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## Abelian group extensions and the axiom of constructibility

by PAUL C. EKLOF and MARTIN HUBER\*

### Introduction

Throughout this paper the word “group” will mean “abelian group”. The results of Shelah’s remarkable work on Whitehead’s problem ([Sh<sub>1</sub>], [Sh<sub>2</sub>]) suggested the investigation of the structure of  $\text{Ext}(A, \mathbb{Z})$  for torsion-free  $A$  under the hypothesis of the Axiom of Constructibility,  $V=L$ . Applying Shelah’s methods, H. Hiller, Shelah and the second-named author obtained a surprisingly simple description of the torsion-free part of  $\text{Ext}(A, \mathbb{Z})$  in terms of  $A[\text{H-H-S}]$ .

In this paper we study, in the same spirit, the group  $\text{Ext}(A, G)$  in the case where  $A$  is torsion-free and  $G$  is any group satisfying suitable cardinality conditions. We are interested in characterizing pairs  $(A, G)$  such that  $\text{Ext}(A, G)=0$  as well as in determining the structure of  $\text{Ext}(A, G)$ . Herein we restrict our attention to its torsion-free part. Since in our case  $\text{Ext}(A, G)$  is always divisible, the structure of its torsion-free part is completely determined by its torsion-free rank. (Following [F<sub>1</sub>] we denote the torsion-free rank of a group  $B$  by  $r_0(B)$ .)

Our first task is to settle the case where  $A$  is countable. For this, of course, we do not need any additional axiom of set theory. We assume  $G$  to be a group of countable torsion-free rank, thus unifying the known cases  $G=\mathbb{Z}[J, \S 2]$  and  $G=T$ , a torsion group ([B<sub>1</sub>], [B<sub>2</sub>]). In Section 1 we consider the crucial case where  $A$  is of rank 1. For such  $A$  we give a group-theoretical characterization of pairs  $(A, G)$  such that  $\text{Ext}(A, G)=0$  and show that  $\text{Ext}(A, G)\neq 0$  implies  $r_0(\text{Ext}(A, G))\geq 2^{\aleph_0}$  (Theorem 1.2). In Section 2 we study  $\text{Ext}(A, G)$  in case  $A$  is any countable torsion-free group. Applying Theorem 1.2 we obtain various conditions that are necessary and (or) sufficient for the vanishing of  $\text{Ext}(A, G)$  (Theorems 2.1 and 2.6, Corollaries 2.4 and 2.7). In particular we have the following analogue of Pontryagin’s criterion: If  $\text{Ext}(B, G)=0$  for every subgroup  $B$  of  $A$  of finite rank, then  $\text{Ext}(A, G)=0$  (Corollary 2.7). Using Theorem 1.2 we conclude that also in this case,  $\text{Ext}(A, G)\neq 0$  implies  $r_0(\text{Ext}(A, G))\geq 2^{\aleph_0}$  (Theorem 2.8).

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Section 3 is devoted to the vanishing of  $\text{Ext}(A, G)$  for uncountable  $A$ . From now on we have to assume  $V = L$  in order to be able to apply Shelah's methods. The main theorem of this section (Theorem 3.2) generalizes earlier results of the first-named author (see  $[E_3]$ ). In particular it contains the following singular compactness theorem for  $\text{Ext}$ : ( $V = L$ ). Let  $A$  be a group of singular cardinality  $\kappa$  and let  $G$  be a group of cardinality  $< \kappa$ . If  $\text{Ext}(B, G) = 0$  for every subgroup  $B$  of  $A$  of cardinality  $< \kappa$ , then  $\text{Ext}(A, G) = 0$ . The proof of this is based on a new version  $[\text{Sh}_3]$  of the principal result of  $[\text{Sh}_2]$ . Among other consequences of Theorem 3.2 we deduce a vanishing result for  $\text{Ext}(A, G)$  (Theorem 3.7) which corresponds to a theorem of Hill  $[\text{Hi}]$ .

The final section deals with the structure of  $\text{Ext}(A, G)$  for uncountable  $A$ . We show that the main result of  $[\text{H-H-S}]$  generalizes to our situation; we proceed along the lines of that proof. Theorem 4.5 may be viewed as the principal result of Section 4: ( $V = L$ ). Let  $A$  be torsion-free and  $G$  of countable torsion-free rank such that  $\text{Ext}(A, G) \neq 0$ . Suppose that  $B$  is a pure subgroup of  $A$  and that  $\text{Ext}(A/B, G) = 0$ . If  $B$  is of minimal cardinality, then  $r_0(\text{Ext}(A, G)) \geq 2^{|B|}$ . (As usual  $|B|$  denotes the cardinality of  $B$ .) The case where  $A$  is of singular cardinality relies on a variant of Theorem 3.2 which is of interest in its own right (Theorem 4.3). Finally we deduce some corollaries concerning the torsion-free rank of  $\text{Ext}(A, G)$  which extend results of  $[\text{H-H-S}]$  and  $[\text{Hu}]$ .

## 1. The rank one case

In this section we investigate the group  $\text{Ext}(A, G)$  in case  $A$  is torsion-free of rank 1 and  $G$  any group of (at most) countable torsion-free rank.

We first recall some definitions and known facts and state certain exact sequences which will be important tools in the proof of Theorem 1.2. Given a prime  $p$ , we denote the  $p$ -primary part of a group  $G$  by  $t_p G$  and the torsion subgroup of  $G$  by  $tG$ . The  $p$ -primary part of  $\mathbf{Q}/\mathbf{Z}$  is denoted by  $\mathbf{Z}(p^\infty)$  and its full preimage in  $\mathbf{Q}$  by  $\mathbf{Q}^{(p)}$ . A group  $G$  is called  $p$ -divisible if  $pG = G$ ;  $G$  is divisible if it is  $p$ -divisible for every prime  $p$ . A group which does not contain any nontrivial divisible subgroup is called *reduced*. It is well known that every group is the direct sum of its maximal divisible subgroup and a reduced group.

Let  $A$  be a torsion-free group of rank 1. For a nonzero element  $x \in A$  and any prime  $p$  let  $h_p(x)$  be the largest integer  $k$  such that  $p^k$  divides  $x$  if it exists, or  $h_p(x) = \infty$  otherwise;  $h_p(x)$  is called the  $p$ -height of  $x$ . Suppose that for every  $p$  we are given  $k_p$  which is either a nonnegative integer or  $\infty$ . Then there exists a nonzero  $y \in A$  such that for every  $p$ ,  $h_p(y) = k_p$  if and only if the following two

conditions hold:

$$k_p \neq h_p(x) \quad \text{only for finitely many } p\text{'s}; \quad (1.1a)$$

$$k_p = h_p(x) \quad \text{whenever} \quad k_p = \infty \quad \text{or} \quad h_p(x) = \infty \quad (1.1b)$$

(see e.g. ( $F_2$ , §85)). By definition of the  $p$ -heights we can associate with every nonzero  $x \in A$  a short exact sequence

$$0 \rightarrow \mathbf{Z} \xrightarrow{\mu} A \rightarrow \bigoplus_p \mathbf{Z}(p^{k_p}) \rightarrow 0, \quad (1.2)$$

where  $\mu$  is given by  $\mu(1) = x$  and  $k_p = h_p(x)$  for every  $p$ . Here  $k_p = 0$  means that the  $p$ -primary part does not occur. For any group  $G$  this sequence induces a long exact sequence

$$\begin{aligned} 0 \rightarrow \prod_p \operatorname{Hom}(\mathbf{Z}(p^{k_p}), G) &\rightarrow \operatorname{Hom}(A, G) \rightarrow G \rightarrow \\ &\rightarrow \prod_p \operatorname{Ext}(\mathbf{Z}(p^{k_p}), G) \rightarrow \operatorname{Ext}(A, G) \rightarrow 0. \end{aligned} \quad (1.3)$$

In particular we shall make use of the sequence

$$\begin{aligned} 0 \rightarrow \operatorname{Hom}(\mathbf{Z}(p^\infty), G) &\rightarrow \operatorname{Hom}(\mathbf{Q}^{(p)}, G) \rightarrow G \rightarrow \\ &\rightarrow \operatorname{Ext}(\mathbf{Z}(p^\infty), G) \rightarrow \operatorname{Ext}(\mathbf{Q}^{(p)}, G) \rightarrow 0 \end{aligned} \quad (1.4)$$

which is induced by  $0 \rightarrow \mathbf{Z} \rightarrow \mathbf{Q}^{(p)} \rightarrow \mathbf{Z}(p^\infty) \rightarrow 0$ .

