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# Poincaré duality groups of dimension two

BENO ECKMANN and HEINZ MÜLLER

In this paper we prove that 2-dimensional Poincaré duality groups with positive first Betti number  $\beta_1$  are surface groups. As a corollary it follows that a connected Poincaré 2-complex with  $\beta_1 > 0$  is homotopy equivalent to a closed surface, and so is any finite connected Poincaré 2-complex.

## 1. Statement of algebraic results

1.1. A Poincaré duality group of dimension n, in short  $PD^n$ -group, is a group G acting on  $\mathbb{Z}$  such that there are natural duality isomorphisms

$$H^{k}(G; A) \cong H_{n-k}(G; \mathbf{Z} \otimes A) \tag{1}$$

for all integers k and all G-modules A (where G acts diagonally on  $\mathbb{Z} \otimes A$ ); the isomorphisms (1) can be given by the cap-product  $e \cap -$  with an element  $e \in H_n(G; \mathbb{Z})$  called fundamental class. If (1) holds, the "formal dimension" n (= cohomology dimension of G) and the G-module  $\mathbb{Z}$  ( $\cong H^n(G; \mathbb{Z}G)$ ) are determined by G. A  $PD^n$ -group G is called orientable or non-orientable according to whether  $\mathbb{Z}$  is a trivial G-module or not.

The fundamental group  $\pi_1(M^n)$  of a closed connected aspherical n-dimensional manifold is a PD<sup>n</sup>-group. In particular, if  $M^2$  is a closed surface of genus  $\geq 1$ , then  $\pi_1(M^2)$  is a PD<sup>2</sup>-group. We will call such a group  $\pi_1(M^2)$  a "surface group"; it admits a finite presentation of well-known canonical type. It has been conjectured that these surface groups are the only PD<sup>2</sup>-groups. We will show that this is so except in a very special case which remains open.

1.2. From general arguments [5], [2] it is known that PD<sup>n</sup>-groups are of type (FP); this means that there exists a **Z**G-projective resolution of the trivial G-module **Z**, of finite length and finitely generated over **Z**G. In particular, a PD<sup>n</sup>-group G is finitely generated, and its Betti numbers  $\beta_i(G)$  and the Euler characteristic  $\chi(G) = \sum_{i=0}^{n} (-1)^i \beta_i$  are defined. Our main result is

THEOREM 1. Let G be a PD<sup>2</sup>-group with  $\beta_1(G) > 0$ . Then G is a surface group.

The condition  $\beta_1(G) > 0$  means, in the orientable case, that  $\beta_1(G)$  is an even integer  $\geq 2$ ; in the non-orientable case, an integer  $\geq 1$ . Thus  $\beta_1(G) > 0$  is equivalent to  $\chi(G) \leq 0$  (since  $\chi(G) = 2 - \beta_1(G)$  in the orientable,  $1 - \beta_1(G)$  in the non-orientable case). If G is non-orientable, it contains an orientable PD<sup>2</sup>-group  $G_1$  as subgroup of index 2. By the multiplicative property of the Euler characteristic (which holds for groups of type (FP), cf. [6]) one has  $\chi(G_1) = 2\chi(G)$ ; hence  $\beta_1(G) > 0$  if and only if  $\beta_1(G_1) > 0$ .

1.3. A group G is said to be of type (FF) if it admits a  $\mathbb{Z}G$ -free resolution of finite length and finitely generated over  $\mathbb{Z}G$ . Obviously surface groups are of type (FF). It is not known whether there exist groups of type (FP) which are not of type (FF).

COROLLARY 1. A PD<sup>2</sup>-group G of type (FF) is a surface group.

*Proof.* We first assume G orientable. Then the method of proof used by J. Cohen [7] is valid for any (FF)-resolution and shows that the assumption  $\beta_1(G) = 0$  (i.e.  $H_1(G; \mathbf{Z}) = 0$ ) leads to a contradiction. Hence  $\beta_1(G) > 0$ , and the assertion follows from Theorem 1.

If G is non-orientable, let  $G_1$  be the orientable subgroup of index 2; it is also of type (FF), and thus  $\beta_1(G_1) > 0$ . The Euler characteristic argument above then shows that  $\beta_1(G) > 0$ .

