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## A linearity theorem for group actions on spheres with applications to homotopy representations

STEFAN BAUER

*Abstract.* Let  $G$  be a finite group and  $X$  an equivariant  $\mathbb{Z}/|G|$ -homology sphere. By Smith-theory the fixed point set  $X^H$  for a  $p$ -subgroup  $H$  is a  $\mathbb{Z}/p$ -homology sphere of dimension  $d(H)$ .

**THEOREM.** *There exists a virtual representation  $V - W \in RO(G)$  such that  $d(H) = \dim V^H - \dim W^H$  holds for any subgroup  $H$  of  $G$  of prime power order.*

This applies to describing the Grothendieck group  $\mathcal{D}(G)$  of dimension functions of homotopy representations for compact Lie groups  $G$  in algebraic terms.

Let  $G$  be a finite group and  $T \subset \mathbb{Q}$  denote a subring which is contained in the local ring  $\mathbb{Z}_{(p)}$  for any prime divisor  $p$  of the order  $|G|$  of  $G$ . If  $X$  is a finitistic  $G$ -space, which is a  $T$ -homology sphere, then the fixed point set  $X^H$  is a  $\mathbb{Z}_{(p)}$ -homology sphere of dimension  $d(H)$  for any  $p$ -subgroup  $H$  of  $G$ .

The purpose of this paper is to give a proof and an application of the following

**THEOREM.** *There exists a virtual representation  $V - W \in RO(G)$  such that  $d(H) = \dim V^H - \dim W^H$  holds for any subgroup  $H$  of  $G$  of prime power order.*

In particular  $d$  can be characterized by the combinatorial congruences in (1.1). Related linearity theorems are known for special cases: Homotopy representations of finite nilpotent groups do have stably linear dimension functions [tD1]. The theorem above is known for  $p$ -groups [Do-Ha].

Taking into account tom Dieck's analysis of group actions on homotopy spheres (compare [tD4] and [tD5]), the linearity theorem also gives sufficient conditions for the existence of group actions on homotopy spheres. An application to homotopy representations will be discussed in the second part.

Let  $\mathcal{P}$  denote the open family of subgroups (i.e.  $\mathcal{P}$  is a union of conjugacy classes, such that for any  $K \in \mathcal{P}$  all subgroups of  $K$  are also contained in  $\mathcal{P}$ ) of prime power order and  $\mathcal{P}'$  the subfamily of cyclic ones.

(1.1) **DEFINITION.** Let  $\mathcal{D}_{\mathcal{P}}(G)$  denote the group of functions  $f: \mathcal{P} \rightarrow \mathbb{Z}$ ,

constant on conjugacy classes, which satisfy the following relations: For every triple  $H \triangleleft K \triangleleft M$  of subgroups of  $G$  with  $K/H \cong \mathbb{Z}/p$  and  $H$  a  $p$ -group,

- (1)  $f(H) \equiv f(K) \pmod{2}$ , if  $p$  is odd or if  $M/H \cong \mathbb{Z}/4$
- (2)  $f(H) \equiv f(K) \pmod{4}$ , if  $M/H \cong$  quaternion group
- (3)  $f(H) + p \cdot f(M) = \sum f(K_i)$ , if  $M/H \cong \mathbb{Z}/p \times \mathbb{Z}/p$ . Here of course the sum is over all  $K_i$  with  $H \triangleleft K_i \triangleleft M$  and  $K_i/H \cong \mathbb{Z}/p$
- (4)  $f(H) \equiv f(K) \pmod{q^{r-l}}$ , if  $M/K \cong \mathbb{Z}/q^r$ , acting on  $K/H$  with kernel of prime power order  $q^l$ .

(1.2) PROPOSITION. If  $d: \mathcal{P} \rightarrow \mathbb{Z}$  denotes the dimension function of a finitistic  $G$ -space  $X$  which is a  $T$ -homology sphere, then  $d \in \mathcal{D}_\varphi(G)$ .