The following facts will be applied several times; therefore we state them as a lemma.

**LEMMA 1.1.** (a) For any group  $G$ ,  $\operatorname{Ext}(\mathbf{Z}(p^n), G) \cong G/p^n G$ . (b)  $\operatorname{Ext}(\mathbf{Z}(p^\infty), G) = 0$  if and only if  $G$  is  $p$ -divisible.

*Proof.* Statement (a) is well-known (see e.g.  $[F_1](D)$ , p. 222) while (b) follows from Corollary 4.3 and Theorem 4.5 of  $[N_1]$ .

Finally we assign to every group  $G$  two sets of primes

$$D_1(G) = \{p \mid pG \neq G\} \quad \text{and}$$

$$D_2(G) = \{p \mid p^{k+1}G \neq p^k G \text{ for all } k\}.$$



We are now ready to state the result of this section.

**THEOREM 1.2.** *Let  $A$  be a torsion-free group of rank 1 and let  $G$  be any group of countable torsion-free rank. Then*

- (a)  $\text{Ext}(A, G) = 0$  if and only if for any nonzero  $x \in A$  the following conditions hold:
- (1)  $\{p \in D_1(G) \mid h_p(x) \neq 0\}$  is finite;
  - (2) for all  $p \in D_2(G)$ ,  $h_p(x) < \infty$ .
- (b) If  $\text{Ext}(A, G) \neq 0$ , then the torsion-free rank of  $\text{Ext}(A, G)$  is  $\geq 2^{\aleph_0}$ .

*Remarks*

- 1) Combining (a) with (1.1a, b) we obtain that  $\text{Ext}(A, G) = 0$  if and only if there is a nonzero  $x \in A$  such that (1) and (2') are satisfied, where (2') means that  $h_p(x) = 0$  for all  $p \in D_2(G)$ .
- 2) Let  $G$  be a group satisfying conditions (1) and (2) for any nonzero  $x \in \mathbf{Q}$ . It is not hard to see that such a  $G$  is the direct sum of a divisible group and a bounded torsion group. On the other hand, there are *cotorsion* groups  $G$ , i.e. groups satisfying  $\text{Ext}(\mathbf{Q}, G) = 0$ , (of uncountable torsion-free rank) that are not of this form (cf. [F<sub>1</sub>], §55). We conclude that the countability hypothesis on  $G$  in statement (a) cannot be dropped.
- 3) In (b) the hypothesis on  $G$  cannot be omitted either. By [M–V, p. 119] there are groups  $A$  and  $G$ ,  $A$  torsion-free of rank 1 and  $G$  torsion-free of rank  $2^{\aleph_0}$ , such that  $\text{Ext}(A, G) \cong \bigoplus_{\aleph_0} \mathbf{Q}$ .

*Proof of Theorem 1.2.* We observe that it suffices to prove the following two statements:

- (a') If there is a nonzero  $x \in A$  such that (1) and (2) are satisfied, then  $\text{Ext}(A, G) = 0$ .
- (b') If there is a nonzero  $x \in A$  such that (1) or (2) does not hold, then  $r_0(\text{Ext}(A, G)) \geq 2^{\aleph_0}$ .

*Proof of (a').* We consider the associated exact sequence (1.3). Since  $\text{Ext}(A, G)$  is divisible, the assertion follows if we can show that  $\prod_p \text{Ext}(\mathbf{Z}(p^{k_p}), G)$  is divisible, the assertion follows if we can show that  $\prod_p \text{Ext}(\mathbf{Z}(p^{k_p}), G)$  is a bounded torsion group. By condition (1) and Lemma 1.1  $\text{Ext}(\mathbf{Z}(p^{k_p}), G)$  nonzero only for a finite set of primes, say  $I$ . Thus it remains to show that for all  $p \in I$ ,  $\text{Ext}(\mathbf{Z}(p^{k_p}), G)$  is a bounded torsion group. If  $k_p < \infty$  this is obvious. In case  $k_p = \infty$  condition (2) implies that  $p^{k+1}G = p^kG$  for some  $k$ . Therefore by Lemma 1.1(b) the first term of the exact sequence

$$\text{Ext}(\mathbf{Z}(p^\infty), p^kG) \rightarrow \text{Ext}(\mathbf{Z}(p^\infty), G) \rightarrow \text{Ext}(\mathbf{Z}(p^\infty), G/p^kG) \rightarrow 0$$

is trivial. Consequently,  $\text{Ext}(\mathbf{Z}(p^\infty), G)$  is a bounded torsion group. This completes the proof of (a').

*Proof of (b'):* Suppose first that (1) does not hold. Again we consider the associated exact sequence (1.3). By hypothesis and Lemma 1.1 the product  $\prod_p \text{Ext}(\mathbf{Z}(p^{k_p}), G)$  has infinitely many nontrivial factors. Therefore it has a quotient isomorphic to  $\prod_{p \in J} \mathbf{Z}(p)$  for some infinite set of primes  $J$ . It follows that  $r_0(\prod_p \text{Ext}(\mathbf{Z}(p^{k_p}), G)) \geq 2^{\aleph_0}$ . As  $r_0(G)$  is countable, we see from (1.3) that  $r_0(\text{Ext}(A, G)) \geq 2^{\aleph_0}$  as well.

Now suppose that  $x$  does not satisfy (2). So there is a prime  $p$  such that  $A$  contains a copy of  $\mathbf{Q}^{(p)}$  and the chain

$$G \supseteq pG \supseteq \cdots \supseteq p^k G \supseteq \cdots$$

is properly descending. Since  $\text{Ext}(\mathbf{Q}^{(p)}, G)$  is then an epimorphic image of  $\text{Ext}(A, G)$ , it suffices to show that  $r_0(\text{Ext}(\mathbf{Q}^{(p)}, G)) \geq 2^{\aleph_0}$ . For this purpose we distinguish two cases. First assume that  $G/tG$  is not  $p$ -divisible. Then its  $p$ -adic completion  $(G/tG)_p^\wedge$  is torsion-free of cardinality  $2^{\aleph_0}$ . But by [N<sub>1</sub>, p. 233],  $(G/tG)_p^\wedge$  is an epimorphic image of  $\text{Ext}(\mathbf{Z}(p^\infty), G/tG)$ ; hence  $r_0(\text{Ext}(\mathbf{Z}(p^\infty), G/tG)) \geq 2^{\aleph_0}$ . Using the sequence (1.4) for  $G/tG$ , we conclude that  $r_0(\text{Ext}(\mathbf{Q}^{(p)}, G/tG)) \geq 2^{\aleph_0}$ , and hence  $r_0(\text{Ext}(\mathbf{Q}^{(p)}, G)) \geq 2^{\aleph_0}$ .

In the second case assume that  $G/tG$  is  $p$ -divisible. Then the reduced part of  $t_p G$  must be unbounded. Therefore, by the main result of [Sz], there is an epimorphism

$$tG \longrightarrow \bigoplus_{k < \omega} \mathbf{Z}(p^k) = H.$$

We claim that  $r_0(\text{Ext}(\mathbf{Q}^{(p)}, H)) = 2^{\aleph_0}$ . By Lemma 1 of [R] we have  $|\text{Ext}(\mathbf{Z}(p^\infty), H)| = 2^{\aleph_0}$ . Thus, using exactness of (1.4) for  $G = H$ , we conclude that  $|\text{Ext}(\mathbf{Q}^{(p)}, H)| = 2^{\aleph_0}$ . But  $\text{Ext}(\mathbf{Q}^{(p)}, H)$  is torsion-free, hence the claim is proved. Now  $\text{Ext}(\mathbf{Q}^{(p)}, H)$  is an epimorphic image of  $\text{Ext}(\mathbf{Q}^{(p)}, tG)$ , and the latter fits into an exact sequence

$$\text{Hom}(\mathbf{Q}^{(p)}, G/tG) \rightarrow \text{Ext}(\mathbf{Q}^{(p)}, tG) \rightarrow \text{Ext}(\mathbf{Q}^{(p)}, G).$$

As  $\text{Hom}(\mathbf{Q}^{(p)}, G/tG)$  is countable, it follows that  $r_0(\text{Ext}(\mathbf{Q}^{(p)}, G)) \geq 2^{\aleph_0}$  also in this case. This completes the proof of Theorem 1.2.

