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Covering degrees are determined by graph manifolds involved

Fengchun Yu and Shicheng Wang

Abstract. W.Thurston raised the following question in 1976: Suppose that a compact 3-manifold M is not covered by $(\text{surface}) \times S^1$ or a torus bundle over S^1 . If M_1 and M_2 are two homeomorphic finite covering spaces of M , do they have the same covering degree?

For so called geometric 3-manifolds (a famous conjecture is that all compact orientable 3-manifolds are geometric), it is known that the answer is affirmative if M is not a non-trivial graph manifold.

In this paper, we prove that the answer for non-trivial graph manifolds is also affirmative. Hence the answer for the Thurston's question is complete for geometric 3-manifolds. Some properties of 3-manifold groups are also derived.

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Introduction

Definition 1. A 3-manifold M is said to have *Property C* if, whenever M_1, M_2 are homeomorphic finite covering spaces of M , the degrees of the coverings are the same. It has *Property C_r* if the above is true for all regular coverings. (Noted that M has *Property C* if and only if it has *Property C_r* [WW, Lemma 2.1].)

We are mainly interested in the following problem of W.Thurston raised in 1976.

[K, problem 3.16 (a)] Which 3-manifolds have *Property C*? In particular suppose that M is not covered by $(\text{surface}) \times S^1$ or a torus bundle over S^1 , does M

A comment from the second author: The first author was passed away in 1995, at the age of 23 as a graduate student, and had four joint papers by then. I felt deeply sorry for his untimely death. The authors would like to thank B. Jiang, W. Tang, Y. Wu and G. Yuan for their helps. The work is supported by outstanding Youth fellowship of NSFC.

has property C ?

Definition 2. A compact, connected, orientable 3-manifold is said to be *geometric* if it is a Seifert manifold, or a hyperbolic manifold, or a Haken manifold, or a connected sum of such manifolds.

The fundamental conjecture in 3-manifold theory is that all compact, connected, orientable 3-manifolds are geometric. It is known that if M is covered by either $(\text{surface}) \times S^1$ or a torus bundle over S^1 , then it does not have Property C . Actually such manifolds admit non-trivial self-coverings [WW, Theorem 8.6]. Therefore we will concentrate on 3-manifolds in the class \mathcal{G} defined below.

Notation. We use \mathcal{G} to denote the set of all geometric 3-manifolds which are not covered by either $(\text{surface}) \times S^1$ or a torus bundle over S^1 .

Conjecture 1. Every 3-manifold M in \mathcal{G} has Property C .

By using Gromov norm and Kneser-Milnor sphere decomposition theorem, it is proved that Conjecture 1 is true if M is not a non-trivial graph manifold [WW, Theorem 2.5]. So the problem is reduced to the case of non-trivial graph manifolds.

Several partial results on property C of non-trivial graph manifolds had been obtained recently. There are three different covering invariants of graph manifolds introduced by S.C.Wang and Y.Q.Wu [WW], by J.Luecke and Y.Q.Wu [LW] and by W.D. Neumann [N]. So a graph manifold has property C if one of those covering invariants is non-zero. Unfortunately all those three covering invariants are zero for some non-trivial graph manifolds. It is also proved that a non-trivial graph manifold has property C if M has at most three vertex manifolds [WW] or if M is a knot complement in S^3 [LW].

In this paper we prove that non-trivial graph manifolds have property C . Hence a geometric manifold has Property C if and only if it is not covered by either $(\text{surface}) \times S^1$ or a torus bundle over S^1 .

There are four sections after the introduction. In §1, we review the B -matrix $B(M)$ for a non-trivial graph manifold M defined by S.C. Wang and Y.Q.Wu [WW] and its properties, which will be the start point of our further approach. In §2, we introduce a H -matrix $H(M)$ for a non-trivial graph manifold M , and then deduce a matrix equation which expresses the covering degree by B -matrix and H -matrix (Theorem 3). In §3, we prove our main result: non-trivial graph manifolds have Property C (Corollary 5). Some results about maximum eigenvalues of non-negative matrices are used in the proof. In §4, we prove that for a closed geometric 3-manifold M , $\pi_1(M)$ have property I , that is any two isomorphic subgroups of $\pi_1(M)$ have the same index (may be infinite), if and only if M have property C and M is irreducible. This result generalizes the earlier results of F. Gonzales-Acuna and W. Whitten [GW] and of [WW] on the cohopficity of 3-manifold groups.

