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## On the characterization of flat metrics by the spectrum

RUISHI KUWABARA

### 1. Introduction

Let  $M$  be an  $n$ -dimensional compact, connected, oriented  $C^\infty$  manifold without boundary. Let  $\mathcal{R}$  be the space of  $C^\infty$  Riemannian metrics on  $M$  with the  $C^\infty$  topology. For  $g \in \mathcal{R}$ ,  $\text{Spec}(M, g)$  denotes the spectrum of the Laplace-Beltrami operator  $\Delta = -g^{ij}\nabla_i\nabla_j$ , acting on  $C^\infty$  functions on  $M$ , namely,

$$\text{Spec}(M, g) = \{0 = \lambda_0 < \lambda_1 \leq \lambda_2 \leq \dots\},$$

where each eigenvalue is written as many times as its multiplicity. Then, the Minakshisundaram's formula for  $\text{Spec}(M, g)$  is given by

$$\sum_{k=0}^{\infty} \exp(-\lambda_k t) \underset{t \downarrow 0}{\sim} \left(\frac{1}{4\pi t}\right)^{n/2} \sum_{s=0}^{\infty} a_s t^s,$$

where the coefficients  $a_s$ 's are expressed by the metric and its derivatives (curvature) (cf. [1], [2], [3]).

It is obvious that if  $(M, g)$  is flat,  $a_s = 0$  holds for  $s \geq 1$ . However,  $a_s = 0$  ( $s \geq 1$ ) does not imply that  $(M, g)$  is flat. In fact, Patodi [2] showed that for the non-flat space  $S^3(c) \times [H^3(-c)/\Lambda]$ , the coefficients  $a_s$ 's vanish for  $s \geq 1$ . Here,  $S^3(c)$  and  $H^3(-c)$  are a Euclidean 3-sphere with constant curvature  $c > 0$  and a hyperbolic 3-space with constant curvature  $-c$ , respectively, and  $\Lambda$  is some discontinuous group of motions of  $H^3(-c)$ . In the low dimensional cases, the following has been shown.

**THEOREM.** (1) (Patodi [2]) *For  $2 \leq n \leq 5$ ,  $a_2 \geq 0$  holds, and equality holds if and only if  $(M, g)$  is flat.*

(2) (Tanno [3]) *For  $n = 6$ ,  $a_2 \geq 0$  holds, and if  $a_2 = a_3 = 0$ , then  $(M, g)$  is flat or locally Riemannian product  $S^3(c) \times H^3(-c)$ .*

The purpose of this paper is to prove the following theorem which asserts that the condition  $a_2 = 0$  'locally' characterizes flat metrics.

**THEOREM A.** *Suppose  $\gamma$  is a  $C^\infty$  flat Riemannian metric on  $M$ . Then, there is a neighbourhood  $U$  of  $\gamma$  in  $\mathcal{R}$  such that if  $g \in U$  and  $a_2(g) = 0$ ,  $g$  is also a flat metric.*

*Remark.* For  $2 \leq n \leq 6$ , the neighbourhood  $U$  in Theorem A can be taken equally to the whole space  $\mathcal{R}$ , that is, if  $M$  admits a flat metric then  $a_2(g) = 0$  implies that  $g$  is flat (see §7). For  $n \geq 7$ , the author does not know whether there are counterexamples or not.

As a corollary of Theorem A, we have the following theorem.

**THEOREM B.** *Suppose  $(M, \gamma)$  is a flat manifold. Then, there is a neighbourhood  $U$  of  $\gamma$  in  $\mathcal{R}$  such that if  $g \in U$  and  $\text{Spec}(M, g) = \text{Spec}(M, \gamma)$ , then  $(M, g) = (M, \gamma)$  (isometric).*

In order to derive this theorem, we have only to note the following result of Kneser and Sunada [4].

**THEOREM (Kneser, Sunada).** *There are only finitely many isometry classes of flat manifolds with a given spectrum.*

*Remark.* In the previous paper [5] we showed that a metric of flat torus is characterized in the “infinitesimal” sense by its spectrum. Theorem B is an extension of this result.

After giving notations and a fundamental lemma in §2, we review in §3 the properties concerning the space of flat metrics following Fischer and Marsden [6], [7]. In §4 we study the function  $a_2(g)$  and calculate its derivatives. In §5 we establish the weak Morse lemma for normed spaces, which gives a basic tool for the proof of the main theorem. Then we prove Theorem A in §6. Finally in §7 we consider the “global” characterization of flat metrics.

*Remark.* Fischer and Marsden gave a theorem [6, Theorem 1.5.2], [7, Theorem 10] which is of same type as our Theorem A. Our proof is performed on the same lines as in [7], but differently in details.

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## 2. Preliminaries

Let  $\dot{M}$  be an  $n$ -dimensional compact, connected, oriented  $C^\infty$  manifold without boundary. Let  $T_q^p(M)$  denote the tensor bundle of type  $(p, q)$  over  $M$ , and

$ST_2(M)$  the bundle of symmetric covariant 2-tensors on  $M$ . For a  $C^\infty$  Hermitian vector bundle  $T$ , let  $C^\infty(T)$  be the space of  $C^\infty$  cross-sections of  $T$ , and  $H^s(T)$  the Sobolev space of cross-sections of  $T$  with respect to a fixed  $C^\infty$  Riemannian metric. The topology of  $H^s(T)$  does not depend on the choice of a metric.

We use the following notations.

$V^s = H^s(T_0^1(M))$ ; the  $H^s$  vector fields,

$A^s = H^s(T_1^0(M))$ ; the 1-forms of class  $H^s$ ,

$S_2^s = H^s(ST_2(M))$ ; the symmetric covariant 2-tensor fields of class  $H^s$ ,

$\mathcal{D}^s$ ; the group of  $H^s$  diffeomorphisms of  $M$ , defined for  $s > (n/2) + 1$  (see Ebin [8]). The group  $\mathcal{D}^{s+1}$  acts on  $S_2^s$  as follows;

$$S_2^s \times \mathcal{D}^{s+1} \rightarrow S_2^s; (h, \eta) \mapsto \eta^*h,$$

where  $\eta^*h$  denotes the pull-back of  $h$  by  $\eta$ .

$\mathcal{R}^s (\subset S_2^s)$ ; the Hilbert manifold of Riemannian metrics of class  $H^s$ . The manifold  $\mathcal{R}^s$  is an open convex positive cone in  $S_2^s$ , and invariant under the action of  $\mathcal{D}^{s+1}$ .

$\mathcal{F}^s (\subset \mathcal{R}^s)$ ; the subset of flat metrics of class  $H^s$ , defined for  $s > (n/2) + 1$ .

If the  $s$  is omitted, the space is understood to be of  $C^\infty$  class and endowed with the  $C^\infty$  topology.