*Proof.* (1) holds by Smith-theory; for (2) compare [tD1] or [Do-Ha]. (3) is the Borel formula and (4) follows from a spectral sequence argument (the proof is almost verbatim the same as the proof of [tD2; 4.1], dealing the case  $q = 2$ ). For the reader's convenience I will recall it here. It may be assumed  $H = 1$ . Look at cohomology with  $\mathbb{Z}/p$ -coefficients of the Borel-fibration

$$(X, X^K) \rightarrow (EM \times_K X, EM \times_K X^K) \rightarrow BK.$$

By Smith theory it may be assumed  $d(1) = m > n = d(K)$ . Fix generators  $z \in \text{Coker}(H^n(X) \rightarrow H^n(X^K))$  and  $u \in H^m(X)$  to obtain from the exact sequence  $H^{j-1}(X^K) \xrightarrow{\delta} H^j(X, X^K) \xrightarrow{i} H^j(X)$  generators  $y \in H^{n+1}(X, X^K)$  and  $v \in H^m(X, X^K)$  such that  $\delta z = y$  and  $\iota v = u$ . The spectral sequence of the Borel fibration has as  $E_2$ -term  $E_2^{ij} = H^i(BK) \otimes H^j(X, X^K)$ . The transgression  $d: E_2^{0,m} \rightarrow E_2^{m-n, n+1}$  gives a relation  $d(1 \otimes \underset{\downarrow \psi}{u}) = A \otimes y$  for a unique element  $0 \neq A \in H^{m-n}(BK)$ .

Consider the following map of relative fibrations:

$$\begin{array}{ccc} (X, X^K) & \xrightarrow{l_g} & (X, X^K) \\ \downarrow & & \downarrow \\ EM \times_K (X, X^K) & \xrightarrow{L_g} & EM \times_K (X, X^K) \\ \downarrow & & \downarrow \\ BK & \xrightarrow{a_g} & BK \end{array}$$

Here  $l_g$  denotes left translation by an element  $g \in M$  of order  $q^k$ .  $L_g$  is given by  $(e, x) \mapsto (eg^{-1}, gx)$  and  $a_g$  is the induced map on  $BK$ ; it is induced by the automorphism of  $K$ , given by conjugation by  $g$ . The induced map of spectral sequences gives a relation  $A \otimes (\deg l_g) \cdot y = d(1 \otimes (\deg l_g) \cdot u) = d(L_g^*(1 \otimes u)) =$

$L_g^* d(1 \otimes u) = L_g^*(A \otimes y) = a_g^* A \otimes l_g^* y = a_g^* A \otimes (\deg l_g^K) y$ . Therefore  $a_g^* A = \varepsilon \cdot A$  with  $\varepsilon = (\deg l_g)(\deg l_g^K)^{-1}$ .

For a generator  $t \in H^2(BK)$  the element  $A$  takes the form  $a \cdot t^{(m-n)/2}$ . The action of  $g$  on  $H^2(BK)$  is by multiplication with  $\gamma \in Z/p^*$  such that  $\gamma^{q'} = 1$  iff  $(k-l)$  divides  $r$ . From  $a_g^* A = \gamma^{(m-n)/2} \cdot A = \varepsilon A$  one obtains that  $q^{(k-l)}$  divides  $(m-n)$ . ■

(1.3) THEOREM. *The dimension homomorphism  $RO(G) \rightarrow \mathcal{D}_p(G)$  is surjective for any finite group  $G$ .*

