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# Multiple fibres of a morphism

FERNANDO SERRANO

# §0. Introduction

Let us be given a proper, surjective, holomorphic map  $\varphi: X \to C$  with connected fibres from a complex manifold onto a smooth quasiprojective curve C. Let  $\{m_1, \ldots, m_t\}$  be the (global) multiplicities of the multiple fibres of  $\varphi$ , and denote by F a general fibre. The aim of this paper is to compute the homology of the natural complex of abelian groups

$$H_1(F, \mathbb{Z}) \to H_1(S, \mathbb{Z}) \xrightarrow{\varphi \star} H_1(C, \mathbb{Z}) \to 0$$

in terms of the multiplicities  $\{m_1, \ldots, m_t\}$ . Namely, a suitable exact sequence

$$H_1(F,\mathbb{Z}) \to H_1(S,\mathbb{Z}) \to H_1(C,\mathbb{Z}) \times G(\varphi) \to 0$$

is constructed, where  $G(\varphi) := \operatorname{Coker}(f : \mathbb{Z} \to \bigoplus_i \mathbb{Z}/m_i\mathbb{Z})$  and  $f(1) = (\overline{1}, \ldots, \overline{1})$ .

Next we will address the question of the variation of  $G(\varphi)$  and  $\bigoplus_{i=1}^t \mathbb{Z}/m_i\mathbb{Z}$  under smooth deformations of  $\varphi$  (with the extra assumption that X and C are compact). It will be shown in §2 that both groups are actually invariant under deformation. The proof for  $G(\varphi)$  relies on the above exact sequence plus the fact that a smooth analytic map is differentiably locally trivial. Then a base change trick will give the invariance of  $\bigoplus_i \mathbb{Z}/m_i\mathbb{Z}$ .

All this generalizes the already known situation for elliptic surfaces: when X is a compact surface and F is a curve of genus 1, the above exact sequence on homology groups can be deduced from the explicit description of the fundamental group of the surface ([8]). For a larger fibre genus such a description is lacking in general. As to the behaviour under deformation, the picture is neater for these two-dimensional elliptic fibrations: Iitaka has proved in [7] that the set of multiplicities of the fibres is a deformation invariant in this case.

Finally, I want to express my thanks to J. Kollar for a helpful remark.

# §1. Homology groups

We shall be working over the field of complex numbers. Our complex manifolds are by definition connected, non-singular analytic varieties. A curve C is a quasiprojective complex manifold of dimension one. Equivalently, the smooth compactification of C differs from C at finitely many points only. In this paper a fibration is defined to be a proper, surjective holomorphic map from a complex manifold onto a smooth curve, all of whose fibres are connected. We will also use the following notation:

- $-\mathbb{Z}_m := \text{integers } \mathbb{Z} \text{ modulo } (m)\mathbb{Z}.$
- tor H :=torsion of an abelian group H.
- $\pi_1(X) :=$  fundamental group of X.
- $h^i \mathcal{O}_X := \dim_{\mathbb{C}} H^i(X, \mathcal{O}_X)$ , where  $\mathcal{O}_X$  is the structure sheaf of X.

Let  $\varphi: X \to C$  be a fibration, and  $F = \sum n_i B_i$  a fibre of  $\varphi$  where the  $B_i$ 's are the irreducible reduced components of F and the  $n_i$ 's are their multiplicities. Let m be the greatest common divisor of the  $n_i$ 's. We say that m is the multiplicity of F and write F = mD, where  $D = \sum (n_i/m)B_i$ . Whenever we say "let mD be a multiple fibre" we shall always mean that m is the multiplicity of mD and  $m \ge 2$ .

Let  $\varphi: X \to C$  be a fibration and let  $m_1 D_1, \ldots, m_t D_t$  be all its multiple fibres.

## **DEFINITION 1.1.**

$$G(\varphi) := \operatorname{Coker} \left( \mathbb{Z} \to \bigoplus_{i=1}^{t} \mathbb{Z}_{m_i} \right) \qquad 1 \mapsto (1, \dots, 1)$$

$$L(\varphi) := \bigoplus_{i=1}^{t} \mathbb{Z}_{m_i}.$$

If  $\mu$  is the least common multiple of  $m_1, \ldots, m_t$ , by dualizing the sequence

$$0 \to \mathbb{Z}_{\mu} \to \bigoplus_{i=1}^{\ell} \mathbb{Z}_{m_i} \to G(\varphi) \to 0$$

we obtain an alternative description of  $G(\varphi)$  as

$$G(\varphi) = \operatorname{Ker}\left(\bigoplus_{i=1}^{\tau} \mathbb{Z}_{m_i} \to \mathbb{Z}_{\mu}\right) \qquad (a_1, \ldots, a_t) \mapsto \sum_{i=1}^{\tau} a_i(\mu/m_i).$$

