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## Some rational computations of the Waldhausen algebraic K theory

by DAN BURGHELEA\*

### Introduction

The purpose of this paper is to compute the rational part of the algebraic K-theory defined by Waldhausen [W] of the following type of topological (semisimplicial) rings  $R$ :

(a)  $R$  is an associative topological (semisimplicial) ring with unit and  $\Pi_0(R) = \mathbb{Z}$  ( $\mathbb{Z}$  denotes the ring of integers).

(b) There exists a ring homomorphism  $\iota: \Pi_0(R) \rightarrow R$  so that  $\pi\iota = \text{id}$  where  $\pi: R \rightarrow \Pi_0(R)^1$  is the canonical projection.

(c)  $\Pi_i(R) \otimes_{\mathbb{Z}} \mathbb{Q} = 0^1$  for  $i \neq r \geq 1$  and  $\Pi_r(R) \otimes_{\mathbb{Z}} \mathbb{Q} = \mathbb{Q}$ , where  $\mathbb{Q}$  is the ring of rationals.

These computations provide in particular the computation of the rational part of the Waldhausen's algebraic K-theory of a space  $X$ , in the case  $X$  has the rational homotopy type of a  $K(\mathbb{Z}, 2r)^2$  and implicitly the computation of the rational homotopy type of  ${}^{Pl}\text{Wh}(X)$ ,  ${}^{Pl}\text{Wh}\pm(X)$ ,  ${}^{Diff}\text{Wh}(X) \pm$  (see [B.L] for notations), for  $K(\mathbb{Z}, 2r)$ .

In this paper we give the results only for  ${}^{Diff}\text{Wh}$ , the problem of the computation of  ${}^{Pl}\text{Wh}(X)$ ,  ${}^{Pl}\text{Wh}\pm(X)$  will be contained in another paper on automorphisms of manifolds.

The methods of this paper allow the same computations for  $X$  of the rational homotopy type of a  $K(G, 2r)$  but more "classical invariant theory" is necessary and the author has not yet worked it out.

The paper is organised as follows:

In section 1 we recall briefly the algebraic K-theory of Waldhausen for rings and for topological spaces and present the main results as a consequence of Theorem 3.1 of section 3. In section 2 we present the "invariant theory" necessary for the proof of Theorem 3.1 and in section 3 the proof of this theorem.

<sup>1</sup>  $\Pi_0(R)$  denotes the ring of connected components and  $\Pi_i(R)$  the homotopy groups of  $R$  with respect to the base point "0".

<sup>2</sup>  $K(G, s)$  denotes the Eilenberg–MacLane space corresponding to  $G$  and  $s$ .

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This work has been done in the fall of 1977 while the author was visitor at the Institute for Advanced Study and the Princeton University. I am deeply indebted to the stimulating environment provided by these Institutes. I must also acknowledge the benefit I got from private discussions with W. C. Hsiang which has probably developed (in the meantime) parallel computations.\*

## §1

Let  $\text{Ring}'$  be the category of topological (semisimplicial) rings which are always assumed to be associative and with unit, and continuous (semisimplicial) ring homomorphisms which are assumed to be unit preserving. Let  $\text{Top}_*$  be the category of based pointed topological spaces (semisimplicial complexes) and based point preserving maps,  $\text{Gr}'$  be the category of topological (semisimplicial) groups and continuous (semisimplicial) homomorphisms and  $\tilde{\Omega}$  the subcategory of  $\text{Top}_*$  consisting of  $\infty$ -loopspaces and  $\infty$ -loop space maps.

Following Waldhausen [W] one defines the algebraic K-theory as a functor  $\mathbb{K} : \text{Ring}' \rightsquigarrow \tilde{\Omega}$  which is a homotopy functor in the sense that if  $f_1, f_2$  are two homotopic morphisms (homotopic by “morphisms”) then  $\mathbb{K}(f_1)$  and  $\mathbb{K}(f_2)$  are homotopic in  $\tilde{\Omega}$  and if  $f : R. \rightarrow R.$  is  $k$ -connected then  $\mathbb{K}(f)$  is  $(k+1)$ -connected. Since we are not interested in the  $\infty$ -loop space structure of  $\mathbb{K}$  we regard  $\mathbb{K}$  as a functor with values in  $\text{Top}_*$  whose definition is the following.

For any  $n$  let  $\widetilde{\text{GL}}(R., n)$  be the space<sup>3</sup> (semisimplicial complex) of  $n \times n$  matrices  $\{a_{ij}\}$ ,  $a_{ij} \in R.$ , with  $\{\pi(a_{ij})\}$  invertible. The composition of matrices endows  $\widetilde{\text{GL}}(R., n)$  with a structure of associative  $H$ -space and the inclusion  $\widetilde{\text{GL}}(R., n) \rightarrow \widetilde{\text{GL}}(R., n+1)$  defined by  $A \rightarrow \begin{pmatrix} A & 0 \\ 0 & 1 \end{pmatrix}$  is a morphism of associative  $H$ -spaces. We take  $\widetilde{\text{GL}}(R.) = \widetilde{\text{GL}}(R., \infty) = \lim_{\rightarrow} \widetilde{\text{GL}}(R., n)$  which is an associative  $H$ -space whose  $\Pi_0(\widetilde{\text{GL}}(R.)) = \text{GL}(\pi_0(R.)) = \lim_{\rightarrow} \text{GL}(\Pi_0(R.); n)$ . Applying the “classifying space” functor to  $\widetilde{\text{GL}}(R.)$  one obtains  $B\widetilde{\text{GL}}(R.)$  whose  $\Pi_1(B\widetilde{\text{GL}}(R.)) = \text{GL}(\Pi_0(R.))$  has the commutator a perfect group [L]. Consequently one can apply the Kervaire–Quillen’s “+”-construction and the resulted space (semisimplicial complex) will be denoted by  $B\widetilde{\text{GL}}(R.)_+$ . We define  $\mathbb{K}(R.) = \mathbb{Z} \times B\widetilde{\text{GL}}(R.)_+$  where  $\mathbb{Z}$  denotes the ring of integers. If  $f : R. \rightarrow R'.$  is a morphism, it induces  $\mathbb{K}(f) : \mathbb{K}(R.) \rightarrow \mathbb{K}(R'.)$  with the properties we have mentioned.