We define various inner products of  $H^s(T)$  ( $s > (n/2) + 1$ ) by  $g \in \mathcal{R}^s$  as follows;

$$(a) \langle T, T' \rangle_g^0 = g_{i\bar{i}'} \cdots g_{j\bar{j}'} g^{kk'} \cdots g^{mm'} T_{k \cdots m}^{i \cdots j} T_{k' \cdots m'}^{i' \cdots j'}$$

$$(b) \langle T, T' \rangle_g^k = \sum_{r=0}^k \langle \nabla_g^{(r)} T, \nabla_g^{(r)} T' \rangle_g^0 \quad (k \leq s),$$

where  $\nabla_g^{(r)} T$  is the tensor field  $\overbrace{\nabla_g \cdots \nabla_g}^r T$  and  $\nabla_g$  is the covariant derivative with respect to  $g$ .

$$(c) (T, T')_g^k = \int_M \langle T, T' \rangle_g^k dV(g),$$

where  $dV(g)$  denotes the volume element induced from  $g$ .

Using the above inner product (c), we can introduce the Riemannian structure on  $\mathcal{R}^s$  by  $g \mapsto (\cdot)_g^k$ . This metric is  $\mathcal{D}^{s+1}$ -invariant, i.e.,  $\mathcal{D}^{s+1}$  acts by isometry (see [8, pp. 18–21]).

For a metric  $g \in \mathcal{R}$ , we define a differential operator

$$\delta_g : C^\infty(ST_2(M)) \rightarrow C^\infty(T_1^0(M)); \quad (\delta_g \xi)_j = -\nabla_g^i \xi_{ij}.$$

Then  $\delta_g$  extends to a continuous linear map  $\delta_g^s : S_2^s \rightarrow A^{s-1}$ . The adjoint operator  $\delta_g^*$  of  $\delta_g$  with respect to  $(\cdot, \cdot)_g^0$  extends to a map

$$(\delta_g^s)^* : A^s \rightarrow S_2^{s-1}; \quad \{(\delta_g^s)^* \xi\}_{ij} = \frac{1}{2}(\mathcal{L}_X g)_{ij},$$

where  $s > (n/2) + 1$ , and  $\mathcal{L}$  is the Lie derivative and  $X(\in V^s)$  is dual to  $\xi$ .

**LEMMA 2.1** (Berger and Ebin [9]). *For  $g \in \mathcal{R}$ , there is an orthogonal decomposition*

$$S_2^s = (\delta_g^s)^{-1}(0) \oplus (\delta_g^{s+1})^*(A^{s+1}),$$

where the summands are orthogonal with respect to  $(\cdot, \cdot)_g^0$ .

### 3. Space of flat metrics

In [6] and [7] Fischer and Marsden studied the space  $\mathcal{F}^s$  of flat metrics of class  $H^s$ . We review their results in the first part of this section (Lemma 3.1 and Proposition 3.2).

In Lemma 2.1,  $g$  is assumed to be of  $C^\infty$  class (more precisely,  $g$  is required to be of class  $H^{s+1}$ ). However, if  $g$  is flat, the following is obtained by one of the regularity theorems.

**LEMMA 3.1** ([6, p. 237], [7, p. 530]). *Let  $g \in \mathcal{F}^s$ ,  $s > (n/2) + 1$ . Then there is an orthogonal decomposition*

$$S_2^s = (\delta_g^s)^{-1}(0) \oplus (\delta_g^{s+1})^*(A^{s+1}).$$

We denote by  $\Gamma(g)$  the Riemannian connection of  $g \in \mathcal{R}^s$ . Let  $\mathcal{K}^s$  be the set of flat Riemannian connections of class  $H^s$ . For  $\Gamma \in \mathcal{K}^{s-1}$ , set

$$\mathcal{F}_1^s = \{g \in \mathcal{F}^s; \Gamma(g) = \Gamma\}.$$

Furthermore, for  $g \in \mathcal{R}^s$ , let us define

$$E_g : S_2^s \rightarrow \mathcal{R}^s; \quad h \mapsto g \exp(g^{-1}h),$$

where  $g^{-1}h$  is an endomorphism of  $T_x(M)$  at each  $x \in M$ , given by  $h_j^i = g^{ik}h_{kj}$  in local coordinates. Then  $E_g$  is a  $C^\infty$  diffeomorphism with  $E_g(0) = g$  (see [8, p. 36]).

**PROPOSITION 3.2.** *Let  $\Gamma \in \mathcal{K}^{s-1}$  and  $g \in \mathcal{F}_\Gamma^s$ ,  $s > (n/2) + 1$ . Set  $PS_2^s(g) = \{h \in S_2^s; \nabla_g h = 0\}$ . Then,*

(a)  $\mathcal{F}_\Gamma^s = E_g(PS_2^s(g))$ , and  $\mathcal{F}_\Gamma^s$  is a finite dimensional closed  $C^\infty$  submanifold of  $\mathcal{R}^s$ . Moreover, the tangent space of  $\mathcal{F}_\Gamma^s$  at  $g$  is

$$T_g(\mathcal{F}_\Gamma^s) = PS_2^s(g).$$

(b)  $\mathcal{F}^s = \mathcal{D}^{s+1}(\mathcal{F}_\Gamma^s) = \{\eta^* \gamma \in \mathcal{R}^s; \eta \in \mathcal{D}^{s+1}, \gamma \in \mathcal{F}_\Gamma^s\}$ , and  $\mathcal{F}^s$  is a closed  $C^\infty$  submanifold of  $\mathcal{R}^s$ . Moreover,

$$T_g(\mathcal{F}^s) = PS_2^s(g) \oplus (\delta_g^{s+1})^*(A^{s+1}).$$

*Proof.* See Fischer and Marsden [6, Theorem I.3.3], [7, Theorem 6].

In the remainder of this section, let us prove the following Proposition 3.3. For  $g \in \mathcal{F}_\Gamma^s$ , set

$$S(g) = E_g((\delta_g^s)^{-1}(0)).$$

Then we have the following.

**PROPOSITION 3.3.** (a)  $S(g)$  is a closed  $C^\infty$  submanifold of  $\mathcal{R}^s$ , and  $\mathcal{F}_\Gamma^s$  is a closed  $C^\infty$  submanifold of  $S(g)$ . Moreover,

$$T_g(S(g)) = (\delta_g^s)^{-1}(0).$$

(b) For any neighbourhood  $V$  of  $g$  in  $S(g)$ , there is a neighbourhood  $U$  of  $g$  in  $\mathcal{R}^s$  such that  $U \subset \mathcal{D}^{s+1}(V)$ .

*Proof.* (a) We have  $PS_2^s(g) \subset (\delta_g^s)^{-1}(0) \subset S_2^s$ , where each subspace is closed. Therefore, the assertion is obvious because  $E_g$  is a  $C^\infty$  diffeomorphism.