The third characterization that follows will be used later:

LEMMA 1.2. Write  $\bigoplus_{i=1}^{t} \mathbb{Z}_{m_i} \simeq \bigoplus_{j=1}^{k} \mathbb{Z}_{d_j}$  where each  $d_j$  divides  $d_{j+1}$ . Then

$$G(\varphi) \simeq \bigoplus_{j=1}^{k-1} \mathbb{Z}_{d_j}.$$

*Proof.* Since  $\mu/m_1, \ldots, \mu/m_t$  are relatively prime, we can find integers  $\lambda_1, \ldots, \lambda_t$  such that  $\sum_{i=1}^{t} (\lambda_i \mu/m_i) = 1$ . The homomorphism

$$\bigoplus_{i=1}^t \mathbb{Z}_{m_i} \to \mathbb{Z}_{\mu} \qquad (a_1, \ldots, a_t) \mapsto \sum_{i=1}^t a_i (\lambda_i \mu / m_i)$$

is a retraction of  $0 \to \mathbb{Z}_{\mu} \to \bigoplus_{i=1}^{t} \mathbb{Z}_{m_i} \to G(\varphi) \to 0$ , and this sequence splits. If we put  $G(\varphi) = \bigoplus_{j=1}^{r} \mathbb{Z}_{e_j}$  with  $e_j$  dividing  $e_{j+1}$  for all j, then all  $e'_j$ 's divide  $\mu$  and

$$\bigoplus_{i=1}^{r} \mathbb{Z}_{m_i} = G(\varphi) \oplus \mathbb{Z}\mu = \left(\bigoplus_{j=1}^{r} \mathbb{Z}_{e_j}\right) \oplus \mathbb{Z}_{\mu}.$$

Since the  $d'_j$ 's are uniquely determined, it follows that  $(d_1, \ldots, d_{k-1}, d_k) = (e_1, \ldots, e_r, \mu)$ .

Now it comes the main result of this paper. Our proof has been inspired in that of Prop. 1.41 of [2].

THEOREM 1.3. Let  $\varphi: X \to C$  be a fibration from the complex manifold X onto a smooth curve C. Denote by  $m_1D_1, \ldots, m_tD_t$  all multiple fibres of  $\varphi$ , and let F be any smooth fibre, and  $G := G(\varphi)$ . Then there exists an exact sequence

$$H_1(F, \mathbb{Z}) \to H_1(X, \mathbb{Z}) \to H_1(C, \mathbb{Z}) \times G \to 0$$

induced by  $\varphi$  and the inclusion of F into X.

Proof. Let

$$\Omega = \{ p \in C \mid \varphi^{-1}(p) \text{ is singular} \}, \qquad \tilde{C} = C - \Omega, \quad \tilde{X} = X - (\bigcup_{p \in \Omega} \varphi^{-1}(p)).$$

Consider the following commutative diagram with exact rows and columns, whose homomorphisms come from the obvious inclusions and restrictions:

$$0 \longrightarrow M \xrightarrow{\varepsilon} H_1(X, \mathbb{Z}) \xrightarrow{\varphi^*} H_1(C, \mathbb{Z}) \longrightarrow 0$$

$$\uparrow^f \qquad \uparrow^g \qquad \uparrow^h$$

$$H_1(F, \mathbb{Z}) \longrightarrow H_1(\widetilde{X}, \mathbb{Z}) \xrightarrow{\sigma} H_1(\widetilde{C}, \mathbb{Z}) \longrightarrow 0$$

$$\uparrow \qquad \uparrow \qquad \uparrow$$

$$N_1 \xrightarrow{\tau} N_2$$

$$\uparrow \qquad \uparrow$$

$$0 \qquad 0$$

M,  $N_1$  and  $N_2$  are defined to be the kernels of the corresponding homomorphisms. The second row is exact because  $\tilde{X} \to \tilde{C}$  is a  $C^{\infty}$ -fibre bundle.

CLAIM 1. The cokernel of  $\tau: N_1 \to N_2$  is a quotient of G.