- 1.4. We thus see that the case  $\beta_1(G) = 0$  not covered by Theorem 1 is equivalent to the existence of a PD<sup>2</sup>-group G not of type (FF), but of course of type (FP). We further note that, by Theorem 1, the condition  $\beta_1(G) > 0$  not only implies type (FF) but also finite presentability.
  - 1.5. A further corollary concerns the "Nielsen conjecture" for surface groups.

COROLLARY 2. Let G be a torsion-free group containing a surface group  $G_1$  as a subgroup of finite index. Then G itself is a surface group.

*Proof.* Any torsion-free group G containing a PD<sup>2</sup>-group  $G_1$  as subgroup of finite index is itself a PD<sup>2</sup>-group (cf. [1], [2]). Since  $\beta_1(G_1) > 0$ , i.e.,  $\chi(G_1) \le 0$ , the multiplicative property of the Euler characteristic,  $\chi(G_1) = |G:G_1|\chi(G)$ , yields  $\chi(G) \le 0$ . Hence  $\beta_1(G) > 0$ , and the assertion follows from Theorem 1.

1.6. The relative analogue of a PD<sup>n</sup>-group is a PD<sup>n</sup>-pair, cf. Bieri-Eckmann [3]. A group pair  $(G; S_0, S_1, \ldots, S_m)$  consists of a group G and a family of subgroups  $\underline{S} = (S_0, S_1, \ldots, S_m)$ ,  $m \ge 0$ ; it is called a PD<sup>n</sup>-pair if for some G-action on  $\mathbb{Z}$  there are duality isomorphisms between the cohomology of G and the relative homology of  $(G; \underline{S})$ , analogous to (1) and also given by the cap product

 $e \cap -$  with a fundamental class  $e \in H_n(G, \S; \mathbb{Z})$ . The duality is, of course, of exactly the same form as that of compact manifolds-with-boundary. Examples of PD<sup>2</sup>-pairs are obtained by taking for G the fundamental group of a closed surface with m+1 discs removed  $(m \ge 0)$ , and  $m \ge 1$  if the surface is the sphere) together with the family of infinite cyclic subgroups generated by the circles bounding the discs. These PD<sup>2</sup>-pairs of groups are called "geometric".

# THEOREM 2. All PD<sup>2</sup>-pairs of groups are geometric.

This result is actually a consequence of Corollary 1. Indeed it is shown in [3] that it is implied by the assertion that one-relator  $PD^2$ -groups are surface groups. Since one-relator  $PD^2$ -groups are of type (FF), Corollary 1 tells that this is the case.

However, Theorem 2 will be used in the proof of Theorem 1 and therefore requires a direct proof.

1.7. The proof of Theorem 2 will be given in Section 4, of Theorem 1 in Section 5. In Section 3 we describe the procedure of proof and list some auxiliary results, in particular the "decomposition theorems for group pairs" (H. Müller [10]). Section 2 deals with the topological aspect.

## 2. Topological application: Poincaré 2-complexes

2.1. A Poincaré *n*-complex is a CW-complex X dominated by a finite complex and fulfilling Poincaré duality for arbitrary local coefficients, with respect to a dualizing  $\pi_1(X)$ -module  $\mathbb{Z}$  and a formal dimension n. We will always assume here that it is *connected*.

The study of Poincaré complexes was initiated by Wall in the 60-s. In [15] Wall proved, in particular, that if X is a Poincaré 2-complex with  $\pi_1(X)$  finite, then X is homotopy equivalent to  $S^2$  or  $\mathbb{R}P^2$ ; if  $\pi_1(X)$  is infinite, then X is aspherical, i.e., it is an Eilenberg-Mac Lane complex K(G,1) for  $G = \pi_1(X)$ . In the latter case the investigation is thus reduced to the study of finitely presented  $PD^2$ -groups. Later J. Cohen [7] showed that if X is a finite Poincaré 2-complex with  $\beta_1(X) = 0$  then the conclusion is the same as for  $\pi_1(X)$  finite; and that a Poincaré 2-complex X with  $\beta_1(X) = 1$  or 2 is homotopy equivalent to the appropriate closed surface.

2.2. As a consequence of Theorem 1 we obtain

COROLLARY 3. Let X be a Poincaré 2-complex with  $\beta_1(X) > 0$ . Then X is homotopy equivalent to a closed surface (of genus  $\geq 1$ ).