## 2. The countable case

Throughout this section  $G$  denotes a given group of countable torsion-free rank. We now study the group  $\text{Ext}(A, G)$  in case  $A$  is any countable torsion-free group. We start with

**THEOREM 2.1.** *For any countable torsion-free group  $A$  the following statements are equivalent:*

- (a)  $\text{Ext}(A, G) = 0$ ;
- (b)  *$A$  is the union of an ascending chain of pure subgroups  $\{A_n \mid n < \omega\}$  such that  $A_0 = 0$  and for all  $n$ ,  $r_0(A_{n+1}/A_n) \leq 1$  and  $\text{Ext}(A_{n+1}/A_n, G) = 0$ .*

For the proof of this theorem we need the following auxiliary result.

**LEMMA 2.2.** *Suppose that  $G$  is reduced. If  $A$  is torsion-free of finite rank such that  $\text{Ext}(A, G) = 0$ , then the torsion-free rank of  $\text{Hom}(A, G)$  is countable.*

*Proof.* We proceed by induction on the rank of  $A$ . Suppose first that  $A$  is of rank 1 and let  $x \in A$ ,  $x \neq 0$ . Then we consider the associated exact sequences (1.2) and (1.3). Since  $G$  is reduced, we have  $\text{Hom}(\mathbb{Z}(p^{k_p}), G) = 0$  if  $k_p = \infty$  or if  $pG = G$ . It remains to consider those primes for which  $pG \neq G$  and  $k_p < \infty$ . But we know from Theorem 1.2(a) that  $k_p \neq 0$  only for a finite number of them. Therefore  $\prod_p \text{Hom}(\mathbb{Z}(p^{k_p}), G)$  is a bounded torsion group, and hence exactness of (1.3) implies that  $r_0(\text{Hom } A, G)$  is countable.

Now assume that the lemma holds for all torsion-free groups of rank  $\leq n$ . Let  $A$  be torsion-free of rank  $n+1$  such that  $\text{Ext}(A, G) = 0$ , and let  $B$  be a pure subgroup of  $A$  of rank  $n$ . Then there is an exact sequence

$$0 \rightarrow \text{Hom}(A/B, G) \rightarrow \text{Hom}(A, G) \rightarrow \text{Hom}(B, G) \rightarrow \text{Ext}(A/B, G) \rightarrow 0.$$

As by induction hypothesis  $r_0(\text{Hom}(B, G))$  is countable, we conclude that  $r_0(\text{Ext}(A/B, G))$  is countable too. But then we have  $\text{Ext}(A/B, G) = 0$  by Theorem 1.2(b). Therefore by the first part,  $r_0(\text{Hom}(A/B, G))$  is countable; hence  $r(\text{Hom}(A, G))$  is countable as well.

*Proof of Theorem 2.1.* The implication (b)  $\Rightarrow$  (a) is a special case of [E<sub>2</sub>, Theorem 1.2]. Conversely, suppose that  $A$  is a countable torsion-free group such that  $\text{Ext}(A, G) = 0$ . Let  $A$  be represented as the union of an ascending chain of pure subgroups  $\{A_n \mid n < \omega\}$  such that  $A_0 = 0$  and  $r_0(A_{n+1}/A_n) \leq 1$ . Clearly such a chain of subgroups exists. Then we have  $\text{Ext}(A_n, G) = 0$  for all  $n$ , and therefore

there are exact sequences

$$\text{Hom}(A_n, G) \rightarrow \text{Ext}(A_{n+1}/A_n, G) \rightarrow 0.$$

Note that we may assume  $G$  to be reduced. Thus  $r_0(\text{Hom}(A_n, G))$  is countable by Lemma 2.2 and hence  $r_0(\text{Ext}(A_{n+1}/A_n, G))$  is countable too. But then by Theorem 1.2(b)  $\text{Ext}(A_{n+1}/A_n, G) = 0$  for all  $n$ . This completes our proof.

Theorems 1.2 and 2.1 provide a number of interesting consequences. The first generalizes Stein's theorem (see e.g. [E<sub>1</sub>, Theorem 4.1]).

**COROLLARY 2.3.** *Suppose that  $G$  is countable torsion-free and for all primes  $p$ ,  $G$  is not  $p$ -divisible. If  $A$  is any group of countable torsion-free rank, then  $\text{Ext}(A, G) = 0$  implies  $A$  free.*

*Proof.* First it follows from [N<sub>1</sub>, Theorem 4.5] that for any  $A$ ,  $\text{Ext}(A, G) = 0$  implies  $A$  torsion-free. Therefore, if  $A$  is of countable rank, we may apply Theorem 2.1. Hence  $A$  is the union of an ascending chain  $\{A_n \mid n < \omega\}$  of pure subgroups such that  $A_0 = 0$  and for all  $n$ ,  $r_0(A_{n+1}/A_n) \leq 1$  and  $\text{Ext}(A_{n+1}/A_n, G) = 0$ . Now by the hypothesis on  $G$  we have  $D_1(G) = D_2(G) = P$  (the set of all primes). Thus, by remark 1) from Theorem 1.2, there is for every  $n$  a nonzero  $x \in A_{n+1}/A_n$  (except that  $A_{n+1} = A_n$ ) such that  $h_p(x) = 0$  for all  $p$ . But this means that for all  $n$ ,  $A_{n+1}/A_n$  is free; hence by [E<sub>1</sub>, Theorem 2.6]  $A$  is free.

**COROLLARY 2.4.** *Let  $G'$  be a pure subgroup of  $G$ . If  $A$  is any countable torsion-free group, then  $\text{Ext}(A, G) = 0$  if and only if  $\text{Ext}(A, G') = 0$  and  $\text{Ext}(A, G/G') = 0$ .*

*Proof.* The "if" part holds trivially. Conversely, suppose that  $\text{Ext}(A, G) = 0$ . Then clearly  $\text{Ext}(A, G/G') = 0$ , and by Theorem 2.1,  $A$  is the union of an ascending chain of pure subgroups  $\{A_n \mid n < \omega\}$  such that  $A_0 = 0$  and for all  $n$ ,  $r_0(A_{n+1}/A_n) \leq 1$  and  $\text{Ext}(A_{n+1}/A_n, G) = 0$ . Now  $D_i(G')$  is contained in  $D_i(G)$  for  $i = 1, 2$ , since  $G'$  is pure in  $G$ . Therefore by Theorem 1.2(a) we have  $\text{Ext}(A_{n+1}/A_n, G') = 0$  for all  $n$ , and hence  $\text{Ext}(A, G') = 0$  by Theorem 2.1.