(b) By the regularity theorem ([6, Theorem I.3.1], [7, Theorem 5]), there is  $\eta \in \mathcal{D}^{s+1}$  such that  $\eta^*g = g'$  belongs to  $\mathcal{F}$ . Hence, the orbit  $O^s(g)$  through  $g$  is equal to  $O^s(g')$  and is a  $C^\infty$  submanifold of  $\mathcal{R}^s$ . Let  $N = N(O^s(g))$  be the normal bundle with respect to the weak Riemannian metric  $\gamma \mapsto (\cdot, \cdot)_\gamma^0$  ([8, pp. 30–31]). We define  $E: N \rightarrow \mathcal{R}^s$  by  $E(\gamma, h) = E_\gamma(h)$ , where  $\gamma \in O^s(g)$  and  $h \in N_\gamma = (\delta_\gamma^s)^{-1}(0)$ ,  $N_\gamma$  being the fibre of  $N$  at  $\gamma$ . Then, it is easily shown that  $E$  is a  $C^\infty$  map and  $E(\eta^*\gamma, \eta^*h) = \eta^*E(\gamma, h)$  holds for  $\eta \in \mathcal{D}^{s+1}$ . Moreover, the first derivative of  $E$

at  $(g, 0)$  is given by

$$dE(g, 0)(h', h'') = h' + h'',$$

where  $h' \in T_g(O^s(g)) = (\delta_g^{s+1})^*(A^{s+1})$  and  $h'' \in N_g = (\delta_g^s)^{-1}(0)$ . Thus,  $dE(g, 0)$  is an isomorphism (Lemma 3.1). Therefore, there are a neighbourhood  $U'$  of  $g$  in  $\mathcal{R}^s$  and a neighbourhood  $W$  of  $(g, 0)$  in  $N$  such that  $E: W \rightarrow U'$  is a diffeomorphism. Let  $\gamma \mapsto (\cdot)_\gamma^s$  be the strong Riemannian metric of  $\mathcal{R}^s$ . Then, the neighbourhood  $W$  is given by

$$W = \{(\gamma, h) \in N; \gamma \in W', (h, h)_\gamma^s < \varepsilon, \varepsilon > 0\},$$

$W'$  being a neighbourhood of  $g$  in  $O^s(g)$ . For given  $V(\subset S(g))$  there is  $\varepsilon'(\leq \varepsilon)$  such that if  $V' = \{(g, h) \in N_g; (h, h)_g^s < \varepsilon'\}$ ,  $E_g(V') \subset V$  holds. Set

$$V'' = \{(\gamma, h) \in N; \gamma \in W', (h, h)_\gamma^s < \varepsilon'\} \subset W,$$

and  $U = E(V'')$ . Then  $U$  is open in  $\mathcal{R}^s$  and satisfies  $U \subset \mathcal{D}^{s+1}(V)$ . In fact, if  $\gamma$  is in  $U$  and  $\gamma = E(\eta^*g, h)$ , then  $(\eta^{-1})^*h = h'$  belongs to  $V'$  because  $(\eta^{-1})^*: S_2^s \rightarrow S_2^s$  is an isometry with respect to the metric  $(\cdot)^s$ . Thus,  $\gamma = E(\eta^*g, \eta^*h') = \eta^*E(g, h') = \eta^*E_g(h') \subset \mathcal{D}^{s+1}(V)$ .  $\square$

#### 4. Derivatives of $a_2(g)$

For  $g \in \mathcal{R}$ , let  $\left\{ \begin{smallmatrix} i \\ jk \end{smallmatrix} \right\}$ ,  $R_{jkm}^i$ ,  $R_{ij}$  and  $\tau$  denote the Christoffel symbol, the curvature tensor, the Ricci tensor and the scalar curvature, respectively. The curvature tensor is defined by

$$R_{jkm}^i = \frac{\partial}{\partial x^k} \left\{ \begin{smallmatrix} i \\ jm \end{smallmatrix} \right\} - \frac{\partial}{\partial x^m} \left\{ \begin{smallmatrix} i \\ jk \end{smallmatrix} \right\} + \left\{ \begin{smallmatrix} s \\ jm \end{smallmatrix} \right\} \left\{ \begin{smallmatrix} i \\ sk \end{smallmatrix} \right\} - \left\{ \begin{smallmatrix} s \\ jk \end{smallmatrix} \right\} \left\{ \begin{smallmatrix} i \\ sm \end{smallmatrix} \right\},$$

in terms of the local coordinates  $(x^i)$ .

It is known that the Minakshisundaram's coefficient  $a_2$  is given by

$$a_2 = \frac{1}{360} \int_M (2|R|^2 - 2|\rho|^2 + 5\tau^2) dV(g) = \frac{1}{360} F(g),$$

Where  $|R|^2 = R_{ijkm} R^{ijkm}$  and  $|\rho|^2 = R_{ij} R^{ij}$  (cf. [1], [2], [3]).

It is easily shown that  $\text{Spec}(M, \eta^*g) = \text{Spec}(M, g)$  for  $\eta \in \mathcal{D}$  and  $g \in \mathcal{R}$ , hence  $F(\eta^*g) = F(g)$  holds.

The function  $F$  can be regarded to be defined on  $\mathcal{R}^s$  if  $s > (n/2) + 4$ . We write this function  $F^s$ .

**PROPOSITION 4.1.** *The function  $F^s$  on  $\mathcal{R}^s$  is of  $C^\infty$  class.*

We need the following lemma which was proved in [10, 11.3].

**LEMMA 4.2.** *If  $\xi$  and  $\eta$  are  $C^\infty$  vector bundles over  $M$  and  $f: \xi \rightarrow \eta$  is a  $C^\infty$  fibre preserving map, then for  $s > n/2$  the map  $f_*: H^s(\xi) \rightarrow H^s(\eta)$  defined by  $f_*(\alpha) = f \circ \alpha$  is of  $C^\infty$  class.*

*Proof of Proposition 4.1.* We prove that  $g \mapsto \int_M |R|^2 dV(g)$  is a  $C^\infty$  function. The proof is done in two steps.

First step:  $\phi: g \mapsto |R|^2$  is a  $C^\infty$  map of  $\mathcal{R}^s$  into  $H^{s-2}(M, \mathbf{R})$ , the Hilbert space of all  $H^{s-2}$  functions. In fact, we have

$$|R|^2 = R^a_{bcd} R^i_{jkm} g_{ai} g^{bj} g^{ck} g^{dm}.$$

Thus, as is easily shown,  $|R|^2$  is a rational combinations of  $g, dg, d^2g$ , so that  $|R|^2: J^2(\xi) \rightarrow M \times \mathbf{R}$  is a  $C^\infty$  fibre preserving map, where  $\xi$  is the fibre subbundle of  $ST_2(M)$  consisting of positive definite forms on each tangent space and  $J^2(\xi)$  the second jet bundle of  $\xi$ . Noting that  $\mathcal{R}^s = H^s(\xi) \subset H^{s-2}(J^2(\xi))$ , we can conclude from Lemma 4.2 that  $\phi$  is a  $C^\infty$  map of  $\mathcal{R}^s$  into  $H^{s-2}(M, \mathbf{R})$ .