Indeed, since  $\beta_1(X) > 0$  implies that  $\pi_1(X)$  is infinite,  $G = \pi_1(X)$  is a PD<sup>2</sup>-group with  $\beta_1(G) > 0$  and thus isomorphic to  $\pi_1(Y)$ , where Y is a closed surface of genus  $\ge 1$ . The isomorphism provides a homotopy equivalence between X = K(G, 1) and Y.

COROLLARY 4. A finite Poincaré 2-complex X is homotopy equivalent to a closed surface.

**Proof.** If  $\pi_1(X)$  is finite, one applies Wall's result mentioned above. If  $\pi_1(X) = G$  is infinite, then G is a PD<sup>2</sup>-group of type (FF), hence isomorphic to a surface group by Corollary 1. Thus X = K(G, 1) is homotopy equivalent to a closed surface.

2.3. Thus all Poincaré 2-complexes X are homotopy equivalent to closed surfaces, except possibly if (a)  $\pi_1(X)$  is infinite and  $\beta_1(X) = 0$ , and (b) X is not homotopy equivalent to a finite complex. Note that each of properties (a) and (b) implies the other. Except for finite presentability of  $G = \pi_1(X)$  this exceptional possibility is exactly the same as the case not covered by Theorem 1, cf. 1.4.

# 3. Splitting of groups and group pairs

3.1. A group G is said to split over a subgroup H if it is either  $(\alpha)$  an amalgamated free product  $G = G_1 *_H G_2$ ,  $G_1 \neq H \neq G_2$  or  $(\beta)$  an HNN-extension  $G = G_1 *_{H,p}$ . Cases where H is finitely generated or even finite will be of special importance.

If G is a PD<sup>2</sup>-group with  $\beta_1(G) > 0$  then G admits an infinite cyclic factor group (infinite cyclic groups will be denoted by C in the following, or by C(g) if we want to emphasize a generator g). Since G is of type (FP), it is "almost finitely presented". By a theorem of Bieri-Strebel [4], any almost finitely presented group admitting a factor group C splits over a finitely generated group L (by a splitting  $(\beta)$ ). Thus Theorem 1 is a consequence of

THEOREM 1'. Let G be a  $PD^2$ -group which splits over a finitely generated subgroup L. Then G is a surface group.

If one confines attention to finitely presented PD<sup>2</sup>-groups only (e.g., in the context of Poincaré 2-complexes or of the Nielsen conjecture), the Bieri-Strebel argument can be replaced by a somewhat simpler one which is just a modification of Moldavanskii's method [9]; cf. Eckmann-Müller [8].

- 3.2. The proof of Theorem 1' will proceed as follows. By Strebel's theorem [13] the subgroup L, being of infinite index in G, is free. If the rank of L is >1, the splitting can be changed so as to become a splitting of G over a subgroup of smaller rank. One is thus reduced to the case where L = C is infinite cyclic. Then the group pairs  $(G_1; C)$  and  $(G_2; C)$  in case  $(\alpha)$ , or  $(G_1; C, p^{-1}Cp)$  in case  $(\beta)$ , are PD<sup>2</sup>-pairs; this follows from general results on PD<sup>n</sup>-groups and -pairs (Bieri-Eckmann [3]). By our Theorem 2 these PD<sup>2</sup>-pairs are geometric, which easily implies that  $G = G_1 *_C G_2$ , or  $G = G_1 *_{C,p}$  respectively, is a surface group.
- 3.3. Both the reduction process above and the proof of Theorem 2 are based on "decomposition theorems for group pairs" (H. Müller [10]). For the convenience of the reader we summarize the appropriate definitions and those results which are needed.

In this context, a splitting of G is understood to be over a finite subgroup K. A group pair  $(G; S_1, S_2, \ldots, S_m)$ ,  $m \ge 0$ , and a splitting  $(\alpha)$   $G = G_1 *_K G_2$  or  $(\beta)$   $G = G_1 *_{K,p}$  are said to be adapted to each other if each  $S_j$ ,  $j = 1, \ldots, m$  is conjugate to a subgroup of  $G_1$  or  $G_2$ . If for  $(G; S_1, S_2, \ldots, S_m)$  such a splitting of G exists we simply say that the pair is adapted. If G is finitely generated, the pair  $(G; S_1, \ldots, S_m)$  is adapted if and only if  $\bigcap_{j=1}^m N_j \ne 0$ , where  $N_j$  is the kernel of the restriction map  $\operatorname{res}_j: H^1(G; \mathbf{Z}G) \to H^1(S_j; \mathbf{Z}G)$ . This is just a restatement of Swarup's relative version of Stallings' structure theorem for finitely generated groups with more than one end.