**COROLLARY 2.5.** *There is a countable quotient  $H$  of  $G$  such that for any countable torsion-free group  $A$ ,  $\text{Ext}(A, G) = 0$  if and only if  $\text{Ext}(A, H) = 0$ .*

*Proof.* By the main result of [Sz] and the proof of [E<sub>3</sub>, Theorem 2.2] there is a countable torsion group  $T$  and an epimorphism  $\varepsilon: tG \rightarrow T$  such that for any countable torsion-free  $A$ ,  $\text{Ext}(A, tG) = 0$  if and only if  $\text{Ext}(A, T) = 0$ . We denote

the induced homomorphism  $\text{Ext}(G/tG, tG) \rightarrow \text{Ext}(G/tG, T)$  by  $\varepsilon_*$  and let  $H$  be a representative of the class  $\varepsilon_*[G]$ . Thus there is a commutative diagram

$$\begin{array}{ccccccc} 0 & \rightarrow & tG & \rightarrow & G & \rightarrow & G/tG \rightarrow 0 \\ & & \downarrow \varepsilon & & \downarrow \eta & & \parallel \\ 0 & \rightarrow & T & \rightarrow & H & \rightarrow & G/tG \rightarrow 0 \end{array}$$

with exact rows. We claim that  $H$  has the required properties. First it is clear that  $H$  is countable. Furthermore we see from the diagram that  $\eta$  is an epimorphism. Hence for any group  $A$ ,  $\text{Ext}(A, G) = 0$  implies  $\text{Ext}(A, H) = 0$ . Conversely, suppose that  $A$  is countable torsion-free such that  $\text{Ext}(A, H) = 0$ . Then we have  $\text{Ext}(A, G/tG) = 0$  and  $\text{Ext}(A, T) = 0$  by Corollary 2.4. Thus  $\text{Ext}(A, tG) = 0$  as well and hence  $\text{Ext}(A, G) = 0$ . This completes our proof.

**THEOREM 2.6.** *If  $A$  is a countable torsion-free group such that  $\text{Ext}(A, G) = 0$ , then  $\text{Ext}(A/B, G) = 0$  for every pure subgroup  $B$  of  $A$  of finite rank.*

*Proof.* Let  $B$  be any pure subgroup of  $A$  of finite rank. We can choose an ascending chain of pure subgroups  $\{B_n \mid n < \omega\}$  of  $A$  of finite rank with union  $A$  such that  $B_0 = B$  and for all  $n$ ,  $r_0(B_{n+1}/B_n) \leq 1$ . Then the same argument as in the proof of Theorem 2.1 shows that  $\text{Ext}(B_{n+1}/B_n, G) = 0$  for all  $n$ . Now let  $A_n = B_n/B$ , so we have  $A/B = \bigcup_{n < \omega} A_n$  where  $A_0 = 0$  and for all  $n$ ,  $A_n$  is a pure subgroup of  $A/B$  of finite rank and  $A_{n+1}/A_n \cong B_{n+1}/B_n$ . Therefore we have  $\text{Ext}(A/B, G) = 0$  by Theorem 2.1.

*Remark.* Combining Theorems 1.2, 2.1 and 2.6 we obtain another proof of Baer's criterion which characterizes pairs of groups  $(A, T)$ ,  $A$  countable torsion-free and  $T$  a torsion group, such that every extension of  $T$  by  $A$  splits [ $B_1$ ].

The following result is an analogue of Pontryagin's criterion (see e.g. [ $F_1$ ], Theorem 19.1).

**COROLLARY 2.7.** *If  $A$  is a countable torsion-free group such that  $\text{Ext}(B, G) = 0$  for every subgroup  $B$  of  $A$  of finite rank, then  $\text{Ext}(A, G) = 0$ .*

*Proof.* Let  $A$  be represented as the union of an ascending chain of pure subgroups  $\{A_n \mid n < \omega\}$  such that  $A_0 = 0$  and for all  $n$ ,  $r_0(A_{n+1}/A_n) \leq 1$ . Then for all  $n$ ,  $\text{Ext}(A_n, G) = 0$  by hypothesis. Using Theorem 2.6, we conclude that for all  $n$ ,  $\text{Ext}(A_{n+1}/A_n, G) = 0$ . Hence we have  $\text{Ext}(A, G) = 0$  by Theorem 2.1.

The next result contains Proposition 5 of [Hu] and, in particular, the well-known fact that for every countable torsion-free nonfree group  $A$ ,  $r_0(\text{Ext}(A, \mathbb{Z}))$  is  $2^{\aleph_0}$  (see e.g. [J], Théorème 2.7).

**THEOREM 2.8.** *Let  $A$  be a countable torsion-free group such that  $\text{Ext}(A, G) \neq 0$ . Then the torsion-free rank of  $\text{Ext}(A, G)$  is  $\geq 2^{\aleph_0}$ .*

*Proof.* Clearly we may assume that  $G$  is reduced. If  $\text{Ext}(A, G) \neq 0$ , then by Corollary 2.7 there exists a subgroup  $B$  of  $A$  of finite rank such that  $\text{Ext}(B, G) \neq 0$ . Suppose that  $B$  is of minimal rank, and let  $B'$  be a pure subgroup of  $B$  such that  $r_0(B/B') = 1$ . Then we consider the exact sequence

$$\text{Hom}(B', G) \rightarrow \text{Ext}(B/B', G) \rightarrow \text{Ext}(B, G) \rightarrow \text{Ext}(B', G).$$

The minimality of  $r_0(B)$  implies that  $\text{Ext}(B', G) = 0$ . Thus the exact sequence yields that  $\text{Ext}(B/B', G) \neq 0$ , and hence by Theorem 1.2(b),  $r_0(\text{Ext}(B/B', G)) \geq 2^{\aleph_0}$ . On the other hand, by Lemma 2.2,  $r_0(\text{Hom}(B', G))$  is countable. It follows that  $r_0(\text{Ext}(B, G)) \geq 2^{\aleph_0}$ , hence  $r_0(\text{Ext}(A, G)) \geq 2^{\aleph_0}$ .

*Remark.* For  $A$  a countable torsion-free group and  $T$  an arbitrary torsion group, Baer [B<sub>2</sub>] has shown that  $\text{Ext}(A, T)$  is torsion-free. If in addition  $T$  is countable, we conclude that

$$\text{Ext}(A, T) \cong \prod_{\aleph_0} \mathbb{Q} \quad (\text{cf. [B}_2\text{], pp. 229–230}).$$

It is well-known that this group admits a *compact topology*. Furthermore we know from [J, Corollaire 2.8] that the same holds for groups of the form  $\text{Ext}(A, \mathbb{Z})$ ,  $A$  being countable torsion-free. These facts led us to ask the following

**Question.** Does  $\text{Ext}(A, G)$  admit a compact topology whenever  $A$  is countable torsion-free and  $G$  countable of finite torsion-free rank?

### 3. The uncountable case: vanishing of $\text{Ext}(A, G)$

In order to extend the results of the previous sections to groups of uncountable cardinality, we shall need to assume the Axiom of Constructibility,  $V = L$ . Before stating the main result of this section, let us recall a definition from [E<sub>3</sub>]. For any set  $U$  and infinite cardinal  $\lambda$ , let  $K_{\lambda^+}(U)$  denote the filter on  $\mathcal{P}(U)$ , the

power set of  $U$ , generated by all  $X \in \mathcal{P}(\mathcal{P}(U))$  satisfying

- (i)  $X$  is closed under unions of chains; and
- (ii) for all  $S \subseteq U$ , there exists  $H \in X$  such that  $S \subseteq H$  and  $|H| \leq |S| + \lambda$ .

(Such an  $X$  will be called a *generating element* of  $K_{\lambda^+}(U)$ .) We shall say that a property  $P$  of subsets of  $U$  holds for almost all subsets (w.r.t.  $K_{\lambda^+}(U)$ ) if  $\{S \subseteq U \mid S \text{ satisfies } P\}$  belongs to  $K_{\lambda^+}(U)$ .

LEMMA 3.1. (1) If  $\lambda \leq \mu$ , then  $K_{\lambda^+}(U) \subseteq K_{\mu^+}(U)$ .

(2)  $K_{\lambda^+}(U)$  is  $\lambda^+$ -complete, i.e. if  $X_\nu$ ,  $\nu < \lambda$  are elements of  $K_{\lambda^+}(U)$ , then  $\bigcap \{X_\nu \mid \nu < \lambda\}$  belongs to  $K_{\lambda^+}(U)$ .

(3) If  $V \subseteq U$  and  $X \in K_{\lambda^+}(V)$ , then  $\{H \subseteq U \mid H \cap V \in X\}$  belongs to  $K_{\lambda^+}(U)$ .

