module structures on the vector space k^d . The scheme mod_A^d is affine and of finite type over k , so its coordinate ring $k[\text{mod}_A^d]$ is a finitely generated (commutative) k -algebra. The group scheme GL_d acts on mod_A^d via

$$(g \star M)(a) = g \cdot M(a) \cdot g^{-1}.$$

Given $M \in \text{mod}_A^d(k)$, we denote its $\text{GL}_d(k)$ -orbit by \mathcal{O}_M . If we view the points of $\text{mod}_A^d(k)$ as d -dimensional A -modules, then \mathcal{O}_M consists of all modules in $\text{mod}_A^d(k)$ isomorphic to M . By abuse of notation, we treat \mathcal{O}_M and its closure $\bar{\mathcal{O}}_M$ as reduced subschemes of mod_A^d .

It is an open problem to describe the ideal of $\bar{\mathcal{O}}_M$ or even to exhibit polynomials having $\bar{\mathcal{O}}_M$ as their zero set. We now present some polynomials vanishing on $\bar{\mathcal{O}}_M$. Given $N \in \text{mod}_A^d$ and a $p \times q$ -matrix $\underline{a} = (a_{i,j})$ with coefficients in A we define the $pd \times qd$ -matrix

[illegible]

and then any point $N \in \bar{\mathcal{O}}_M$ satisfies the condition

$$\operatorname{rk} N(a) < \operatorname{rk} M(a),$$

which means that all minors of size $1 + \operatorname{rk} M(\underline{a})$ of the matrix $N(\underline{a})$ vanish. These minors can be interpreted as elements of the coordinate algebra $k[\operatorname{mod}_A^d]$ (see Section 3 for details). Let \mathcal{I}_M be the ideal in $k[\operatorname{mod}_A^d]$ generated by such minors, where \underline{a} varies over the set of all matrices with coefficients in A . Then $\mathcal{C}_M = \operatorname{Spec}(k[\operatorname{mod}_A^d]/\mathcal{I}_M)$ is a closed GL_d -subscheme of mod_A^d containing $\bar{\mathcal{O}}_M$.

When A is a finite dimensional algebra, these rank conditions are directly related to the so-called Hom-order considered extensively before, for instance in [4], [5], [13], [15]. In fact, if $M, N \in \text{mod}_A^d(k)$, $M \leq_{\text{hom}} N$ if and only if $N \in \mathcal{C}_M(k)$. It is known that $(\mathcal{C}_M)_{\text{red}} = \bar{\mathcal{O}}_M$ in special cases, e.g. if A is a representation-finite algebra [15] or a tame concealed algebra [4]. However, $(\mathcal{C}_M)_{\text{red}}$ strictly contains $\bar{\mathcal{O}}_M$ in general; the first example is due to Carlson [13]. Moreover, \mathcal{C}_M need not be reduced even if $(\mathcal{C}_M)_{\text{red}} = \bar{\mathcal{O}}_M$. This occurs already for the algebra $A = k[x]/(x^2)$ of dual numbers and dimension $d = 2$ (see Example 3.7 for details).

Our goal in this article is to study the scheme \mathcal{C}_M in its own right. We now roughly describe the content of every section.

In Section 2 we define rank ideals and present tools used later. The definition of the scheme \mathcal{C}_M is given in Section 3, along with a reduction of the set of matrices \underline{a} to be considered. In fact, a $p \times q$ -matrix \underline{a} with coefficients in A yields a morphism $v_a: A^p \rightarrow A^q$, and two matrices a and a' yield the same rank conditions if v_a and

$v_{a'}$ have isomorphic cokernels. In Section 4, we define analogous rank schemes for quiver representations and use them in Section 5 to extend Bongartz' results on a geometric version of the Morita equivalence to rank schemes.

In [12], Lakshmibai and Magyar proved a result which turns out to be equivalent to the following (see Section 4): If M is a representation of an equioriented Dynkin quiver of type \mathbb{A} , then $\mathcal{C}_M = (\mathcal{C}_M)_{\text{red}} = \bar{\mathcal{O}}_M$. In [17], the second author introduced so-called hom-controlled exact functors. This tool allowed him to show that some types of singularities in orbit closures of modules over two different algebras coincide. In Section 6 we study hom-controlled exact functors for rank schemes, and we obtain one of our main results, a generalization of the result above to representations of Dynkin quivers of type \mathbb{A} , not necessarily equioriented (see Theorem 6.4). Thus the ideal defining $\bar{\mathcal{O}}_M$ is now known for Dynkin quivers of type \mathbb{A} ; it is an open question whether this result can be generalized to representations of arbitrary Dynkin quivers.

The main advantage of the scheme \mathcal{C}_M over $\bar{\mathcal{O}}_M$ is that its tangent space at some $N \in \bar{\mathcal{O}}_M$ has a module theoretic interpretation; we will explain this in Section 7 and use it in Section 8 to study the regularity of \mathcal{C}_M at N . Under the assumption that the algebra is representation-finite, we will characterize the singular locus of \mathcal{C}_M . The motivation is that the knowledge of the singular locus for \mathcal{C}_M helps to describe the singular locus for the orbit closure $\bar{\mathcal{O}}_M$. We will show in a forthcoming paper that in fact both loci coincide if M is a nilpotent representation of an oriented cycle.

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2. Rank ideals

Throughout the section R denotes a commutative ring. Let $\mathbb{M}_{p \times q}$ be the affine scheme of $p \times q$ -matrices, and fix $U \in \mathbb{M}_{p \times q}(R)$ and $t \in \{1, \dots, \min(p, q)\}$. Following [7], 1.B, we denote by $I_t(U)$ the ideal in R generated by the minors of U of size t . It will be convenient to define $I_0(U) = R$ and $I_t(U) = 0$ for $t > \min(p, q)$. Thus we have

$$R = I_0(U) \supseteq I_1(U) \supseteq I_2(U) \supseteq \dots.$$

We first collect a few properties of $I_t(U)$.

Lemma 2.1. *Let $U \in \mathbb{M}_{p \times q}(R)$ and $V \in \mathbb{M}_{q \times r}(R)$. Then*

$$I_t(UV) \subseteq I_t(U) \cap I_t(V).$$

Proof. Recall that, given a matrix $W \in \mathbb{M}_{p' \times q'}(R)$ and the corresponding R -homomorphism $U: R^{q'} \rightarrow R^{p'}$, the entries of the matrix of the R -homomorphism

leads to a regular morphism $\Theta_{\underline{a}}: \text{mod}_A^d \rightarrow \mathbb{M}_{pd \times qd}$. For an A -module $M \in \text{mod}_A^d(k)$, we set

$$\mathcal{C}_{M,\underline{a}} = \Theta_{\underline{a}}^{-1}(\mathcal{V}_{pd \times qd}^{\text{rk } M(\underline{a})}).$$

Note that $\mathcal{C}_{M,\underline{a}} = \text{Spec}(k[\text{mod}_A^d]/\mathcal{I}_{M,\underline{a}})$, where $\mathcal{I}_{M,\underline{a}} = I_{1+\text{rk } M(\underline{a})}(X_A^d(\underline{a})) \subseteq k[\text{mod}_A^d]$.

Lemma 3.1. *The subscheme $\mathcal{C}_{M,\underline{a}} \subseteq \text{mod}_A^d$ is stable under GL_d .*

Proof. Fix a commutative k -algebra R . We need to show that $N \in \mathcal{C}_{M,\underline{a}}(R)$ and $g \in \text{GL}_d(R)$ implies $g * N \in \mathcal{C}_{M,\underline{a}}(R)$, or equivalently that all $r \times r$ -minors of $(g * N)(\underline{a})$ vanish, where $r = 1 + \text{rk } M(\underline{a})$. But $I_r(g * N(\underline{a})) = I_r(N(\underline{a}))$ by Lemma 2.2 as

$$(g * N)(\underline{a}) = \begin{pmatrix} g & 0 & \cdots & 0 \\ 0 & g & \cdots & 0 \\ \cdots & \cdots & \cdots & \cdots \\ 0 & 0 & \cdots & g \end{pmatrix} \cdot N(\underline{a}) \cdot \begin{pmatrix} g^{-1} & 0 & \cdots & 0 \\ 0 & g^{-1} & \cdots & 0 \\ \cdots & \cdots & \cdots & \cdots \\ 0 & 0 & \cdots & g^{-1} \end{pmatrix}. \quad \square$$

We define the rank scheme associated to M as

$$\mathcal{C}_M = \bigcap \mathcal{C}_{M,\underline{a}},$$

where \underline{a} ranges over all $p \times q$ -matrices with coefficients in A for all p and q . Thus \mathcal{C}_M is the closed GL_d -subscheme of mod_A^d defined by $\mathcal{I}_M = \sum \mathcal{I}_{M,\underline{a}}$. Note that isomorphic modules define the same rank scheme.

Sending $a \in A$ to the A -homomorphism $v_a: A \rightarrow A$, $v_a(b) = b \cdot a$ defines a bijection from A to $\text{Hom}_A(A, A)$. Given a $p \times q$ -matrix $\underline{a} = (a_{i,j})$ with coefficients in A , we define an A -homomorphism

$$v_{\underline{a}}: A^p \xrightarrow{(v_{a_{j,i}})} A^q.$$

This gives a bijection between the set of $p \times q$ -matrices with coefficients in A and the space $\text{Hom}_A(A^p, A^q)$. Moreover, for a $q \times s$ -matrix \underline{b} with coefficients in A we get

$$v_{\underline{a} \cdot \underline{b}} = v_{\underline{b}} \circ v_{\underline{a}}.$$

Lemma 3.2. *Let \underline{a}' and \underline{a}'' be two matrices with coefficients in A . If the cokernels $\text{Coker}(v_{\underline{a}'})$ and $\text{Coker}(v_{\underline{a}''})$ are A -isomorphic then $\mathcal{I}_{M,\underline{a}'} = \mathcal{I}_{M,\underline{a}''}$.*

As a consequence, we obtain a well defined scheme $\mathcal{C}_{M,L}$ for any finitely presented A -module L by choosing a presentation

$$A^p \xrightarrow{v_{\underline{a}}} A^q \rightarrow L \rightarrow 0$$

and setting $\mathcal{C}_{M,L} = \mathcal{C}_{M,\underline{a}}$. Note that $\mathcal{C}_M = \bigcap \mathcal{C}_{M,L}$, where L ranges over representatives of all isomorphism classes of finitely presented A -modules.