*Proof.* For an open subfamily  $\mathcal{F}$  of  $\mathcal{P}$  let  $\mathcal{D}_{\mathcal{F}}(G)$  denote the functions in  $\mathcal{D}_p(G)$ , restricted to  $\mathcal{F}$ . Note that restriction  $\mathcal{D}_p(G) \rightarrow \mathcal{D}_{\mathcal{F}}(G)$  is injective. Hence it suffices to show that  $RO(G) \rightarrow \mathcal{D}_{\mathcal{F}}(G)$  is surjective for any open subfamily  $\mathcal{F}$  of  $\mathcal{P}$ . I will do this inductively. Let  $\mathcal{F}' \supset \mathcal{F}$  be adjacent families, i.e.  $\mathcal{F}' \setminus \mathcal{F}$  is a conjugacy class  $(H)$ ,  $H$  being a cyclic  $p$ -group. Suppose  $RO(G) \rightarrow \mathcal{D}_{\mathcal{F}}(G)$  is surjective and let  $f \in \ker(\mathcal{D}_p(G) \rightarrow \mathcal{D}_{\mathcal{F}}(G))$ . I will show that for any prime  $q$  there exists a virtual representation  $V_q \in \ker(RO(G) \rightarrow \mathcal{D}_{\mathcal{F}}(G))$  with  $\dim V_q^H = n_q \cdot f(H)$  such that  $n_q$  divides  $|G|$  and is prime to  $q$ . As the g.c.d. of the  $n_q$  is 1, a linear combination  $V$  of the  $V_q$  will satisfy  $\dim V^H = f(H)$ , thus showing  $RO(G) \rightarrow \mathcal{D}_{\mathcal{F}}(G)$  is surjective.

So let's fix a prime  $q$  and choose  $K$  such that  $K/H$  is a  $q$ -Sylow subgroup of  $NH/H$ .

*First case.*  $q = p$ . Note that  $K$  is a  $p$ -group. Hence by [tD1] or [Do-Ha] there exists  $\tilde{V}_p \in RO(K)$  with  $\dim \tilde{V}_p^L = f(L)$  for  $L \leq K$ . Setting  $V_p = \text{ind}_K^G \tilde{V}_p$ , one has  $\dim V_p^L = n_p \cdot f(L)$  for  $L \in \mathcal{F}'$ , where  $n_p = |NH:K|$  is prime to  $p$ .

*Second case.*  $q \neq p$ . Note that  $K$  is a split extension by the Zassenhaus theorem. The homomorphism  $\rho: K \rightarrow \text{Aut } H$ , induced by conjugation, has cyclic image. Let  $K' < K$  be the preimage of  $\{\pm 1\} < \text{Aut } H$ . I will construct  $\tilde{U} \in RO(K')$  with  $\dim \tilde{U}^L = 0$  for  $L < K'$ ,  $L \in \mathcal{F}$ , and  $\dim \tilde{U}^H = 2$ .

Note that  $\ker \rho = K''$  is nilpotent. Let  $W$  be an irreducible representation of  $H$  with  $|H: \ker W| = p$  and let  $R$  denote the trivial representation. These  $H$ -representations can be viewed as  $K''$ -representations with the  $q$ -Sylow subgroup  $K''_q$  acting trivially. In case  $K'' = K'$ , set  $\tilde{U} = 2R - W$  (resp.  $\tilde{U} = 2R - 2W$ , if  $p = 2$ ). Otherwise take  $\tilde{W} = 2R - W$  and note that  $\tilde{W}$  has complex structure, thus so does  $\text{ind}_{K''}^{K'} \tilde{W}$ . But all representations of the dihedral group  $K'/K''_2$  are of real type (here  $K''_2$  denotes the 2-Sylow subgroup of  $K''$ ). Hence there is  $\tilde{U} \in RO(K')$  with  $\mathbb{C} \otimes_{\mathbb{R}} \tilde{U} = \text{ind}_{K''}^{K'} \tilde{W}$ . Now for  $U = \text{ind}_K^{K'} \tilde{U}$  one has  $\dim U^L = 0$  for  $L \in \mathcal{F}$  and  $\dim U^H = 2 \cdot |NH:K'| = 2 \cdot |NH:K| \cdot |K:K'| = 2n_q |K:K'|$ . Hence the virtual representation  $(f(H)/2 |K:K'|) \cdot U$  can serve as  $V_q$ . ■