*Proof of Claim* 1. Given  $p \in \Omega$ , denote by  $\gamma_p$  a simple loop around p in  $\tilde{C}$ . The group  $N_2$  is generated by all the  $\gamma_p$ ,  $p \in \Omega$ , with the single relation  $\Pi_{p \in \Omega} \gamma_p = 0$ .

If B is a component of multiplicity n of a fibre  $\varphi^{-1}(p)$ ,  $p \in \Omega$ , then there is a loop  $\alpha$  in  $\tilde{X}$  around B such that  $\alpha \in N_1$  and  $\tau(\alpha) = n\gamma_p$ . Consequently, if m is the total multiplicity of  $\varphi^{-1}(p)$  then  $m\gamma_p \in \text{Im}(\tau)$ , and the claim follows.

CLAIM 2. There exists an exact sequence:

$$H_1(F, \mathbb{Z}) \xrightarrow{f} M \xrightarrow{\rho} \operatorname{Coker}(\tau) \longrightarrow 0.$$

Proof of Claim 2. Define the map  $\rho: M \to \operatorname{Coker}(\tau)$  as follows. Given  $x \in M$ , there is  $y \in H_1(\widetilde{X}, \mathbb{Z})$  such that  $g(y) = \varepsilon(x)$ . Thus  $\sigma(y) \in N_2$ , and we write  $\rho(x)$  as the class of  $\sigma(y)$  in  $N_2/(\operatorname{Im}(\tau))$ . An easy diagram-checking shows that the above sequence is exact. This is nothing else than the so-called Snake Lemma, but later we are going to use the explicit description of the map  $\rho$ .

CLAIM 3. There exists a commutative diagram with exact rows and columns as follows:

$$H_{1}(F, \mathbb{Z}) \xrightarrow{f} M \xrightarrow{\rho} \operatorname{Coker}(\tau) \longrightarrow 0$$

$$\downarrow^{f} \downarrow^{\epsilon} \qquad \uparrow^{\theta} \qquad \uparrow^{\theta}$$

$$H_{1}(X, \mathbb{Z}) \xrightarrow{\lambda} G$$

$$\downarrow^{\varphi^{*}}$$

$$H_{1}(C, \mathbb{Z})$$

$$\downarrow^{0}$$

*Proof of Claim* 3.  $\theta: G \to \operatorname{Coker}(\tau)$  is the epimorphism of Claim 1, and  $j = \varepsilon \circ f$ by definition. We must define  $\lambda$  and prove  $\rho = \theta \circ \lambda \circ \varepsilon$ . The fundamental group  $\pi_1(\tilde{C})$  is generated by elements  $\alpha_i, \beta_i, \gamma_p, \delta_i$  (for i from 1 up to genus of  $\tilde{C}$ ,  $p \in \Omega$ , and  $\delta_j$  corresponding to the "holes" of C) with the unique relation  $(\Pi_i \alpha_i \beta_i \alpha_i^{-1} \beta_i^{-1})(\Pi_j \delta_j)(\Pi_{p \in \Omega} \gamma_p) = 1$ . Given  $p \in \Omega$  and m(p) = multiplicity of  $\varphi^{-1}(p)$ , there corresponds to  $\varphi^{-1}(p)$  a direct summand  $\mathbb{Z}_{m(p)}$  in  $\bigoplus_{i=1}^{t} \mathbb{Z}_{m_i}$ , with  $\mathbb{Z}_{m(p)} = 0$  in case m(p) = 1. Define an epimorphism  $\pi_1(\tilde{C}) \to G$  by mapping  $\gamma_p$  to the image of  $\bar{1} \in \mathbb{Z}_{m(p)} \subseteq \bigoplus_i \mathbb{Z}_{m_i}$  in G, and all  $\alpha_i, \beta_i, \delta_j$  to 0. We get in this fashion a ramified covering  $B \to C$ , unramified outside  $\Omega$  and such that the ramification index on points over  $p \in \Omega$  divides m(p). If Y denotes the normalization of  $X \times_C B$  then  $Y \rightarrow X$  is unramified with group G (see the proof of [1], III 9.1, valid in any dimension), and thus it is determined by an epimorphism  $\pi_1(X) \to G$  which descends to an epimorphism  $\lambda: H_1(X, \mathbb{Z}) \to G$ . The preimage of F by  $Y \to X$  splits into as many components as the order of G, so that the induced map  $\pi_1(F) \to G$  is 0. It follows that  $\lambda \circ j = 0$ . Finally, the commutativity of the diagram of Claim 3 stems from the description of  $\rho$  given in Claim 2 combined with the commutativity of the following diagram:

$$(*) \begin{array}{c} H_1(X,\mathbb{Z}) \xrightarrow{\lambda} & G \\ & & \uparrow \\ H_1(\widetilde{X},\mathbb{Z}) \xrightarrow{\sigma} H_1(\widetilde{C},\mathbb{Z}) \end{array} \xrightarrow{Coker} (\tau)$$

CLAIM 4.  $\theta$  is an isomorphism.