Proof of the lemma. Let \underline{a}' and \underline{a}'' be two matrices with coefficients in A of sizes $p_1 \times q_1$ and $p_2 \times q_2$, respectively. Setting $f_1 = v_{\underline{a}'}$ and $f_2 = v_{\underline{a}''}$ we obtain two A -homomorphisms

$$A^{p_1} \xrightarrow{f_1} A^{q_1} \quad \text{and} \quad A^{p_2} \xrightarrow{f_2} A^{q_2}.$$

We assume that there is an A -isomorphism $\xi: \text{Coker}(f_1) \rightarrow \text{Coker}(f_2)$. We claim that there are matrices \underline{b} and \underline{c} with coefficients in A such that

$$\begin{pmatrix} \underline{a}' & 0 \\ 0 & 1_{dq_2} \end{pmatrix} = \underline{b} \cdot \begin{pmatrix} 1_{dq_1} & 0 \\ 0 & \underline{a}'' \end{pmatrix} \cdot \underline{c}.$$

Using the property that free A -modules are projective we obtain the following commutative diagram with exact rows

$$\begin{array}{ccccccc} A^{p_1} & \xrightarrow{f_1} & A^{q_1} & \xrightarrow{g_1} & \text{Coker}(f_1) & \longrightarrow & 0 \\ \downarrow h & & \downarrow h' & & \simeq \downarrow \xi & & \\ A^{p_2} & \xrightarrow{f_2} & A^{q_2} & \xrightarrow{g_2} & \text{Coker}(f_2) & \longrightarrow & 0 \\ \downarrow l & & \downarrow l' & & \simeq \downarrow \xi^{-1} & & \\ A^{p_1} & \xrightarrow{f_1} & A^{q_1} & \xrightarrow{g_1} & \text{Coker}(f_1) & \longrightarrow & 0. \end{array}$$

In particular,

$$h'f_1 = f_2h, \quad l'f_2 = f_1l, \tag{3.1}$$

and $g_1l'h' = g_1$. The latter implies that $\text{Im}(1 - l'h')$ is contained in $\text{Im}(f_1)$, and consequently $1 - l'h'$ factors through f_1 . From this, and by symmetry, we get two A -homomorphisms $\varphi_i: A^{q_i} \rightarrow A^{p_i}$, $i = 1, 2$, such that

$$l'h' + f_1\varphi_1 = 1_{A^{q_1}} \quad \text{and} \quad h'l' + f_2\varphi_2 = 1_{A^{q_2}}. \tag{3.2}$$

We conclude from (3.1) and (3.2) that

$$\begin{pmatrix} f_1 & 0 \\ 0 & 1_{A^{q_2}} \end{pmatrix} = \begin{pmatrix} f_1\varphi_1 & -l' \\ h' & 1_{A^{q_2}} \end{pmatrix} \cdot \begin{pmatrix} 1_{A^{q_1}} & 0 \\ 0 & f_2 \end{pmatrix} \cdot \begin{pmatrix} f_1 & l' \\ -h & \varphi_2 \end{pmatrix}.$$

We get the claim by choosing matrices \underline{b} and \underline{c} such that

$$v_{\underline{b}} = \begin{pmatrix} f_1 & l' \\ -h & \varphi_2 \end{pmatrix} \quad \text{and} \quad v_{\underline{c}} = \begin{pmatrix} f_1\varphi_1 & -l' \\ h' & 1_{A^{q_2}} \end{pmatrix}.$$

Let $X = X_A^d$ be a universal module for mod_A^d . The claim implies that

$$\begin{pmatrix} X(\underline{a}') & 0 \\ 0 & 1_{dq_2} \end{pmatrix} = X(\underline{b}) \cdot \begin{pmatrix} 1_{dq_1} & 0 \\ 0 & X(\underline{a}'') \end{pmatrix} \cdot X(\underline{c}).$$

By Lemmas 2.1 and 2.3, we know that

$$I_{t-dq_2}(X(\underline{a}')) = I_t \begin{pmatrix} X(\underline{a}') & 0 \\ 0 & 1_{dq_2} \end{pmatrix} \subseteq I_t \begin{pmatrix} 1_{dq_1} & 0 \\ 0 & X(\underline{a}'') \end{pmatrix} = I_{t-dq_1}(X(\underline{a}'')).$$

for any $t \geq q_1d, q_2d$. Applying the functor $\text{Hom}_A(-, M)$ we obtain the exact sequences

$$\begin{aligned} 0 \rightarrow \text{Hom}_A(\text{Coker}(f_1), M) &\rightarrow \text{Hom}_A(A^{q_1}, M) \xrightarrow{\text{Hom}_A(v_{\underline{a}'}, M)} \text{Hom}_A(A^{p_1}, M), \\ 0 \rightarrow \text{Hom}_A(\text{Coker}(f_2), M) &\rightarrow \text{Hom}_A(A^{q_2}, M) \xrightarrow{\text{Hom}_A(v_{\underline{a}''}, M)} \text{Hom}_A(A^{p_2}, M). \end{aligned}$$

Let $w = \dim_k \text{Hom}_A(\text{Coker}(f_1), M) = \dim_k \text{Hom}_A(\text{Coker}(f_2), M)$. Identifying the space $\text{Hom}_A(A^s, M)$ with k^{ds} , $s \in \mathbb{N}$, we get

$$\text{Hom}_A(v_{\underline{a}'}, M) = M(\underline{a}') \quad \text{and} \quad \text{Hom}_A(v_{\underline{a}''}, M) = M(\underline{a}'').$$

Consequently,

$$\text{rk}(M(\underline{a}')) = dq_1 - w, \quad \text{rk}(M(\underline{a}'')) = dq_2 - w$$

and

$$\mathcal{I}_{M, \underline{a}'} = I_{1+dq_1-w}(X(\underline{a}')) \subseteq I_{1+dq_2-w}(X(\underline{a}'')) = \mathcal{I}_{M, \underline{a}''}.$$

In a similar way we prove the reverse inclusion, which finishes the proof. \square

Lemma 3.3. *For finitely presented A -modules L_1 and L_2 , we have that $\mathcal{I}_{M, L_1 \oplus L_2} \subseteq \mathcal{I}_{M, L_1} + \mathcal{I}_{M, L_2}$.*

Proof. We fix matrices \underline{a}' and \underline{a}'' with coefficients in A such that the cokernels of $v_{\underline{a}'}$ and $v_{\underline{a}''}$ are isomorphic to L_1 and L_2 , respectively. Let $r_1 = \text{rk}(M(\underline{a}'))$ and $r_2 = \text{rk}(M(\underline{a}''))$, and set $X = X_A^d$. Using the fact that

$$R = I_0(U) \supseteq I_1(U) \supseteq I_2(U) \supseteq \cdots$$

for any matrix U with coefficients in a commutative ring R , we get from Lemma 2.3

$$\begin{aligned}
 \mathcal{I}_{M, L_1 \oplus L_2} &= \mathcal{I}_{M, \underline{a}' \oplus \underline{a}''} = I_{1+r_1+r_2}(X(\underline{a}') \oplus X(\underline{a}'')) \\
 &= \sum_{t=0}^{1+r_1+r_2} I_t(X(\underline{a}')) \cdot I_{1+r_1+r_2-t}(X(\underline{a}'')) \\
 &\subseteq \sum_{t=0}^{r_1} I_{1+r_1+r_2-t}(X(\underline{a}'')) + \sum_{t=1+r_1}^{1+r_1+r_2} I_t(X(\underline{a}')) \\
 &= I_{1+r_2}(X(\underline{a}'')) + I_{1+r_1}(X(\underline{a}')) = \mathcal{I}_{M, \underline{a}''} + \mathcal{I}_{M, \underline{a}'} \\
 &= \mathcal{I}_{M, L_1} + \mathcal{I}_{M, L_2}. \quad \square
 \end{aligned}$$

Let L be a finitely presented A -module. Then the space $\text{Hom}_A(L, M)$ is finite dimensional, and we choose a basis f_1, \dots, f_s . We denote by L_M the kernel of the map

$$L \xrightarrow{(f_1, \dots, f_s)^t} M^s.$$

Note that L_M does not depend on the choice of the basis f_1, \dots, f_s .

Lemma 3.4. *Using the above notation, we have $\mathcal{I}_{M, L} \subseteq \mathcal{I}_{M, L/L_M}$.*

Proof. As there is an injective A -homomorphism from L/L_M to M^s , the module L/L_M is finite dimensional and thus finitely presented, as A is finitely generated. We may choose presentations of L and of L/L_M for which there is a commutative diagram

$$\begin{array}{ccccccc}
 A^p & \xrightarrow{v_{\underline{a}}} & A^q & \longrightarrow & L & \longrightarrow & 0 \\
 v_{\underline{b}} \downarrow & & \parallel & & \downarrow & & \\
 A^t & \xrightarrow{v_{\underline{c}}} & A^q & \longrightarrow & L/L_M & \longrightarrow & 0
 \end{array}$$

with exact rows.

From $v_{\underline{a}} = v_{\underline{c}} \circ v_{\underline{b}}$ we see that $\underline{a} = \underline{b} \circ \underline{c}$. Note that the injection from L/L_M to M^s induces an isomorphism from $\text{Hom}_A(L/L_M, M)$ to $\text{Hom}_A(L, M)$ and thus $\text{rk}(M(\underline{a})) = \text{rk}(M(\underline{c}))$. By Lemma 2.1 we conclude that

$$\begin{aligned}
 \mathcal{I}_{M, L} &= \mathcal{I}_{M, \underline{a}} = I_{1+\text{rk } M(\underline{a})}(X_A^d(\underline{a})) \\
 &= I_{1+\text{rk } M(\underline{a})}(X_A^d(\underline{b}) \circ X_A^d(\underline{c})) \\
 &\subseteq I_{1+\text{rk } M(\underline{c})}(X_A^d(\underline{c})) = \mathcal{I}_{M, \underline{c}} \\
 &= \mathcal{I}_{M, L/L_M}. \quad \square
 \end{aligned}$$

Next we study the behavior of rank schemes under an algebra homomorphism $\varphi: A \rightarrow B$. For a $p \times q$ -matrix $\underline{a} = (a_{i,j})$ with coefficients in A , we denote

the corresponding $p \times q$ -matrix with coefficients in B by $\varphi(\underline{a}) = (\varphi(a_{i,j}))$. Any B -module can be considered as an A -module via φ ; we will write ${}_A M$ for the A -module corresponding to the B -module ${}_B M$. In addition, φ induces a regular GL_d -morphism $\varphi^d: \mathrm{mod}_B^d \rightarrow \mathrm{mod}_A^d$ defined by $[\varphi^d(N)](a) = N(\varphi(a))$, which is a closed immersion if φ is surjective. If $d = \dim_k M$, then $\varphi^d(\mathcal{O}_{_B M}) = \mathcal{O}_{_A M}$, and consequently $\bar{\mathcal{O}}_{_B M} \subseteq (\varphi^d)^{-1}(\bar{\mathcal{O}}_{_A M})$. A similar result holds for rank schemes.

Lemma 3.5. *Let $\varphi: A \rightarrow B$ be an algebra homomorphism and let M belong to $\mathrm{mod}_B^d(k)$. Then*

$$\mathcal{C}_{_B M} \subseteq (\varphi^d)^{-1}(\mathcal{C}_{_A M}).$$

If φ is surjective, the above inclusion is an equality.

Proof. Note that $\Theta_{\varphi(\underline{a})} = \Theta_{\underline{a}} \circ \varphi^d$ and ${}_B M(\varphi(\underline{a})) = {}_A M(\underline{a})$ for a B -module ${}_B M$, and thus $\mathcal{C}_{_B M, \varphi(\underline{a})} = (\varphi^d)^{-1}(\mathcal{C}_{_A M, \underline{a}})$. \square

The algebra $B = A / \mathrm{Ann} M$ is finite dimensional, being a subalgebra of $\mathrm{End}_k(M)$. By the above lemma, we can work over the finite dimensional algebra $B = A / \mathrm{Ann} M$ instead of A and consider M as a B -module. For a finite dimensional algebra, any finitely presented module is isomorphic to a direct sum of indecomposables, and we obtain the following consequence.

Corollary 3.6. *Let A be finite dimensional, and let \mathcal{L} be a complete set of pairwise non-isomorphic indecomposable A -modules which can be embedded into finite powers of M . Then*

$$\mathcal{I}_M = \sum_{L \in \mathcal{L}} \mathcal{I}_{M, L}.$$

We construct \mathcal{C}_M on a simple but instructive example.