(4) If  $A$  is a group,  $B$  a subgroup of  $A$  and  $X \in K_{\lambda^+}(A/B)$ , then  $\{H \subseteq A \mid (H+B)/B \in X\}$  belongs to  $K_{\lambda^+}(A)$ .

(5) If  $A$  is a group, then almost all subsets of  $A$  (w.r.t.  $K_{\omega_1}(A)$ ) are pure subgroups of  $A$ .

(6) If  $B$  is a pure subgroup of  $A$ , then for almost all subsets  $H$  of  $A$  (w.r.t.  $K_{\omega_1}(A)$ ),  $B+H$  is a pure subgroup of  $A$ .

*Proof.* (1)–(4) are easy consequences of the definition. Part (5) follows from the facts that (i) the union of a chain of pure subgroups is a pure subgroup, and (ii) every infinite subset of  $A$  is contained in a pure subgroup of  $A$  of the same cardinality (cf.  $[F_1]$ , Proposition 26.2). By (5) applied to the group  $A/B$  we obtain an element  $X$  of  $K_{\omega_1}(A/B)$  consisting of pure subgroups of  $A/B$ . Then by part (4),  $Y = \{H \subseteq A \mid (H+B)/B \in X\}$  belongs to  $K_{\omega_1}(A)$ ; it is readily verified that for all  $H \in Y$ ,  $B+H$  is a pure subgroup of  $A$ . This proves (6).

For any infinite cardinal  $\kappa$ , let  $\text{cf}(\kappa)$  denote the cofinality of  $\kappa$ . By definition,  $\kappa$  is *singular* if  $\text{cf}(\kappa) < \kappa$  and otherwise  $\kappa$  is *regular*. Recall that a group is said to be  $\kappa$ -generated if it has a set of generators of cardinality  $< \kappa$ .

THEOREM 3.2. ( $V=L$ ). Let  $A$  be a group of uncountable cardinality  $\kappa$  and let  $G$  be a group of cardinality  $\lambda < \kappa$ . Then

- (1) If  $\kappa$  is singular and  $\text{Ext}(B, G) = 0$  for every  $\kappa$ -generated subgroup  $B$  of  $A$ , then  $\text{Ext}(A, G) = 0$ .
- (2)  $\text{Ext}(A, G) = 0$  if and only if  $A$  is the union of a continuous ascending chain  $\{A_\nu \mid \nu < \text{cf}(\kappa)\}$  of  $\kappa$ -generated pure subgroups of  $A$  such that  $\text{Ext}(A_0, G) = 0$  and for all  $\nu < \text{cf}(\kappa)$ ,  $\text{Ext}(A_{\nu+1}/A_\nu, G) = 0$ .
- (3) If  $\text{Ext}(A, G) = 0$ , then for almost all subgroups  $H$  of  $A$  (w.r.t.  $K_{\lambda^+}(A)$ ),  $\text{Ext}(A/H, G) = 0$ .

The above result is proved in  $[E_3]$  for the case of a torsion-free group  $A$  and  $G$  a torsion group, but the same proof works for arbitrary  $A$  and  $G$  any countable



group. That proof was based on [Sh<sub>2</sub>]; here we shall give a proof based on a new simplified version [Sh<sub>3</sub>] of the principal result of [Sh<sub>2</sub>]. For the convenience of the reader we shall state a version (for abelian groups) of the main theorem of [Sh<sub>3</sub>].

**THEOREM 3.3 (Shelah).** *Let  $\kappa$  be a singular cardinal and let  $\{\kappa_i \mid i < \text{cf}(\kappa)\}$  be an increasing and continuous sequence of cardinals satisfying:  $\kappa_0 = 0$ ,  $\text{cf}(\kappa) \leq \kappa_1$  and  $\sup \{\kappa_i \mid i < \text{cf}(\kappa)\} = \kappa$ . Let  $A$  be a group of cardinality  $\kappa$ ; let  $S_i$  = the set of all subgroups of  $A$  of cardinality  $\kappa_i$  and let  $S'_i = \{0\} \cup S_i$ . Suppose  $\mathcal{F}$  is a class of pairs  $(C_2, C_1)$  of subgroups of  $A$  such that  $C_1 \subseteq C_2$ . Suppose  $\mathcal{F}$  satisfies the following two properties:*

- (HI) *For every  $i < \text{cf}(\kappa)$ , there is a function  $g_i : S'_i \times S_i \rightarrow S_i$  such that whenever  $A_1 \subsetneq A_2$  are in  $S'_i$  and  $A_1 \in \{0\} \cup (\text{range } g_i)$ , then  $A_2 \subseteq g_i(A_1, A_2)$  and  $(g_i(A_1, A_2), A_1) \in \mathcal{F}$ ;*
- (HII) *For every  $i < \text{cf}(\kappa)$  and every  $A_1 \subsetneq A_2$  in  $S'_{i+1}$ , if  $(A_2, A_1) \in \mathcal{F}$  then player II has a winning strategy in the following game: in the  $n^{\text{th}}$  move ( $n < \omega$ ), player I chooses  $B_n \in S'_i$  such that  $C_{n-1} \subseteq B_n$  (where  $C_{-1} = 0$ ) and then player II chooses  $C_n \in S'_i$  such that  $B_n \subseteq C_n$ . Player II wins if*

$$(A_2 + \bigcup_{n < \omega} C_n, A_1 + \bigcup_{n < \omega} C_n) \in \mathcal{F}.$$

*Then  $A$  is the union of a continuous ascending chain  $\{A_\nu \mid \nu < \omega \text{ cf}(\kappa)\}$  of  $\kappa$ -generated subgroups of  $A$  such that  $A_0 = 0$  and  $(A_{\nu+1}, A_\nu) \in \mathcal{F}$  for all  $\nu < \omega \text{ cf}(\kappa)$ .*

*Proof of Theorem 3.2.* Let  $A$  and  $G$  be as in the hypotheses of 3.2. We shall prove (1), (2) and (3) simultaneously by induction on  $\kappa$ . Part (3) is proved as in the proof of Theorem 3.4 of [E<sub>3</sub>]. (In place of Lemma 3.5(3) we require the straightforward generalization in which  $K_{\omega_1}$  is replaced by  $K_{\lambda^+}$ .) The sufficiency of the condition in part (2) is just Theorem 1.2 of [E<sub>2</sub>], and when  $\kappa$  is regular, necessity is Theorem 1.5 of [E<sub>2</sub>]. (Note that we can assume the chain  $\{A_\nu \mid \nu < \kappa\}$  consists of pure subgroups by Lemma 3.1(5).) Thus it remains only to prove (1) and necessity in (2) when  $\kappa$  is singular.

Let  $\mathcal{F}$  be the class of pairs  $(C_2, C_1)$  of subgroups of  $A$  such that  $C_1$  is a pure subgroup of  $C_2$  and  $\text{Ext}(C_2/C_1, G) = 0$ . Choose an increasing sequence  $\{\kappa_i \mid i < \text{cf}(\kappa)\}$ , whose limit is  $\kappa$  such that  $\kappa_0 = 0$  and for all  $i \geq 0$ ,  $\kappa_{i+1} \geq \max\{\text{cf}(\kappa), \lambda\}$ . We shall show that  $\mathcal{F}$  satisfies (HI) and (HII) of Theorem 3.3. First we prove a lemma.

**LEMMA 3.4.** *( $V = L$ ). Let  $\kappa$  be a limit cardinal, let  $A$  be a group of cardinality  $\kappa$  and let  $G$  be a group of cardinality  $\lambda < \kappa$ . Suppose that  $\text{Ext}(B, G) = 0$  for every*



$\kappa$ -generated subgroup  $B$  of  $A$ . Let  $C$  be a subgroup of  $A$  of infinite cardinality  $\mu$ , where  $\lambda \leq \mu^+ < \kappa$ . Then there is a pure subgroup  $C^*$  of  $A$  of cardinality  $\mu$  such that  $C^*$  contains  $C$  and

(\*)  $\text{Ext}(C'/C^*, G) = 0$  for all subgroups  $C'$  of  $A$  of cardinality  $\mu$  that contain  $C^*$ .