Second step: The function  $\psi: H^{s-2}(M, \mathbf{R}) \times \mathcal{R}^s \rightarrow \mathbf{R}$  defined by  $(f, g) \mapsto \int_M f dV(g)$  is of  $C^\infty$  class. In fact, fix  $g_0 \in \mathcal{R}^s$  and define  $\mu: \mathcal{R}^s \rightarrow H^s(M, \mathbf{R})$  by the equation  $\mu(g) dV(g_0) = dV(g)$ . Then it is easy to see that the map  $\mu$  is of  $C^\infty$  class (see [8]). The map  $\psi$  is decomposed as  $\psi = \psi_0 \circ (\text{id} \times \mu)$ , where  $\psi_0: H^{s-2}(M, \mathbf{R}) \times H^2(M, \mathbf{R}) \rightarrow \mathbf{R}$  is defined by  $(f, f') \mapsto \int_M f f' dV(g_0)$ . Since  $\mu$  and  $\psi_0$  are  $C^\infty$  maps,  $\psi$  is of  $C^\infty$  class.

Finally, the function  $g \mapsto \int_M |R|^2 dV(g)$  is decomposed as follows:

$$\begin{array}{ccccc}
 & & H^{s-2}(M, \mathbf{R}) \times H^s(M, \mathbf{R}) & & \\
 & \nearrow \text{id} \times \mu & & \searrow \psi_0 & \\
 \mathcal{R}^s & \xrightarrow{\phi \times \text{id}} & H^{s-2}(M, \mathbf{R}) \times \mathcal{R}^s & \xrightarrow{\psi} & \mathbf{R} \\
 \psi \downarrow & & \psi \downarrow & & \psi \downarrow \\
 g & \longmapsto & (|R|^2, g) & \longmapsto & \int_M |R|^2 dV(g)
 \end{array}$$

Since  $\phi$  and  $\psi$  are  $C^\infty$  maps,  $g \mapsto \int_M |R|^2 dV(g)$  is of  $C^\infty$  class.

It is similarly shown that the functions  $g \mapsto \int_M |\rho|^2 dV(g)$  and  $g \mapsto \int_M \tau^2 dV(g)$  are of  $C^\infty$  class.  $\square$

**PROPOSITION 4.3.**  $F^s(\eta^*g) = F^s(g)$  holds for  $\eta \in \mathcal{D}^{s+1}$ .

*Proof.* The action  $S_2^s \times \mathcal{D}^{s+1} \rightarrow S_2^s$  is continuous ([8, pp. 17–18]), and  $F^s$  is of  $C^\infty$  class. Hence, the proposition follows from  $F(\eta^*g) = F(g)$  for  $g \in \mathcal{R}$  and  $\eta \in \mathcal{D}$ .

Now, we give the formulas about the derivatives of  $F^s$ , which have been calculated in the previous paper [5].

**PROPOSITION 4.4.** For  $g \in \mathcal{R}^s$  and  $h \in S_2^s$ , the first derivative of  $F^s$  is given by

$$dF^s(g)(h) = \int_M \langle T(g), h \rangle_g^0 dV(g) = \int_M T_{ij}(g)h^{ij} dV(g), \tag{4.1}$$

where

$$T_{ij}(g) = 12\nabla_i \nabla_j \tau - 6\nabla_k \nabla^k R_{ij} + 8R_{ik}R_j^k - 4R_{kimj}R^{km} + 4R_{ikms}R_j^{kms} + 9(\Delta\tau)g_{ij} - 10\tau R_{ij} + |R|^2g_{ij} - |\rho|^2g_{ij} + \frac{5}{2}\tau^2g_{ij},$$

$\nabla$  and the curvatures being induced from  $g$ . Therefore, if  $g \in \mathcal{F}^s$ , then  $dF^s(g) = 0$ , i.e., a flat metric is a critical point of  $F^s$ .

*Proof.* This is a direct but tedious calculation (cf. [5]).

*Remark.*  $T(g)$  is an element of  $S_2^{s-4}$ , and  $g \mapsto T(g)$  is a  $C^\infty$  map of  $\mathcal{R}^s$  into  $S_2^{s-4}$ . This is proved on the same lines as Proposition 4.1.

**PROPOSITION 4.5.** The second derivative of  $F^s$  at  $g \in \mathcal{R}^s$  is given by

$$d^2F^s(g)(h, k) = \int_M \langle [dT(g) + \frac{1}{2}T(g)\text{tr}(g)]h, k \rangle_g^0 dV(g), \tag{4.2}$$

where  $\text{tr}(g)h = g^{ij}h_{ij}$ . In particular, at  $g \in \mathcal{F}^s$ ,

$$d^2F^s(g)(h, h) = 3 \int_M [6(\Delta h^s)(\nabla_i \nabla_j h^{ji}) + 3(\Delta h^s)^2 + 4(\nabla^k \nabla^m h_{km})(\nabla_i \nabla_j h^{ji}) - 2(\nabla_k \nabla_i h^{ji})(\nabla^k \nabla_m h_j^m) + (\nabla_k \nabla^k h^{ji})(\nabla_m \nabla^m h_{ji})] dV(g). \tag{4.3}$$

*Proof.* This is obtained by straightforward calculation starting from (4.1).

*Remark.*  $dT(g) + (1/2)T(g)\text{tr}(g)$  is an element of  $L(S_2^s; S_2^{s-4})$ , the space of all continuous linear maps of  $S_2^s$  into  $S_2^{s-4}$ .

### 5. Weak Morse lemma for normed spaces

In this section we establish the weak Morse lemma for normed spaces. This work is motivated by Tromba's paper [11], in which the Morse lemma for almost-Riemannian manifolds is considered.

Let  $X_1, X_2, \dots$  be normed vector spaces, and define  $L(X_1, \dots, X_k; X_{k+1})$  as the normed vector space of all continuous  $k$ -linear maps of  $X_1 \dots X_k$  into  $X_{k+1}$ .

Let  $\beta$  be a continuous bilinear form on a normed vector space  $X$ , i.e.,  $\beta \in L(X, X; \mathbf{R})$ .  $\beta$  is called the weak inner product of  $X$  if (a)  $\beta(x, y) = \beta(y, x)$ , (b)  $\beta(x, x) > 0$  for  $x \neq 0$ . The space  $X$  with  $\beta$  is regarded as a pre-Hilbert space denoted by  $X_\beta$ . Let  $\hat{X}_\beta$  be the completion of  $X_\beta$ , and  $\hat{\beta}$  the continuous extension of  $\beta$  to  $\hat{X}_\beta$ . Thus the space  $\hat{X}_\beta$  is a Hilbert space with inner product  $\hat{\beta}$ . The canonical injection  $X \rightarrow X_\beta(\hat{X}_\beta)$  is continuous.

Let  $f: X \rightarrow \mathbf{R}$  be a  $C^k$  function,  $k \geq 2$ .