Example 3.7. Let $A = k[\varepsilon] \simeq k[x]/(x^2)$ be the algebra of dual numbers and $M: A \rightarrow \mathbb{M}_2(k)$ be the unique algebra homomorphism satisfying $M(\varepsilon) = \begin{bmatrix} 0 & 0 \\ 1 & 0 \end{bmatrix}$, so that the corresponding module is isomorphic to ${}_A A$. Choosing ε as a generator of A , we identify the coordinate algebra $k[\mathrm{mod}_A^2]$ with

$$k[x_{1,1}, x_{1,2}, x_{2,1}, x_{2,2}] / (\text{entries of } \begin{pmatrix} x_{1,1} & x_{1,2} \\ x_{2,1} & x_{2,2} \end{pmatrix}^2).$$

The set \mathcal{L} considered in the previous corollary consists of two modules: M and its one-dimensional simple submodule denoted by S . In fact, any indecomposable A -module is isomorphic to either M or S , so the algebra A is representation finite and therefore $(\mathcal{C}_M)_{\mathrm{red}} = \bar{\mathcal{O}}_M$, as mentioned in the introduction. Since M is free as an A -module, we have a free presentation $0 = A^0 \rightarrow A^1 \rightarrow M \rightarrow 0$ giving us no condition, i.e. $\mathcal{I}_{M, M} = 0$. Thus choosing a free presentation $A^1 \xrightarrow{\nu(\varepsilon)} A^1 \rightarrow S \rightarrow 0$

and denoting by $\bar{x}_{i,j}$ the residue class of $x_{i,j}$ in the coordinate algebra $k[\text{mod}_A^2]$, we get

$$\mathcal{I}_M = \mathcal{I}_{M,S} = I_{1+\text{rk } M(\varepsilon)} \begin{pmatrix} \bar{x}_{1,1} & \bar{x}_{1,2} \\ \bar{x}_{2,1} & \bar{x}_{2,2} \end{pmatrix} = I_2 \begin{pmatrix} \bar{x}_{1,1} & \bar{x}_{1,2} \\ \bar{x}_{2,1} & \bar{x}_{2,2} \end{pmatrix} = \left(\det \begin{pmatrix} \bar{x}_{1,1} & \bar{x}_{1,2} \\ \bar{x}_{2,1} & \bar{x}_{2,2} \end{pmatrix} \right).$$

Obviously the trace of $\begin{pmatrix} \bar{x}_{1,1} & \bar{x}_{1,2} \\ \bar{x}_{2,1} & \bar{x}_{2,2} \end{pmatrix}$ does not belong to \mathcal{I}_M (but its third power does), so the ideal \mathcal{I}_M is not radical and \mathcal{C}_M is not reduced.

4. Rank schemes for representations of quivers

We first recall the classical definition of the representation space of a quiver with relations for a given dimension vector, acted upon by a product of general linear groups, and then view this space as the k -points of an affine scheme with the action of a group scheme.

Let $Q = (Q_0, Q_1, s, t)$ be a finite quiver, i.e. a finite set Q_0 of vertices and a finite set Q_1 of arrows $\alpha: s\alpha \rightarrow t\alpha$, where $s\alpha$ and $t\alpha$ denote the starting and the terminating vertex of α , respectively. A representation of Q over k is a collection $(X(i); i \in Q_0)$ of finite dimensional k -vector spaces together with a collection $(X(\alpha): X(s\alpha) \rightarrow X(t\alpha); \alpha \in Q_1)$ of k -linear maps. A morphism $f: X \rightarrow Y$ between two representations is a collection $(f(i): X(i) \rightarrow Y(i))$ of k -linear maps such that

$$f(t\alpha) \circ X(\alpha) = Y(\alpha) \circ f(s\alpha) \quad \text{for all } \alpha \in Q_1.$$

The dimension vector of a representation X of Q is the vector

$$\mathbf{dim} X = (\dim X(i)) \in \mathbb{N}^{Q_0}.$$

We denote the category of representations of Q by $\text{rep}(Q)$, and for any vector $\mathbf{d} = (d_i) \in \mathbb{N}^{Q_0}$,

$$\text{rep}_Q^{\mathbf{d}}(k) = \prod_{\alpha \in Q_1} \mathbb{M}_{d_{t\alpha} \times d_{s\alpha}}(k)$$

is the affine space of representations X of Q with $X(i) = k^{d_i}, i \in Q_0$. The group

$$\text{GL}_{\mathbf{d}}(k) = \prod_{i \in Q_0} \text{GL}_{d_i}(k)$$

acts on $\text{rep}_Q^{\mathbf{d}}(k)$ by

$$((g_i) \star X)(\alpha) = g_{t\alpha} \circ X(\alpha) \circ g_{s\alpha}^{-1}.$$

Note that the $\text{GL}_{\mathbf{d}}(k)$ -orbit of X , denoted by \mathcal{O}_X , consists of the representations Y in $\text{rep}_Q^{\mathbf{d}}(k)$ which are isomorphic to X .

$$1_{kQ} = \sum_{i \in Q_0} \varepsilon_i$$

The affine scheme rep_O^d is defined as

$$\text{rep}_Q^d = \prod_{\alpha \in O_1} \mathbb{M}_{d_{t\alpha} \times d_{s\alpha}}$$

$$k[\mathrm{rep}_O^d] = k[x_{kl}^\alpha]$$
$$\mathrm{GL}_d = \prod_{i \in Q_0} \mathrm{GL}_{d_i}$$

Now we are ready to define the rank subscheme \mathcal{C}_M of rep_Q^d associated with a representation $M \in \text{rep}_Q^d(k)$: Let $p, q \in \mathbb{N}$, consider two sequences (u_1, \dots, u_p) and (v_1, \dots, v_q) of vertices in Q_0 and a $p \times q$ -matrix $\underline{\omega} = (\omega_{i,j})$ such that each $\omega_{i,j}$ belongs to $\varepsilon_{u_i} \cdot kQ \cdot \varepsilon_{v_j}$. The assignment

[illegible]

$$\Theta_{\underline{\omega}}: \operatorname{rep}_O^d \rightarrow \mathbb{M}_{p' \times q'},$$

where $p' = \sum d_{u_i}$ and $q' = \sum d_{v_j}$. We keep track in $\omega_{i,j}$ of the vertices v_j and u_i even if $\omega_{i,j} = 0$. For $M \in \text{rep}_Q^d(k)$, $\Theta_{\underline{\omega}}(M)$ is a $p' \times q'$ -matrix with coefficients in k . We set

$$\mathcal{C}_{M,\underline{\omega}} = \Theta_{\underline{\omega}}^{-1}(\mathcal{V}_{p' \times q'}^{\text{rk } \Theta_{\underline{\omega}}(M)}), \quad \mathcal{C}_M = \bigcap \mathcal{C}_{M,\underline{\omega}},$$

where $\underline{\omega}$ ranges over all possible matrices of paths with all possible sets of starting and terminating vertices. Note that $\mathcal{C}_{M,\underline{\omega}} = \text{Spec}(k[\text{rep}_Q^d]/\mathcal{I}_{M,\underline{\omega}})$, where $\mathcal{I}_{M,\underline{\omega}} \subseteq k[\text{rep}_Q^d]$ is the ideal generated by all minors of size $1 + \text{rk } \Theta_{\underline{\omega}}(M)$ of the matrix $\Theta_{\underline{\omega}}(X_Q^d)$, and that $\mathcal{C}_M = \text{Spec}(k[\text{rep}_Q^d]/\mathcal{I}_M)$, where $\mathcal{I}_M = \sum \mathcal{I}_{M,\underline{\omega}}$. We leave the necessary adjustments for quivers with relations to the reader.

All results presented before in the context of module scheme have a corresponding version in terms of representations of bound quivers (Q, J) . The main difference is that instead of finitely generated free presentations of modules we consider projective presentations of representations using the projectives $(kQ/J) \cdot \varepsilon_i$, $i \in Q_0$. In particular, if Q is an equioriented Dynkin quiver of type \mathbb{A}_n ,

$$Q: 1 \xrightarrow{\alpha_1} 2 \xrightarrow{\alpha_2} \dots \xrightarrow{\alpha_{n-1}} n,$$

$J = 0$, $\omega_{j,i} = \alpha_{j-1}\alpha_{j-2}\dots\alpha_i$ and M is a representation in $\text{rep}_Q^d(k)$, then

$$\mathcal{I}_M = \sum_{1 \leq i < j \leq n} \mathcal{I}_{M,(\omega_{j,i})} = \sum_{1 \leq i < j \leq n} \mathcal{I}_{1+\text{rk}(M(\omega_{j,i}))(X_Q^d(\omega_{j,i}))}.$$

Thus \mathcal{I}_M is exactly the ideal generated by determinantal conditions as considered by Lakshmibai and Magyar in [12]. Therefore we can reformulate their main result as follows:

Theorem 4.1. *Let M be a representation in $\text{rep}_Q^d(k)$, where Q is an equioriented Dynkin quiver of type \mathbb{A} . Then the ideal \mathcal{I}_M is radical and $\mathcal{C}_M = \bar{\mathcal{O}}_M$.*

5. A geometric version of Morita equivalence for rank schemes

The purpose of this section is to relate rank schemes for quiver representations to rank schemes for modules over algebras.

Let A be a finite dimensional algebra, and let S_1, \dots, S_s be representatives for the isomorphism classes of simple A -modules. The Grothendieck group $K_0(A)$ can be identified with \mathbb{Z}^s , and the dimension vector $\mathbf{dim} N \in \mathbb{Z}^s$ of a finite dimensional A -module N is the vector

$$\mathbf{dim} N = (d_1, \dots, d_s) \in K_0(A),$$

where d_l is the multiplicity of S_l in any composition series for N . If $e^l \in A$ is a primitive idempotent such that Ae^l is a projective cover for S_l , we have

$$d_l = \dim_k \operatorname{Hom}_A(Ae^l, N) = \operatorname{rk} N(e^l).$$

By [9] or Lemma 1 of [3], there is a connected component $\operatorname{mod}_A^{\mathbf{d}}$ of the scheme $\operatorname{mod}_A^{\mathbf{d}}$, characterized by the fact that

$$\operatorname{mod}_A^{\mathbf{d}}(k) = \{N \in \operatorname{mod}_A^{\mathbf{d}}(k) : \mathbf{dim} N = \mathbf{d}\}$$

for any vector $\mathbf{d} = (d_1, \dots, d_s) \in \mathbb{N}^s$.

Lemma 5.1. *For $M \in \operatorname{mod}_A^{\mathbf{d}}(k)$ we have $\mathcal{C}_M \subseteq \operatorname{mod}_A^{\mathbf{d}}$.*

Proof. As $\operatorname{mod}_A^{\mathbf{d}}$ is a connected component in $\operatorname{mod}_A^{\mathbf{d}}$, it suffices to show that $\mathcal{C}_M(k) \subseteq \operatorname{mod}_A^{\mathbf{d}}(k)$. Let $N \in \mathcal{C}_M(k)$, and set $\mathbf{dim} N = \mathbf{d}' = (d'_1, \dots, d'_s)$. Considering the ideal $I_{M, (e^l)}$, where (e^l) is the 1×1 -matrix having the idempotent defined above as its entry, we get that

$$d'_l = \operatorname{rk}(N(e^l)) \leq d_l = \operatorname{rk} M(e^l),$$

for $l = 1, \dots, s$. But

$$d = \dim_k M = \sum_{l=1}^s d_l \dim_k S_l = \dim_k N = \sum_{l=1}^s d'_l \dim_k S_l,$$

and thus $\mathbf{d}' = \mathbf{d}$. □

Let B be a maximal semisimple subalgebra of A . We know that

$$B \simeq \prod_{l=1}^s \mathbb{M}_{n_l}(k),$$

where we set $n_l = \dim_k S_l$. Denote by $e_{i,j}^l$, $l = 1, \dots, s$, $i, j = 1, \dots, n_l$ the canonical basis of B , and set $e = \sum_{l=1}^s e_{1,1}^l$. Then eAe is a basic algebra Morita equivalent to A . There is a quiver Q with the set of vertices $\{1, \dots, s\}$ together with an admissible ideal J in kQ and an algebra isomorphism $\Phi: eAe \rightarrow kQ/J$ such that $\Phi(e_{1,1}^l) = \varepsilon_l + J$.