In the following we assume that  $(G; S_1, \ldots, S_m)$  is an adapted pair and that G is finitely generated. With respect to the pair  $(G; S_1, \ldots, S_m)$  a number n(T), called *weight* of T, is associated with every subgroup T of G. The definition uses the restriction map

res: 
$$H^1(G; \mathbf{Z}G) \rightarrow H^1(T; \mathbf{Z}G)$$
.

For simplicity we only consider the case where T is finitely generated. We regard  $H^1(T; \mathbf{Z}T)$  as T-submodule of the (right) G-module  $H^1(T; \mathbf{Z}G)$  (the embedding is induced by the inclusion  $\mathbf{Z}T \rightarrow \mathbf{Z}G$ ). Since T is finitely generated, we have a decomposition (as abelian group)

$$H^{1}(T; \mathbf{Z}G) = \bigoplus_{x_{i} \in G/T} H^{1}(T; \mathbf{Z}T)x_{i}$$

(see, e.g., [2] Proposition 5.3).

DEFINITION. The weight n(T) is the minimal number of non-trivial components of  $\operatorname{res}(c) \in \bigoplus_{x_i \in G/T} H^1(T; \mathbf{Z}T) x_i$  for all  $c \in \bigcap_{j=1}^m N_j$ ,  $c \neq 0$ .

3.4. For different values of n(T) various types of a simultaneous splitting of G and a graph-decomposition of T are obtained. We describe here only two special cases (Corollaire 2 and Corollaire 5 of [11]). In the statements the splitting  $G = G_1 * G_2$  or  $G = G_1 *_{e,p}$  written  $G * \langle p \rangle$ , is always meant to be adapted to the pair  $(G; S_1, \ldots, S_m)$ .

THEOREM A. Assume that T is torsion-free and n(T) = 1. Then we have one of the following cases

1) 
$$G = G_1 * G_2$$
,  $T = T_1 * T_2$ ,  $T_1 \subset G_1$ ,  $T_2 \subset G_2$ ;

2) 
$$G = G_1 * \langle p \rangle$$
,  $T = T_1 * pT_2 p^{-1}$ ,  $T_1, T_2 \subset G_1$ ;

3) 
$$G = \langle p \rangle$$
,  $T = C(p)$ ,  $S_1 = \cdots = S_m = e$  or  $m = 0$ .

THEOREM B. Assume that G is torsion-free, T infinite cyclic and n(T) = 2. Then we have one of the following cases

1) 
$$G = G_1 * G_2$$
,  $T = C(g_1 g_2)$ ,  $e \neq g_i \in G_i$ ,  $i = 1, 2$ ;

2) 
$$G = G_1 * \langle p \rangle$$
,  $T = C(pg_1p^{-1}g_2)$ ,  $e \neq g_1, g_2 \in G_1$ ;

3) 
$$G = \langle p \rangle$$
,  $T = C(p^2)$ ,  $S_1 = \cdots = S_m = e$  or  $m = 0$ .

## 4. Proof of Theorem 2

4.1. Let  $(G; S_0, S_1, \ldots, S_m)$ ,  $m \ge 0$ , in short  $(G; \underline{S})$ , be a PD<sup>2</sup>-pair. G acts on  $\mathbb{Z}$ , and there is a fundamental class  $e \in H_2(G, \underline{S}; \mathbb{Z})$  such that

$$e \cap -: H^k(G; A) \rightarrow H_{2-k}(G, \underline{S}; \mathbf{Z} \otimes A)$$
 (2)

is an isomorphism for all k and A. The geometric PD<sup>2</sup>-pairs (cf. 1.6) are as follows:

Orientable case

(3) G is freely generated by  $t_1, \ldots, t_m, x_1, y_1, \ldots, x_g, y_g, m+g>0,$  $S_1, \ldots, S_m$  are generated by conjugates to  $t_1, \ldots, t_m$  and  $S_0$  is generated by  $t_1 \cdots t_m \cdot \prod_{i=1}^g [x_i, y_i]$ .