**DEFINITION.** The  $C^k$  function  $f$  is of  $C_\beta^k$  class if

- (a) for each  $x \in X$ , the second derivative  $d^2f(x)$  belongs to  $L(X_\beta, X_\beta; \mathbf{R})$ .
- (b)  $x \mapsto d^2f(x)$  is a  $C^{k-2}$  map of  $X$  into  $L(X_\beta, X_\beta; \mathbf{R})$ .

Suppose  $X = Y \times Z$  (the product normed space), and  $f: X \rightarrow \mathbf{R}$  is a  $C_\beta^k$  function ( $k \geq 2$ ). We have

$$d^2f(x)((u, v), (u', v')) = D_1^2f(x)(u, u') + D_1D_2f(x)(u, v') + D_2D_1f(x)(v, u') + D_2^2f(x)(v, v').$$

where  $(u, v), (u', v') \in Y \times Z$ , and  $D_i f(x)$  ( $i = 1, 2$ ) is the partial derivative of  $f$  at  $x$  with respect to the  $i$ -th variable. Since  $f$  is of  $C_\beta^k$  class, there is a unique  $B(x) \in L(Z_\beta; \hat{Z}_\beta)$  such that

$$D_2^2f(x)(u, v) = \hat{\beta}(B(x)u, v),$$

for  $u, v \in Z$ . Moreover,  $x \mapsto B(x)$  is a  $C^{k-2}$  map of  $X$  into  $L(Z_\beta; \hat{Z}_\beta)$ .

**DEFINITION.** Let  $K$  be a subset of  $Y$ . The subset  $K \times \{0\}$  of  $X$  is called the  $\beta$ -nondegenerate critical subset of  $f$ , if for each  $x \in K \times \{0\}$ ,

- (a)  $df(x) = 0$ , and
- (b)  $\hat{B}(x)$ , the continuous extension of  $B(x)$  to  $\hat{Z}_\beta$ , is invertible.

We are now ready to state and prove the following.

**PROPOSITION 5.1(weak Morse lemma).** Let  $f: X = Y \times Z \rightarrow \mathbf{R}$  be a  $C_\beta^k$  function,  $k \geq 2$ . Suppose  $K$  is a compact subset of  $Y$ . If the subset  $K \times \{0\}$  is a

$\beta$ -nondegenerate critical subset of  $f$  and  $f(K \times \{0\}) = 0$ , then there are a neighbourhood  $V$  of the origin in  $Z$  and  $C^{k-2}$  map  $\phi : K \times V \rightarrow \hat{Z}_\beta$  such that

(a)  $\phi(x) = 0$  if and only if  $x = (y, 0)$ , and

(b)  $f(x) = \frac{1}{2} \widehat{D}_2^2 f((y, 0))(\phi(x), \phi(x))$ ,  $x = (y, z) \in K \times V$ ,

where  $\widehat{D}_2^2 f(x)$  is the continuous extension of  $D_2^2 f(x)$  to  $\hat{Z}_\beta \times \hat{Z}_\beta$ .

*Proof.* By the Taylor's formula we have

$$f((y, z)) = \int_0^1 (1 - \lambda) D_2^2 f((y, \lambda z))(z, z) \, d\lambda.$$

Set

$$J(y, z)(u, v) = \int_0^1 (1 - \lambda) D_2^2 f((y, \lambda z))(u, v) \, d\lambda.$$

Then,  $J(y, z)$  belongs to  $L(Z_\beta, Z_\beta; \mathbf{R})$  since  $f$  is of  $C_\beta^k$  class. Therefore, we can write  $J(y, z)(u, v) = \hat{\beta}(B(y, z)u, v)$  and  $D_2^2 f((y, 0))(u, v) = 2\hat{\beta}(B(y, 0)u, v)$  where  $B(y, z) \in L(Z_\beta; \hat{Z}_\beta)$ . Let  $\hat{B}(y, z)$  be the continuous extension of  $B(y, z)$  to  $\hat{Z}_\beta$ . Then,  $(y, z) \mapsto \hat{B}(y, z)$  is a  $C^{k-2}$  map of  $X$  into  $L(\hat{Z}_\beta; \hat{Z}_\beta)$ . Moreover,  $\hat{B}(y, z)$  is self-adjoint for each  $(y, z)$ . Since  $\hat{B}(y, 0)$  is invertible and  $K$  is compact, so  $\hat{B}(y, z)$  is invertible in  $K \times V'$ ,  $V'$  being a neighbourhood of the origin. Define  $Q(y, z) = \hat{B}(y, z)^{-1} \hat{B}(y, 0)$  and  $Q$  is a  $C^{k-2}$  map of  $K \times V'$  into  $L(\hat{Z}_\beta; \hat{Z}_\beta)$ . Now  $Q(y, 0) =$  identity and since a square root function is defined in a neighbourhood of the identity operator by a convergent power series with real coefficients, we can define a  $C^{k-2}$  map  $R : K \times V (\subset K \times V') \rightarrow L(\hat{Z}_\beta; \hat{Z}_\beta)$  with each  $R(y, z)$  invertible and  $Q(y, z) = [R(y, z)]^2$ . We see easily from the definition of  $Q$  that  $Q(y, z)^* \hat{B}(y, z) = \hat{B}(y, z) Q(y, z)$  hence  $R(y, z)^* \hat{B}(y, z) = \hat{B}(y, z) R(y, z)$  holds. Thus, we have  $R(y, z)^* \hat{B}(y, z) R(y, z) = \hat{B}(y, 0)$ , or  $\hat{B}(y, z) = R_1(y, z)^* \hat{B}(y, 0) R_1(y, z)$ , where  $R_1(y, z) = R(y, z)^{-1}$ . Now, set  $\phi((y, z)) = R_1(y, z)z$ , and we have

$$\begin{aligned} f((y, z)) &= \hat{\beta}(R_1(y, z)^* \hat{B}(y, 0) R_1(y, z)z, z) \\ &= \hat{\beta}(\hat{B}(y, 0)\phi((y, z)), \phi((y, z))). \end{aligned}$$

Finally,  $\phi((y, z)) = R_1(y, z)z = 0$  holds if and only if  $z = 0$ , because  $R_1(y, z)$  is invertible.  $\square$

**COROLLARY 5.2.** Besides assumptions in Proposition 5.1, assume that

$$D_2^2 f((y, 0))(u, u) > 0$$

holds for  $y \in K$  and  $u \in Z \neq 0$ . If  $f(x) = 0$  and  $x \in K \times V$ , then  $x$  belongs to  $K \times \{0\}$ .