The inclusion $\varphi: B \rightarrow A$ of k -algebras induces a regular morphism $\varphi^{\mathbf{d}}: \operatorname{mod}_A^{\mathbf{d}} \rightarrow \operatorname{mod}_B^{\mathbf{d}}$, which restricts to a regular $\operatorname{GL}_{\mathbf{d}}$ -equivariant morphism $p: \operatorname{mod}_A^{\mathbf{d}} \rightarrow \operatorname{mod}_B^{\mathbf{d}}$. Bongartz showed in [3] that the fiber of some special element $E \in \operatorname{mod}_B^{\mathbf{d}}$ is isomorphic to $\operatorname{rep}_{Q,J}^{\mathbf{d}}$. In fact, he proved that p is a fiber bundle with fiber $p^{-1}E$. We now recall

his construction and describe explicitly a closed immersion $\eta: \text{rep}_{Q,J}^d \rightarrow \text{mod}_A^d$ which is an isomorphism onto $p^{-1}E$. First we need some more notation.

Recall that $d = \sum_{l=1}^s n_l d_l$. According to the decomposition

$$1_A = \sum_{l \leq s} \sum_{i \leq n_l} e_{i,i}^l$$

into a sum of primitive orthogonal idempotents, we subdivide a $d \times d$ -matrix W first into s^2 “large” blocks, the block $W^{l',l''}$ being of size $n_{l'} d_{l'} \times n_{l''} d_{l''}$, $l', l'' \leq s$, and then we subdivide each block $W^{l',l''}$ into $n_{l'} n_{l''}$ blocks, the block $W_{i,j}^{l',l''}$ being of size $d_{l'} \times d_{l''}$, $i \leq n_{l'}$, $j \leq n_{l''}$. In order to handle these blocks we introduce the obvious injective scheme morphisms

$$t_{i,j}^{l',l''}: \mathbb{M}_{d_{l'} \times d_{l''}} \rightarrow \mathbb{M}_d, \quad l', l'' \leq s, \quad i \leq n_{l'}, \quad j \leq n_{l''}.$$

We define a subfunctor E of mod_B^d by $E(R)(e_{i,j}^l) = t_{i,j}^{l,l}(1_{n_l})$ for a commutative k -algebra R , where 1_n denotes the identity matrix in $\mathbb{M}_n(R)$. So E is a closed point of the scheme mod_B^d . Using the decomposition of an element $a \in A$,

$$a = \left(\sum_{l' \leq s} \sum_{i \leq n_{l'}} e_{i,i}^{l'} \right) \cdot a \cdot \left(\sum_{l'' \leq s} \sum_{j \leq n_{l''}} e_{j,j}^{l''} \right) = \sum_{l', l'' \leq s} \sum_{i \leq n_{l'}} \sum_{j \leq n_{l''}} e_{i,1}^{l'} \cdot (e_{1,i}^{l'} \cdot a \cdot e_{j,1}^{l''}) \cdot e_{1,j}^{l''},$$

and the fact that $e_{1,i}^{l'} \cdot a \cdot e_{j,1}^{l''}$ belongs to eAe , we define the scheme morphism

$$\eta: \text{rep}_{Q,J}^d \rightarrow \text{mod}_A^d, \quad (\eta N)(a) = \sum_{l', l'' \leq s} \sum_{i \leq n_{l'}} \sum_{j \leq n_{l''}} t_{i,j}^{l',l''} (N(\Phi(e_{1,i}^{l'} \cdot a \cdot e_{j,1}^{l''}))).$$

Then η is an isomorphism onto $p^{-1}(E)$. Note that if we view elements of $\text{rep}_{Q,J}^d(k)$ and $\text{mod}_A^d(k)$ as representations and modules, respectively, then the map

$$\eta(k): \text{rep}_{Q,J}^d(k) \rightarrow \text{mod}_A^d(k)$$

is in accordance with an equivalence between the category of representations of (Q, J) and the category of A -modules.

Proposition 5.2. *With the above notations we have*

$$\eta^{-1}(\mathcal{C}_{\eta M}) = \mathcal{C}_M \subseteq \text{rep}_{Q,J}^d$$

for any $M \in \text{rep}_{Q,J}^d(k)$.

Proof. The result is a consequence of the following two facts.

- (1) For any $p \times q$ -matrix \underline{a} with coefficients in A there are $p' + q'$ vertices $u_1, \dots, u_{p'}, v_1, \dots, v_{q'}$ of Q and elements $\omega_{i',j'} \in \varepsilon_{u_{i'}} \cdot kQ/J \cdot \varepsilon_{v_{j'}}$ yielding $\underline{\omega}(\underline{a}) = (\omega_{i',j'})$ such that

$$\mathcal{C}_{M,\underline{\omega}(\underline{a})} = \eta^{-1} \mathcal{C}_{\eta M, \underline{a}}.$$

- (2) For any p', q' , any vertices $u_1, \dots, u_{p'}, v_1, \dots, v_{q'}$ of Q and any elements $\omega_{i',j'} \in \varepsilon_{u_{i'}} \cdot kQ/J \cdot \varepsilon_{v_{j'}}$ with $\underline{\omega} = (\omega_{i',j'})$, there is a $p' \times q'$ -matrix $\underline{a}(\underline{\omega})$ with coefficients in A such that

$$\mathcal{C}_{M,\underline{\omega}} = \eta^{-1} \mathcal{C}_{\eta M, \underline{a}(\underline{\omega})}.$$

In order to prove (1) we first construct $\underline{\omega}(a)$ for $a \in A$ such that $N(\underline{\omega}(a)) = (\eta N)(a)$ for $N \in \text{rep}_{Q,J}^d$: We set $p' = q' = n = \sum_{l=1}^s n_l$, choose $u_{(l,i)} = v_{(l,i)} = l \in Q_0$ for $l = 1, \dots, s, i = 1, \dots, n_s$, and set $\underline{\omega} = (\omega_{(l',i),(l'',j)})$ with

$$\omega_{(l',i),(l'',j)}(a) = \Phi(e_{1,i}^{l'} \cdot a \cdot e_{j,1}^{l''}) \in \varepsilon_{l'} \cdot kQ/J \cdot \varepsilon_{l''}.$$

By the definition of η , we have

$$(\eta N)(a) = \sum_{l', l'' \leq s} \sum_{i \leq n_{l'}} \sum_{j \leq n_{l''}} t_{i,j}^{l', l''} (N(\Phi(e_{1,i}^{l'} \cdot a \cdot e_{j,1}^{l''}))) = N(\underline{\omega}(a)).$$

as desired. For a $p \times q$ -matrix $\underline{a} = (a_{i',j'})$ with coefficients in A , we set $\underline{\omega}(\underline{a}) = (\underline{\omega}(a_{i',j'}))$. As above, we conclude that

$$(\eta N)(\underline{a}) = N(\underline{\omega}(\underline{a})).$$

In particular, $r = 1 + \text{rk}(\eta M)(\underline{a}) = 1 + \text{rk} M(\underline{\omega}(\underline{a}))$. As a consequence we obtain that, for any commutative k -algebra R , $I_r(N(\underline{\omega}(\underline{a}))) = 0$ if and only if $I_r((\eta N)(\underline{a})) = 0$, for any $N \in \text{rep}_{Q,J}^d(R)$, which is equivalent to $\mathcal{C}_{M,\underline{\omega}(\underline{a})}(R) = (\eta^{-1} \mathcal{C}_{\eta M, \underline{a}})(R)$.

For the proof of (2), we set $\underline{a}(\underline{\omega}) = (\Phi^{-1} \omega_{i',j'})$ for $\underline{\omega} = (\omega_{i',j'})$. For any commutative k -algebra R and any $N \in \text{rep}_{Q,J}^d(R)$, the only possibly non-zero entries of the $d \times d$ -matrix $(\eta N)(\Phi^{-1} \omega_{i',j'}) = t_{1,1}^{u_{i'}, v_{j'}} (N(\omega_{u_{i'}, v_{j'}}))$ sit in the small block in the upper left corner of the big block corresponding to $l' = u_{i'}, l'' = v_{j'}$. Therefore the rows and the columns of the $p'd \times q'd$ -matrix $(\eta N)(\underline{a}(\underline{\omega}))$ can be permuted in such a way that the upper left corner becomes $N(\underline{\omega})$ and all other entries are zero. In other words, there are invertible matrices, in fact permutation matrices, U and V such that

$$U \cdot (\eta N)(\underline{a}(\underline{\omega})) \cdot V = \begin{pmatrix} N(\underline{\omega}) & 0 \\ 0 & 0 \end{pmatrix}.$$

Then clearly $r = 1 + \text{rk}(\eta M)(\underline{a}(\underline{\omega})) = 1 + \text{rk} M(\underline{\omega})$ and by Lemma 2.2 we have

$$I_r((\eta N)(\underline{a}(\underline{\omega}))) = I_r \begin{pmatrix} N(\underline{\omega}) & 0 \\ 0 & 0 \end{pmatrix} = I_r(N(\underline{\omega})).$$

Therefore $I_r(N(\underline{\omega})) = 0$ if and only if $I_r((\eta N)(\underline{a}(\underline{\omega}))) = 0$, and we conclude that $\mathcal{C}_{M,\underline{\omega}}(R) = (\eta^{-1}\mathcal{C}_{\eta M,\underline{a}(\underline{\omega})})(R)$. \square

Following Hesselink (see (1.7) in [11]) we call two pointed schemes (\mathcal{X}, x_0) and (\mathcal{Y}, y_0) smoothly equivalent if there are smooth morphisms $f: \mathcal{Z} \rightarrow \mathcal{X}$, $g: \mathcal{Z} \rightarrow \mathcal{Y}$ sending a point $z_0 \in \mathcal{Z}$ to x_0 and y_0 , respectively. This is an equivalence relation and an equivalence class will be denoted by $\text{Sing}(\mathcal{X}, x_0)$ and called the type of singularity of \mathcal{X} at x_0 . Assuming $\text{Sing}(\mathcal{X}, x_0) = \text{Sing}(\mathcal{Y}, y_0)$, the scheme \mathcal{X} is regular (or reduced, normal, Cohen–Macaulay, respectively) at x_0 if and only if the same is true for the scheme \mathcal{Y} at y_0 (see [10], Section 17, for more information about smooth morphisms).