Note that  $\mathcal{D}_\varphi(G)$  is a Mackey-functor, with induction map  $i_H^G: \mathcal{D}_\varphi(H) \rightarrow \mathcal{D}_\varphi(G)$  defined by  $i_H^G f(K) = \sum f(gKg^{-1} \cap H)$ , where the sum is over double cosets  $KgH \in K \backslash G/H$  (compare [tD–Pe; 9]). In the sense of Serre [Se; 12] I have just proved a “Brauer”-like statement:

(1.4) COROLLARY. *The induction map  $\bigoplus_{(H)} \mathcal{D}_\varphi(H) \rightarrow \mathcal{D}_\varphi(G)$  is surjective, where the sum is taken over all  $\Gamma_{\mathbf{R}}$ -elementary subgroups  $H$  of  $G$ .*

From this view  $G$ -spheres behave like real representations.

## 2. Applications to homotopy representations

A *homotopy representation* of a compact Lie group  $G$  is a  $G$  –  $CW$ -complex  $X$  of finite orbit type such that the fixed point sets  $X^H$  are homotopy equivalent to spheres  $S^{d(H)-1}$  of the same topological dimension. The function  $d$  on the set of subgroups of  $G$  is called *dimension function*. An addition law (up to  $G$ -homotopy equivalence) is defined by taking the join, with Grothendieck group  $V(G)$ . A thorough discussion of homotopy representations can be found in [tD–Pe].

Let  $\Psi(G)$  denote the set of conjugacy classes of closed subgroups of  $G$ , topologized via the Hausdorff-metric (compare [tD6], 5.6). Let  $C(G)$  denote the group of functions  $f: \Psi(G) \rightarrow \mathbb{Z}$ . Associating to a homotopy representation its dimension function gives a group homomorphism  $d: V(G) \rightarrow C(G)$ . There are a couple of partial results on the image of  $d$ . The linearity theorem applies to give a complete description of the image of  $d$  in terms of dimension functions of linear representations as well as in combinatorial terms. The following definition introduces the candidate  $\mathcal{D}(G)$  for this image, which will turn out to be the right guess.

(2.1) DEFINITION. The group  $\mathcal{D}(G)$  of dimension functions of  $G$  consists of all continuous functions  $f: \Psi(G) \rightarrow \mathbb{Z}$  subject to the following conditions: For any finite subquotient  $K/H$  of  $G$  there exists an element  $V - W \in RO(K/H)$  such that for  $L$  with  $H \triangleleft L < K$ ,

- (i) if  $L/H$  is a  $p$ -group, then  $f(L) = \dim V^L - \dim W^L$
- (ii) if the  $p$ -Sylow subgroup  $(L/H)_p$  is normal in  $L/H$  with quotient a 2-group, then  $f(L) \equiv \dim V^L - \dim W^L \pmod{2}$ .

*Remarks.* –The continuity condition corresponds to the finite orbit type condition in the definition of homotopy representations, compare [Ba; (2.4)].

– For a homotopy representation  $X$  the fixed point set  $X^H$  is a  $K/H$ -equivariant sphere. The existence of  $V - W \in RO(K/H)$  satisfying (i) is a consequence of the main theorem.

- The relations in (ii) are a consequence of an Artin relation (mod 2), as given in [Do]. The Euler characteristic of  $X^L$  is determined by the dimensions  $\dim X^M$  for  $M < L$  and  $M/H$  a  $p$ -group, if  $L$  is as in (ii). The Lefschetz fixed point theorem gives a tight connection between this Artin relation and the orientation behaviour of group actions on homotopy spheres, compare [tD2, (1.6), (4.1) and the following remarks].
- There also is a description of  $\mathcal{D}(G)$  in terms of combinatorial relations. As to (i) these are stated in (1.1). The relation corresponding to (ii) is stated in [Do].