The “loop space” functor  $\Omega : \text{Top}_* \rightsquigarrow G'$  in the semisimplicial case is the Kan’s free group construction  $F$  and in topological case any “group type” construction

<sup>3</sup> With the obvious topology.

\* Added in proofs: Similar results have been independently obtained by Hsiang and Staffeldt; more recently, the author and Hsiang and Staffeldt have obtained upper bounds for  $\dim \Pi_i(\mathcal{K}(X)) \otimes \mathbb{Q}$  for  $X$  1-connected and with finite Betti numbers.

of the loop space for example the Milnor's construction  $[M_2]$  or  $X \rightsquigarrow |F(\text{Sing } X)|$  where  $\text{Sing}$  denotes the singular complex and  $|\cdot|$  the "geometric realisation".

Let  $\mathbf{Z}: G_r' \rightsquigarrow \text{Ring}'$  be the functor which associates with any topological (semisimplicial) group  $G$  the ring  $\mathbf{Z}(G)$  the topological (semisimplicial) analogous of the group ring; in the semisimplicial context it is actually the group ring, in topological context we can take  $|\mathbf{Z}(\text{Sing } G)|$  or any other functor from  $G_r' \rightsquigarrow \text{Ring}'$  which is essentially the infinite symmetric product. The composition  $\mathcal{K} = \mathbb{K} \circ \mathbf{Z} \circ \Omega$  produces a functor defined on  $\text{Top}_*$  with values in  $\tilde{\Omega}$  which is a homotopy functor and has the property that  $f: X \rightarrow Y$   $\kappa$ -connected implies  $\mathcal{K}(f)$   $\kappa$ -connected.

As we have mentioned, our purpose is to compute  $\mathbb{K}(R.)$  for rings which satisfy the properties (a), (b), (c) mentioned in Introduction and in fact we prove the following theorem.

**THEOREM 1.1.** *If  $R.$  satisfies the conditions (a), (b), (c) then  $\mathbb{K}(R.)$  has the rational homotopy of  $\mathbb{K}(Z) \times T_{r+1}$  where  $T_r = \prod_{i=1}^{\infty} K(Z, 2si)$  if  $r = 2s$  and  $T_r = \prod_{i=1}^{\infty} K(Z; (2s+1)(2i-1))$  if  $r = 2s+1$ .*

As a consequence one obtains.

**THEOREM 1.2.** *If  $X$  has the rational homotopy type of  $K(Z, 2r)$ ,  $r > 0$ , then  $\mathcal{K}(X)$  has the rational homotopy type of  $\mathbb{K}(Z) \times \prod_{i=1}^{\infty} K(Z; 2ri)$ .*

**COROLLARY 1.3.** *If  $X$  has the rational homotopy type of  $K(Z, 2r)$ ,  ${}^{\text{Diff}}\text{Wh}(X)$ ,  ${}^{\text{Diff}}\text{Wh}_{\pm}(X)$  have the rational homotopy type of  ${}^{\text{Diff}}\text{Wh}(pt)$  respectively  ${}^{\text{Diff}}\text{Wh}_{\pm}(pt)$ .*

Recall from [W] that  ${}^{\text{Diff}}\text{Wh}(pt)^4$  has the rational homotopy type of  $\prod_{i=1}^{\infty} K(Z; 4i)$  and from [F, H] that  ${}^{\text{Diff}}\text{Wh}_+$  respectively  ${}^{\text{Diff}}\text{Wh}_-$  have the rational homotopy type of  ${}^{\text{Diff}}\text{Wh}(pt)$  respectively  $pt$ .

*Proof of Theorem 1.1.* Let  $M_n(\mathring{R}.)$  respectively  $\mathcal{M}_n(\mathring{R}.)$  be the space (semisimplicial complex) of  $n \times n$  matrices with entries in  $\mathring{R}.$ , the connected component of "0", endowed with the composition law "+" respectively "\*" given by  $M * N = M + N + MN$ .

Consider the diagram

$$\begin{array}{ccccc}
 \mathcal{M}_n(\mathring{R}.) & \xrightarrow{\sigma_n} & \widetilde{GL}(\mathring{R}., n) & \xrightarrow{\omega_n} & GL(\Pi_0(\mathring{R}.), n) \\
 (*) \swarrow i_n & & \swarrow i_n & & \swarrow i_n \\
 \mathcal{M}_{n+1}(\mathring{R}.) & \xrightarrow{\sigma_{n+1}} & \widetilde{GL}(\mathring{R}., n+1) & \xrightarrow{\omega_{n+1}} & GL(\Pi_0(\mathring{R}.), n+1)
 \end{array}$$

<sup>4</sup> Our  ${}^{\text{Diff}}\text{Wh}$  is the loop space of the one defined by Waldhausen in [W].



where  $\sigma_n(M) = (M + I)$ ,  $\tilde{i}_n$  and  $\bar{i}_n$  are defined by  $A \rightsquigarrow \begin{pmatrix} A & 0 \\ 0 & 1 \end{pmatrix}$ ,  $i_n$  by  $M \rightsquigarrow \begin{pmatrix} M & 0 \\ 0 & 0 \end{pmatrix}$  and  $\omega_n$  by  $\omega_n\{a_{ij}\} = \{\pi a_{ij}\}$ .