Theorem 5.3. *Let $\eta: \text{rep}_{Q,J}^d \rightarrow \text{mod}_A^d$ be the morphism defined above. Suppose M and M' in $\text{rep}_{Q,J}^d(k)$ are such that M' belongs to $\mathcal{C}_M(k)$. Then $\eta M'$ belongs to $\mathcal{C}_{\eta M}(k)$ and*

$$\text{Sing}(\mathcal{C}_{\eta M}, \eta M') = \text{Sing}(\mathcal{C}_M, M').$$

Proof. The orbit map $\psi: \text{GL}_d \rightarrow \text{mod}_B^d$ defined by $\psi(g) = g * E$ is smooth and induces an isomorphism of schemes $\text{GL}_d / \text{GL}_d \rightarrow \text{mod}_B^d$, where $\text{GL}_d = \prod_{l=1}^s \text{GL}_{d_l}$ is embedded into GL_d via

$$(g_1, \dots, g_s) \mapsto \sum_{l=1}^s \sum_{i=1}^{n_l} t_{i,i}^{l,l}(g_l).$$

It is not hard to see (compare e.g. [6]) that the diagram

$$\begin{array}{ccc} \text{GL}_d \times \text{rep}_{Q,J}^d & \xrightarrow{\lambda} & \text{mod}_A^d \\ \downarrow \pi & & \downarrow p \\ \text{GL}_d & \xrightarrow{\psi} & \text{mod}_B^d \xrightarrow{\simeq} \text{GL}_d / \text{GL}_d \end{array}$$

is a pullback, where π is the projection to the first factor and $\lambda(g, N) = g * \eta N$. Note that λ is smooth as smoothness is preserved under base change. As $\lambda(k)$ is surjective and thus contains $\eta M'$ in its image, it is enough to show that $\lambda^{-1}\mathcal{C}_{\eta M} = \text{GL}_d \times \mathcal{C}_M$.

A pair $(g, N) \in \text{GL}_d(R) \times \mathcal{C}_{\eta M}(R)$ belongs to $(\lambda^{-1}\mathcal{C}_{\eta M})(R)$, for a commutative k -algebra R , if and only if $g * \eta N \in \mathcal{C}_{\eta M}(R)$. As by Lemma 2.2 $\mathcal{C}_{\eta M}(R)$ is stable under $\text{GL}_d(R)$, this is equivalent to $\eta N \in \mathcal{C}_{\eta M}(R)$, which is in turn equivalent to $(g, N) \in \text{GL}_d(R) \times \mathcal{C}_M(R)$ by Proposition 5.2. \square

6. Hom-controlled exact functors

Let $\varphi: A \rightarrow B$ be a homomorphism of finite dimensional algebras and $\varphi^*: \text{mod } B \rightarrow \text{mod } A$ the induced change of scalars functor. For a B -module M we will use the notation $M = {}_B M$ and $\varphi^*(M) = {}_A M$. Thus $\varphi^d(\mathcal{O}_{BM}) = \mathcal{O}_{AM}$ for any module M in $\text{mod}_B^d(k)$.

Following [17], we call an exact functor $\mathcal{F}: \text{mod } B \rightarrow \text{mod } A$ hom-controlled, if there is a bilinear form $\xi: K_0(B) \times K_0(B) \rightarrow \mathbb{Z}$ such that

$$[\mathcal{F}U, \mathcal{F}V]_A - [U, V]_B = \xi(\dim U, \dim V)$$

for any $U, V \in \text{mod } B$. Here and later on, we abbreviate $\dim_k \text{Hom}_B(U, V)$ by $[U, V]_B$, for any $U, V \in \text{mod } B$ and similarly for A -modules.

Assume now that the functor φ^* is hom-controlled. It follows from Theorem 1.1 of [17] that the restriction of φ^d

$$\bar{\mathcal{O}}_{BM} \rightarrow \bar{\mathcal{O}}_{AM}$$

is a smooth morphism. The aim of this section is to show this is still true if we replace the orbit closures by the rank schemes \mathcal{C}_{BM} and \mathcal{C}_{AM} .

Let L be a finite dimensional A -module and $t \in \mathbb{N}$. We choose a $p \times q$ -matrix \underline{a} such that $\text{Coker}(v_{\underline{a}})$ is A -isomorphic to L . Let $\text{mod}_{A,L,t}^d$ be the closed subscheme of mod_A^d defined by the ideal $I_{1+qd-t}(X_A^d(\underline{a}))$ in $k[\text{mod}_A^d]$. The proof of Lemma 3.2 can easily be generalized to show that this ideal is determined uniquely by t and the isomorphism class of L . By $(\text{mod}_{A,L,t}^d)^0$ we denote the open subscheme of $\text{mod}_{A,L,t}^d$ whose k -points are the modules N with $[L, N]_A = t$.

It has been proved in Section 4 of [17] that φ^d restricts to a smooth morphism from mod_B^d to $(\text{mod}_{A,AB,t}^d)^0$ for any $d \in K_0(B)$, where d is the common dimension of all modules in mod_B^d and $t = d + \xi(\dim B, d)$. We denote by

$$\psi: \text{mod}_B^d \rightarrow \text{mod}_{A,AB,t}^d$$

the composition of this morphism with the open immersion into $\text{mod}_{A,AB,t}^d$, which is still smooth.

Theorem 6.1. *Let $\varphi: A \rightarrow B$ be an algebra homomorphism such that φ^* is a hom-controlled exact functor and fix $M \in \text{mod}_B^d(k)$. Then the morphism ψ restricts to a morphism*

$$\mathcal{C}_{BM} \rightarrow \mathcal{C}_{AM},$$

which is smooth.

Proof. We know that \mathcal{C}_{BM} is a subscheme of mod_B^d . Thus the claim will be proved if we can show that \mathcal{C}_{AM} is a subscheme of $\text{mod}_{A,AB,t}^d$, where $t = d + \xi(\dim B, d)$,

and that $\psi^{-1}(\mathcal{C}_{AM}) = \mathcal{C}_{BM}$, or equivalently that

$$(\varphi^d)^{-1}\mathcal{C}_{AM} \cap \text{mod}_B^d = \mathcal{C}_{BM}.$$

The first part is easy, because

$$[_AB, {}_AM]_A = [_BB, {}_BM]_B + \xi(\dim B, \dim M) = t$$

and consequently, $I_{1+qd-t}(X_A^d(\underline{a})) = \mathcal{I}_{AM, AB}$, where \underline{a} is a $p \times q$ -matrix with coefficients in A and with ${}_AB = \text{Coker } v_{\underline{a}}$. The inclusion $\mathcal{C}_{BM} \subseteq (\varphi^d)^{-1}\mathcal{C}_{AM} \cap \text{mod}_B^d$ follows from Lemma 3.5 and Lemma 5.1. In order to prove the reverse inclusion we will show that, for any B -module ${}_BL$, we have

$$\mathcal{I}_{BM, {}_BL} \subseteq k[\text{mod}_B^d] \cdot (\varphi^d)^* \mathcal{I}_{AM, {}_AL} + \mathcal{I}(\text{mod}_B^d) \quad (6.1)$$

in $k[\text{mod}_B^d]$, where $\mathcal{I}(\text{mod}_B^d)$ is the ideal defining mod_B^d .

Let L be a finite dimensional B -module. Choosing a finite free presentation of ${}_AL$ we obtain the exact sequence of A -modules

$$A^p \xrightarrow{v_{\underline{a}}} A^q \rightarrow {}_AL \rightarrow 0$$

for some $p, q \geq 1$ and a $p \times q$ -matrix \underline{a} with coefficients in A . We apply the tensor functor $B \otimes_A (-)$ to get another exact sequence

$$B^p \xrightarrow{v_{\varphi(\underline{a})}} B^q \rightarrow B \otimes_A L \rightarrow 0.$$

Using the homomorphism φ we have a left and a right A -module structure on B , and the functor φ^* can be identified with the functor ${}_AB \otimes_B (-)$ as well as with $\text{Hom}_B({}_BB_A, -)$. Observe that

$$B \otimes_A L = B \otimes_A \varphi^*({}_BL) \simeq B \otimes_A B \otimes_B L = \Omega \otimes_B L,$$

where Ω is the B - B -bimodule $B \otimes_A B$, and that for any B -module Y we have

$$\begin{aligned} \text{Hom}_A({}_AL, {}_AY) &= \text{Hom}_A({}_AB \otimes_B L, \text{Hom}_B({}_BB_A, {}_BY)) \\ &\simeq \text{Hom}_B({}_BB \otimes_A B \otimes_B L, {}_BY) \\ &= \text{Hom}_B(\Omega \otimes_B L, {}_BY). \end{aligned}$$

As φ^* is hom-controlled, we obtain

$$[\Omega \otimes_B L, Y]_B - [L, Y]_B = \xi(\dim L, \dim Y)$$

for any B -module Y .

Let $\{P_1, \dots, P_n\}$ be a complete set of pairwise non-isomorphic indecomposable projective B -modules and $S_i = P_i / \text{rad}(P_i)$ for $i \leq n$. Note that $\{S_1, \dots, S_n\}$ is a complete set of pairwise non-isomorphic simple B -modules.

Let $s_i = \xi(\mathbf{dim} L, \mathbf{dim} S_i)$ for $i \leq n$ and $P_L = \bigoplus_i P_i^{s_i}$, and let y_i denote the i -th coordinate of $\mathbf{dim} Y$. Then

$$\begin{aligned} [P_L, Y] &= \sum_{i=1}^n s_i \cdot [P_i, Y] = \sum_{i=1}^n \xi(\mathbf{dim} L, \mathbf{dim} S_i) \cdot y_i \\ &= \xi(\mathbf{dim} L, \mathbf{dim}(\bigoplus_{i=1}^n S_i^{y_i})) = \xi(\mathbf{dim} L, \mathbf{dim} Y), \end{aligned}$$

and consequently,

$$[\Omega \otimes_B L, Y] = [L \oplus P_L, Y].$$

The latter holds for any finite dimensional B -module Y , hence $\Omega \otimes_B L \simeq L \oplus P_L$, by Auslander's theorem. This implies that the ideal generated by $(\varphi^d)^* \mathcal{I}_{AM, AL}$ equals $\mathcal{I}_{BM, B(L \oplus P_L)}$.

If $P_L = 0$, the inclusion (6.1) clearly holds. Otherwise, choose matrices \underline{b}' and \underline{b}'' with coefficients in B such that the $\text{Coker}(v_{\underline{b}'}) \simeq L$ and $\text{Coker}(v_{\underline{b}''}) \simeq P_L$. Let $r_1 = \text{rk } M(\underline{b}')$, $r_2 = \text{rk } M(\underline{b}'')$, and set $X = X_B^d$. Then

$$\mathcal{I}_{BM, B(L \oplus P_L)} = I_{1+r_1+r_2} \begin{pmatrix} X(\underline{b}') & 0 \\ 0 & X(\underline{b}'') \end{pmatrix} \supseteq I_{1+r_1}(X(\underline{b}')) \cdot I_{r_2}(X(\underline{b}')),$$

by Lemma 2.3. Obviously $I_{1+r_1}(X(\underline{b}')) = \mathcal{I}_{BM, BL}$ and therefore

$$\mathcal{I}_{BM, B(L \oplus P_L)} + \mathcal{I}(\text{mod}_B^d) \supseteq \mathcal{I}_{BM, BL} \cdot (I_{r_2}(X(\underline{b}'')) + \mathcal{I}(\text{mod}_B^d)).$$

Thus it suffices to show that

$$I_{r_2}(X(\underline{b}'')) + \mathcal{I}(\text{mod}_B^d) = k[\text{mod}_B^d].$$

Let N be a module in $\text{mod}_B^d(k)$. The condition that $I_{r_2}(X(\underline{b}''))$ vanishes on N means $[P_L, N] > [P_L, M]$ while $\mathcal{I}(\text{mod}_B^d)$ vanishes on N if and only if $\mathbf{dim} N = \mathbf{dim} M$. Since $\mathbf{dim} N = \mathbf{dim} M$ implies $[P_L, N] = [P_L, M]$, there exists no point N on which the ideal $I_{r_2}(X(\underline{b}'')) + \mathcal{I}(\text{mod}_B^d)$ vanishes. \square

Theorem 1.2 in [17] says that

$$\text{Sing}(\bar{\mathcal{O}}_{\mathcal{F}M}, \mathcal{F}M') = \text{Sing}(\bar{\mathcal{O}}_M, M')$$

for a hom-controlled exact functor \mathcal{F} , $M \in \text{mod}_A^d(k)$ and $M' \in \bar{\mathcal{O}}_M$. The proof can be adapted to rank schemes, yielding the following result. The only slight difficulty is taken care of by the lemma following the theorem. Recall that if M' is a point of $\mathcal{C}_M(k)$, the modules M and M' have the same dimension vector, and so do their images $\mathcal{F}(M)$ and $\mathcal{F}(M')$ under an exact functor.