Here are some conditions that, together, are sufficient for a function  $d: \Psi(G) \rightarrow \mathbf{Z}$  to be the dimension function of a homotopy representation:

- (2.2) (a)  $d \in \mathcal{D}(G)$  with  $d(H) \geq 0$  for  $H < G$
- (b) For any subgroup  $H < G$  there is a unique maximal *formal isotropy group*  $\hat{H} > H$  such that  $d(\hat{H}) = d(H)$ .
- (c) The set  $\text{Iso}(d)$  of formal isotropy groups is closed under intersection, i.e. if  $K, H \in \text{Iso}(d)$ , then  $H \cap K \in \text{Iso}(d)$ .
- (d) If  $K > H$  then  $d(H) \geq d(K) + \dim(G/\hat{K})^H - \dim W\hat{K}$
- (e) There exists a  $G$ -complex  $A$  with
- (i)  $\text{Iso}(d) \supset \text{Iso}(A) \supset (S_1 \cup S_2)$ , where  
 $S_1 = \{H \in \text{Iso}(d) \mid d(H) \leq \dim WH + 2\}$  and  
 $S_2 = \{H \in \text{Iso}(d) \mid \text{there exists } K \in \text{Iso}(d), K \geq H \text{ such that } d(H) = d(K) + \dim(G/K)^H\}$
- (ii) If  $K \leq H \leq G$  and  $K \in \text{Iso}(A)$ , then  $A^H$  is a  $WH$ -homotopy representation.

(2.3) THEOREM. *If the conditions (2.2) are satisfied, then there exists a homotopy representation  $X$  with dimension function  $d$  and  $\text{Iso}(X) \subset \text{Iso}(d)$ .*

*Proof.* By [Ba; 5.7] one only has to prove it for finite  $G$ . To do so, it suffices to show that for each  $H < G$  there is a  $WH$ -representation sphere  $Y$ , with  $\dim Y^{K/H} = d(K)$  for any  $p$ -group  $K/H$  in  $WH$  (compare [tD2; 1.7]). By [tD1; 3.6],  $Y$  need only exist stably, i.e. there is an element  $V - W \in RO(WH)$  with  $\dim V^K - \dim W^K = d(K)$  for any  $p$ -group  $K/H$  in  $WH$ . But this is true by the definition of  $\mathcal{D}(G)$ . Note that the orientation behaviour of  $X^H$  is determined by (2.1)(ii) (compare also [Ba; 5.4]). ■

*Remark.* All conditions in (2.2) are necessary ones, except condition (c) (compare [Ba] and [La]). The latter paper gives an example (2.14) in which condition (c) does not hold.

Stably the picture is more pleasant: Combining with results in [tD–Pe] and [tD3], one gets the structure theorem:

(2.4) THEOREM. *There exists an exact sequence*

$$0 \rightarrow \text{Pic}(A(G)) \rightarrow V(G) \xrightarrow{d} \mathcal{D}(G) \rightarrow 0.$$

Here  $\text{Pic}(A(G))$  denotes the Picard group of the Burnside ring  $A(G)$ .

*Proof.* The kernel  $v(G)$  of  $d$  was computed in [tD–Pe] for the finite group case and in [tD3] for the compact Lie case: For an appropriate  $G$ -map  $f: X \rightarrow Y$  between two homotopy representations with the same dimension function the collection of degrees  $(\deg f^H)$  defines an element in  $\text{Pic}(A(G))$ . This results in an isomorphism  $v(G) \cong \text{Pic}(A(G))$ . By the remarks following the definition of  $\mathcal{D}(G)$  the homomorphism  $d$  maps into  $\mathcal{D}(G)$ . Given a dimension function  $d \in \mathcal{D}(G)$ , all of the conditions (2.2) can be satisfied by adding the dimension function of an appropriate real representation, compare [Ba, (2.4)]. This proves surjectivity. ■

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