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## A note on groups with torsion-free abelianization and trivial multiplicator

RALPH STREBEL

### 1. Introduction

1.1. A basic result on free groups  $F$  asserts that the factors  $\{F_j/F_{j+1}\}_{1 \leq j < \omega}$  of successive terms of the lower central series are free abelian (Magnus [4], Witt [11]). This can be proved using Lie algebra techniques and a proof (e.g. [1], pp. 35–39; or [7], LA 4.10–4.13) will then rely on three corner stones:

- \* The canonical Lie algebra homomorphism  $\sigma : L_X \rightarrow \text{Ass}_X$  from the free Lie  $\mathbf{Z}$ -algebra on the set  $X$  into the Lie algebra of the free associative  $\mathbf{Z}$ -algebra on  $X$  is *injective*.
- \* To every group  $G$  is associated a graded Lie algebra  $\text{gr } G$ . Its underlying additive group is the direct sum  $\bigoplus G_j/G_{j+1}$  of the factors of successive terms of the lower central series of  $G$ . Its Lie bracket is on homogeneous components induced by commutation in the group, sc.

$$(g \cdot G_{j+1}, h \cdot G_{k+1}) \mapsto (g^{-1}h^{-1}gh) \cdot G_{j+k+1} \quad (g \in G_j, h \in G_k)$$

and then extended linearly.

Reverting to free algebras and groups, let  $\gamma : L_X \rightarrow \text{gr } F_X$  denote the Lie algebra homomorphism defined by the assignments

$$X \ni x \mapsto x \cdot F_2 \in \text{gr}^1 F_X \subseteq \text{gr } F_X.$$

- \* There exists a Lie algebra homomorphism  $\alpha : \text{gr } F_X \rightarrow \text{Ass}_X$  making the triangle

$$\begin{array}{ccc}
 L_X & \xrightarrow{\sigma} & \text{Ass}_X \\
 \downarrow \gamma & \nearrow \alpha & \\
 \text{gr } F_X & & 
 \end{array}$$

commute.

1.2. In this note it is shown that the proof sketched above can be adapted to the more general situation in which  $F_X$  gets replaced by a group  $G$  whose abelianization  $G_{ab}$  is torsion-free and whose multiplicator  $H_2(G, \mathbf{Z})$ , i.e. whose second homology group with integral coefficients, is a torsion group. Such a group will be referred to as being TFT. The place of the free associative  $\mathbf{Z}$ -algebra  $\text{Ass}_X$  will be taken by the tensor algebra  $TG_{ab}$ . The main problem is the existence of a Lie algebra homomorphism

$$\alpha : \text{gr } G \rightarrow TG_{ab}$$

extending the identification  $\text{gr } G \simeq TG_{ab}$  in degree 1.

**THEOREM 1.** *If  $G$  is TFT then the identification  $\text{gr}^1 G \simeq T^1 G_{ab}$ , taking  $g \cdot G_2 \in \text{gr } G$  to  $g \cdot G_2 \in TG_{ab}$ , extends (necessarily uniquely) to a Lie algebra isomorphism  $\alpha : \text{gr } G \simeq TG_{ab}$  from the graded Lie  $\mathbf{Z}$ -algebra  $\text{gr } G$  associated with  $G$  onto the Lie subalgebra of  $TG_{ab}$  generated by  $G_{ab} = T^1 G_{ab}$ . Moreover,  $\alpha$  induces an isomorphism  $U\alpha : U(\text{gr } G) \simeq TG_{ab}$  between associative  $\mathbf{Z}$ -algebras, thus providing a model for the universal algebra of  $\text{gr } G$ .*

1.3. Since the additive group underlying the tensor algebra  $TG_{ab}$  is torsion-free if  $G_{ab}$  is so, Theorem 1 immediately entails the approved.

**COROLLARY 1.** *The factors  $G_j/G_{j+1}$ ,  $j = 1, 2, \dots$ , of the lower central series of a TFT group  $G$  are torsion-free.*

An application of Corollary 1 can be found in [10] (cf. 4.1.).

The next corollary indicates that even in the special case of a TFT group the finer commutator structure gets lost in the passage from  $G$  to  $\text{gr } G$ . (Examples testifying the loss will be given in 4.6. ff.)