*Proof of Claim* 4. Since  $\lambda \circ j = 0$ , one has a commutative diagram

$$M/\operatorname{Im}_{\lambda \circ \tilde{\varepsilon}} \overset{\sim}{\longrightarrow} \operatorname{Coker}(\tau)$$

$$\uparrow_{\theta}$$

$$G$$

In particular, Coker  $(\tau)$  is a direct summand of G. Now it suffices to show that  $\lambda \circ \bar{\varepsilon}$  is surjective. The class of the loop  $\gamma_p$  in  $H_1(\tilde{C}, \mathbb{Z})$  maps by  $q: H_1(\tilde{C}, \mathbb{Z}) \to G$  to the image of  $\bar{1} \in \mathbb{Z}_{m(p)} \subseteq \bigoplus_{i=1}^t \mathbb{Z}_{m_i}$  in G. By the commutativity of the diagram (\*) above, one gets that if  $\sigma(x) = \gamma_p$  then  $g(x) \in \text{Im } (\varepsilon)$ , and  $(\lambda \circ g)(x)$  is also the image of  $\bar{1} \in \mathbb{Z}_{m(p)}$  in G. Consequently  $\lambda \circ \bar{\varepsilon}$  is surjective, as we wanted.

CLAIM 5. The following sequence is exact:

$$H_1(F, \mathbb{Z}) \xrightarrow{j} H_1(X, \mathbb{Z}) \xrightarrow{(\lambda, \varphi_{\bullet})} G \times H_1(C, \mathbb{Z}) \to 0.$$

Proof of Claim 5. Clearly Im  $(j) \subseteq \operatorname{Ker}(\lambda, \varphi_*)$ . Conversely if  $x \in \operatorname{Ker}(\lambda, \varphi_*)$  then  $x \in M$  and  $\rho(x) = 0$ , so that  $x \in \operatorname{Im}(j)$ . Let us finally prove the surjectivity of  $(\lambda, \varphi_*)$ . Let  $(y, z) \in G \times H_1(C, \mathbb{Z})$ . There exists an element  $x \in H_1(H, \mathbb{Z})$  such that  $\varphi_*(x) = z$ . Since  $\lambda \circ \varepsilon$  is surjective, one can find  $t \in M$  such that  $\lambda(\varepsilon(t)) = y - \lambda(x)$ . Then  $\lambda(x + \varepsilon(t)) = y$  and  $\varphi_*(x + \varepsilon(t)) = z$ . This ends the proof of Theorem 1.3.

For the remainder of this section we will assume all complex manifolds to be projective algebraic.

REMARK 1.4. When X is a compact surface and F is a curve of genus 1 (i.e. when  $\varphi: X \to C$  is an elliptic fibration) one has a more accurate information. If  $\varphi$  has a singular fibre other than a multiple of a smooth curve, then the homomorphism  $H_1(F, \mathbb{Z}) \to H_1(X, \mathbb{Z})$  is the zero map ([2], 1.39). In particular  $h^1 \mathcal{O}_X = h^1 \mathcal{O}_C$  in this case. For the other cases see [11]. In general, the fundamental group of an elliptic surface can be almost completely described ([8]).

A fibration  $\varphi: X \to C$  induces a surjective morphism  $Alb(X) \to Alb(C)$  between the corresponding Albanese varieties, so that one always has the inequality  $h^1 \mathcal{O}_X \ge h^1 \mathcal{O}_C$ . Furthermore, one gets the equality  $h^1 \mathcal{O}_X = h^1 \mathcal{O}_C$  if and only if either  $h^1 \mathcal{O}_X = 0$  or  $\varphi$  coincides with the map from X onto its image by  $X \to Alb(X)$ . This is a consequence of the universal property of the Albanese variety and uses in a crucial way the connectedness of the fibre of  $\varphi$ .

Denote by tor(H) the torsion of an abelian group H. From Theorem 1.3 one immediately gets.