Passing to the limit in diagram (\*) one obtains the fibration

$$(**) \mathcal{M}_\infty(R.) \xrightarrow{\sigma_\infty} \widetilde{GL}(R.) \xrightarrow{\omega_\infty} GL(\Pi_0(R.))$$

with all terms associative H-spaces and  $\sigma_\infty$  respectively  $\omega_\infty$  homomorphisms. Applying the “classifying space” functor to (\*\*) one obtains the fibration

$$(***) B\mathcal{M}_\infty(\mathring{R}.) \xrightarrow{B\sigma_\infty} B\widetilde{GL}(R.) \xrightarrow{B\omega_\infty} BGL(\Pi_0(R.))$$

Assume now that the ring  $R$  satisfies our hypothesis (b), hence there exists a morphism  $\iota: \Pi_0(R.) \rightarrow R$  so that  $\Pi.\iota = \text{id}$ ;  $\iota$  induces the group homomorphism  $\bar{\iota}: GL(\Pi_0(R.)) \rightarrow \widetilde{GL}(R.)$  and consequently we can define the representation  $\rho_\infty$  of  $GL(\Pi_0(R.))$  on the H-space  $\mathcal{M}_\infty(\mathring{R}.)$  by  $\rho_\infty(A; M) = \bar{\iota}(A) \cdot M \cdot \bar{\iota}(A)^{-1}$  for  $A \in GL(\Pi_0(R.))$   $M \in \mathcal{M}_\infty(\mathring{R}.)$ . Clearly

$$\rho_\infty(A; \dots): \mathcal{M}_\infty(\mathring{R}.) \rightarrow \mathcal{M}_\infty(\mathring{R}.)$$

is an H-space isomorphism, consequently one can apply the classifying space functor to  $\rho_\infty(A; \dots)$  and obtain the action

$$B\rho_\infty: GL(\Pi_0(R.)) \times B\mathcal{M}_\infty(\mathring{R}.) \rightarrow B\mathcal{M}_\infty(\mathring{R}.).$$

**PROPOSITION 1.4.** *The fibration (\*\*\*) is the fibration over  $BGL(\Pi_0(R.))$  associated with the action  $B\rho_\infty$ .*

*Proof of Proposition 1.4.* Let us recall the definition of the semidirect product of  $\mathcal{M}_\infty(\mathring{R}.) \times_{\rho_\infty} GL(Z)$ . This is the associative H-space structure defined on  $\mathcal{M}_\infty(\mathring{R}.) \times GL(Z)$  by the following composition law

$$(M', A') \# (M, A) = (\{\rho_\infty(A^{-1}; M)\} * M, A' \cdot A)$$

where  $M, M' \in \mathcal{M}_\infty(\mathring{R}.)$  and  $A, A' \in GL(Z)$ . The natural projection  $(M, A) \rightarrow A$  defines an homomorphism  $p_2: \mathcal{M}_\infty(\mathring{R}.) \times_{\rho_\infty} GL(Z) \rightarrow GL(Z)$  whose kernel is exactly  $\mathcal{M}_\infty(\mathring{R}.)$ .

In order to prove Proposition 1.4 it is obviously enough to show that (\*\*) is isomorphic to

$$\mathcal{M}_\infty(\mathring{R}.) \rightarrow \mathcal{M}_\infty(R.) \times_{\rho_\infty} GL(Z) \rightarrow GL(Z)$$

and this isomorphism is established by  $\gamma: \widetilde{GL}(R.) \rightarrow \mathcal{M}_\infty(\mathring{R}.) \times_{\rho_\infty} GL(Z)$  defined by

$$\gamma(A) = (\sigma_\infty^{-1}\{\bar{\iota}(\omega(A)^{-1}) \cdot A - I\}, \omega(A)) \quad \text{which makes sense since}$$

$$\{\iota(\omega(A)^{-1}) \cdot A - I\} \in \sigma_\infty(M_\infty(R.))$$

q.e.d.

*Remark.* The same proof shows that

$B\mathcal{M}_n(\mathring{R}.) \rightarrow B\widetilde{GL}(R., n) \rightarrow BGL(\Pi_0(R.), n)$  (with the hypothesis (b) on  $R.$ ) is the fibration induced by the representation  $\rho_n: GL(\Pi_0(R.), n) \times \mathcal{M}_n(\mathring{R}.) \rightarrow \mathcal{M}_n(\mathring{R}.)$  defined by the same formula.

Let us observe that if conditions (a), (b), (c) are satisfied then

$$\Pi_i(B\mathcal{M}_\infty(\mathring{R}.)) \otimes_Z Q = \Pi_{i-1}(\mathcal{M}_\infty(\mathring{R}.)) \otimes_Z Q = M_\infty(\Pi_{i-1}(R.) \otimes_Z Q) = \begin{cases} 0 & \text{if } i \neq r+1 \\ M_\infty(Q) & \text{if } i = r+1 \end{cases}$$

and  $\Pi_1(B\mathcal{M}_\infty(\mathring{R}.)) = \Pi_0(\mathcal{M}_\infty(\mathring{R}.)) = 0$ . Consequently the fibrewise “0-localisation” of the fibration (\*\*\*) is the fibration

$$K(M_\infty(Q), r+1) \rightarrow E \rightarrow BGL(Z)$$

associated with the action

$$\bar{\rho}_\infty: GL(Z) \times K(M_\infty(Q), r+1) \rightarrow K(M_\infty(Q), r+1);$$

this action is determined by the adjoint representations  $\rho_\infty: GL(Z) \times M_\infty(Q) \rightarrow M_\infty(Q)$  given by  $\rho_\infty(A: M) = A \cdot M \cdot A^{-1}$ .

*Warning.* If (a) and (b) are satisfied and  $B\mathcal{M}_\infty(\mathring{R}.)$  has trivial rational Postnikov invariants, we might be tempted to believe that the fibrewise “0-localisation” of the fibration (\*\*\*) is the fibration with fibre  $\prod_{s=2}^\infty (K(G_s \otimes Q), s)$  associated with the action  $\prod_{s=2}^\infty s_{p_\infty}$  where  $s_{p_\infty}$  is the action induced by the representation  $s_{p_\infty}: GL(Z) \times M_\infty(G_s \otimes Q) \rightarrow M_\infty(G_s \otimes Q)$  defined by  $s_{p_\infty}(A, M) = A \cdot M \cdot A^{-1}$  this is not always the case.

The proof of Theorem 1.1 follows now immediately from Theorem 3.1.