**COROLLARY 2.** *The Lie algebra  $\text{gr } G$  of a TFT group is determined by its first homogeneous component  $G_{ab}$ .*

1.4. Our second main result deals with subgroups of TFT groups. We state it as

**THEOREM 2.** *Let  $\varphi : G \rightarrow \bar{G}$  be a homomorphism for which  $\varphi^1 : G_{ab} \rightarrow \bar{G}_{ab}$  is injective. Suppose  $G$  is TFT and  $H_2(\bar{G}, \mathbf{Z})$  is a torsion group. Then  $\text{gr } \varphi : \text{gr } G \rightarrow \text{gr } \bar{G}$  is injective. Put differently,  $\varphi$  induces injective homomorphisms  $\varphi_* : G/G_j \rightarrow \bar{G}/\bar{G}_j$  for all  $j \geq 2$ .*

If  $G$  and  $\bar{G}$  in Theorem 2 are both free the claim reduces to a well-known result of Malcev on subgroups of free nilpotent groups ([5]; cf. [6], 42.51). Theorem 2 may also be compared with the following result:

**THEOREM** (Stallings [8], Stammbach [9]). *Let  $\varphi: G \rightarrow \bar{G}$  be a homomorphism inducing an isomorphism  $\varphi^1: G_{ab} \rightarrow \bar{G}_{ab}$  and a surjection  $H_2(\varphi): H_2(G, \mathbf{Z}) \rightarrow H_2(\bar{G}, \mathbf{Z})$ . Then  $\text{gr } \varphi: \text{gr } G \rightarrow \text{gr } \bar{G}$  is an isomorphism of graded Lie algebras.*

## 2. The proof of Theorem 1

2.1. Let  $R$  be a non-trivial commutative ring with 1. If  $G$  is a group, let  $RG$  denote its group algebra (over  $R$ ) and  $\varepsilon: RG \rightarrow R$  the associated augmentation, i.e. the  $R$ -algebra homomorphism sending every  $g \in G$  to  $1 \in R$ . The kernel of  $\varepsilon$  is called the augmentation ideal  $I = I(RG)$  and, as an  $R$ -module, it is freely generated by the elements  $g - 1$  ( $g \in G \setminus \{e\}$ ). The powers  $\{I^i\}_{0 \leq i < \omega}$  form an integral filtration of  $RG$  whose associated graded  $R$ -algebra will be denoted by  $\text{gr } RG$ .

Define a descending chain of subsets of  $G$  by setting

$$D_R^i(G) = \{g \in G \mid g - 1 \in I^i\} \quad (1 \leq i < \omega).$$

Then  $D_R^1(G) = G$ , each  $D_R^i(G)$  is a (normal) subgroup and for every pair  $(j, k) \in \mathbf{N}^2$  the commutator  $[D_R^j(G), D_R^k(G)]$  is contained in  $D_R^{j+k}(G)$  (see, e.g., [2], §4.5, Prop. 2, p. 42). Hence  $\{D_R^i(G)\}_{1 \leq i < \omega}$  is a central series of  $G$  and we can form the associated graded Lie  $\mathbf{Z}$ -algebra  $\text{gr } \{D_R(G)\}$ . The function  $g \mapsto g - 1$  induces then an *injective* Lie algebra homomorphism

$$\beta: \text{gr } \{D_R(G)\} \rightarrow \text{gr } RG.$$

(It is clear that  $\beta$  is actually a natural transformation between functors from the category of groups to the category of graded Lie  $\mathbf{Z}$ -algebras.)

2.2. We specialize now to the case  $R = \mathbf{Z}$ . Then  $D_{\mathbf{Z}}^2(G) = G_2 = G'$  and  $\beta$  gives an isomorphism  $\beta^1: G/G_2 \xrightarrow{\sim} I/I^2$ ,  $gG_2 \mapsto (g - 1) + I^2$ . If  $TG_{ab}$  is the tensor algebra on  $G_{ab} = G/G'$  the isomorphism  $\beta^1$  will extend uniquely to a homomorphism  $\mu: TG_{ab} \rightarrow \text{gr } \mathbf{Z}G$  of graded associative  $\mathbf{Z}$ -algebras, given in degree  $j$  by

$$g_1 G_2 \otimes g_2 G_2 \otimes \cdots \otimes g_i G_2 \mapsto (g_1 - 1)(g_2 - 1) \cdots (g_i - 1) + I^{i+1}.$$

Clearly  $\mu$  is always surjective. For TFT groups it is even bijective according to the following

LEMMA. *If  $G_{ab}$  is torsion-free and  $H_2(G, \mathbf{Z})$  is a torsion group then  $\mu : TG_{ab} \xrightarrow{\sim} \text{gr } \mathbf{Z}G$  is an isomorphism of graded associative  $\mathbf{Z}$ -algebras.*