*Proof.* If no such group  $C^*$  exists, then we can construct by induction a continuous ascending chain  $\{C_\nu \mid \nu < \mu^+\}$  of subgroups of  $A$  of cardinality  $\mu$  such that  $C_0 = C$  and for all  $\nu$ ,  $1 \leq \nu < \mu^+$ ,  $C_\nu$  is pure in  $A$  and  $\text{Ext}(C_{\nu+1}/C_\nu, G) \neq 0$ . Let  $\tilde{C} = \bigcup_{\nu < \mu^+} C_\nu$ . Then  $|\tilde{C}| = \mu^+ < \kappa$ , but  $\text{Ext}(\tilde{C}, G) \neq 0$  by Lemma 1.4 of [E<sub>2</sub>], which contradicts the hypothesis. This completes the proof of the lemma.

Now we can verify (HI) by defining  $g_i$  so that for all  $(A_1, A_2) \in S'_i \times S_i$ ,  $A_2 \subseteq g_i(A_1, A_2)$  and  $C^* = g_i(A_1, A_2)$  satisfies (\*) of Lemma 3.4. (Note that if  $A_1 = 0$ , then  $(g_i(A_1, A_2), 0) \in \mathcal{F}$  by the hypothesis of Theorem 3.2(1).)

It remains to verify (HII). Given  $A_1 \subsetneq A_2$  in  $S'_{i+1}$  ( $i \geq 1$ ) such that  $(A_2, A_1) \in \mathcal{F}$ , there exists by Lemma 3.1(6) a generating element  $X$  of  $K_{\lambda^+}(A_2)$  such that for all  $H \in X$ ,  $A_1 + H$  is a pure subgroup of  $A_2$ . Moreover since by inductive hypothesis  $A_2/A_1$  satisfies Theorem 3.2(3) we may assume – using Lemma 3.1(4) – that every element  $H$  of  $X$  satisfies  $\text{Ext}(A_2/(A_1 + H), G) = 0$ . Hence for all  $H \in X$ ,  $(A_2, A_1 + H) \in \mathcal{F}$ . Now the winning strategy of player II is as follows. Suppose  $C_{n-1} \in S'_i$  has been chosen so that  $C_{n-1} \cap A_2 \in X$ . If player I chooses  $B_n \in S'_i$  with  $C_{n-1} \subseteq B_n$ , then player II chooses  $C_n \in S'_i$  such that  $B_n \subseteq C_n$  and  $C_n \cap A_2 \in X$ ; this is possible because  $\kappa_i \geq \lambda$ . Now

$$(A_2 + \bigcup_{n < \omega} C_n) / (A_1 + \bigcup_{n < \omega} C_n) \cong A_2 / (A_1 + \bigcup_{n < \omega} (C_n \cap A_2)),$$

and  $(A_2, A_1 + \bigcup_{n < \omega} (C_n \cap A_2)) \in \mathcal{F}$  since  $X$  is closed under unions of chains. It follows easily that  $(A_2 + \bigcup_{n < \omega} C_n, A_1 + \bigcup_{n < \omega} C_n) \in \mathcal{F}$ .

Therefore by Theorem 3.3 we have a continuous ascending chain  $\{A_\nu \mid \nu < \omega \text{ cf}(\kappa)\}$  of  $\kappa$ -generated subgroups with union  $A$  such that  $(A_{\nu+1}, A_\nu) \in \mathcal{F}$  for all  $\nu < \omega \text{ cf}(\kappa)$ . Note that the continuity of the chain implies that for all  $\nu$ ,  $A_\nu$  is pure in  $A$ . By choosing a continuous subchain of length  $\text{cf}(\kappa)$  we obtain the chain required for Theorem 3.2(2). This completes the proof of Theorem 3.2.

**COROLLARY 3.5** ( $V = L$ ). *Let  $G$  be a group of countable torsion-free rank. There is a countable quotient  $H$  of  $G$  such that for any torsion-free group  $A$ ,  $\text{Ext}(A, G) = 0$  if and only if  $\text{Ext}(A, H) = 0$ .*

*Proof.* Let  $H$  be the countable quotient of  $G$  given by Corollary 2.5. For any group  $A$ ,  $\text{Ext}(A, G) = 0$  implies  $\text{Ext}(A, H) = 0$ . We shall prove by induction on  $|A|$  that the converse is also true if  $A$  is torsion-free. For countable  $A$  this is

**Corollary 2.5.** If  $A$  is uncountable and  $\text{Ext}(A, H) = 0$ , then by Theorem 3.2(2),  $A$  is the union of a continuous chain  $\{A_\nu \mid \nu < \text{cf}(\kappa)\}$  of  $\kappa$ -generated subgroups such that  $\text{Ext}(A_0, H) = 0$  and for all  $\nu < \text{cf}(\kappa)$ ,  $A_{\nu+1}/A_\nu$  is torsion-free and  $\text{Ext}(A_{\nu+1}/A_\nu, H) = 0$ . By induction,  $\text{Ext}(A_0, G) = 0$  and for all  $\nu < \text{cf}(\kappa)$ ,  $\text{Ext}(A_{\nu+1}/A_\nu, G) = 0$ ; hence (by Theorem 1.2 of [E<sub>2</sub>])  $\text{Ext}(A, G) = 0$ .

*Remark.* As an immediate consequence of Corollary 3.5 we obtain Theorem 3.2 for  $A$  torsion-free and  $G$  any group of countable torsion-free rank (and arbitrary cardinality) with  $\lambda = \omega$  in 3.2(3). This generalizes Theorem 3.4 of [E<sub>3</sub>].

We can also generalize Shelah's solution of Whitehead's problem in  $L$  using Corollary 2.3.

**COROLLARY 3.6** ( $V = L$ ). Suppose that  $G$  is a countable torsion-free group such that for all primes  $p$ ,  $G$  is not  $p$ -divisible. For any group  $A$ , if  $\text{Ext}(A, G) = 0$  then  $A$  is free.

*Proof.* We proceed by induction on the cardinality of  $A$ , using Corollary 2.3 and Theorem 3.2(2).

*Remark.* By [Sh<sub>1</sub>], Corollary 3.6 is independent of ZFC. Moreover the same holds for Corollary 3.5 and Theorem 3.2(2) and (3) (see [E<sub>3</sub>]). We do not know, however, if Theorem 3.2(1) is independent of ZFC.

The following result is related to Corollary 2.5 as Hill's theorem ([Hi]) is related to Pontryagin's criterion.

**THEOREM 3.7** ( $V = L$ ). Let  $A$  be a torsion-free group and  $G$  a group of countable torsion-free rank. Suppose  $A = \bigcup_{n < \omega} A_n$ , where  $\{A_n \mid n < \omega\}$  is a chain of pure subgroups of  $A$  such that  $\text{Ext}(A_n, G) = 0$  for all  $n < \omega$ . Then  $\text{Ext}(A, G) = 0$ .