*Proof of Theorem 1.2.* If  $X = K(Z, 2r)$  then  $\Omega X = K(Z, 2r-1)$  and consequently  $Z\Omega(X)$  has as homotopy groups the homology groups of  $\Omega(X)$  since

$\mathbf{Z}\Omega(X)$  is essentially the infinite symmetric product of  $\Omega X$ . This makes clear that  $\mathbf{Z}\Omega(X)$  satisfies (c) since  $X$  is 1-connected (a) is also satisfied and (b) is trivially satisfied since  $\mathbf{Z}pt = \mathbf{Z}$ . Consequently the theorem is true by Theorem 1.1 for  $\mathbf{K}(\mathbf{Z}, 2r)$ . The construction of the functor  $\mathcal{K}$  implies immediately that if  $X$  and  $Y$  are rationally homotopy equivalent then  $\mathcal{K}(X)$  and  $\mathcal{K}(Y)$  are.

q.e.d

*Proof of Corollary 1.3.* In [W] Waldhausen defines two natural transformation  $\mathcal{K}(\dots) \rightarrow \mathcal{K}^s(\dots)$  where  $\mathcal{K}^s(\dots)$  is the stabilized functor associated with  $\mathcal{K}$ , which is an unreduced homology theory, and  $h(\dots; \mathcal{K}(pt)) \rightarrow \mathcal{K}(\dots)$  where  $h(\dots; \mathcal{K}(pt))$  is the reduced homology theory produced by the  $\infty$ -loop space  $\mathcal{K}(pt)$ .

The composition  $h(\dots; \mathcal{K}(pt)) \rightarrow \mathcal{K}^s(\dots)$  is a natural transformation of homology theory and because  $\mathcal{K}(pt) \rightarrow \mathcal{K}^s(pt)$ , is rationally homotopy surjective,  $h(X; \mathcal{K}(pt)) \rightarrow \mathcal{K}^s(X)$  is rationally homotopy surjective. On the other side  $B^{Diff}Wh(X)$  is the fibre of  $\mathcal{K}(X) \rightarrow \mathcal{K}^s(X)$ . Consequently  $\mathcal{K}(X)$  and  $B^{Diff}Wh(X) \times \mathcal{K}^s(X)$  are rationally homotopy equivalent. (Waldhausen claims a much stronger fact namely  $A(X)$  and  $B^{Diff}Wh(X) \times A^s(X)$  are homotopy equivalent which will imply the mentioned rational homotopy equivalence).

## §2

Let  $\mathcal{K}$  be one of the fields  $\mathbf{Q}, \mathbf{R}, \mathbf{C}$  of rational, real or complex numbers, and  $M_n(\mathcal{K})$  be the Lie algebra of  $GL(\mathcal{K}, n)$ , i.e. the Lie algebra of  $n \times n$  matrices over  $\mathcal{K}$ .

We denote by  ${}^{\mathcal{K}}\rho_n$  or  $\rho_n$ , using  ${}^{\mathcal{K}}\rho_n$  only when we are interested to explicit the field, the adjoint representation of  $GL(\mathcal{K}, n)$  on  $M_n(\mathcal{K})$  defined by  $\rho_n(A, M) = A \cdot M \cdot A^{-1}$  for  $A = GL(\mathcal{K}, n)$  and  $M \in M_n(\mathcal{K})$ , by  $\rho_n^*$  its dual representation and by  $\Lambda^k \rho_n, S^k \rho_n, \Lambda^k \rho_n^*, S^k \rho_n^*$  the  $k$ -times exterior respectively symmetric power of  $\rho_n$  respectively  $\rho_n^*$ . Denote by  $\text{Inv } \xi$  the fixed point subspace of the representation  $\xi$ . The following theorem contains well known facts; since the present formulation is not easy to be found in literature we enclose the proof.

**THEOREM 2.1.** (1) *There exists an injective linear map  $s_n^k: H^k(U(n); \mathcal{K}) \rightarrow \Lambda^k M_n^*(\mathcal{K})$  with  $s_n^k(H^k(U(n); \mathcal{K})) = \text{Inv } \Lambda^k ({}^{\mathcal{K}}\rho_n^*)$  such that the following diagram is commutative.*

$$\begin{array}{ccc} H^k(U(n); \mathcal{K}) & \xrightarrow{s_n^k} & \Lambda^k M_n^*(\mathcal{K}) \\ \uparrow j_n^* & & \uparrow \Lambda^k i_n^* \\ H^k(U(n+1); \mathcal{K}) & \xrightarrow{s_{n+1}^k} & \Lambda^k M_{n+1}^*(\mathcal{K}) \end{array}$$

where  $j_n^*$  is induced by the canonical inclusion  $U(n) \xrightarrow{i_n} U(n+1)$  and  $i_n$  is the canonical Lie algebra inclusion  $i_n(M) = \begin{vmatrix} M & 0 \\ 0 & 0 \end{vmatrix}$ .

(2) There exists an injective linear map

$$q_n^k: H^{2k}(BU(n): \mathcal{L}) \rightarrow \mathbf{S}^k M_n^*(\mathcal{L})$$

with

$$q_n^k(H^{2k}(BU(n): \mathcal{L})) = \text{Inv } \mathbf{S}^k \mathcal{L} \rho_n^*$$

such that the following diagram is commutative

$$\begin{array}{ccc} H^{2k}(BU(n): \mathcal{L}) & \xrightarrow{q_n^k} & \mathbf{S}^k M_n^*(\mathcal{L}) \\ \uparrow j_n^* & & \uparrow s^k i_n^* \\ H^{2k}(BU(n+1): \mathcal{L}) & \xrightarrow{q_{n+1}^k} & \mathbf{S}^k M_{n+1}^*(\mathcal{L}) \end{array}$$

where  $j_n^*$  is induced by the canonical inclusion  $BU(n) \xrightarrow{i_n} BU(n+1)$ .

*Proof.* Since  ${}^R \rho_n^*$  is a real form of  ${}^C \rho_n^*$  it is clear that the proof for  $\mathcal{L} = \mathbb{R}$  implies the result for  $\mathcal{L} = \mathbb{C}$ .