Theorem 6.2. *Let $\mathcal{F} : \text{mod } B \rightarrow \text{mod } A$ be a hom-controlled exact functor and fix $M, M' \in \text{mod}_B^d(k)$ with $M' \in \bar{\mathcal{O}}_M$. Let e be the common dimension vector of $\mathcal{F}M$ and $\mathcal{F}M'$. Identifying $\mathcal{F}M$ and $\mathcal{F}M'$ with the corresponding elements in $\text{mod}_A^e(k)$ we obtain that $\mathcal{F}M' \in \mathcal{C}_{\mathcal{F}M}$ and*

$$\text{Sing}(\mathcal{C}_{\mathcal{F}M}, \mathcal{F}M') = \text{Sing}(\mathcal{C}_M, M').$$

Lemma 6.3. *Let $B = C \times D$ be the product of an algebra C with a semisimple algebra D , both finite dimensional, fix a B -module $M = (M_1, M_2)$, and choose $M' = (M'_1, M'_2) \in \mathcal{C}_M(k)$. Then we have*

$$\text{Sing}(\mathcal{C}_M, M') = \text{Sing}(\mathcal{C}_{M_1}, M'_1).$$

Proof. The easiest way to see this is to replace the algebras by quivers and relations using Theorem 5.3. Then we have

$$\mathcal{C}_M = \mathcal{C}_{M_1} \times \mathcal{C}_{M_2}, \quad \mathcal{C}_{M'} = \mathcal{C}_{M'_1} \times \mathcal{C}_{M'_2}.$$

As D is semisimple, its quiver consists of some vertices but no arrows, and thus $\mathcal{C}_{M_2} = \mathcal{C}_{M'_2} = \{M_2\} = \{M'_2\}$. \square

The above theorem remains true if modules are replaced by representations of quivers, by Theorem 5.3. In particular, applying the theorem to the exact functors constructed in [1] and [2] we may generalize Theorem 4.1 as follows.

Theorem 6.4. *Let M be a representation in $\text{rep}_Q^d(k)$, where Q is a Dynkin quiver of type \mathbb{A} . Then the ideal \mathcal{I}_M is radical and $\mathcal{C}_M = \bar{\mathcal{O}}_M$.*

Let us describe the ideal \mathcal{I}_M explicitly. We know from Corollary 3.6 that $\mathcal{I}_M = \sum \mathcal{I}_{M,L}$, where L ranges over all indecomposable representations of Q . Suppose the underlying graph of Q is

$$1 \text{ --- } 2 \text{ --- } \cdots \text{ --- } n.$$

Independently of the orientations of the arrows, an indecomposable L is given by an interval $L = [l, l']$ in $[1, n]$, for some $l \leq l'$: Each vertex in $[l, l']$ is represented by k , each arrow between such vertices by the matrix (1). Denote the full subquiver of Q with vertex set $[l, l']$ by $Q_{[l, l']}$. We associate with L the sequence $l \leq v_1 < \cdots < v_q \leq l'$ of all sources of $Q_{[l, l']}$ and the sequence $l - 1 \leq u_1 < \cdots < u_p \leq l' + 1$ consisting of all sinks in $Q_{[l, l']}$ distinct from l, l' in addition to

$$\begin{cases} l - 1 & \text{if } 1 < l \text{ and there is an arrow } l - 1 \leftarrow l \in Q_1, \\ l' + 1 & \text{if } l' < n \text{ and there is an arrow } l' \rightarrow l' + 1 \in Q_1. \end{cases}$$

For any u_i there is either some $v_{j'} < u_i$ and a path $\omega_{i,j'}: v_{j'} \rightarrow u_i$ in Q or some $v_{j''} > u_i$ and a path $\omega_{i,j'': v_{j''} \rightarrow u_i$ in Q or both, in which case we must have $j'' = j' + 1$. The $p \times q$ -matrix $\underline{\omega}$ corresponding to $L = [l, l']$ has all its entries 0, except for those just described.

In the special case

$$Q = 1 \xrightarrow{\alpha_1} 2 \xleftarrow{\alpha_2} 3 \xleftarrow{\alpha_3} 4 \xrightarrow{\alpha_4} 5,$$

the matrices to be considered are

$$\begin{aligned} & (\alpha_1), \quad (\alpha_2), \quad (\alpha_3), \quad (\alpha_4), \\ & \begin{pmatrix} \alpha_1 & \alpha_2 \end{pmatrix}, \quad (\alpha_2 \circ \alpha_3), \quad \begin{pmatrix} \alpha_3 \\ \alpha_4 \end{pmatrix}, \\ & \begin{pmatrix} \alpha_1 & \alpha_2 \circ \alpha_3 \end{pmatrix}, \quad \begin{pmatrix} \alpha_2 \circ \alpha_3 \\ \alpha_4 \end{pmatrix}, \quad \begin{pmatrix} \alpha_1 & \alpha_2 \circ \alpha_3 \\ 0 & \alpha_4 \end{pmatrix}. \end{aligned}$$

7. Tangent spaces

Let N belong to $\mathcal{C}_M(k)$. The main aim of this section is to describe the tangent space $\mathcal{T}_{\mathcal{C}_M, N}$ in terms of selfextensions of the module N .

The tangent space $\mathcal{T}_{\text{mod}_A^d, N}$ can be identified with the space of 1-cocycles $\mathbb{Z}_A^1(N, N)$, that is, with the set of k -linear maps $Z: A \rightarrow \mathbb{M}_d(k)$ with the property that $Z(a_1 a_2) = N(a_1)Z(a_2) + Z(a_1)N(a_2)$ for any $a_1, a_2 \in A$. Note that from a 1-cocycle Z we obtain a module structure on $k^d \oplus k^d$ given by

$$\begin{pmatrix} N & Z \\ 0 & N \end{pmatrix} (a) = \begin{pmatrix} N(a) & Z(a) \\ 0 & N(a) \end{pmatrix}$$

and that the sequence

$$\varphi(Z): 0 \rightarrow N \xrightarrow{\begin{pmatrix} 1 \\ 0 \end{pmatrix}} \begin{pmatrix} N & Z \\ 0 & N \end{pmatrix} \xrightarrow{\begin{pmatrix} 0 & 1 \end{pmatrix}} N \rightarrow 0$$

is exact.

The tangent space $\mathcal{T}_{\mathcal{O}_N, N}$ can be identified with the space of 1-coboundaries $\mathbb{B}_A^1(N, N) = \{h \cdot N - N \cdot h; h \in \mathbb{M}_d(k)\}$. By [9], Proposition 1.1, the map φ induces an isomorphism, called Voigt's isomorphism,

$$\mathcal{T}_{\text{mod}_A^d, N} / \mathcal{T}_{\mathcal{O}_N, N} \simeq \mathbb{Z}_A^1(N, N) / \mathbb{B}_A^1(N, N) = \text{Ext}_A^1(N, N).$$

Since $\mathcal{T}_{\mathcal{O}_N, N} \subseteq \mathcal{T}_{\mathcal{C}_M, N} \subseteq \mathcal{T}_{\text{mod}_A^d, N}$, the tangent space $\mathcal{T}_{\mathcal{C}_M, N}$ corresponds to a subspace of $\mathbb{Z}_A^1(N, N)$ containing $\mathbb{B}_A^1(N, N)$, which we now describe.

Let \mathcal{F} and \mathcal{F}' be complete sets of pairwise non-isomorphic indecomposable modules X and X' such that $[N, X] = [M, X]$ and $[X', N] = [X', M]$, respectively. Set

$\mathcal{E}(Y, Z)$

$$\begin{aligned} &= \{[\sigma: 0 \rightarrow Z \rightarrow W \rightarrow Y \rightarrow 0]_{\sim} \in \text{Ext}_A^1(Y, Z); \delta_{\sigma}(X) = 0 \text{ for all } X \in \mathcal{F}\} \\ &= \{[\sigma: 0 \rightarrow Z \rightarrow W \rightarrow Y \rightarrow 0]_{\sim} \in \text{Ext}_A^1(Y, Z); \delta'_{\sigma}(X') = 0 \text{ for all } X' \in \mathcal{F}'\}. \end{aligned}$$

for two A -modules Y, Z , where

$$\begin{aligned} \delta_{\sigma}(X) &= \dim_k \text{Hom}_A(Z \oplus Y, X) - \dim_k \text{Hom}_A(W, X), \\ \delta'_{\sigma}(X') &= \dim_k \text{Hom}_A(X', Y \oplus Z) - \dim_k \text{Hom}_A(X', W). \end{aligned}$$

Note that the pushout or pullback of an exact sequence in \mathcal{E} belongs to \mathcal{E} again. As a consequence, $\mathcal{E}(-, -)$ is a k -subfunctor of $\text{Ext}_A^1(-, -)$.

Proposition 7.1. *For $N \in \mathcal{C}_M(k)$, Voigt's isomorphism restricts to an isomorphism*

$$\mathcal{T}_{\mathcal{C}_M, N} / \mathcal{T}_{\mathcal{O}_N, N} \simeq \mathcal{E}(N, N).$$

The following corollary is an immediate consequence.

Corollary 7.2. *Let N be a point of $\bar{\mathcal{O}}_M$. Then $\text{codim}(M, N) \leq \dim_k \mathcal{E}(N, N)$, and equality holds if and only if N is a regular point of \mathcal{C}_M .*

By definition, $\text{codim}(M, N) = \dim \mathcal{O}_M - \dim \mathcal{O}_N$.

We will prove Proposition 7.1 in several steps. We begin by characterizing the tangent space to the scheme $\mathcal{V}_{p \times q}^r$ at some matrix $N \in \mathcal{V}_{p \times q}^r(k)$ as a subspace of the tangent space of $\mathbb{M}_{p \times q}$ at N , which we identify with $\mathbb{M}_{p \times q}(k)$.

Lemma 7.3. *Fix $r \leq p, q$, and choose a matrix $N \in \mathcal{V}_{p \times q}^r(k)$. Then*

$$\mathcal{T}_{\mathcal{V}_{p \times q}^r, N} = \begin{cases} \mathbb{M}_{p \times q}(k) & \text{if } \text{rk } N < r, \\ \{D \in \mathbb{M}_{p \times q}(k); \text{rk} \begin{pmatrix} N & D \\ 0 & N \end{pmatrix} = 2r\} & \text{if } \text{rk } N = r. \end{cases}$$

Proof. The algebraic group scheme $\text{GL}_p \times \text{GL}_q$ acts on $\mathbb{M}_{p \times q}$ via $(g, h) * N' = g \cdot N' \cdot h^{-1}$, and we know that $N = g \cdot \begin{pmatrix} 1_s & 0 \\ 0 & 0 \end{pmatrix} \cdot h^{-1}$ for some $g \in \text{GL}_p(k), h \in \text{GL}_q(k)$, where $s = \text{rk } N$. As the tangent space to $\mathcal{V}_{p \times q}^r$ at $g \cdot N' \cdot h^{-1}$ is $g \cdot \mathcal{T}_{\mathcal{V}_{p \times q}^r, N'} \cdot h^{-1}$, it suffices to prove the claim for $N = \begin{pmatrix} 1_s & 0 \\ 0 & 0 \end{pmatrix}$.