*Proof.* From Proposition 5.1, we have only to prove that  $\widehat{D}_2^2 f((y, 0))(u, u) > 0$  holds for any  $u(\in \widehat{X}_\beta) \neq 0$ . Suppose there is  $u \neq 0$  such that  $\widehat{D}_2^2 f((y, 0))(u, u) = \widehat{\beta}(\widehat{B}(y, 0)u, u) = 0$ . Then,  $\inf_{\beta(u, u)=1} \widehat{\beta}(\widehat{B}(y, 0)u, u) = 0$ , hence zero belongs to the spectrum of  $\widehat{B}(y, 0)$ , which is absurd because  $\widehat{B}(y, 0)$  is invertible.  $\square$

In the remainder of this section we give a supplement.

Let us define a  $C^\infty$  map  $x(\in X) \mapsto \beta(x)$  (the weak inner product of  $X$ ) such that the topology of  $X_{\beta(x)}$  does not depend on  $x$ . We call this map the weak  $C^\infty$  Riemannian structure of  $X$ . Let  $\beta = \beta(0)$ . Then, for each  $x \in X$ , there is  $C(x) \in L(\widehat{X}_\beta; \widehat{X}_\beta)$  such that

$$\widehat{\beta}(x)(y, z) = \widehat{\beta}(C(x)y, z), \quad y, z \in \widehat{X}_{\beta(x)} (= \widehat{X}_\beta),$$

and  $x \mapsto C(x)$  is of  $C^\infty$  class. Moreover, we can easily prove the following.

**PROPOSITION 5.3.** *Let  $f: X \rightarrow \mathbf{R}$  be a  $C^k$  function ( $k \geq 2$ ).  $f$  is of  $C_\beta^k$  class if and only if*

(a) *for each  $x \in X$ ,  $d^2 f(x) \in L(X_{\beta(x)}, X_{\beta(x)}; \mathbf{R})$ , and*

(b) *if  $B(x)$  is given by  $d^2 f(x)(u, v) = \widehat{\beta}(x)(B(x)u, v)$ , then  $x \mapsto B(x)$  is a  $C^{k-2}$  map of  $X$  into  $L(X_\beta; \widehat{X}_\beta)$ .*

### 6. Proof of the main theorem

In this section we prove the following theorem and Theorem A.

**THEOREM A'.** *Let  $\gamma \in \mathcal{F}$  and  $s$  be sufficiently large. Then, there is a neighbourhood  $U \subset \mathcal{R}^s$  of  $\gamma$  such that if  $g \in U$  and  $F^s(g) = 0$ ,  $g$  is in  $\mathcal{F}^s$ .*

We define  $f: S_2^s \rightarrow \mathbf{R}$  by  $f = F^s \circ E_\gamma$ . Let  $\tilde{f}$  be the restriction of  $f$  to  $X = (\delta_\gamma^s)^{-1}(0) (\subset S_2^s)$ . Then,  $\tilde{f}$  is a  $C^\infty$  function (Proposition 4.1). Let  $Y = PS_2^s(\gamma)$ . We have the following from Propositions 3.2 and 4.4.

**PROPOSITION 6.1.**  *$\tilde{f}(y) = d\tilde{f}(y) = 0$  holds for each  $y \in Y$ .*

We apply Corollary 5.2 to the function  $\tilde{f}$  on the Hilbert space  $X$ .

Let us introduce a weak  $C^\infty$  Riemannian structure on  $X$ . First, we define a weak Riemannian metric on  $\mathcal{R}^s$  as follows;

$$\begin{aligned} (h, k)_g &= \int_M [\langle h, k \rangle_g^0 + 2\langle \nabla h, \nabla k \rangle_g^0 + \langle \nabla \nabla h, \nabla \nabla k \rangle_g^0] dV(g) \\ &= ((1 + \bar{\Delta}_g)^2 h, k)_g^0, \end{aligned} \tag{6.1}$$

where  $\bar{\Delta}_g$  is the rough Laplacian defined by  $(\bar{\Delta}_g h)_{ij} = -g^{st} \nabla_s \nabla_t h_{ij}$  in local coordinates.

LEMMA 6.2. *Let  $L_g = (1 + \bar{\Delta}_g)^2$ . Then, the maps*

$$\mathcal{R}^s \times \mathcal{S}_2^s \rightarrow \mathcal{S}_2^{s-4}; (g, h) \mapsto L_g h,$$

and

$$\mathcal{R}^s \times \mathcal{S}_2^{s-4} \rightarrow \mathcal{S}_2^s; (g, h) \mapsto L_g^{-1} h$$

are of  $C^\infty$  class.

*Proof.* First, we note that for each  $g \in \mathcal{R}^s$ ,  $L_g$  has a continuous linear inverse  $L_g^{-1}$ . In fact, the differential operator  $(1 + \bar{\Delta}_g)^2$  is an injective self-adjoint elliptic operator. Therefore,  $L_g$  is surjective by the decomposition theorem (e.g. [12, Ch. XI]). Furthermore, by the open mapping theorem  $L_g$  has a continuous inverse.

Now, it is easily shown that  $(g, h) \mapsto L_g h$  is  $C^\infty$  (cf. [13, Lemma 2.11]). Moreover, it follows that  $g \mapsto L_g$  is a  $C^\infty$  map of  $\mathcal{R}^s$  into  $L(\mathcal{S}_2^s; \mathcal{S}_2^{s-4})$ . On the other hand,  $L_g \mapsto L_g^{-1}$  is a  $C^\infty$  map (e.g. [14, Ch. 8]). Therefore,  $g \mapsto L_g^{-1}$  is  $C^\infty$  and accordingly  $(g, h) \mapsto L_g^{-1} h$  is  $C^\infty$ .  $\square$

PROPOSITION 6.3. *The Riemannian structure defined by (6.1) is of  $C^\infty$  class.*

*Proof.* The proposition follows from Lemma 6.2 and the proof of Proposition 4.1.  $\square$

Now, we define a  $C^\infty$  Riemannian structure  $\beta(x)$  on  $\mathcal{S}_2^s$  as the pull-back of  $(\cdot)_g$  by  $E_\gamma$ . Namely,

$$\beta(x)(y, z) = (dE_\gamma(x)(y), dE_\gamma(x)(z))_g,$$

where  $g = E_\gamma(x)$ .

Let  $\beta = \beta(0)$ . Obviously,  $(\widehat{\mathcal{S}_2^s})_\beta = \mathcal{S}_2^2$  holds.

PROPOSITION 6.4. *The function  $\tilde{f}: X \rightarrow \mathbf{R}$  is of  $C_\beta^\infty$  class.*

For the proof we first prove the following lemmas.

LEMMA 6.5. *The first and the second derivatives of  $E_\gamma$  are given by*

$$dE_\gamma(x)(y) = \gamma \left[ \sum_{k=0}^{\infty} \frac{1}{(k+1)!} \{(\gamma^{-1}x)^k(\gamma^{-1}y)\} \right],$$

and

$$d^2E_\gamma(x)(y, z) = \gamma \left[ \sum_{k=0}^{\infty} \frac{1}{(k+2)!} \{(\gamma^{-1}x)^k(\gamma^{-1}y)(\gamma^{-1}z)\} \right],$$

respectively, where  $\{A_1 A_2 \cdots A_k\} = \sum_{\sigma} A_{\sigma(1)} A_{\sigma(2)} \cdots A_{\sigma(k)}$ , the summation being taken over all permutations  $\sigma$  of  $(1, 2, \dots, k)$ .