*Proof of (1).* ( $\mathcal{L} = \mathbb{R}, \mathbb{Q}$ ). Let  $\eta_n$  be the adjoint representation of  $U(n)$  on its Lie algebra;  $\eta_n^*$  is a real form of  ${}^R \rho_n^*$ . Analogously let  ${}^Q \eta_n$  be the adjoint representation of the group

$${}^Q U(n) = \{A = \{a_{ij} = \alpha_{ij} + i\beta_{ij}\} \mid A \in U(n), \alpha_{ij}, \beta_{ij} \in \mathbb{Q}\}$$

on the  $\mathbb{Q}$ -Lie algebra

$$\mathfrak{m} = \{M = \{m_{ij} = \alpha_{ij} + i\beta_{ij}\} \mid m_{ji} + \bar{m}_{ij} = 0, \alpha_{ij}, \beta_{ij} \in \mathbb{Q}\}$$

given by  ${}^Q \eta_n(A, M) = A \cdot M \cdot A^{-1}$ .

<sup>5</sup> For a  $\mathcal{L}$  vector we denote by  $V^*$  its dual.

Clearly it is enough to prove (1) for  $R \eta_n^*$  respectively  $Q \eta_n^*$  in order to have it proved for  $R \rho_n^*$  respectively  $Q \rho_n^*$ .

Let us recall that de Rham theory permits to associate with any closed differential form on a differentiable manifold a precise singular cohomology class with coefficients in  $R$ .

Therefore we have the linear map  $t_n: \text{Inv}(\Lambda^k \eta_n^*) \rightarrow H^k(U(n); R)$  constructed as follows; an element of  $\text{Inv}(\Lambda^k \eta_n^*)$  is regarded as a  $k$ -form on the Lie algebra of  $U(n)$  which by translation is extended to a  $k$ -differential form on the compact Lie group  $U(n)$ ; since the element we started with is in  $\text{Inv}(\Lambda^k \eta_n^*)$  the obtained differential form is biinvariant therefore closed.

It is well known (for any compact Lie group) that  $t_n$  is an isomorphism. Moreover  $t_n/\Lambda^k Q \eta_n^*$  factors through  $H^k(U(n); Q)$  since a form in  $\text{Inv}(\Lambda^k \eta_n^*)$  with rational coefficients (with respect to the canonical base) produces a cohomology class with rational periods on all integral cycles. Consequently we have the commutative diagram

$$\begin{array}{ccc} \text{Inv } \Lambda^k R \eta_n^* & \xrightarrow{t_n^k} & H^k(U(n); R) \\ \uparrow \bar{v} & & \uparrow \bar{v} \\ \text{Inv } \Lambda^k Q \eta_n^* & \xrightarrow{Q t_n^k} & H^k(U(n); Q) \end{array}$$

which implies  $Q t_n^k$  is an isomorphism.

We also observe that  $\Lambda^k i_n^*: \Lambda^k \eta_{n+1}^* \rightarrow \Lambda^k \eta_n^*$  sends  $\text{Inv } \Lambda^k \eta_{n+1}^*$  into  $\text{Inv } \Lambda^k \eta_n^*$  where  $i_n$  is the canonical inclusion of the Lie algebra of  $U(n)$  into the Lie algebra of  $U(n+1)$ , (analogously  $\Lambda^{kQ} i_n^*$  sends  $\text{Inv } \Lambda^{kQ} \eta_{n+1}^*$  into  $\text{Inv } \Lambda^{kQ} \eta_n^*$ ) and the following diagram is commutative

$$\begin{array}{ccc} \Lambda^k \eta_{n+1}^* & \longrightarrow & \Lambda^k \eta_n^* \\ \downarrow i_{n+1}^k & & \searrow t_n^k \\ H^k(U(n+1); R) & \longrightarrow & H^k(U(n); R) \end{array}$$

since the correspondence “biinvariant forms”  $\rightsquigarrow$  “cohomology” is a functorial isomorphism for the category of compact Lie groups. If we take  $s_n^k = (t_n^k)^{-1}$  and  $Q s_n^k = (Q t_n^k)^{-1}$ , (1) is proved.

*Proof of 2.* ( $\ell = Q, R$ ). Let us consider  $c_n(\ell)$  the Lie subalgebra of  $M_n(\ell)$  consisting of the diagonal matrices and  ${}^\ell \Theta_n$  the representation of the symmetric group on  $c_n(\ell)$ .

$S^k M_n^*(\ell)$  respectively  $S^k c_n^*(\ell)$  can be identified to the vector space of the degree  $k$  homogeneous polynomials on  $M_n(\ell)$  respectively on  $c_n(\ell)$ ; let  ${}_n\pi^k: S^k M_n^*(\ell) \rightarrow S^k c_n^*(\ell)$  be the linear map defined by "restriction to  $c_n(\ell)$ ." Clearly we have the commutative diagram

$$\begin{array}{ccc} S^k M_n^*(\ell) & \xleftarrow{S i_n^*} & S^k M_{n+1}^*(\ell) \\ \downarrow {}_n\pi^k & & \downarrow {}_{n+1}\pi^k \\ S^k c_n^*(\ell) & \xleftarrow{S i_n^*} & S^k c_{n+1}^*(\ell) \end{array}$$

where  $S^k i_n^*(\text{Inv } S^k \rho_{n+1}^*) \subset \text{Inv } S^k \rho_n^*$  and  $S^k i_n^*(\text{Inv } S^k \Theta_{n+1}^*) = \text{Inv } S^k (\Theta_n^*)$ ,  ${}_r\pi^k(\text{Inv } S^k \rho_r^*) \subset \text{Inv } S^k \Theta_r^*$ ;  $\text{Inv } S^k \Theta_n^*$  is the fixed point subspace of  $S^k \Theta_n^*$ . Let  ${}_r\bar{\pi}^k: \text{Inv } (S^k \rho_r^*) \rightarrow \text{Inv } S^k \Theta_r^*$  be the same map as  ${}_r\pi^k$  with the target restricted to  $\text{Inv } S^k \Theta_r^*$ . We will prove that  ${}_r\bar{\pi}^k$  is surjective checking that  ${}_r\bar{\pi}^* = \bigoplus_{k=0}^{\infty} {}_r\bar{\pi}^k$ ,  ${}_r\bar{\pi}^*: \text{Inv } S \rho_r^* \rightarrow \text{Inv } S \Theta_r^*$  where  $S \cdots = \bigoplus_k S^k \cdots$  is. For this purpose we define