*Proof.* By Corollary 3.5 we may assume that  $G$  is countable. the proof of the theorem will be by induction on  $|A|$ . If  $A$  is countable the result follows easily from Corollary 2.7. Suppose now that  $|A| = \kappa > \aleph_0$ . Theorem 3.2(3) and Lemma 3.1(2) and (3) imply that there is a generating element  $X$  of  $K_{\omega_1}(A)$  consisting of subgroups  $H$  such that for all  $n < \omega$ ,  $\text{Ext}(A_n/(H \cap A_n), G) = 0$ . Moreover by Lemma 3.1(6) we may assume that for all  $H \in X$  and all  $n < \omega$ ,  $A_n + H$  is pure in  $A$ . Now using the properties of a generating element we can define by transfinite induction a continuous ascending chain  $\{H_\nu \mid \nu < \kappa\}$  of elements of  $X$  such that  $H_0 = 0$ ,  $A = \bigcup_{\nu < \kappa} H_\nu$ , and for all  $\nu < \kappa$ ,  $|H_\nu| < \kappa$ . For all  $\nu < \kappa$

$$H_{\nu+1}/H_\nu = \bigcup_{n < \omega} ((H_{\nu+1} \cap A_n) + H_\nu)/H_\nu \quad \text{and} \quad ((H_{\nu+1} \cap A_n) + H_\nu)/H_\nu \\ \cong (H_{\nu+1} \cap A_n)/(H_\nu \cap A_n).$$

Hence  $\text{Ext}(((H_{\nu+1} \cap A_n) + H_\nu)/H_\nu, G) = 0$  since by choice of  $X$ ,  $\text{Ext}(A_n/(H_\nu \cap A_n), G) = 0$ . Moreover  $((H_{\nu+1} \cap A_n) + H_\nu)/H_\nu$  is pure in  $H_{\nu+1}/H_\nu$  since by choice of  $X$ ,  $A_n + H_\nu$  is pure in  $A$ . Therefore by inductive hypothesis,  $\text{Ext}(H_{\nu+1}/H_\nu, G) = 0$ . Since this is true for all  $\nu < \kappa$ , it follows that  $\text{Ext}(A, G) = 0$ . This completes the proof of the theorem.

#### 4. The uncountable case: structure of $\text{Ext}(A, G)$

The aim of this final section is to determine the torsion-free rank of  $\text{Ext}(A, G)$  in the case where  $A$  is uncountable torsion-free and  $G$  satisfies suitable cardinality conditions. The results of this section extend those of [H-H-S], when Shelah's solution of Whitehead's problem in  $L$  is taken into account. We do not know, however, whether our results remain valid without additional axioms of set theory. We start with

**THEOREM 4.1** ( $V = L$ ). *Let  $A$  be a torsion-free group of uncountable cardinality  $\kappa$  and let  $G$  be any group of cardinality  $< \kappa$ . Suppose that for every  $\kappa$ -generated pure subgroup  $B$  of  $A$ ,  $\text{Ext}(A/B, G) \neq 0$ . Then the torsion-free rank of  $\text{Ext}(A, G)$  is  $2^\kappa$ .*

To prove this we follow the pattern of the proof of the corresponding result (Theorem 1) of [H-H-S]. The regular case is an easy consequence of the subsequent proposition. Recall that  $\text{Ext}(A, G)$  can be defined as the quotient group  $\text{Fact}(A, G)/\text{Trans}(A, G)$ , where  $\text{Fact}(A, G)$  is the abelian group of all factor sets on  $A$  to  $G$  and  $\text{Trans}(A, G)$  is the subgroup of transformation sets (see e.g. [F<sub>1</sub>], pp. 209–211).

**PROPOSITION 4.2** ( $V = L$ ). *Let  $A$  be a torsion-free group of regular uncountable cardinality  $\kappa$  and let  $G$  be any group of cardinality  $\leq \kappa$ . Suppose that for every  $\kappa$ -generated pure subgroup  $B$  of  $A$ ,  $\text{Ext}(A/B, G) \neq 0$ . If  $A_0$  is any  $\kappa$ -generated pure subgroup of  $A$ , then for every  $f_0 \in \text{Fact}(A_0, G)$  there exists a subset  $\{f^\alpha \mid \alpha < 2^\kappa\}$  of  $\text{Fact}(A, G)$  such that*

- (i) *for all  $\alpha < 2^\kappa$ ,  $f^\alpha$  extends  $f_0$ ;*
- (ii) *for each pair  $\alpha \neq \beta$ ,  $f^\alpha - f^\beta$  represents an element of infinite order of  $\text{Ext}(A, G)$ .*

The proof of this proposition is almost identical with that of Proposition 1 in [H-H-S]. We only have to replace the statement “ $A$  is free” by “ $\text{Ext}(A, G) = 0$ ”. Instead of [E<sub>1</sub>, Theorem 2.6] we make use of Theorem 1.2 of [E<sub>2</sub>]. Note that the

cardinality hypothesis on  $G$  is needed in order that Lemma 3 of [H-H-S] can be applied.

The proof of Theorem 4.1 in case  $\kappa$  is singular relies on the above proposition and

**THEOREM 4.3** ( $V=L$ ). *Let  $A$  be any group of singular cardinality  $\kappa$ . Let  $G$  be a group of cardinality  $\lambda < \kappa$  and let  $\gamma$  be any infinite cardinal  $< \kappa$ . Suppose that every  $\kappa$ -generated subgroup  $B$  of  $A$  contains a  $\gamma^+$ -generated subgroup  $C$  such that  $C$  is pure in  $B$  and  $\text{Ext}(B/C, G) = 0$ . Then  $A$  contains a  $\gamma^+$ -generated pure subgroup  $C$  such that  $\text{Ext}(A/C, G) = 0$ .*

*Proof.* Let  $\mathcal{F}_1$  be the class of pairs  $(B, 0)$  where  $B$  is a subgroup of  $A$  that contains a  $\gamma^+$ -generated subgroup  $C$  such that  $C$  is pure in  $B$  and  $\text{Ext}(B/C, G) = 0$ . Let  $\mathcal{F}_2$  be the class of pairs  $(B, C)$  of subgroups of  $A$  where  $C$  is a non-trivial pure subgroup of  $B$  such that  $\text{Ext}(B/C, G) = 0$ . We show that the class  $\mathcal{F} = \mathcal{F}_1 \cup \mathcal{F}_2$  satisfies (HI) and (HII) of Theorem 3.3, assuming that the sequence  $\{\kappa_i \mid i < \text{cf}(\kappa)\}$  is chosen such that  $\kappa_1 \geq \max\{\text{cf}(\kappa), \lambda, \gamma\}$ . Condition (HI) is easily verified by means of the following analogue of Lemma 3.4.

**LEMMA 4.4** ( $V=L$ ). *Suppose that  $A$  and  $G$  satisfy the hypotheses of Theorem 4.3. Let  $B$  be a subgroup of  $A$  of cardinality  $\mu$ , where  $\max\{\lambda, \gamma\} \leq \mu < \kappa$ . Then there is a pure subgroup  $B^*$  of  $A$  of cardinality  $\mu$  such that  $B^*$  contains  $B$  and  $\text{Ext}(B'/B^*, G) = 0$  for all subgroups  $B'$  of  $A$  of cardinality  $\mu$  that contain  $B^*$ .*

*Proof.* We proceed as in the proof of Lemma 3.4. Supposing that no such  $B^*$  exists, we obtain a subgroup  $\tilde{B}$  of  $A$  of cardinality  $\mu^+$  which is the union of a continuous ascending chain  $\{B_\nu \mid \nu < \mu^+\}$  of subgroups of cardinality  $\mu$  such that  $B_0 = B$  and for all  $\nu$ ,  $1 \leq \nu < \mu^+$ ,  $B_\nu$  is pure in  $A$  and  $\text{Ext}(B_{\nu+1}/B_\nu, G) \neq 0$ . By hypothesis  $\tilde{B}$  contains a  $\gamma^+$ -generated pure subgroup  $C$  such that  $\text{Ext}(\tilde{B}/C, G) = 0$ . As  $|\tilde{B}|$  is regular, we may assume that  $C$  is contained in  $B_0$ ; so we have  $\tilde{B}/C = \bigcup_{\nu < \mu^+} B_\nu/C$ . But then Lemma 1.4 of [E<sub>2</sub>] yields a contradiction, and our lemma is proved.

It remains to check (HII). Given  $A_1 \subsetneq A_2$  in  $S'_{i+1}$  such that  $(A_2, A_1) \in \mathcal{F}$ , we distinguish the following two cases. First if  $A_1 \neq 0$ , we proceed exactly as in the proof of Theorem 3.2. In the second case, suppose that  $A_1 = 0$ . So  $(A_2, A_1) \in \mathcal{F}$  means that  $A_2$  contains a  $\gamma^+$ -generated pure subgroup  $C$  such that  $\text{Ext}(A_2/C, G) = 0$ . Then by Theorem 3.2(3) and Lemma 3.1 there exists a generating element  $X$  of  $K_{\lambda^+}(A_2)$  consisting of subgroups  $H$  of  $A_2$  such that  $(A_2, C+H) \in \mathcal{F}$ . Now the winning strategy of player II is to choose  $C_n \in S'_i$  such that  $C_0$  contains  $C$  and  $C_n \cap A_2 \in X$ . This is possible by the assumption on  $\kappa_i$ .