Non-orientable case

(4) G is freely generated by  $t_1, \ldots, t_m, z_0, \ldots, z_g, m \ge 0, g \ge 0,$  $S_1, \ldots, S_m$  are generated by conjugates to  $t_1, \ldots, t_m$  and  $S_0$  is generated by  $t_1 \cdots t_m \cdot \prod_{i=0}^g z_i^2$ . 4.2. By Theorem 4.2 and 9.3 of [3] we know that a PD<sup>2</sup>-pair  $(G; S_0, S_1, \ldots, S_m)$  consists of a finitely generated *free* group G and a family  $\underline{S} = (S_0, S_1, \ldots, S_m)$  of cyclic subgroups. Moreover, the fundamental class  $e \in H_2(G; \underline{S}; \mathbf{Z})$  determines fundamental classes  $e_i$  for the PD<sup>1</sup>-groups  $S_0, \ldots, S_m$ , namely the components of  $\partial e \in H_1(\underline{S}; \mathbf{Z}) = \bigoplus_{i=0}^m H_1(S_i; \mathbf{Z})$ , where  $\partial$  is the connecting homomorphism in the exact homology sequence of G modulo  $\underline{S}$ . By [3], Theorem 2.1 one has the following commutative diagram

$$0 \to H^{1}(G; \mathbf{Z}G) \xrightarrow{\{res_{i}\}} \bigoplus_{i=0}^{m} H^{1}(S_{i}; \mathbf{Z}G) \xrightarrow{\delta} H^{2}(G, \underline{S}; \mathbf{Z}G) \to 0$$

$$\cong \downarrow \{e_{i} \cap -\} \qquad \cong \downarrow (e \cap -)$$

$$\bigoplus_{i=0}^{m} H_{0}(S_{i}; \mathbf{Z} \otimes \mathbf{Z}G) \xrightarrow{cor} H_{0}(G; \mathbf{Z} \otimes \mathbf{Z}G)$$

$$\cong \downarrow j \qquad \cong \downarrow$$

$$\bigoplus_{i=0}^{m} (\mathbf{Z} \otimes_{S_{i}} \mathbf{Z}G) \xrightarrow{p} \mathbf{Z}$$

$$(5)$$

where the top row is exact and  $p(1 \bigotimes_{S_1} y) = 1 \cdot y$  for  $y \in G$ .

4.3. We now prove, by induction on the rank rk(G), that  $(G; \underline{S})$  has a presentation (3) or (4) and thus is geometric.

If rk(G) = 1 then  $\bigoplus_{i=0}^{m} (\mathbf{Z} \otimes_{S_i} \mathbf{Z} G)$  is free Abelian of rank 2, by (5). This is possible only if either m = 1 and  $S_0 = S_1 = G$ ; or if m = 0 and  $S_0 = C(a^2)$  where  $G = \langle a \rangle$ . Thus we either have a presentation (3) with m = 1, g = 0, or a presentation (4) with m = 0, g = 0.

If  $rk(G) \ge 2$  we put  $T = S_0$  and determine the weight n(T) with respect to the pair  $(G; S_1, \ldots, S_m)$ , which is adapted by (5). We consider elements  $\operatorname{res}_0(c)$ ,  $0 \ne c \in \bigcap_{j=1}^m N_j$  (i.e., elements  $(d, 0, \ldots, 0) \in \operatorname{im} \{\operatorname{res}_i\}$ ,  $d \ne 0$ ) and count the number of components of d in  $H^1(T; \mathbf{Z}G) = \bigoplus_{x_\nu \in G/T} H^1(T; \mathbf{Z}T)x_\nu$ . From (5) we see that  $\operatorname{im} \{\operatorname{res}_i\} = \ker \delta = \ker pj\{e_i \cap -\}$ , and  $pj\{e_i \cap -\}$  restricted to any  $H^1(T; \mathbf{Z}T)x_\nu$  is bijective. Thus the minimal number of components of elements  $d \ne 0$  is two, i.e., the weight of  $T = S_0$  is 2. By Theorem B we therefore have one of the two following cases:

- 1)  $G = G_1 * G_2$ ;  $S_0 = C(g_1g_2)$ ,  $e \neq g_i \in G_i$ , i = 1, 2, and the subgroups  $S_1, \ldots, S_k$  are conjugate to subgroups of  $G_1$ , while  $S_{k+1}, \ldots, S_m$  are conjugate to subgroups of  $G_2$ , for some  $k, 0 \leq k \leq m$ .
- 2)  $G = G_1 * \langle p \rangle$ ;  $S_0 = C(pg_1p^{-1}g_2)$ ,  $e \neq g_1, g_2 \in G_1$ , and  $S_1, \ldots, S_m$  are conjugate to subgroups of  $G_1$ .