$$\mu_r: M_r(\ell) \rightarrow \ell^r \quad \mu_r = (\mu_r^1, \dots, \mu_r^r) \quad \text{with} \quad \mu_r^i: M_n(\ell) \rightarrow \ell$$

by  $\mu_r^i(M) =$  the  $i$ -th coefficient of the characteristic polynomial of  $M$ .  $\mu_r$  induces  $\mu^*: P(\ell^r) \rightarrow \text{Inv } S \rho_r^*$ ,  $P(\ell^r)$  is the space of polynomials defined on  $\ell^r$ , and  ${}_r\pi^* \cdot \mu^*$  is an isomorphism, hence  ${}_r\pi^*$  is surjective. To check that  ${}_r\pi^k$  is injective it suffices to show that  ${}_r\pi^k$  is, since  ${}_r\pi^k$  and  ${}_r\pi^k$  are restrictions of  ${}_r\pi^k$ ;  ${}_r\pi^k$  is injective because there exists an open dense set in  $M_r(\mathbb{C})$  consisting of matrices which are conjugate to diagonal matrices. Consequently we have

$$\begin{array}{ccc} \text{Inv } S^k \Theta_n & \xleftarrow{{}_n\pi^k} & \text{Inv } S^k \rho_n^* \\ \uparrow S^k i_n^* & & \uparrow S^k i_n^* \\ \text{Inv } S^k \Theta_{n+1} & \xleftarrow{{}_{n+1}\pi^k} & \text{Inv } S^k \rho_{n+1}^* \end{array}$$

By A. Borel's theorem we know that for any  $k$  we have the commutative diagram

$$\begin{array}{ccc} \text{Inv } S^k \Theta_n^* & \xleftarrow{S i_n^*} & \text{Inv } S^k \Theta_{n+1}^* \\ \downarrow l_n^k & & \downarrow l_{n+1}^k \\ H^{2k}(\text{BU}(n): \ell) & \xleftarrow{i_n'} & H^{2k}(\text{BU}(n+1): \ell) \end{array}$$

with  $l_n^k$  isomorphisms; consequently if we take  $q_n^k = ({}_n\bar{\pi}^k) \cdot (l_n^k)^{-1}$  (2) is proved q.e.d.

Passing to duals we obtain the commutative diagrams

$$\begin{array}{ccc} \Lambda^k M_n(\mathcal{L}) & \xrightarrow{(s_n^k)^*} & H_k(U(n): \mathcal{L}) \\ \downarrow \Lambda^k i_n & & \downarrow i_{n*} \\ \Lambda^k M_{n+1}(\mathcal{L}) & \xrightarrow{(s_{n+1}^{\mathcal{L}})^*} & H_k(U(n+1): \mathcal{L}) \end{array}$$

and

$$\begin{array}{ccc} S^k M_n(\mathcal{L}) & \xrightarrow{(q_n^k)^*} & H_{2k}(BU(n): \mathcal{L}) \\ \downarrow S^k i_n & & \downarrow (i_n)_* \\ S^k M_{n+1}(\mathcal{L}) & \xrightarrow{(q_{n+1}^{\mathcal{L}})^*} & H_{2k}(BU(n+1): \mathcal{L}) \end{array}$$

which induce  $(s_\infty^k)^*: \Lambda^k M_\infty(\mathcal{L}) \rightarrow H_k(U(\infty): \mathcal{L})$  and  $(q_\infty^k)^*: S^k M_\infty(\mathcal{L}) \rightarrow H_{2k}(BU(\infty); \mathcal{L})$ .  
 $(s_n^k)^*$ ,  $(q_n^k)^*$  restricted to  $\text{Inv } \Lambda^k \rho_n$  respectively  $\text{Inv } S^k \rho_n$  are isomorphisms therefore  $(s_\infty^k)^*$  and  $(q_\infty^k)^*$  are, since  $\text{Inv } \Lambda^k \rho_\infty(k) = \lim_n \text{Inv } (\Lambda^k \rho_n^*(\mathcal{L}))$  and  $\text{Inv } S^k (\rho_\infty^*(\mathcal{L})) = \lim_n \text{Inv } (S^k \rho_n^*(\mathcal{L}))$ .

**COROLLARY 2.2.** *For any  $l$  and  $k$*

- (1)  ${}_l m_\infty^k: H_l(\text{GL}(Z); \{\Lambda^k \rho_\infty\}) \rightarrow H_l(\text{GL}(Z); H_k(U(\infty): \mathcal{L}))$  and
- (2)  ${}_l m_\infty^k: H_l(\text{GL}(Z); \{S^k \rho_\infty\}) \rightarrow H_l(\text{GL}(Z); H_{2k}(BU(\infty): \mathcal{L}))$ <sup>6</sup> induced by  $(s_\infty^k)^*$  respectively  $(q_\infty^k)^*$  are isomorphisms.

*Proof of Corollary 2.2.* It is enough to prove the statement for  $\mathcal{L} = \mathbb{R}$ . Since the proof of (1) and (2) are the same we give only the proof of (1). We observe that  ${}_l m_\infty^k = \lim_n {}_l m_n^k$  with  ${}_l m_n^k: H_l(\text{GL}(Z; n), \{\Lambda^k \rho_n\}) \rightarrow H_l(\text{GL}(Z, n); H_n(U(n): \mathbb{R}))$  induced by  $(s_n^k)^*$  hence it suffices to check that  ${}_l m_n^k$  is an isomorphism for  $n$  big enough, for instance  $(n-1) \geq 4l$ . Let us recall that if  $\tau$  is an  $\text{GL}(\mathbb{R}, n)$  irreducible representation, it remains irreducible if restricted to  $\text{SL}(\mathbb{R}, n)$ ; by Theorem 1.1 [F, H]  $H_l(\text{SL}(Z, n), \{\tau\}) = 0$  if  $l \leq (n-1)/4$ , hence  $H_l(\text{GL}(Z, n); \{\tau\}) = 0$  for

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<sup>6</sup>  $H \cdots (G; \{\tau\})$  denotes the homology of  $G$  with coefficients in the  $G$ -module defined by the representation  $\tau$ ,  $H \cdots (G; N)$  the homology of  $G$  with coefficients in the trivial  $G$ -module  $N$ .