Then

$$\begin{aligned} (A_2 + \bigcup_{n < \omega} C_n) / (A_1 + \bigcup_{n < \omega} C_n) &\cong A_2 / \bigcup_{n < \omega} (C_n \cap A_2) \\ &\cong A_2 / (C + \bigcup_{n < \omega} (C_n \cap A_2)). \end{aligned}$$

But  $(A_2, C + \bigcup_{n < \omega} (C_n \cap A_2))$  is in  $\mathcal{F}$  since  $X$  is closed under unions of chains; so indeed  $(A_2 + \bigcup_{n < \omega} C_n, A_1 + \bigcup_{n < \omega} C_n) \in \mathcal{F}$ .

Therefore by Theorem 3.3 we obtain a continuous chain  $\{A_\nu \mid \nu < \omega \operatorname{cf}(\kappa)\}$  of  $\kappa$ -generated pure subgroups of  $A$  such that  $A = \bigcup_{\nu < \omega \operatorname{cf}(\kappa)} A_\nu$ ,  $A_1$  contains a  $\gamma^+$ -generated pure subgroup  $C$  with  $\operatorname{Ext}(A_1/C, G) = 0$  and for all  $\nu$ ,  $1 \leq \nu < \omega \operatorname{cf}(\kappa)$ ,  $\operatorname{Ext}(A_{\nu+1}/A_\nu, G) = 0$ . Hence we have  $\operatorname{Ext}(A/C, G) = 0$  by Theorem 3.2(2). This completes the proof of Theorem 4.3.

*Proof of Theorem 4.1.* Clearly  $2^\kappa$  is an upper bound for  $r_0(\operatorname{Ext}(A, G))$ . If  $\kappa$  is regular, Proposition 4.2 implies that the quotient group of  $\operatorname{Ext}(A, G)$  modulo torsion is of cardinality  $2^\kappa$ . Hence in this case  $2^\kappa$  is also a lower bound for  $r_0(\operatorname{Ext}(A, G))$ . Note that for  $\kappa$  regular the theorem still holds if the cardinality of  $G$  is  $\kappa$ .

Now assume that  $\kappa$  is singular. In this case we define by induction a chain of pure subgroups  $\{A_\nu \mid \nu < \operatorname{cf}(\kappa)\}$  of  $A$  such that

- (i)  $A = \bigcup_{\nu < \operatorname{cf}(\kappa)} A_\nu$ ;
- (ii)  $|A_\nu|$  is a regular cardinal  $> \max\{|G|, |\bigcup_{\mu < \nu} A_\mu|\}$ ;
- (iii) if  $C$  is a  $|A_\nu|$ -generated pure subgroup of  $A_\nu$ , then  $\operatorname{Ext}(A_\nu/C, G) \neq 0$ .

The definition of the chain is similar to the one in the proof of Theorem 1 of [H-H-S]. Instead of Theorem 2 of [H-H-S] we apply our Theorem 4.3, while condition (iii) is checked by making use of Theorem 3.2(3).

Let  $\tilde{A}_\nu = \bigcup_{\mu < \nu} A_\mu$ . As in [H-H-S], we deduce from Proposition 4.2 that to each sequence  $\eta$  of ordinals of length  $\nu$  with  $\eta(\mu) \in 2^{|A_\mu|}$ ,  $\mu < \nu$ , a factor set  $f^\eta \in \operatorname{Fact}(\tilde{A}_\nu, G)$  can be assigned such that

- (iv) if  $\xi$  is an initial segment of  $\eta$ , then  $f^\eta$  extends  $f^\xi$ ;
- (v) if  $\xi \neq \eta$  are of the same length  $\nu$ , then  $f^\xi - f^\eta$  represents an infinite order element of  $\operatorname{Ext}(\tilde{A}_\nu, G)$ .

We conclude that there are  $\prod_{\nu < \operatorname{cf}(\kappa)} 2^{|A_\nu|} = 2^\kappa$  factor sets on  $A$  to  $G$  which represent pairwise different elements of  $\operatorname{Ext}(A, G)$  modulo torsion. This completes the proof of Theorem 4.1.

**THEOREM 4.5** ( $V = L$ ). *Let  $A$  be a torsion-free group and let  $G$  be any group of countable torsion-free rank such that  $\operatorname{Ext}(A, G) \neq 0$ . Suppose that  $B$  is a pure*

subgroup of  $A$  such that  $\text{Ext}(A/B, G) = 0$ . If  $B$  is of minimal cardinality, then  $r_0(\text{Ext}(A, G)) \geq 2^{|B|}$ .

*Proof.* By hypothesis we have  $\text{Ext}(A, G) \cong \text{Ext}(B, G)$ . The case where  $B$  is countable is therefore settled by Theorem 2.8. For uncountable  $B$  the result follows from Corollary 3.5 and Theorem 4.1.

*Remark.* The following special case of the above theorem is implicit in  $[N_2]$ : If  $A$  is a torsion-free group and  $T$  a torsion group such that  $\text{Ext}(A, T) \neq 0$ , then  $r_0(\text{Ext}(A, T)) \geq 2^{\aleph_0}$ . Note that this does not require  $V = L$ .

The next two results are immediate consequences of Theorem 4.5.

**COROLLARY 4.6.** ( $V = L$ ). *Let  $A$  be torsion-free and let  $G$  be countable such that  $\text{Ext}(A, G) \neq 0$ . Then*

- (a)  $r_0(\text{Ext}(A, G)) = 2^\mu$  for some infinite cardinal  $\mu$ ;
- (b)  $r_0(\text{Ext}(A, G)) = |\text{Ext}(A, G)|$ .

**COROLLARY 4.7.** ( $V = L$ ). *Let  $A$  be  $\kappa$ -free for some infinite cardinal  $\kappa$  and let  $G$  be countable. If  $\text{Ext}(A, G) \neq 0$ , then  $r_0(\text{Ext}(A, G)) = 2^\mu$  for some  $\mu \geq \kappa$ .*

Recall that a group  $A$  is called  $\kappa$ -free if every  $\kappa$ -generated subgroup of  $A$  is free. We already mentioned that the results of this section extend those of  $[H-H-S]$ . Corollaries 4.7 and 4.8 generalize, moreover, Théorème 1 and Corollaire 2 of  $[Hu]$ , respectively.

**COROLLARY 4.8** ( $V = L$ ). *Let  $A$  be any group and let  $G$  be countable. If  $\text{Ext}(A, G)$  is nonzero and divisible, then  $r_0(\text{Ext}(A, G)) = 2^\mu$  for some infinite  $\mu$ .*

*Proof.* Clearly we may assume that  $G$  is reduced. We consider the exact sequence

$$\text{Hom}(tA, G) \rightarrow \text{Ext}(A/tA, G) \xrightarrow{\varphi} \text{Ext}(A, G) \rightarrow \text{Ext}(tA, G) \rightarrow 0.$$

From Lemma 55.3 of  $[F_1]$  we know that  $\text{Ext}(tA, G)$  is reduced. On the other hand, the hypothesis implies that  $\text{Ext}(tA, G)$  is divisible; hence  $\text{Ext}(tA, G) = 0$ . Using Lemma 1.1 we conclude that  $G$  is  $p$ -divisible for every prime  $p$  for which  $t_p A \neq 0$ . Therefore we have  $t_p G = 0$  whenever  $t_p A \neq 0$ . It follows that  $\text{Hom}(tA, G) \cong \prod_p \text{Hom}(t_p A, t_p G) = 0$ . Hence by exactness of the above sequence  $\varphi$  is an isomorphism. Thus it suffices to consider the case where  $A$  is torsion-free. But this case has already been settled by Corollary 4.6(a).

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