Since hypothesis and assertion are invariant under conjugation we may assume that  $S_1, \ldots, S_m$  are actually subgroups of  $G_1$  or  $G_2$  respectively.

Case 1). We can write G as  $G = (G_1 * C(g_2)) *_{C(g_2)} G_2$ . The subgroups  $S_0 = C(g_1g_2)$  and  $S_1, \ldots, S_k$  are in  $G_1 * C(g_2)$ , and the  $S_{k+1}, \ldots, S_m$  in  $G_2$ . If  $G_2 \neq C(g_2)$ , Theorem 8.1 of [3] tells that  $(G_2; C(g_2), S_{k+1}, \ldots, S_m)$  is a PD<sup>2</sup>-pair. We claim that this is also true if  $G_2 = C(g_2)$ ; namely, that pair is then  $(C(g_2); C(g_2), C(g_2))$ .

To prove this we note that quite generally, in Case 1), diagram (5) implies res:  $H^1(G; \mathbf{Z}G) \rightarrow \bigoplus_{i=k+1}^m H^1(S_i; \mathbf{Z}G)$ is surjective, res:  $H^1(G_2; \mathbf{Z}G_2) \to \bigoplus_{i=k+1}^m (S_i; \mathbf{Z}G_2)$ . If  $G_2 = C(g_2)$ , then  $H^1(G_2; \mathbf{Z}G_2) = \mathbf{Z}$ , so this is possible only if k = m, or k = m - 1 and  $S_m = G_2 = C(g_2)$ . Assume k = m; then all subgroups  $S_1, \ldots, S_m$  are in  $G_1$ , hence  $H^1(G, S; \mathbb{Z}) \neq 0$ , since G = $G_1 * C(g_2) = G_1 * C(g_1g_2) = G_1 * S_0$ . However, for a PD<sup>2</sup>-pair  $H^1(G, \S; \mathbf{Z}G) = 0$ , so k = m is not and are k = m - 1possible we left with  $(G_2; C(g_2), S_{k+1}, \ldots, S_m) = (C(g_2); C(g_2), C(g_2)),$  which is a PD<sup>2</sup>-pair.

Thus  $(G_2; C(g_2), S_{k+1}, \ldots, S_m)$  is a PD<sup>2</sup>-pair, and so is  $(G_1; C(g_1), S_1, \ldots, S_k)$ . By induction hypothesis they have presentations of the type (3) or (4). It follows immediately that  $(G; \underline{S})$  has a presentation (3) or (4): This is obvious if both above pairs have a presentation (3), or both a presentation (4). Otherwise one gets a presentation (4), i.e. non-orientable, by using transformations of the form

$$a^{2}[b, c] = \bar{a}^{2}\bar{b}^{2}\bar{c}^{2}; \quad \bar{a} = a^{2}bca^{-1}, \quad \bar{b} = ac^{-1}b^{-1}a^{-1}ca^{-1}, \quad \bar{c} = ac^{-1}$$
 (6)

Case 2). Write G as  $G = (G_1 * C(a)) *_{C(ag_2^{-1}),p}$  with  $p^{-1}(ag_2^{-1})p = g_1$ . The subgroups  $S_0 = C(a)$  and  $S_1, \ldots, S_m$  are in  $G_1 * C(a)$ . By [3], Theorem 8.3,  $(G_1 * C(a); C(a), S_1, \ldots, S_m, C(ag_2^{-1}), C(g_1))$  is a PD<sup>2</sup>-pair. By the method used in Case 1) it follows that  $(G_1; S_1, \ldots, S_m, C(g_1), C(g_2))$  is a PD<sup>2</sup>-pair; the induction hypothesis tells that it has a presentation of the type (3) or (4). We may assume that this presentation is as follows.

 $G_1$  is freely generated by  $t_0, t_1, \ldots, t_m$  and some  $x_i, y_i$  (orientable case (3)) or some  $z_i$  (non-orientable case (4)); and  $S_i$  is conjugate to  $C(t_i), i = 1, \ldots, m, C(g_1)$  to  $C(t_0)$ , i.e.,  $g_1$  is conjugate to  $t_0$  or  $t_0^{-1}$ ; and  $g_2 = t_0 \cdots t_m r$  where  $r = \prod [x_i, y_i]$  or  $\prod z_i^2$  respectively.  $S_0$  is generated by  $pg_1p^{-1}t_0 \ldots t_m r$ . By changing p if necessary we may assume  $g_1 = t_0^{\pm 1}$ . Using transformations of the form

$$ptp^{-1}t = \bar{p}^2\bar{t}^2; \ \bar{p} = ptp^{-1}t^{-1}p^{-1}, \ \bar{t} = pt$$
 (7)

and of the form (6), we get a presentation (3) or (4) for the pair  $(G; S_0, S_1, \ldots, S_m)$ .