$l < (n-1)/4$  (applying Lindon's spectral sequence [M] ch XI Theorem 10.1). Since  $\Lambda^k \rho_n$  decomposes as sum of irreducible representations and the trivial representation on  $\text{Inv } \Lambda^k \rho_n = H_k(U(n):R)$  we conclude that  $m_n^k$  is an isomorphism for  $(n-1) \geq 4l$ .

q.e.d.

Let  $V$  be a  $\mathcal{K}$ -vector space,  $\{V, r\}$  be the graded vector space with all but  $r$ -th components trivial (i.e.  $\{V, r\}_i = 0$  if  $i \neq r$ ) and the  $r$ -th component isomorphic to  $V$ . We denote by  $L(\{V, r\})$  the  $\mathcal{K}$ -graded commutative<sup>7</sup> algebra generated by the graded vector space  $\{V, r\}$ . Clearly  $L(\{V, s\})_s = 0$  if  $s \not\equiv 0 \pmod{r}$  and  $L(\{V, r\})_{ir} = \Lambda^i V$ , respectively  $S^i V$  if  $r$  is odd respectively even. As algebra  $L(\{V, r\})$  is isomorphic to an exterior respectively symmetric algebra if  $r$  is odd respectively even.

If  $\rho: G \times V \rightarrow V$  is a representation of  $G$  on  $V$ ,  $\rho$  induces the representation  $L(\rho, r)$  of  $G$  on  $L(\{V, r\})$ ; let  $\text{Inv } L(\rho, r)$  be subalgebra of  $L(\rho, r)$  consisting of the invariant elements.

Clearly  $\text{Inv } L(\rho, r)$  is a  $\mathcal{K}$  free algebra therefore  $\text{Inv } L(\rho, r) = L(W)$  where  $W$  is a  $\mathcal{K}$  graded vector space. We are particularly interested to determine the graded vector space  $W$  in the case  $\rho = \rho_\infty^*$ . The result is contained in the following theorem:

**THEOREM 2.3.**  $\text{Inv } L(\rho_n^*, r) = L(W)$  where  $W$  is the following  $\mathcal{K}$  graded vector space ( $n = 1, 2, 3, \dots, \infty$ )

$$\dim_{\mathcal{K}}(W_s) = \begin{cases} 0 & \text{if } s \not\equiv 0 \pmod{r} \\ \dim \Pi_i(U(n)) \otimes \mathcal{K} & \text{if } r \text{ is odd and } s = ri \\ \dim \Pi_{2i}(BU(n)) \otimes \mathcal{K} & \text{if } r \text{ is even and } s = ri \end{cases}$$

*Proof.* Choose a graded preserving linear injective map  $\iota: \tilde{W} \rightarrow \text{Inv } (\rho_n^*, r) L(W)$  where  $\tilde{W}_s = 0$  if  $s \not\equiv 0 \pmod{r}$  and  $\tilde{W}_{ir} = \Pi_i(U(n) \otimes \mathcal{K})^*$  respectively  $\Pi_{2i}(BU(n) \otimes \mathcal{K})^*$  if  $r$  is odd respectively even and  $\iota_{ir} = s_n^i \cdot o'_i$  respectively  $\iota_{ir} = q_n^i \cdot o_{2i}$  where  $o'_i$  is a right inverse of the Hourewicz-homomorphism  $H^i(U(n): \mathcal{K}) \rightarrow \Pi_i^*(U(n)) \otimes \mathcal{K}$  and  $o_{2i}$  a right inverse of the Hourewicz homomorphism  $H^{2i}(BU(n): \mathcal{K}) \rightarrow \Pi_{2i}^*(BU(n)) \otimes \mathcal{K}$ .  $\iota_{ir}$  is injective because  $o'_i$  and  $o_{2i}$  are injective. Since  $\iota$  is injective and  $\text{Inv } (\rho_n^*, r)$  is free  $\iota$  extends to  $L(\iota): L(\tilde{W}) \rightarrow \text{Inv } (\rho_n^*, r)$  which is injective. To prove it is an isomorphism it suffices to check it

<sup>7</sup> "Commutative" should be understood in "graded sense," namely  $a \cdot b = (-1)^{\deg a \cdot \deg b} b \cdot a$  if  $a$  and  $b$  have pure degree.



is an isomorphism in any degree or else it suffices to show  $\dim_{\mathbb{K}} L(\tilde{W})_i = \dim \operatorname{Inv}(\rho_n^*, r)_i$ . Or, if  $r$  is odd then

$$\begin{aligned} & 0 \quad \text{if } s \not\equiv 0 \pmod{r} \\ \dim_{\mathbb{K}} L(\tilde{W})_s &= \operatorname{card} \left\{ (\alpha_1, \alpha_2, \dots, \alpha_p) \middle/ \begin{array}{l} 1 \leq \alpha_1 < \alpha_2 < \dots < \alpha_p < n \\ 2\alpha_1 + \dots + 2\alpha_p - p = i, p < n \end{array} \right\} \\ &= \dim H^i(U(n): \mathbb{K}) \quad \text{if } s = ir \end{aligned}$$

and if  $r$  is even

$$\begin{aligned} & 0 \quad \text{if } s \not\equiv 0 \pmod{r} \\ \dim_{\mathbb{K}} L(\tilde{W})_s &= \operatorname{card} \left\{ (\alpha_1 \alpha_2 \dots \alpha_n) \middle/ \begin{array}{l} 0 \leq \alpha_i \leq n \\ \alpha_1 + 2\alpha_2 + \dots + n\alpha_n = 2i \end{array} \right\} \\ &= \dim H^{2i}(BU(n): \mathbb{K}) \quad \text{if } s = ir \end{aligned}$$

For  $n = \infty$  the result follows from the observation that for any fixed degree  $\operatorname{Inv} L(\rho_n^*, r) \leftarrow \operatorname{Inv} L(\rho_{n+1}^*, r)$  is an isomorphism if  $n$  is big enough. This happens because of Theorem 2.1 and the stability property for the cohomology of  $U(n)$  and  $BU(n)$ .