It is obviously true for $s < r$. In case $s = r$, we decompose

$$D = \begin{pmatrix} D_{11} & D_{12} \\ D_{21} & D_{22} \end{pmatrix}$$

into blocks; the size of D_{11} is $s \times s$. A straightforward computation yields that

$$\mathcal{T}_{\mathcal{V}_{p \times q}, N}^r = \left\{ D = \begin{pmatrix} D_{11} & D_{12} \\ D_{21} & D_{22} \end{pmatrix}; D_{22} = 0 \right\}.$$

But note that

$$\operatorname{rk} \begin{pmatrix} N & D \\ 0 & N \end{pmatrix} = \operatorname{rk} \begin{pmatrix} 1_r & 0 & D_{11} & D_{12} \\ 0 & 0 & D_{21} & D_{22} \\ 0 & 0 & 1_r & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix} = 2r + \operatorname{rk} D_{22}.$$

So $\operatorname{rk} \begin{pmatrix} N & D \\ 0 & N \end{pmatrix} = 2r$ if and only if $D_{22} = 0$, and the lemma is established. \square

Let \underline{a} be a $p \times q$ -matrix with coefficients in A , set $L = \operatorname{Coker} v_{\underline{a}}$, and fix $N \in \mathcal{C}_{M, \underline{a}}(k)$. Mapping the exact sequence

$$A^p \xrightarrow{v_{\underline{a}}} A^q \longrightarrow L \longrightarrow 0$$

to a module $N' \in \operatorname{mod}_A^{d'}(k)$ and identifying $\operatorname{Hom}_A(A, N')$ with N' , we see that

$$\operatorname{rk} N'(\underline{a}) + \dim_k \operatorname{Hom}_A(L, N') = qd'. \quad (7.1)$$

Corollary 7.4. *Using the notions just introduced, we have that the tangent space $\mathcal{T}_{\mathcal{C}_{M, L, N}} = \mathcal{T}_{\mathcal{C}_{M, \underline{a}, N}}$ equals*

- (1) $\mathcal{T}_{\operatorname{mod}_A^d, N}$ provided that $\dim_k \operatorname{Hom}_A(L, M) < \dim_k \operatorname{Hom}_A(L, N)$;
- (2) $\{Z \in \mathcal{T}_{\operatorname{mod}_A^d, N}; \dim_k \operatorname{Hom}_A(L, \begin{pmatrix} N & Z \\ 0 & N \end{pmatrix}) = 2 \dim_k \operatorname{Hom}_A(L, N)\}$ provided that $\dim_k \operatorname{Hom}_A(L, M) = \dim_k \operatorname{Hom}_A(L, N)$.

Proof. Remember that

$$\mathcal{C}_{M, \underline{a}} = \Theta_{\underline{a}}^{-1}(\mathcal{V}_{pd \times qd}^{\operatorname{rk} M(\underline{a})}).$$

Using (7.1), the corollary is a direct consequence of Lemma 7.3 and the fact that

$$\operatorname{rk} \begin{pmatrix} N & Z \\ 0 & N \end{pmatrix}(\underline{a}) = \operatorname{rk} \begin{pmatrix} N(\underline{a}) & Z(\underline{a}) \\ 0 & N(\underline{a}) \end{pmatrix}. \quad \square$$

Now Proposition 7.1 is easy to prove. Indeed, we have

$$\mathcal{T}_{\mathcal{C}_M, N} = \bigcap \mathcal{T}_{\mathcal{C}_{M, L, N}},$$

where the intersection is taken over representatives L of all isomorphism classes of A -modules which are finitely presented, or, by Lemma 3.4, even finite dimensional. In case $\dim_k \operatorname{Hom}_A(L, M) < \dim_k \operatorname{Hom}_A(L, N)$, this gives no restriction. The condition $\dim_k \operatorname{Hom}_A(L, M) = \dim_k \operatorname{Hom}_A(L, N)$ is equivalent to $L \in \operatorname{add} \mathcal{F}'$ by definition, and having the equality

$$\dim_k \operatorname{Hom}_A \left(L, \begin{pmatrix} N & Z \\ 0 & N \end{pmatrix} \right) = 2 \dim_k \operatorname{Hom}_A(L, N)$$

for all $L \in \operatorname{add} \mathcal{F}'$ is equivalent to $\varphi(Z) \in \mathcal{E}(N, N)$.

8. Singular loci

In this last section, we assume A to be representation finite, except for the final remark and example. All A -modules considered will be finite dimensional, and we fix $M \in \text{mod}_A^d(k)$, $N \in \bar{\mathcal{O}}_M$. We denote the Auslander–Reiten quiver of A by Γ_A . In order to study the singularity of \mathcal{C}_M at N , we need some definitions and some preliminary results on source and sink maps, also called approximations by some authors.

We define the shadow \mathcal{S} of the degeneration from M to N to be the set of all meshes in Γ_A which start in a vertex $X \notin \mathcal{F}$, or equivalently which stop in a vertex $X' \notin \mathcal{F}'$; the shadow \mathcal{S}_σ of an exact sequence σ of A -modules consists of all meshes of Γ_A with starting vertex Y with $\delta_\sigma(Y) > 0$ or equivalently with ending vertex Y' with $\delta'_\sigma(Y') > 0$. We call an exact sequence

$$\sigma: 0 \longrightarrow Z \longrightarrow W \longrightarrow Y \longrightarrow 0$$

fit for (M, N) if its class $[\sigma]$ belongs to $\mathcal{E}(Y, Z)$, or equivalently if $\mathcal{S}_\sigma \subseteq \mathcal{S}$ or $\delta_\sigma(X) = 0$ for all $X \in \mathcal{F}$.

For an A -module Z , we call a morphism $f: Z \rightarrow W$ a universal morphism from Z to $\text{add } \mathcal{F}$ if $W \in \text{add } \mathcal{F}$ and any morphism from Z to some $W' \in \text{add } \mathcal{F}$ factors through f . It is easy to see that universal morphisms from Z to $\text{add } \mathcal{F}$ exist. Such a morphism is necessarily injective as all injective indecomposables belong to \mathcal{F} . A universal morphism $f: Z \rightarrow W$ is called a source map if any endomorphism φ of W for which $\varphi \circ f$ is still universal is invertible. A source map $f_Z: Z \rightarrow W_Z$ is unique up to isomorphism, and it is characterized by the fact that the morphism $W_Z \rightarrow V_Z$ in the exact sequence

$$\sigma_Z: 0 \longrightarrow Z \xrightarrow{f_Z} W_Z \longrightarrow V_Z \longrightarrow 0$$

is radical.

Sink maps from $\text{add } \mathcal{F}'$ to some module Y are defined dually. We will denote the exact sequence obtained from a sink map $W'_Y \rightarrow Y$ from $\text{add } \mathcal{F}'$ to Y by

$$\sigma'_Y: 0 \longrightarrow U_Y \longrightarrow W'_Y \longrightarrow Y \longrightarrow 0.$$

Lemma 8.1. *Let Y, Z be A -modules.*

- (1) *The sequences σ_Z and σ'_Y are fit for (M, N) .*
- (2) *Mapping Y to σ_Z , we obtain an exact sequence*

$$0 \rightarrow \text{Hom}(Y, Z) \rightarrow \text{Hom}(Y, W_Z) \rightarrow \text{Hom}(Y, V_Z) \rightarrow \mathcal{E}(Y, Z) \rightarrow 0.$$

- (3) *Mapping σ'_Y to Z , we obtain an exact sequence*

$$0 \rightarrow \text{Hom}(Y, Z) \rightarrow \text{Hom}(W'_Y, Z) \rightarrow \text{Hom}(U_Y, Z) \rightarrow \mathcal{E}(Y, Z) \rightarrow 0.$$

(4) We have $\mathcal{S}_\sigma \subseteq \mathcal{S}_{\sigma_Z}$ and $\mathcal{S}_\sigma \subseteq \mathcal{S}_{\sigma'_Y}$ for any exact sequence σ with $[\sigma] \in \mathcal{E}(Y, Z)$.

Proof. Statement (1) holds by definition. For (2), note that the pullback of σ_Z under any morphism in $\text{Hom}(Y, V_Z)$ will still have a splitting pushout under any morphism from Z to $X \in \text{add } \mathcal{F}$ and thus belongs to $\mathcal{E}(Y, Z)$. By the definition of σ_Z , any exact sequence σ with $[\sigma] \in \mathcal{E}(Y, Z)$ is a pullback of σ_Z . The proof of (3) is dual, and (4) follows from (2) and (3) as shadows cannot grow under pushouts nor under pullbacks. \square

As an immediate consequence we obtain the following corollary.

Corollary 8.2. $\dim_k \mathcal{E}(Y, Z) = \delta_{\sigma'_Y}(Z) = \delta'_{\sigma_Z}(Y)$.

Lemma 8.3. *For an A -module X the following properties are equivalent:*

- (1) $X \in \text{add } \mathcal{F}$,
- (2) $\mathcal{E}(-, X) = 0$,
- (3) $\mathcal{E}(N, X) = 0$.

There is a dual statement characterizing $X' \in \text{add } \mathcal{F}'$.

Proof. The implications from (1) to (2) and from (2) to (3) are immediate. In order to show that (3) implies (1), it is enough to prove the inclusion $\mathcal{S} \subseteq \mathcal{S}_{\sigma'_N}$; in fact then both shadows coincide as σ'_N is fit for (M, N) . By [16] there is a short exact sequence

$$\sigma: 0 \longrightarrow Z' \longrightarrow Z' \oplus M \longrightarrow N \longrightarrow 0.$$

By the definition of \mathcal{F} , we know that $\mathcal{S}_\sigma = \mathcal{S}$. Therefore $[\sigma] \in \mathcal{E}(N, Z')$, which implies that $\mathcal{S} = \mathcal{S}_\sigma \subseteq \mathcal{S}_{\sigma'_N} \subseteq \mathcal{S}$ by Lemma 8.1 (4). \square

Lemma 8.4. *The following conditions are equivalent:*

- (1) U_N belongs to $\text{add}(\mathcal{F}')$,
- (2) U_Y belongs to $\text{add}(\mathcal{F}')$ for any module Y ,
- (3) V_N belongs to $\text{add}(\mathcal{F})$,
- (4) V_Z belongs to $\text{add}(\mathcal{F})$ for any module Z .

Proof. Obviously (2) implies (1) and (4) implies (3). Thus, up to duality, it suffices to show that (1) implies (4). Let Z be a module. As $W_Z \in \text{add } \mathcal{F}$ and $W'_N \in \text{add } \mathcal{F}'$,

the exact sequences σ'_N and σ_Z induce the following commutative diagram with exact rows and columns:

$$\begin{array}{ccccccc}
 \mathrm{Hom}_A(W'_N, W_Z) & \longrightarrow & \mathrm{Hom}_A(U_N, W_Z) & \longrightarrow & 0 \\
 \downarrow & & \downarrow \beta & & \\
 \mathrm{Hom}_A(W'_N, V_Z) & \xrightarrow{\alpha} & \mathrm{Hom}_A(U_N, V_Z) & \longrightarrow & \mathcal{E}(N, V_Z) \longrightarrow 0 \\
 \downarrow & & \downarrow & & \\
 0 & & \mathcal{E}(U_N, Z) & &
 \end{array}$$

As U_N belongs to $\mathrm{add} \mathcal{F}'$ by our hypothesis, β is surjective. Then α is surjective as well, thus $\mathcal{E}(N, V_Z) = 0$, which implies $V_Z \in \mathrm{add} \mathcal{F}$ by Lemma 8.3. \square

We are now ready to give a first characterization of the regularity \mathcal{C}_M at N .