The passage from the two geometric pairs  $(G_1; \ldots)$  and  $(G_2; \ldots)$  to  $(G; \underline{S})$  in Case 1), or from  $(G_1; \ldots)$  to  $(G; \underline{S})$  in Case 2) can, of course, be replaced by a geometric procedure on the corresponding surfaces-with-boundary.

## 5. Proof of Theorem 1'

5.1. We recall that surface groups have canonical presentations

$$G = \left\langle x_1, y_1, \dots, x_g, y_g \middle| \prod_{j=1}^g [x_j, y_j] = 1 \right\rangle, \qquad g \ge 1$$
 (8)

in the orientable, and

$$G = \left\langle z_0, \dots, z_g \middle| \prod_{j=0}^g z_j^2 = 1 \right\rangle, \qquad g \geqslant 1$$
 (9)

in the non-orientable case.

Let G be a PD<sup>2</sup>-group which splits over a finitely generated group L as  $(\alpha)$   $G = G_1 *_L G_2$ ,  $G_1 \neq L \neq G_2$  or  $(\beta)$   $G = G_1 *_{L,p}$ . Since L has infinite index in G it is free [13].

If rk(L) = 1, L = C, we consider the pairs  $(G_1; C)$  and  $(G_2; C)$  in case  $(\alpha)$ , or  $(G_1; C, p^{-1}Cp)$  in case  $(\beta)$ . By [3], Theorem 8.1 and 8.3 these pairs are PD<sup>2</sup>-pairs and hence geometric; they have presentations (3) or (4), and by amalgamation or HNN-extension these yield presentations of the form (8) or (9) (by using, if necessary, transformations (6) and (7)). Thus G is a surface group.

Of course, the appropriate surface can also be obtained geometrically from the surfaces-with-boundary corresponding to the group pairs.

- 5.2. If  $rk(L) \ge 2$ , we will obtain from Theorem A a new splitting of G over a subgroup M with rk(M) < rk(L). This reduces the problem to the case rk(L) = 1 above.
  - ( $\alpha$ ) Assume first that  $G = G_1 *_L G_2$ . We consider the Mayer-Vietoris sequence

$$\cdots \rightarrow 0 \rightarrow H^1(G_1; \mathbf{Z}G) \oplus H^1(G_2; \mathbf{Z}G) \xrightarrow{(res_1, -res_2)}$$

$$H^1(L; \mathbf{Z}G) \xrightarrow{\delta} H^2(G; \mathbf{Z}G) \rightarrow \cdots$$

and show the following:

(10) If the weight of L with respect to both  $(G_1; \emptyset)$  and  $(G_2; \emptyset)$  is greater

than one, then  $H^1(L; \mathbf{Z}L) \cap \text{im} (\text{res}_1, -\text{res}_2) = 0$ . (Here we consider  $H^1(L; \mathbf{Z}L)$  as submodule of  $H^1(L; \mathbf{Z}G)$ .)

*Proof.* Let  $C_L$  denote  $H^1(L; \mathbf{Z}L)$  and  $C_i = H^1(G_i; \mathbf{Z}G_i)$ , i = 1, 2. Choose sets  $\{x_i; i \in I\}$  and  $\{y_j; j \in J\}$  of representatives of the (right) cosets  $\in G_1/L$  and  $G_2/L$  (both sets containing e). We then have the following sets of representatives:

$$\Sigma_{1} = \{e\} \cup \{y_{j_{1}} x_{i_{2}} \cdots ; y_{j_{l}} \neq e \neq x_{i_{l}}\} \text{ for } G/G_{1};$$

$$\Sigma_{2} = \{e\} \cup \{x_{i_{1}} y_{j_{2}} \cdots ; y_{j_{l}} \neq e \neq x_{i_{l}}\} \text{ for } G/G_{2};$$