Remark 1. A non-orientable 3-manifold has Property C if and only if its orientable double cover has property C .

1. Preliminaries

For readers' convenience, we review a matrix invariant defined in [WW] in this section. The notions which are not explained are standard, see [J] and [S].

For a surface S in a 3-manifold M , we use $N(S)$ to denote the regular neighborhood of S and $\eta(S)$ to denote the interior of $N(S)$.

Suppose M is a prime orientable 3-manifold with boundary (possibly empty) a union of tori. Then the torus decomposition of Jaco-Shalen and Johannson cut M open along a minimum collection of embedded incompressible tori \mathcal{T} , the JSJ-surface, into a collection of simple manifolds and Seifert manifolds.

Definition 3. A geometric 3-manifold M is called a graph manifold if the JSJ-surface \mathcal{T} is not empty and \mathcal{T} cuts M into Seifert manifold pieces. A graph manifold is *non-trivial* if it is not covered by a torus bundle over S^1 . (see [WW, §3].) A graph manifold is non-trivial if and only if it belongs to \mathcal{G} .

Now consider a non-trivial graph manifold M . In [WW] a new decomposing surface φ of M is defined which consists of those tori in JSJ surface \mathcal{T} which do not bound twisted I -bundles, and those central Klein bottles of twisted I -bundles bounded by tori in \mathcal{T} . If M is a graph manifold in \mathcal{G} , then the decomposing surface exists and is unique up to ambient isotopy [WW, Lemma 3.1].

Comparing with \mathcal{T} , the new decomposition surface φ has two advantages:

Lemma 1. (a) $M - \eta(\varphi)$ consists of Seifert fiber spaces with negative Euler characteristic orbifolds [WW, Lemma 3.2], therefore each Seifert manifold piece of M admits a unique Seifert fibration up to isotopy [S, Theorem 3.9].

(b) Suppose $\phi: \tilde{M} \rightarrow M$ is a finite covering between graph manifolds. If φ is a non-empty decomposing surface of M , then $\tilde{\varphi} = \phi^{-1}(\varphi)$ in \tilde{M} is a decomposing surface for \tilde{M} [WW, Lemma 4.4].

Suppose M is a non-trivial graph manifold and has the decomposing surface φ just defined. We define a graph $\Gamma(M)$ as follows: to each component N_i of $M - \eta(\varphi)$ a vertex v_i is assigned, and to each component S_j of φ an edge e_j is assigned, so that

(1) if S_j is a torus, and $\partial N(S_j)$ has one component in each of N_i and N_k (i may be equal to k), then e_j has endpoints on v_i and v_k ;

(2) if S_j is a Klein bottle and $\partial N(S_j)$ is in N_i , then e_j has both endpoints on v_i .

We define a 'weight' for each vertex or edge of $\Gamma(M)$ as follows. If v_i is a vertex

of $\Gamma(M)$ corresponding to a component N_i of $M - \eta(\varphi)$, let the weight $x_i = x(v_i)$ be the Euler characteristic of the orbifold of N_i . If e is an edge corresponding to a surface S in φ , let the weight $\Delta(e)$ be the fiber intersection number $\Delta(S)$. The weights of vertices are negative rational numbers by Lemma 1 (a), and the weights of edges are all positive integers ([WW, Lemma 4.3]). Since the decomposing surface and the Seifert fibrations on the pieces are unique, this weighted graph is well defined, and is an invariant of M .

For each edge e in the graph $\Gamma(M)$, define

$$\alpha(e) = \frac{1}{\Delta(e)x_i x_j}. \quad (1.1)$$

For all i, j , define

$$a_{ij} = a_{ij}(\Gamma(M)) = \begin{cases} \sum \{\alpha(e) | e \text{ has the endpoints on } v_i \text{ and } v_j\} \\ 0 & \text{if there is no such } e. \end{cases} \quad (1.2)$$

To simplify the formulas, we define

$$b_{ij} = \begin{cases} a_{ij} & \text{if } i \neq j, \\ 2a_{ij} & \text{if } i = j. \end{cases} \quad (1.3)$$

Define \tilde{b}_{pq} in the same way.