### § 3

The restriction of the adjoint representation  $\rho_{\infty}$  of  $GL(Q)$  on  $M_{\infty}(Q)$  to the subgroup  $GL(Z)$  defines the action  $\bar{\rho}_{\infty}$  of  $GL(Z)$  on  $K(M_{\infty}(Q), r)$  and therefore the fibration  $K(V, r) \rightarrow E \xrightarrow{\pi} BGL(Z)$ ,  $V = M_{\infty}(Q)$ . If  $r > 1$  then  $\Pi_1(E) = \Pi_1(BGL(Z)) = GL(Z)$  whose commutator is a perfect normal subgroup, hence one can apply the Quillen “+” construction.

**THEOREM 3.1.**  $E_+ = BGL(Z)_+ \times T_r$ ,  $\pi_+$  is the projection on  $BGL_{\infty}(Z)_+$  where  $T_r$  has the homotopy type of  $\prod_{i=1}^{\infty} K(Q; (2s+1)(2i-1))$  if  $r = 2s+1$  and of  $\prod_{i=1}^{\infty} K(Q; 2si)$  if  $r = 2s$ .

*Proof.* The proof will be given in two steps. In step 1 we will produce an explicit construction of  $F_1, F_2, f: F_1 \rightarrow F_2$ ,  $F_1, F_2$  CW-complexes (semisimplicial complexes),  $f$  continuous (semisimplicial) map, together with a continuous (semisimplicial) action  $\mu: GL(Z) \times F_1 \rightarrow F_1$  so that the following properties are satisfied

- (a)  $F_1$  is homotopy equivalent to  $K(M_{\infty}(Q), r)$  and  $F_2$  to  $T_r$ ;
- (b) The action  $\mu$  induces on the  $r$ -th homotopy group of  $F_1$  the representation  $\rho_{\infty}$ .

(c) If  $F_2$  is endowed with the trivial action of  $GL(Z)$  then  $f$  is equivariant.

(d) The minimal model (in the sense of Sullivan)  $[S]$  of  $F_1$  is the commutative graded algebra  $L(\rho_\infty^*, r)$  endowed with the differential 0, the minimal model of  $F_2$  is the graded commutative algebra  $\text{Inv } L(\rho_\infty^*, r) = L(W)$  with differential 0 and the morphism induced by  $f$  is the inclusion  $L(W) = \text{Inv } L(\rho_\infty^*, r) \subset L(\rho_\infty^*, r)$ .

To construct  $F_1$ ,  $F_2$ ,  $f$ ,  $\mu$  we use the “spatial realisation” functor  $\langle \rangle$  of D. Sullivan  $[S]^8$  and take  $F_1 = \langle L(M_\infty^*(Q), r), d = 0 \rangle$ ,  $F_2 = \langle \text{Inv } L(\rho_\infty^*, r), d = 0 \rangle$   $f = \langle \text{inclusion of } \text{Inv } L(\rho_\infty^*, r) \text{ into } L(\rho_\infty^*, r) = L(M_\infty^*(Q), r) \rangle$  and  $\mu_A: F_1 \rightarrow F_1$  for any  $A \in GL(Z)$  is  $\langle L(\rho_\infty^*(A): M_\infty^*(Q) \rightarrow M_\infty^*(Q), r) \rangle$ . (a), (b), (c) are trivially satisfied and (d) follows simply remarking that  $(L(\rho_\infty^*, r), d = 0)$  and  $(\text{Inv } L(\rho_\infty^*, r), d = 0)$  are actually minimal models. We recall from Sullivan’s theory of minimal models that a 1-connected space  $X$  has trivial rational Postnikov invariants iff the differential in the minimal model is trivial, hence  $F_2$  is a product of Eilenberg MacLane’s.

Theorem 2.3 gives the homotopy equivalence of  $F_2$  and  $T_r$ .

Step 2. We consider the diagram

$$\begin{array}{ccccc} F_2 & \longrightarrow & E_2 & \longrightarrow & BGL(Z) \\ \uparrow f & & \uparrow f_E & & \uparrow id \\ F_1 & \longrightarrow & E_1 & \longrightarrow & BGL(Z) \end{array}$$

with horizontal lines the fibrations induced by the action and the trivial action of  $GL(Z)$  on  $F_2$  and observe that  $F_1 \rightarrow E_1 \rightarrow BGL(Z)$  is actually the fibration  $K(V, r) \rightarrow E \rightarrow BGL(Z)$  while  $F_2 \rightarrow E_2 \rightarrow BGL(Z)$  is the trivial fibration with  $F_2$  homotopy equivalent to  $T_r$ .  $(f, f_E, id)$  induces a morphism of the spectral sequence (in homology) of the first fibration in the spectral sequence of the second and Corollary 2.2 claims that this morphism is an isomorphism for  $E^2 \dots$ , hence  $f_E$  induces an isomorphism on integral homology and on  $\Pi_1$  hence  $f_{E_+}: E_{1+} \rightarrow E_{2+}$  is a homotopy equivalence; this proves Theorem 3.1. q.e.d.

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<sup>8</sup> Recall that the “spatial realisation” of a 1-connected a differential graded algebra  $A$  is the geometric realisation of the semisimplicial complex whose  $k$ -simplexes are  $d.g.a.$  maps from  $A$  to the  $Q$ -de Rham algebra of the standard simplex. The degeneracies and the face operators are obviously defined.

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