COROLLARY 1.5. Let J denote the image of  $H_1(F, \mathbb{Z})$  in  $H_1(X, \mathbb{Z})$ . Then there is an exact sequence

$$0 \to \text{tor } J \to \text{tor } H_1(X, \mathbb{Z}) \to G.$$

Furthermore, tor  $H_1(X, \mathbb{Z}) \to G$  is surjective provided that  $h^1 \mathcal{O}_X = h^1 \mathcal{O}_C$ .

We recall that tor  $H_1(X, \mathbb{Z}) \simeq \text{tor } H^2(X, \mathbb{Z})$  (non-canonically). The following Proposition describes explicitly some of the elements of tor  $H^2(X, \mathbb{Z})$  in case  $h^1 \mathcal{O}_X = h^1 \mathcal{O}_C$ . Let  $m_1 D_1, \ldots, m_t D_t$  be the multiple fibres of a fibration  $\varphi \colon X \to C$ , and denote  $\mu$  the least common multiple of  $m_1, \ldots, m_t$ . Since  $\mu/m_1, \ldots, \mu/m_t$  are relatively prime, there exist integers  $\lambda_1, \ldots, \lambda_t$  such that  $\sum_{i=1}^t (\lambda_i \mu/m_i) = 1$  Let  $D = \sum_{i=1}^t \lambda_i D_i$ . Denote by [E] the class in  $H^2(X, \mathbb{Z})$  of a divisor E, and  $G := G(\varphi)$ .

PROPOSITION 1.6. If  $h^1\mathcal{O}_X = h^1\mathcal{O}_C$ , then the classes  $\{[D_i - (\mu/m_i)D] | i = 1, ..., t\}$  generate a subgroup of tor  $H^2(X, \mathbb{Z})$  isomorphic to G.

*Proof.* First we remark that the subgroup generated by these classes is precisely  $\{\Sigma_{i=1}^t \alpha_i[D_i] \mid \alpha_i \in \mathbb{Z}, \Sigma_{i=1}^t (\alpha_i/m_i) = 0\}.$ 

In order to avoid technical difficulties we will reduce the proof to the case dim X=2. Take successive general hyperplane sections of X so as to get a smooth surface S. We have  $h^1\mathcal{O}_S=h^1\mathcal{O}_X$  and  $H^2(X,\mathbb{Z})\to H^2(S,\mathbb{Z})$  one-to-one ([5], §1). By Lemma 1.8, the multiple fibres of the restriction  $\varphi|_S:S\to C$  come as linear sections of the multiple fibres of  $\varphi$ , and have the same multiplicities. Therefore the Proposition is true for X as long as it holds for S. From now onwards we will assume dim X=2.

If F is a general fibre of  $\varphi$  then

$$m_i[D_i - (\mu/m_i)D] = [m_iD_i] - [\mu D]$$
  
=  $[F] - [F] = 0.$ 

Thus  $[D_i - (\mu/m_i)D] \in \text{tor } H^2(X, \mathbb{Z})$ . Define the homomorphisms:

$$\sigma: \mathbb{Z} \to \bigoplus_{i=1}^{t} \mathbb{Z}_{m_i}, \qquad \rho: \bigoplus_{i=1}^{t} \mathbb{Z}_{m_i} \to \text{tor } H^2(X, \mathbb{Z})$$

as  $\sigma(1) = \sum_{i=1}^{t} \lambda_i e_i$ ,  $\rho(e_i) = [D_i - (\mu/m_i)D]$ , where  $e_i = (0, \dots, 0, \overline{1}, 0, \dots, 0)$ , ( $\overline{1}$  in the *i*th-position).

CLAIM 1. The sequence

$$\mathbb{Z} \xrightarrow{\sigma} \bigoplus_{i=1}^{t} \mathbb{Z}_{m_i} \xrightarrow{\rho} \text{tor } H^2(X, \mathbb{Z})$$

is exact.

Proof of Claim 1. First note that

$$\rho\left(\sum_{i=1}^{t} \lambda e_i\right) = \left[\left(\sum_{i} \lambda_i D_i\right) - \sum_{i} (\lambda_i \mu/m_i)D\right]$$
$$= [D - D] = 0$$