Let $B = B(M) = (b_{ij})$. The matrix B depends only on $\Gamma(M)$ and the indexing of its vertices, so up to simultaneous permutations of rows and columns it is well defined. Clearly B is a non-negative symmetric matrix.

Definition 4. $\Gamma = \Gamma(M)$ is called the *weighted graph* of M , and $B = B(M)$ is called the *B-matrix* of M , for a non-trivial graph manifold M .

Consider a regular covering map $\phi: \tilde{M} \rightarrow M$. By Lemma 1 (b), ϕ can induce a map on the graphs $\phi_\#: \Gamma(\tilde{M}) \rightarrow \Gamma(M)$ defined in the following way. A vertex \tilde{v}_p is mapped to v_i if the corresponding component \tilde{N}_p in $\tilde{M} - \eta(\tilde{\varphi})$ is mapped to N_i , and an edge \tilde{e}_g is mapped to e_h if the corresponding surface \tilde{S}_g covers S_h .

For each vertex v_i in $\Gamma(M)$, define

$$I_i = \{p | \phi(\tilde{v}_p) = v_i\} \quad (1.4)$$

and use $|I_i|$ to denote the number of elements in I_i .

The result below is the start point for our approach.

Theorem 1. ([WW, Theorem 6.1]) *Suppose $\phi: \tilde{M} \rightarrow M$ is a regular covering over a non-trivial graph manifold. Then the degree d of ϕ satisfies the equation*

$$d \sum_{p \in I_i} \sum_{q \in I_j} \tilde{b}_{pq} = b_{ij} |I_i| |I_j|.$$

where (b_{ij}) ((\tilde{b}_{pq})) is the *B-matrix* of M (\tilde{M}).

2. B -matrix, H -matrix and covering degrees

Let M be a non-trivial graph manifold. The symmetry of the B -matrix $B(M)$ will provide some useful information in determining the covering degrees. To clarify the idea, a reduced weighted graph $L(M)$ is defined in [WW, §9] as follows. The graph $L(M)$ has the same vertices as $\Gamma(M)$, and it has one edge e_{ij} for each pair of vertices v_i, v_j (i may be equal to j). Assign b_{ij} as the weight of e_{ij} .

An isometry g of $L(M)$ is an automorphism of the graph which preserves the weights. That is, the weight of e_{ij} equals that of $g(e_{ij})$ for all i, j . Denote the isometry group of $L(M)$ by $G(M)$. It induces an action on the set $V(M)$ of vertices of $L(M)$.

Suppose $\phi: \tilde{M} \rightarrow M$ is a regular covering map. A covering transformation $f: \tilde{M} \rightarrow \tilde{M}$ induces a map $f_{\#}: L(\tilde{M}) \rightarrow L(M)$ which is clearly an isometry. Let $F(\tilde{M})$ be the set of isometries induced by covering transformations. Then $F(\tilde{M})$ is a subgroup of $G(\tilde{M})$. Since ϕ is a regular covering, it induces an one-to-one correspondence $V(\tilde{M})/F(\tilde{M}) \rightarrow V(M)$. Since $F(\tilde{M})$ is a subgroup of $G(\tilde{M})$, for each vertex $v \in V(M)$, $\phi^{-1}(v)$ is contained in a G -orbit of $V(\tilde{M})$.

Suppose $V(\tilde{M})$ has m disjoint G -orbits $\tilde{U}_1, \tilde{U}_2, \dots, \tilde{U}_m$, and $V(M)$ has n vertices v_1, v_2, \dots, v_n . For each G -orbit \tilde{U}_α of $V(\tilde{M})$, define its indexing set

$$O_\alpha = \{r | \tilde{v}_r \in \tilde{U}_\alpha\}. \quad (2.0)$$

Each G -orbit of $V(\tilde{M})$ is the union of some $\phi^{-1}(v)$, $v \in V(M)$. Define

$$A_\alpha = \{i | I_i \subset O_\alpha\}.$$

Then we have

$$O_\alpha = \bigcup_{i \in A_\alpha} I_i. \quad (2.1)$$

We use $|O_\alpha|$ to denote the number of elements in O_α , then we have $|O_\alpha| = \sum_{i \in A_\alpha} |I_i|$.
Define

$$\tilde{h}_{\alpha\beta} = \frac{1}{(|O_\alpha||O_\beta|)} \sum_{r \in O_\alpha} \sum_{s \in O_\beta} \tilde{b}_{rs}, \quad \alpha, \beta = 1 \cdots m. \quad (2.2)$$