$$\Sigma_{L} = \Sigma_{1} \cup \Sigma_{2} \text{ for } G/L.$$

Hence we get decompositions

$$H^1(G_i; \mathbf{Z}G) = \bigoplus_{z \in \Sigma_i} C_i z, \qquad i = 1, 2;$$

$$H^1(L; \mathbf{Z}G) = \bigoplus_{z \in \Sigma_L} C_L z.$$

The "length" of a summand  $C_i z$  or  $C_L z$  is defined as the number of representatives  $x_i, y_i \neq e$  occurring in z. Consider now  $0 \neq (c_1, c_2) \in H^1(G_1; \mathbf{Z}G) \oplus H^1(G_2; \mathbf{Z}G)$ . We want to show that  $\operatorname{res}_1(c_1) - \operatorname{res}_2(c_2) \notin C_L$ . For this we consider a non-trivial component d of  $(c_1, c_2)$  lying in a summand (of the above decompositions) of maximal length; say  $d = cz_1$  in  $C_1z_1$  of length l. Let  $\operatorname{res}_1(c)$  be  $\sum_{i \in I} b_i x_i$ ,  $b_i \in C_L$ . Because the weight of L with respect to  $(G_1; \emptyset)$  is greater than one, there is at least one  $i_0$  with  $x_{i_0} \neq e$ ,  $b_{i_0} \neq 0$ . So  $\operatorname{res}_1(cz_1)$  contains the summand  $b_{i_0} x_{i_0} z_1$  in  $C_L x_{i_0} z_1$  of length l+1, and because of the maximality of l there is no other contribution in  $\operatorname{res}_1(c_1) - \operatorname{res}_2(c_2)$  to the component  $C_L x_{i_0} z_1$ . So indeed  $\operatorname{res}_1(c_1) - \operatorname{res}_2(c_2) \notin C_L$ , which proves (10).

By assumption,  $H^2(G; \mathbf{Z}G)$  is free abelian of rank one and L has infinitely many ends. Therefore the restriction of  $\delta$  to  $H^1(L; \mathbf{Z}L)$  cannot be injective. Because of the exactness of the Mayer—Vietoris sequence,  $H^1(L; \mathbf{Z}L) \cap \operatorname{im}(\operatorname{res}_1, -\operatorname{res}_2) \neq 0$ . By (10), L has weight *one* with respect to  $(G_1; \emptyset)$  or  $(G_2; \emptyset)$ , say  $(G_1; \emptyset)$ . (Note that L cannot have weight 0, since  $\operatorname{res}_1$  and  $\operatorname{res}_2$  are injective.) By Theorem A, we have one of the following two cases:

1) 
$$G_1 = H_1 * H_2$$
,  $L = L_1 * L_2$ ,  $e \neq L_i \subset H_i$ ,  $i = 1,2$ ;

2) 
$$G_1 = H_1 * \langle t \rangle$$
,  $L = L_1 * t L_2 t^{-1}$ ,  $e \neq L_1, L_2 \subseteq H_1$ .

In Case 1), we have  $G = H_1 *_{L_1} (H_2 *_{L_2} G_2)$ . If  $L_1 \neq H_1$ , G splits over  $L_1$ ; if  $L_1 = H_1$ , then  $L_2 \neq H_2$  and  $G = H_2 *_{L_2} G_2$  splits over  $L_2$ .

In Case 2),  $G = (H_1 *_{L_1} G_2) *_{L_2,t^{-1}}$  splits over  $L_2$ .

So in both cases we have a splitting of G over a group M with rk(M) < rk(L).

( $\beta$ ) The case  $G = G_1 *_{L,p}$  is treated similarly. If L is not cyclic, one can show that (by changing the notation if necessary) n(L) = 1 with respect to  $(G_1; p^{-1}Lp)$ ; to prove that the pair is adapted and to compute the weight one proceeds by methods analogous to those in the proof of (10). By Theorem A we have again the cases 1) or 2) above, where moreover  $p^{-1}Lp$  is conjugate to a subgroup of  $H_1$ . By changing the stable letter p we can get  $p^{-1}Lp \subseteq H_1$ .

In Case 1),  $G = (H_1 *_{L_{1,p}}) *_{L_2} H_2$  splits over  $L_2$  if  $L_2 \neq H_2$ ; or else over  $L_1$ .

In Case 2),  $G = (H_1 *_{L_{1,p}}) *_{L_2,t^{-1}}$  splits over  $L_2$ . This completes the proof of Theorem 1'.

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