Hence Im  $(\sigma) \subseteq \text{Ker } (\rho)$ . Now assume  $\rho(\Sigma_{i=1}^t \gamma_i e_i) = 0$ , and put  $\delta := \Sigma_i (\gamma_i \mu/m_i)$ . From  $[(\Sigma_i \gamma_i D_i) - \delta D] = 0$  it follows that  $(\Sigma_i \gamma_i D_i) - \delta D$  belongs to the Picard variety of X, denoted Pic° (X). As indicated before, the fact that  $h^1 \mathcal{O}_X = h^1 \mathcal{O}_C$ 

implies that the Albanese varieties of X and C are isomorphic, hence also their Picard varieties are isomorphic. The symbol  $\sim$  is going to denote linear equivalence of divisors. Obviously the restriction  $\operatorname{Pic}^{\circ}(C) \to \operatorname{Pic}^{\circ}(D_k)$  is the zero map, and it follows that  $(\Sigma_{i=1}^t \gamma_i D_i - \delta D)_{|D_k} \sim 0$ . We know that  $(D_i)_{|D_k} \sim 0$  if  $i \neq k$ , and  $(D_k)_{|D_k}$  is torsion of order  $m_k$  in  $\operatorname{Pic}(D_k)$  ([1]; III 8.3). Combining with  $D_{|D_k} \sim \lambda_k (D_k)_{|D_k}$  one gets  $(\gamma_k - \delta \lambda_k)(D_k)_{|D_k} \sim 0$ , which implies that  $\gamma_k - \delta \lambda_k$  is a multiple of  $m_k$ . Thus  $\Sigma_i \gamma_i e_i = \delta \Sigma_i \lambda_i e_i \in \operatorname{Im}(\sigma)$ , as we wanted.

CLAIM 2. Ker 
$$(\sigma) = (\mu)\mathbb{Z}$$

Proof of Claim 2. Let  $(v)\mathbb{Z} := \operatorname{Ker}(\sigma)$ . Multiplying the equation  $\sum_{i=1}^{t} (\lambda_i \mu/m_i) = 1$  by  $m_k$  we obtain that  $\lambda_k \mu$  is a multiple of  $m_k$ . Hence  $\sigma(\mu) = 0$  and one can write  $\mu = v \cdot d$  for some  $d \in \mathbb{Z}$ . Since  $m_i$  divides  $\lambda_i v$  we have  $\sum_i (\lambda_i v/m_i) \in \mathbb{Z}$ . On the other hand  $1 = \sum_i (\lambda_i \mu/m_i) = d \sum_i (\lambda_i v/m_i)$ , so that d = 1 and Claim 2 follows.

The exact sequence

$$0 \to \mathbb{Z}_{\mu} \xrightarrow{\bar{\sigma}} \bigoplus_{i=1}^{t} \mathbb{Z}_{m_{i}} \longrightarrow \operatorname{Im}(\rho) \longrightarrow 0$$

splits because  $\bar{\sigma}$  admits a retraction  $\tau$  defined by  $\tau(e_i) = \mu/m_i$ . Let  $\operatorname{Im}(\rho) \simeq \bigoplus_{j=1}^r \mathbb{Z}_{b_j}$  with  $b_j$  dividing  $b_{j+1}$  for all j. Since  $\operatorname{Im}(\rho)$  is a quotient of  $\bigoplus_{i=1}^r \mathbb{Z}_{m_i}$  we see that  $b_r$  divides  $\mu$ . Hence

$$\bigoplus_{i=1}^{l} \mathbb{Z}_{m_i} \simeq \mathbb{Z}_{b_1} \oplus \cdots \oplus \mathbb{Z}_{b_r} \oplus \mathbb{Z}_{\mu}$$

The uniqueness of this decomposition together with Lemma 1.2 imply that  $Im(\rho) \simeq G$ .

Finally we will prove some results used before.

LEMMA 1.7. Let  $V \subseteq \mathbb{P}^n$  be a reduced variety of dimension  $\geq 2$ , and denote by  $(\mathbb{P}^n)^V$  the variety of hyperplanes. Then  $\dim \{L \in (\mathbb{P}^n)^V \mid L \cap V \text{ is non-reduced}\} \leq n-2$ .

*Proof.* Let  $\Gamma = \{(P, L) \in V \times (\mathbb{P}^n)^V \mid L \cap V \text{ is non-reduced at } P\}$ , and  $\Omega = \{(P, L) \in V \times (\mathbb{P}^n)^V \mid L \cap V \text{ is singular at } P\}$ . One has dim  $\Omega = n - 1$  ([6], II 8.18) and  $\Gamma \subseteq \Omega$ , so that dim  $\Gamma \le n - 1$ . On the other hand, if  $\pi : \Gamma \to (\mathbb{P}^n)^V$  denotes the projection and  $L \in \text{Im } \pi$  then dim  $\pi^{-1}(L) \ge 1$ . We conclude dim  $\text{Im } \pi \le n - 2$ .  $\square$ 

LEMMA 1.8. Let  $\varphi: X \to C$  be a fibration from the smooth projective variety X of dimension  $\geq 3$  onto a curve. Let Y be a general hyperplane section of X. Then the

multiple fibres of the restriction of  $\varphi$  to Y are exactly the hyperplane sections of the multiple fibres of  $\varphi$ , and have their same multiplicities.