Then $\tilde{H} = H(\tilde{M}) = (\tilde{h}_{\alpha\beta})_{m \times m}$ is a non-negative symmetric matrix determined by $L(\tilde{M})$ the indexing of G -orbit of $\tilde{V}(M)$.

Definition 5. $\tilde{H} = H(\tilde{M})$ is called the H -matrix of \tilde{M} , for a non-trivial graph manifold \tilde{M} .

Lemma 2. Suppose \tilde{M} is a connected non-trivial graph manifold. Then each column (row) of $H(\tilde{M})$ has at least one non-zero element.

Proof. Since \tilde{M} is connected, each column (row) of $B(\tilde{M})$ has at least one non-zero element. Then Lemma 2 follows from the definition of $H(\tilde{M})$. \square

Theorem 2. *Suppose $\phi: \tilde{M} \rightarrow M$ is a d -fold regular covering over a non-trivial graph manifold. Then d satisfies the equation*

$$d\tilde{h}_{\alpha\beta}|O_\beta| = \sum_{j \in A_\beta} b_{ij}|I_j| \quad \text{for any } \alpha, \beta = 1 \cdots m, \quad i \in A_\alpha. \quad (2.3)$$

Proof. For any $\tilde{v}_p, \tilde{v}_q \in \tilde{U}_\alpha$, there is an isometry $g \in G(\tilde{M})$ sending \tilde{v}_p to \tilde{v}_q . Suppose g maps \tilde{v}_s to $\tilde{v}_{g(s)}$ for $\tilde{v}_s \in \tilde{U}_\beta$. Since g is an isometry, we have $\tilde{b}_{ps} = \tilde{b}_{qg(s)}$. Since \tilde{U}_β is a G -orbit, when \tilde{v}_s ranges over all vertices of \tilde{U}_β , $\tilde{v}_{g(s)}$ also ranges over all vertices of \tilde{U}_β . Therefore, we have

$$\sum_{s \in O_\beta} \tilde{b}_{ps} = \sum_{s \in O_\beta} \tilde{b}_{qg(s)} = \sum_{s \in O_\beta} \tilde{b}_{qs} = C_{\alpha\beta}. \quad (2.4)$$

$C_{\alpha\beta}$ is a constant determined by α and β , and

$$C_{\alpha\beta} = \frac{1}{|O_\alpha|} \sum_{r \in O_\alpha} \sum_{s \in O_\beta} \tilde{b}_{rs} = \tilde{h}_{\alpha\beta}|O_\beta| \quad (2.5)$$

By Theorem 1, we have

$$b_{ij}|I_i||I_j| = d \sum_{r \in I_i} \sum_{s \in I_j} \tilde{b}_{rs}. \quad (2.6)$$

Assume $i \in A_\alpha$. When j ranges over A_β , (2.6) becomes

$$\begin{aligned} \sum_{j \in A_\beta} b_{ij}|I_i||I_j| &= d \sum_{j \in A_\beta} \sum_{r \in I_i} \sum_{s \in I_j} \tilde{b}_{rs} \\ &= d \sum_{r \in I_i} \sum_{s \in O_\beta} \tilde{b}_{rs} \\ &= d|I_i| \cdot C_{\alpha\beta} \\ &= d|I_i|\tilde{h}_{\alpha\beta}|O_\beta|. \end{aligned} \quad (2.7)$$

The last three equations follow from (2.1) (2.4) and (2.5). Then (2.3) follows. \square

To express Theorem 2 in terms of matrix equation, we have the following theorem.