*Proof.* These are straightforward calculations.

From this lemma we immediately obtain

LEMMA 6.6. *For each  $x \in X$ ,  $dE_\gamma(x) \in L((S_2^s)_\beta; (S_2^s)_\beta)$  and  $d^2E_\gamma(x) \in L((S_2^s)_\beta, (S_2^s)_\beta; (S_2^s)_\beta)$ . Moreover, the maps*

$$S_2^s \rightarrow L((S_2^s)_\beta; (S_2^s)_\beta); x \mapsto dE_\gamma(x),$$

and

$$S_2^s \rightarrow L((S_2^s)_\beta, (S_2^s)_\beta; (S_2^s)_\beta); x \mapsto d^2E_\gamma(x)$$

are of  $C^\infty$  class.

Lemma 6.7. *For each  $g \in \mathcal{R}^s$ ,  $dF^s(g) \in L((S_2^s)_\beta; \mathbf{R})$  and  $d^2F^s(g) \in L((S_2^s)_\beta; \mathbf{R})$ . Moreover, the maps*

$$\mathcal{R}^s \rightarrow L((S_2^s)_\beta; \mathbf{R}); g \mapsto dF^s(g),$$

and

$$\mathcal{R}^s \rightarrow L((S_2^s)_\beta, (S_2^s)_\beta; \mathbf{R}); g \mapsto d^2F^s(g)$$

are of  $C^\infty$  class.

*Proof.* From Proposition 4.4 and 4.5 we obtain

$$dF^s(g)(h) = (T(g), h)_g^0 = (L_g^{-1}T(g), h)_g,$$

$$d^2F^s(g)(h, k) = (L_g^{-1}[dT(g) + \frac{1}{2}T(g) \operatorname{tr}(g)]h, k)_g.$$

Hence, using Proposition 5.3, we have  $dF^s(g) \in L((S_2^s)_\beta; \mathbf{R})$  and  $d^2F^s(g) \in L((S_2^s)_\beta, (S_2^s)_\beta; \mathbf{R})$ . Moreover, it is easy to check that  $g \mapsto dF^2(g)$  and  $g \mapsto d^2F^s(g)$  are  $C^\infty$ .  $\square$

*Proof of Proposition 6.4.* We have

$$d^2\tilde{f}(x)(y, z) = d^2F^s(E_\gamma(x))(dE_\gamma(x)(y), dE_\gamma(x)(z)) + dF^s(E_\gamma(x))(d^2E_\gamma(x)(y, z)).$$

Therefore, the proposition follows from Lemmas 6.6 and 6.7.  $\square$

At the origin of  $X$  we have  $d^2\tilde{f}(0)(x, x) = d^2F^s(\gamma)(dE_\gamma(0)(x), dE_\gamma(0)(x)) = d^2F^s(\gamma)(x, x)$ . Since  $x \in (\delta_\gamma^s)^{-1}(0)$ , we have the following from Proposition 4.5.

$$d^2\tilde{f}(0)(x, x) = 3 \int_M [3(\Delta x_s^s)^2 + (\nabla_s \nabla^s x^{ji})(\nabla_i \nabla^t x_{ji})] dV(\gamma)$$

$$= 3(L_\gamma^{-1}[\bar{\Delta}_\gamma^2 + 3\gamma \operatorname{tr}(\gamma)\bar{\Delta}_\gamma^2]x, x)_\gamma \tag{6.2}$$

$$= 3\hat{\beta}(L_\gamma^{-1}[\bar{\Delta}_\gamma^2 + 3\gamma \operatorname{tr}(\gamma)\bar{\Delta}_\gamma^2]x, x).$$

Set  $D = \bar{\Delta}_\gamma^2 + 3\gamma \operatorname{tr}(\gamma)\bar{\Delta}_\gamma^2$ . The symbol of the differential operator  $D$  is given by  $\sigma(D)(v)x = (\|v\|^4 + 3\gamma\|v\|^4 \operatorname{tr}(\gamma))x$ , for  $v \in T_1^0(M)$  and  $x \in ST_2(M)$ . Thus  $\sigma(D)(v)(v \neq 0)$  is injective. Hence, by the decomposition theorem ([9, Theorem 4.11]), we have

$$S_2^s = \operatorname{range}(D) \oplus \ker(D), \tag{6.3}$$

because  $D = D^*$  (the  $L^2$ -adjoint of  $D$ ). Moreover, it follows that  $D^2 = D^*D$  is elliptic, and  $D^2 : S_2^s \rightarrow S_2^{s-8}$  is a Fredholm operator.

**LEMMA 6.8.**  $\ker(D) = Y (= PS^s(\gamma))$ .

*Proof.* From (6.2),  $Dx = 0$  holds if and only if  $\nabla_s \nabla^s x_{ij} = \Delta x_s^s = 0$ . This condition is equivalent to  $\nabla x = 0$ , i.e.,  $x \in Y$ , because  $M$  is connected and compact.  $\square$

Set  $Z = \text{range}(D) \cap X$ , and we have a decomposition,

$$S_2^s = (\delta_\gamma^{s+1})^*(A^{s+1}) \oplus Y \oplus Z.$$

We immediately obtain the following from (6.2).

**PROPOSITION 6.9.**  $d^2\tilde{f}(0)(z, z) > 0$  holds for  $z(\in Z) \neq 0$ .

Since  $\nabla_\gamma(L_\gamma^{-1}D) = (L_\gamma^{-1}D)\nabla_\gamma$  for  $\gamma \in \mathcal{F}$ , we have

$$\begin{aligned} L_\gamma^{-1}D((\delta_\gamma^{s+1})^*(A^{s+1})) &\subset (\delta_\gamma^{s+1})^*(A^{s+1}), \\ L_\gamma^{-1}D(X) &\subset X, \quad L_\gamma^{-1}D(Z) \subset Z. \end{aligned} \tag{6.4}$$

Hence, we get from (6.2),

$$\hat{B}(0) = 3L_\gamma^{-1}D : \hat{Z}_\beta (\subset S_2^2) \rightarrow \hat{Z}_\beta.$$

**LEMMA 6.10.**  $\hat{B}(0)$  is invertible

*Proof.* Obviously,  $\hat{B}(0)$  is injective, hence, by the open mapping theorem we have only to show it to be surjective. From (6.3) (by replacing  $s$  with  $s-4$ ), we have

$$S_2^s = \text{range}(L_\gamma^{-1}D) + L_\gamma^{-1}(\ker(D)).$$

Since  $L_\gamma^{-1}(\ker(D)) = Y$ , we conclude that  $Z = L_\gamma^{-1}D(Z) = (L_\gamma^{-1}D)^2(Z)$  by noting (6.4). Hence  $(L_\gamma^{-1}D)^2(\hat{Z}_\beta)$  is dense in  $\hat{Z}_\beta$ . On the other hand,  $(L_\gamma^{-1}D)^2(\hat{Z}_\beta) = (L_\gamma^{-1})^2D^2(\hat{Z}_\beta)$  is closed because  $(L_\gamma^{-1})^2D^2 : S_2^2 \rightarrow S_2^2$  is Fredholm. Therefore,  $(L_\gamma^{-1}D)^2(\hat{Z}_\beta) = \hat{Z}_\beta$ , which leads to  $\hat{B}(0)(\hat{Z}_\beta) = (3L_\gamma^{-1}D)(\hat{Z}_\beta) = \hat{Z}_\beta$ .  $\square$

From this lemma we have the following.