*Proof.* Let  $X \subseteq \mathbb{P}^n$ , and set  $\Gamma = \{(t, L) \in C \times (\mathbb{P}^n)^V \mid \text{multiplicity of } (\varphi^{-1}(t) \cap L) \text{ is strictly greater than the multiplicity of } \varphi^{-1}(t)\}$ . Denote by  $\alpha : \Gamma \to C$ ,  $\beta : \Gamma \to (\mathbb{P}^n)^V$  the two projections. For any  $t \in C$ , the preceding Lemma applied to all the irreducible components of  $(\varphi^{-1}(t))_{\text{red}}$  yields dim  $\alpha^{-1}(t) \le n-2$ . Therefore dim Im  $\beta \le \dim \Gamma \le n-1$ .

## §2. Families of fibrations

We will consider the following situation. Let X, Y, M be connected complex manifolds (not necessarily compact), and let  $f: X \to Y$ ,  $g: Y \to M$  be surjective, proper, flat holomorphic maps with connected fibres. Write  $h:=g \circ f$ , and suppose that all fibres of g are smooth compact curves, and the fibres of g are all compact manifolds. If  $X_t, Y_t$  denote the fibres of g and g over g over g over g is a fibration as defined at the beginning of §1.

DEFINITION 2.1. With the hypothesis just stated, we will say that  $\{f_t: X_t \to Y_t\}_{t \in M}$  is a family of fibrations. For any 0,  $t \in M$ ,  $f_t$  is called a smooth deformation of  $f_0$ .

Now we ask ourselves how do the groups  $L(f_t)$  of Definition 1.1 vary for a family of fibrations  $\{f_t\}_{t\in M}$ . As a matter of fact, we will see that they are all isomorphic. To begin with, the following Proposition shows the invariance of  $G(f_t)$  under smooth deformations. The proof relies on the fact that a smooth holomorphic map is differentiably locally trivial. Then we will recall that  $G(f_t)$  is a direct summand of  $L(f_t)$  and will do a base change in order to obtain the invariance of  $L(f_t)$ .

PROPOSITION 2.2. If  $\{f_t: X_t \to Y_t\}_{t \in M}$  is a family of fibrations, then the groups  $G(f_t)$  are all isomorphic.

**Proof.** Let (X, Y, M, f, g) be the quintuplet which determines the family  $\{f_t: X_t \to Y_t\}$ , as defined before. In order to fix ideas, we will choose an element  $0 \in M$  and will write  $R := X_0$ ,  $C := Y_0$ ,  $\varphi := f_0$ . The maps  $f_t$  are smooth deformations of  $\varphi: R \to C$ . A theorem of Ehresmann ([3]; compare with [10], page 19, and [12]) states that g and  $h := g \circ f$  are differentiably locally trivial. In particular, there exists an analytic open neighbourhood U of  $0 \in M$  and a commutative diagram

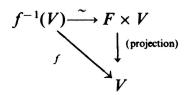
$$h^{-1}(U) \xrightarrow{f} g^{-1}(U)$$

$$\downarrow p \downarrow \sim \qquad q \downarrow \sim \qquad g$$

$$R \times U \longrightarrow C \times U \quad \text{(projection)}$$

$$(x, t) \longmapsto (\Psi_t(x), t) \longrightarrow U$$

where the vertical arrows p, q are diffeomorphisms, and  $\Psi_t : R \to C$  a differentiable map. Choose a point  $\xi \in C$  such that  $F := \varphi^{-1}(\xi)$  is smooth. The map  $f : X \to Y$  is also differentiably trivial in a neighbourhood  $V \subseteq g^{-1}(U)$  of  $q^{-1}(\xi, 0)$ , that is, there exists a diffeomorphism  $f^{-1}(V) \simeq F \times V$  making commutative the following diagram



Put W := q(V). We have a commutative diagram

$$F \times W \xrightarrow{\text{(projection)}} W$$

$$\downarrow \qquad \qquad \downarrow$$

$$R \times U \longrightarrow C \times U$$

working as

$$(z; (y, t)) \mapsto (y, t)$$

$$\downarrow \qquad \qquad \downarrow$$

$$(\lambda(z, y, t), ); t) \mapsto ((\Psi_t \circ \lambda)(z, y, t,); t) = (y, t)$$