Proposition 8.5. *The scheme \mathcal{C}_M is regular at N if and only if $\mathcal{E}(M, M) = \{0\}$ and one of the equivalent conditions in Lemma 8.4 holds.*

Proof. We compute the difference $\dim_k \mathcal{E}(N, N) - \mathrm{codim}(M, N)$. Observe that

$$\mathrm{codim}(M, N) = \delta'_{M,N}(N) + \delta_{M,N}(M).$$

By Corollary 8.2,

$$\begin{aligned}
 \dim_k \mathcal{E}(N, N) - \dim_k \mathcal{E}(N, M) &= \delta_{\sigma'_N}(N) - \delta_{\sigma'_N}(M) \\
 &= \delta'_{M,N}(U_N \oplus N) - \delta'_{M,N}(W'_N) \\
 &= \delta'_{M,N}(U_N \oplus N),
 \end{aligned}$$

$$\begin{aligned}
 \dim_k \mathcal{E}(N, M) - \dim_k \mathcal{E}(M, M) &= \delta'_{\sigma_M}(N) - \delta'_{\sigma_M}(M) \\
 &= \delta_{M,N}(M \oplus V_M) - \delta_{M,N}(W_M) \\
 &= \delta_{M,N}(M \oplus V_M).
 \end{aligned}$$

Thus

$$\dim_k \mathcal{E}(N, N) - \mathrm{codim}(M, N) = \dim_k \mathcal{E}(M, M) + \delta'_{M,N}(U_N) + \delta_{M,N}(V_M).$$

By Corollary 7.2, the scheme \mathcal{C}_M is regular at N if and only if $\mathcal{E}(M, M) = 0$ and $\delta'_{M,N}(U_N) = \delta_{M,N}(V_M) = 0$. As by Lemma 8.4 $\delta'_{M,N}(U_N) = 0$ forces $\delta_{M,N}(V_M) = 0$, our claim follows. \square

Lemma 8.6. *Assume that $\mathcal{E}(M, M) = 0$. Then \mathcal{C}_M is singular at N if and only if there exists an indecomposable U such that the sequence*

$$\sigma_U: 0 \rightarrow U \xrightarrow{f_U} W_U \xrightarrow{g} V_U \rightarrow 0$$

satisfies the following conditions:

- (1) The representation V_U is indecomposable.
- (2) The morphism $g: W_U \rightarrow V_U$ is a sink map from $\text{add } \mathcal{F}$ to V_U .
- (3) If the mesh stopping at some indecomposable Y belongs to \mathcal{S}_{σ_U} , then $Y \notin \mathcal{F}$.

Proof. We first prove that \mathcal{C}_M is singular at N if these conditions hold. Note that σ_U does not split, as $U \in \mathcal{F}$ would imply $V_U = 0$, but V_U is indecomposable. Therefore the mesh stopping at V_U belongs to \mathcal{S}_{σ_U} , and condition (3) implies $V_U \notin \mathcal{F}$. The claim then follows from Proposition 8.5 as condition (4) in Lemma 8.4 is violated.

In order to show the converse implication, observe that the surjection $W_N \rightarrow V_N$ factors through the sink map $\theta: C \rightarrow V_N$ from $\text{add } \mathcal{F}$ to V_N . In particular θ is surjective and we obtain the following commutative diagram with exact rows:

$$\begin{array}{ccccccccc} \sigma_N: & 0 & \longrightarrow & N & \longrightarrow & W_N & \longrightarrow & V_N & \longrightarrow & 0 \\ & & & \downarrow & & \downarrow & & \parallel & & \\ \varphi: & 0 & \longrightarrow & B & \longrightarrow & C & \xrightarrow{\theta} & V_N & \longrightarrow & 0. \end{array}$$

Thus φ is fit for (M, N) , being a pushout of σ_N . A decomposition of V_N into a direct sum of submodules yields a corresponding decomposition of φ as a direct sum. We choose a direct summand of φ :

$$\eta: 0 \longrightarrow U \xrightarrow{f} W \xrightarrow{g} V \longrightarrow 0$$

such that V is indecomposable and does not belong to $\text{add}(\mathcal{F})$. As g is radical, f is a source map from U to $\text{add } \mathcal{F}$. But a source map from a decomposable module has a decomposable cokernel, and therefore U must be indecomposable and η is isomorphic to σ_U .

Finally, suppose the mesh stopping at some indecomposable Y belongs to \mathcal{S}_η . Equivalently, we have $\delta'_\eta(Y) \neq 0$. If Y belongs to \mathcal{F} , any morphism from Y to V factors through g , and thus $\delta'_\eta(Y) = 0$, because g is a sink map from $\text{add } \mathcal{F}$ to V . Our last claim follows. \square

Lemma 8.7. Assume that the algebra A is directed and consider the exact sequence η from Lemma 8.6. Then $\text{codim}(W, U \oplus V) = 1$.

Proof. Since η is fit for (M, N) and W belongs to $\text{add}(\mathcal{F})$, we have $\delta_\eta(W) = 0$. Since U and V are indecomposable and A is directed, $\text{Ext}_A^1(U, U) = \{0\}$ and $\text{End}_A(V) \simeq k$. We conclude from the long exact sequences

$$\begin{aligned} 0 &\rightarrow \text{Hom}_A(U, U) \rightarrow \text{Hom}_A(U, W) \rightarrow \text{Hom}_A(U, V) \rightarrow \text{Ext}_A^1(U, U), \\ 0 &\rightarrow \text{Hom}_A(V, U) \rightarrow \text{Hom}_A(V, W) \rightarrow \text{Hom}_A(V, V) \rightarrow \mathcal{E}(V, U) \rightarrow 0 \end{aligned}$$

induced by η that $\delta'_\eta(U) = 0$ and $\delta'_\eta(V) = 1$. Thus

$$\text{codim}(W, U \oplus V) = \delta'_\eta(U \oplus V) + \delta_\eta(W) = 1. \quad \square$$

We end this section with a few remarks on singularities.

Remark 8.8. For a finitely generated algebra A and $M \in \text{mod}_A^d(k)$, we have a chain of inclusions of schemes $\bar{\mathcal{O}}_M \subseteq (\mathcal{C}_M)_{\text{red}} \subseteq \mathcal{C}_M$. Fix $N \in \bar{\mathcal{O}}_M$, and let us compare regularity at N for $\bar{\mathcal{O}}_M$, $(\mathcal{C}_M)_{\text{red}}$, and \mathcal{C}_M . Clearly, if \mathcal{C}_M is regular at N , the subscheme $(\mathcal{C}_M)_{\text{red}}$ will be as well. Remember that in Example 3.7 we have that $(\mathcal{C}_M)_{\text{red}} = \bar{\mathcal{O}}_M$ and that the tangent space of $\bar{\mathcal{O}}_M$ (and thus of $(\mathcal{C}_M)_{\text{red}}$) at N is a proper subspace of $\mathcal{T}_{\mathcal{C}_M, N}$. So there might be cases where \mathcal{C}_M is singular at N while $(\mathcal{C}_M)_{\text{red}}$ is regular at that point.

As $\bar{\mathcal{O}}_M$ is an irreducible component of $(\mathcal{C}_M)_{\text{red}}$ by Proposition 1 of [4], we have that regularity of $(\mathcal{C}_M)_{\text{red}}$ at N implies regularity of $\bar{\mathcal{O}}_M$ at N . The following simplified version of Carlson's example shows that the reverse implication is false.

Example 8.9. Let Q be the quiver

$$1 \begin{array}{c} \xrightarrow{\alpha} \\ \xrightarrow{\beta} \end{array} 2 \begin{array}{c} \xrightarrow{\gamma} \\ \xrightarrow{\delta} \end{array} 3$$

and let J be the ideal generated by $\delta\alpha$, $\gamma\beta$ and $\gamma\alpha - \delta\beta$. Consider the representations

$$M = k \begin{array}{c} \xrightarrow{\begin{pmatrix} 1 \\ 0 \end{pmatrix}} \\ \xrightarrow{\begin{pmatrix} 0 \\ 1 \end{pmatrix}} \end{array} k^2 \begin{array}{c} \xrightarrow{\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}} \\ \xrightarrow{\begin{pmatrix} 0 & 1 \end{pmatrix}} \end{array} k, \quad U_\lambda = 0 \begin{array}{c} \xrightarrow{\begin{pmatrix} 0 \\ 0 \end{pmatrix}} \\ \xrightarrow{\begin{pmatrix} 0 \\ 0 \end{pmatrix}} \end{array} k \begin{array}{c} \xrightarrow{\begin{pmatrix} 1 \\ \lambda \end{pmatrix}} \\ \xrightarrow{\begin{pmatrix} 1 \\ \lambda \end{pmatrix}} \end{array} k,$$

and

$$V_\mu = k \begin{array}{c} \xrightarrow{\begin{pmatrix} \mu \\ 1 \end{pmatrix}} \\ \xrightarrow{\begin{pmatrix} 1 \\ 0 \end{pmatrix}} \end{array} k \begin{array}{c} \xrightarrow{\begin{pmatrix} 0 \\ 0 \end{pmatrix}} \\ \xrightarrow{\begin{pmatrix} 0 \\ 0 \end{pmatrix}} \end{array} 0,$$

for $\lambda, \mu \in k$. It is not difficult to see and can be found in [14] that $\bar{\mathcal{O}}_M$ and the closure of $\bigcup_{\lambda, \mu \in k} \text{GL}_{\mathbf{d}}(k) * (U_\lambda \oplus V_\mu)$ are irreducible components of \mathcal{C}_M and that they intersect in the closure of $\bigcup_{\lambda \in k} \text{GL}_{\mathbf{d}}(k) * (U_\lambda \oplus V_{-\lambda})$, where $\mathbf{d} = (1, 2, 1)$. So \mathcal{C}_M is singular at $N = U_1 \oplus V_{-1}$.

On the other hand, a computation shows that the morphism given by

$$k \begin{array}{c} \xrightarrow{\begin{pmatrix} x_1 \\ x_2 \end{pmatrix}} \\ \xrightarrow{\begin{pmatrix} y_1 \\ y_2 \end{pmatrix}} \end{array} k^2 \begin{array}{c} \xrightarrow{\begin{pmatrix} z_1 & z_2 \\ t_1 & t_2 \end{pmatrix}} \\ \xrightarrow{\begin{pmatrix} z_1 & z_2 \\ t_1 & t_2 \end{pmatrix}} \end{array} k \mapsto \begin{pmatrix} x_1 & x_2 & y_1 & y_2 \\ -t_2 & t_1 & z_2 & -z_1 \end{pmatrix}$$

is an isomorphism from $\bar{\mathcal{O}}_M$ to the variety $\mathcal{V}_{2 \times 4}^1(k)$ of 2×4 -matrices of rank at most 1, which has a single singularity at 0, the image of the semisimple representation. Hence $\bar{\mathcal{O}}_M$ is regular at N .

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