**PROPOSITION 6.11.** There is a compact  $\beta$ -nondegenerate critical subset  $K \subset Y$  of  $\tilde{f} : X (= Y \oplus Z) \rightarrow \mathbf{R}$ , which contains the origin.

*Proof.* Noting Lemma 6.10 and that  $\tilde{f}$  is of  $C_\beta^\infty$  class, we see that there is a neighbourhood  $W \subset Y$  of the origin such that  $\hat{B}(y)$  is invertible for  $y \in W$ . Since  $Y$  is of finite dimension, so locally compact, there is a compact subset  $K = \bar{U}' \subset W$  ( $\bar{U}'$  being the closure of the open set  $U'$ ) which contains the origin.  $\square$

We are now in a position to prove Theorem A'.

*Proof of Theorem A'.* From Propositions 6.1, 6.4, 6.9 and 6.11, the function  $\tilde{f} : X (= Y \oplus Z) \rightarrow \mathbf{R}$  satisfies the assumptions of Corollary 5.2. Let  $K = \bar{U}'$  and  $V$

be the sets mentioned in Corollary 5.2. Since  $E_\gamma : X \rightarrow S(\gamma)$  is a  $C^\infty$  diffeomorphism, there is a neighbourhood  $W = E_\gamma(U' + V)$  of  $\gamma$  in  $S(\gamma)$  such that  $F^s(g) = 0$  implies  $g \in \mathcal{F}_\Gamma^s$  ( $\Gamma = \Gamma(\gamma)$ ) if  $g \in W$ . From Proposition 3.3, (b), there is a neighbourhood  $U$  of  $\gamma$  in  $\mathcal{R}^s$  such that  $U \subset \mathcal{D}^{s+1}(W)$ . Then  $U$  satisfies the assertion of the theorem because  $\mathcal{F}^s = \mathcal{D}^{s+1}(\mathcal{F}_\Gamma^s)$ , and  $F^s(\eta^*g) = F^s(g)$  holds for  $\eta \in \mathcal{D}^{s+1}$  (Proposition 4.3).  $\square$

By virtue of Theorem A' we prove Theorem A.

*Proof of Theorem A.* Let  $\gamma \in \mathcal{F}$  and  $U (\subset \mathcal{R}^s)$  be the neighbourhood mentioned in Theorem A'. Then,  $U' = U \cap \mathcal{R}$  is a neighbourhood of  $\gamma$  in  $\mathcal{R}$  because the inclusion map  $\mathcal{R} \rightarrow \mathcal{R}^s$  is continuous (Sobolev lemma). This neighbourhood  $U'$  satisfies the assertion of Theorem A.  $\square$

*Remark.* The space  $\mathcal{R}$  is an ILH-manifold [13]. Moreover, it is easy to see that  $\mathcal{F}$  is an ILH-submanifold of  $\mathcal{R}$ .

### 7. Supplementary discussions

The purpose of this section is to prove the following theorem, which ‘‘globally’’ characterizes flat metrics.

**THEOREM 7.1.** *Suppose  $n = \dim M \leq 6$  and  $\mathcal{F} \neq \emptyset$ . Then,*

$$\mathcal{F} = F^{-1}(0).$$

The theorem for  $n \leq 5$  was proved by Patodi [2]. We give the proof for  $n = 6$ . Hereafter, we assume  $n = \dim M = 6$ .

The following is due to Tanno [3, Lemma 1].

**LEMMA 7.2.** *If  $F(g) = 0$ , then  $(M, g)$  is conformally flat and the scalar curvature  $\tau$  is vanishing.*

The Gauss-Bonnet-Chern formula for  $n = 6$  is given by

$$\begin{aligned} \chi(M) = & \frac{1}{384\pi^3} \int_M [\tau^3 - 12\tau|\rho|^2 + 3\tau|R|^2 + 16R^i{}_j R^j{}_k R^k{}_i \\ & - 24R^{ik} R^{jm} R_{ijkm} + 24R^{st} R_s{}^{jkm} R_{tjkm} - 8R^{ijkm} R_{jkt}^s R_{ims}^t \\ & - 2R^{ij}{}_{..km} R^{km}{}_{..st} R^{st}{}_{..ij}] dV(g). \end{aligned}$$

When  $(M, g)$  is conformally flat and  $\tau = 0$ , this reduces to

$$\chi(M) = \frac{1}{256\pi^3} \int_M R_j^i R_k^j R_i^k dV(g). \tag{7.1}$$

LEMMA 7.3. *Suppose  $(M, g)$  is conformally flat and  $\tau = 0$ . If  $\chi(M) = 0$ , then  $\nabla_i R_{jk} = 0$ .*

*Proof.* By Tanno [3, Lemma 2], if  $(M, g)$  is conformally flat and  $\tau = 0$ , we have

$$\int_M (\nabla_i R_{jk})(\nabla^i R^{jk}) dV(g) = -\frac{3}{2} \int_M R_j^i R_k^j R_i^k dV(g).$$

Using (7.1), we get  $\nabla_i R_{jk} = 0$  if  $\chi(M) = 0$ .  $\square$

*Proof of Theorem 7.1.* Since  $\mathcal{F} \neq \phi$ ,  $\chi(M) = 0$  holds. Tanno [3, Proposition 5] showed that if  $(M, g)$  is conformally flat and  $\tau = \nabla_i R_{jk} = 0$ , then  $(M, g)$  is either (1) locally flat, or (2) Riemannian product  $S^3(c) \times [H^3(-c)/\Lambda]$ ,  $\Lambda$  being some discontinuous group of isometries of  $H^3(-c)$ . On the other hand, the homotopy group  $\pi_3(S^3(c) \times [H^3(-c)/\Lambda]) = \mathbf{Z}$ , hence the manifold  $S^3(c) \times [H^3(-c)/\Lambda]$  has no flat metrics (Cartan-Hardamard Theorem). Now, the proof is completed by virtue of Lemmas 7.2 and 7.3.  $\square$

*Remark.* For  $n \geq 7$ , the author does not know whether there is such a manifold that satisfies

$$F^{-1}(0) \neq \mathcal{F} \neq \phi.$$

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