The left vertical arrow is a differentiable immersion, and  $\lambda: F \times W \to R$  is a differentiable map. Let us define  $\sigma_t: F \to R(t \in M)$  by  $\sigma_t(z) = \lambda(z, \xi, t)$ . Notice that  $\sigma_t(F)$  is the fibre of  $\Psi_t$  over the point  $\xi \in C$ . Furthermore the maps  $\sigma_t$ ,  $\sigma_0$  are homotopic to each other for t close enough to 0, and thus they induce the same map in homology. With our identifications and Theorem 1.3 we immediately see that the cokernel of  $(\sigma_t)_*: H_1(F, \mathbb{Z}) \to H_1(R, \mathbb{Z})$  is isomorphic to  $H_1(C, \mathbb{Z}) \times G(f_t)$ , whose torsion part is  $G(f_t)$ . Since  $(\sigma_t)_* = (\sigma_0)_*$ , it follows that  $G(f_t) \simeq G(f_0)$  for t near 0. As a matter of fact, we have just proved that the set of  $t \in M$  such that  $G(f_t) \simeq G(f_0)$  is open. But similar arguments show that it is also closed, and the connectedness of M finishes our proof.

THEOREM 2.3. Let  $\{f_t: X_t \to Y_t\}_{t \in M}$  be a family of fibrations. Then the groups  $L(f_t)$  are all isomorphic.

Proof. Let the family be determined by the maps  $f: X \to Y$ ,  $g: Y \to M$  as described at the beginning of this section. Write  $h:=g\circ f$ , and choose a point  $0\in M$ . First we will assume that  $Y_0$  is not rational. Let  $\sigma: B\to Y_0$  be any étale morphism of degree 2. Since g is differentiably locally trivial, there is a neighbourhood U of  $0\in M$  such that  $U\times Y_0$  and  $g^{-1}(U)$  are diffeomorphic over U. The composite  $(\mathrm{id},\sigma):U\times B\to U\times Y_0\approx g^{-1}(U)$  makes  $U\times B$  into a topological covering space of  $g^{-1}(U)$ . Let V denote the space  $U\times B$  endowed with the complex structure induced by  $g^{-1}(U)$ , and set  $W:=h^{-1}(U)\times_{g^{-1}(U)}V$ . The natural projection  $\lambda:W\to V$  defines a family of fibrations parametrized by U. Furthermore, each fibre of multiplicity m of  $f_t:X_t\to Y_t$ ,  $t\in U$ , lifts to a pair of fibres of  $\lambda_t:W_t\to V_t$ , both with multiplicity m. Thus  $L(\lambda_t)\simeq L(f_t)\oplus L(f_t)$ . Combining the invariance of  $G(\lambda_t)$  asserted in Theorem 2.2 with Lemma 1.2 yields the invariance of  $L(f_t)$  for  $t\in U$ . Now use the connectedness of M to get that  $L(f_t)$  is the same for all  $t\in M$ .

Next let us suppose that  $Y_0$  is rational. Then  $Y_t \simeq \mathbb{P}^1$  for all  $t \in M$ . It follows from [4] that  $g: Y \to M$  is analytically locally trivial, so that  $g^{-1}(U)$  is analytically isomorphic to  $U \times Y_0$  over U, for some neighbourhood U of  $0 \in M$ . Let  $B \to Y_0$  be any double cover which is unramified over the points of  $Y_0$  where  $f_0: X_0 \to Y_0$  fails to be smooth. Making U smaller if necessary one may assume that the composite  $f: h^{-1}(U) \to g^{-1}(U) \approx U \times Y_0$  is a smooth map over all points (t, x) where x is a branch point of  $B \to Y_0$ . Set  $V := U \times B$  and  $W := h^{-1}(U) \times_{g^{-1}(U)} V$ . Then W is smooth and the projection  $\lambda: W \to V$  defines a family of fibrations. One checks that  $\lambda_t: W_t \to V_t$ . has no other multiple fibres than the ones coming from  $f_t: X_t \to Y_t$ . Hence also  $L(\lambda_t) \simeq L(f_t)^{\oplus 2}$  for all t, and one finishes as before.

REMARK 2.4. For elliptic fibrations on a compact surface something stronger than Theorem 2.3 holds, namely, that the set of multiplicities of the fibres is invariant under smooth deformations. This was proved by Iitaka in [7].

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