Theorem 3. Suppose $\phi: \tilde{M} \rightarrow M$ is a d -fold regular covering over a non-trivial graph manifold. Then d satisfies the equation:

$$X_{m \times n} B_{n \times n} = d \tilde{H}_{m \times m} W_{m \times n}. \quad (2.8)$$

where B is the B -matrix of M , and \tilde{H} is the H -matrix of \tilde{M} , X and W satisfy:

- (a) both X and W are $m \times n$ non-negative matrices;
- (b) each column of X has only one non-zero element and the row sums of X are constant 1;
- (c) W is obtained from X by substituting the only non-zero element in each column of X for 1. Then the column sums of W are constant 1.

Proof. Define

$$x_{\alpha i} = \begin{cases} \frac{|I_i|}{|O_\alpha|} & \text{if } i \in A_\alpha, \\ 0 & \text{if } i \notin A_\alpha. \end{cases} \quad (2.9)$$

$$w_{\alpha i} = \begin{cases} 1 & \text{if } i \in A_\alpha, \\ 0 & \text{if } i \notin A_\alpha. \end{cases} \quad (2.10)$$

We denote $X = (x_{\alpha i})$, $W = (w_{\alpha i})$. Clearly in the α -th row of X , $x_{\alpha i} \neq 0$ if and only if $i \in A_\alpha$. Since $|O_\alpha| = \sum_{i \in A_\alpha} |I_i|$, we have the row sums of X are constant 1 and each column of X has only one non-zero element. So (b) is true and W is determined by X just like (c).

Assume that $i \in A_\alpha$, by (2.9), (2.3) and the symmetry of B , we have

$$(XB)_{\beta i} = \sum_{j \in A_\beta} x_{\beta j} b_{ji} = \sum_{j \in A_\beta} \frac{|I_j|}{|O_\beta|} b_{ji} = d \tilde{h}_{\alpha\beta}. \quad (2.11)$$

By (2.10) and the symmetry of \tilde{H} we have

$$(d\tilde{H}W)_{\beta i} = d \sum_{k=1}^m \tilde{h}_{\beta k} w_{ki} = d \tilde{h}_{\beta\alpha} = d \tilde{h}_{\alpha\beta} \quad (2.12)$$

So we got the equation (2.8) of Theorem 3. \square

3. Nontrivial graph manifolds have Property C

The following Lemma will be used in proving our main result.

Lemma 3. ([HJ, Lemma 8.1.21 and Theorem 8.3.1.]) If $A = (a_{ij})$ is a $m \times m$ non-negative matrix, satisfies the column (row) sums are constant 1, then the

maximum eigenvalue of A is 1, and there is an non-negative eigenvector $x = (x_1, \dots, x_m)^T$, $x \neq 0$, $x_i \geq 0$, $i = 1 \dots m$ such that $Ax = x$.

Theorem 4. Suppose $\phi, \phi': \tilde{M} \rightarrow M$ are regular coverings over a non-trivial graph manifold with covering degrees d, d' . Then $d = d'$. That is, a non-trivial graph manifold has Property C_r .

Proof. By Theorem 3 we have the following matrix equations.

$$\begin{cases} (X_1)_{m \times n} B_{n \times n} = d \tilde{H}_{m \times m} (W_1)_{m \times n} \\ (X_2)_{m \times n} B_{n \times n} = d' \tilde{H}_{m \times m} (W_2)_{m \times n} \end{cases} \quad (3.0)$$

Then we have

$$\begin{cases} X_1 B X_2^T = d \tilde{H} W_1 X_2^T \\ X_2 B X_1^T = d' \tilde{H} W_2 X_1^T \end{cases} \quad (3.1)$$

Since both B and \tilde{H} are symmetric matrices, we have

$$d \tilde{H} W_1 X_2^T = (d' \tilde{H} W_2 X_1^T)^T = d' X_1 W_2^T \tilde{H}. \quad (3.2)$$

Denote

$$L = X_1 W_2^T, \quad R = W_1 X_2^T, \quad \lambda = \frac{d}{d'}, \quad (3.3)$$

then we have

$$L \tilde{H} = \lambda \tilde{H} R. \quad (3.4)$$

Recall both X_i and W_i are $m \times n$ non-negative matrices, which satisfy the conditions (b) and (c) in Theorem 3 : the row sums of X_i and the column sums of W_i are both constant 1. Then it is easy to see both L and R are non-negative matrices, the row sums of L and column sums of R are both constant 1. (If we denote $J_m = \underbrace{(1 \dots 1)^T}_{m \text{ times}}$, $J_n = \underbrace{(1 \dots 1)^T}_{n \text{ times}}$, then we have $L J_m = X_1 W_2^T J_m = X_1 J_n = J_m$, $R^T J_m = X_2 W_1^T J_m = X_2 J_n = J_m$).

By Lemma 3, the maximum eigenvalues of L and R are both 1, and there is non-negative vector $y = (y_1, \dots, y_m)^T$ satisfies

$$Ry = y, \quad y \neq 0, \quad y_i \geq 0 \quad (i = 1, \dots, m). \quad (3.5)$$

By (3.4) and (3.5), we have

$$L(\tilde{H}y) = \lambda(\tilde{H}y). \quad (3.6)$$

By Lemma 2, each column (row) of \tilde{H} has at least one non-zero element. Since \tilde{H} is a non-negative matrix, y is a non-negative vector and $y \neq 0$, it follows that $\tilde{H}y \neq 0$. So λ is the eigenvalue of L by (3.6). The maximum eigenvalue of L is

1, so we must have $\lambda \leq 1$. That is, $d \leq d'$. By the symmetry of ϕ and ϕ' , we conclude $d' \leq d$ also. Hence $d = d'$ \square

By Theorem 4 and [WW, Lemma 2.1], we have

Corollary 5. *Every non-trivial graph manifold has Property C.*

By Corollary 5, [WW, Theorem 2.5] and [WW, Theorem 8.6], we have

Corollary 6. *A geometric 3-manifold has Property C if and only if it is not covered by either (surface) $\times S^1$ or a torus bundle over S^1 . In particular, Conjecture 1 is true.*

4. Property I of 3-manifold groups

A group G is called cohopfian if each self-monomorphism of G is an isomorphism. Recently when 3-manifolds groups are cohopfian have been studied (see [GW], [WW]).

Definition 6. A group G has *property I* if, whenever G_1 and G_2 are isomorphic subgroups of G , then their embedding indices either are the same or are both infinite.

Remark 2. Both Property C and cohopficity are related indices of embeddings one group to another, the first one restricted on finite index embeddings and the second one restricted on self-embeddings. The notion Property I unifies two notions Property C and cohopficity.

Theorem 7. *Suppose M is a closed geometric 3-manifold. Then the fundamental group $\pi_1(M)$ has Property I if and only if M is irreducible and M is not covered by either (surface) $\times S^1$ or a torus bundle over S^1 .*

Proof. One direction follows directly from [WW, Theorem 8.7] and Remark 2.

Now we prove another direction. Denote $G = \pi_1(M)$, where M is irreducible and it is not covered by either (surface) $\times S^1$ or a torus bundle over S^1 . Then M has property C by Corollary 7. M is aspherical [J], and therefore for any covering \tilde{M} of M , $H_3(\pi_1(\tilde{M})) = H_3(\tilde{M})$.

Let G_1 and G_2 be the isomorphic subgroup of G . Let \tilde{M}_1 and \tilde{M}_2 be the corresponding coverings. Then we have

$$H_3(\tilde{M}_1) = H_3(\pi_1(\tilde{M}_1)) = H_3(G_1) = H_3(G_2) = H_3(\pi_1(\tilde{M}_2)) = H_3(\tilde{M}_2).$$

Now $H_3(\tilde{M}_i) = \mathbb{Z}$ if and only if \tilde{M}_i is closed, and this is true if and only if

$[G, G_i]$ is finite. So either both $[G, G_1]$ and $[G, G_2]$ are infinite, or both $[G, G_1]$ and $[G, G_2]$ are finite. In the later case, if G is finite, clearly $[G, G_1] = [G, G_2]$. If G is infinite, then \tilde{M}_i is either a closed hyperbolic manifold, or a closed Haken manifold, or a closed Seifert manifold of infinite π_1 . Since \tilde{M}_1 and \tilde{M}_2 have isomorphic fundamental groups, they are homeomorphic [T]. Since M has property C , the two covering degrees are the same. Hence $[G, G_1] = [G, G_2]$. \square

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