2.3. *Proof.* For every  $j \geq 0$  the short exact sequence  $I^{j+1} \hookrightarrow I^j \xrightarrow{\pi} I^j/I^{j+1}$  of right  $G$ -modules induces a long exact sequence. In dimensions 2, 1 and 0 it looks like this:

$$\begin{array}{ccccccc} & H_2(G, I^j/I^{j+1}) & \xleftarrow{\pi_*} & H_2(G, I^j) & & & \\ \partial_2 \curvearrowleft & H_1(G, I^{j+1}) & \longrightarrow & H_1(G, I^j) & \xrightarrow{\pi_*} & H_1(G, I^j/I^{j+1}) & \partial_1 \curvearrowright \\ & 0 & \longleftarrow & (I^j/I^{j+1}) \otimes_G \mathbf{Z} & \xleftarrow{\pi_*} & I^j \otimes_G \mathbf{Z} & \longleftarrow I^{j+1} \otimes_G \mathbf{Z} \end{array} \quad (1)$$

One readily verifies that the composite

$$\begin{aligned} \bar{\mu} : I/I^2 \otimes I^j/I^{j+1} &= H_1(G, \mathbf{Z}) \otimes I^j/I^{j+1} \xrightarrow{\sim} H_1(G, I^j/I^{j+1}) \\ &\xrightarrow{\partial_1} I^{j+1} \otimes_G \mathbf{Z} \xrightarrow{\sim} I^{j+1}/I^{j+2} \end{aligned}$$

is the obvious multiplication map. Taking into account that  $I^j \otimes_G \mathbf{Z} \rightarrow (I^j/I^{j+1}) \otimes_G \mathbf{Z}$  is an isomorphism and using the universal coefficient theorem, the sequence (1) can be rewritten as

$$\begin{array}{ccccccc} & (H_2(G, \mathbf{Z}) \otimes I^j/I^{j+1} \oplus \text{Tor}_1^{\mathbf{Z}}(G_{ab}, I^j/I^{j+1})) & & & & & \\ \partial_2 \curvearrowleft & H_1(G, I^{j+1}) & \longrightarrow & H_1(G, I^j) & \longrightarrow & I/I^2 \otimes I^j/I^{j+1} & \xrightarrow{\bar{\mu}} I^{j+1}/I^{j+2} \\ & & & & & & \longrightarrow 0. \end{array} \quad (2)$$

This exact sequence allows, first of all, to prove that all homology groups  $H_1(G, I^j)$  ( $0 \leq j < \omega$ ) are torsion groups. To see this recall that  $H_2(G, \mathbf{Z})$  is a torsion group by hypothesis and  $\text{Tor}_1^{\mathbf{Z}}(?, ?)$  by nature, and that  $H_1(G, \mathbf{Z}G) = 0$ ; then use the exactness of (2). Secondly, (2) implies that all multiplication maps  $\bar{\mu} : I/I^2 \otimes I^j/I^{j+1} \rightarrow I^{j+1}/I^{j+2}$  are bijective. As all  $H_1(G, I^j)$  are torsion groups it will do to show inductively that  $I/I^2 \otimes I^j/I^{j+1}$  is torsion-free. This follows from the hypothesis that  $G_{ab} \cong I/I^2$  be torsion-free and the fact that the tensor product (over  $\mathbf{Z}$ ) of torsion-free groups is again torsion-free. The proof is now easily completed.

2.4. *The proof of Theorem 1.* Assume  $G_{ab}$  is torsion-free and  $H_2(G, \mathbf{Z})$  is a torsion group. By Lemma 2.2 the map  $\mu : TG_{ab} \rightarrow \text{gr } \mathbf{Z}G$  is bijective so that we can define a Lie algebra homomorphism  $\alpha$  as the composite

$$\text{gr } G \xrightarrow{\iota} \text{gr } \{D_{\mathbf{Z}}(G)\} \xrightarrow{\beta} \text{gr } \mathbf{Z}G \xleftarrow{\mu} TG_{ab}.$$

Here  $\iota$  denotes the Lie algebra homomorphism stemming from the inclusions  $G_i \subseteq D_{\mathbf{Z}}^i(G)$ . Note that  $\text{gr } G$  is generated by its first homogeneous component and that  $\alpha^1 : \text{gr}^1 G \rightarrow T^1 G_{ab}$  is the identity on  $G_{ab}$ . These facts, together with the universal property of  $TG_{ab}$ , imply that  $\alpha : \text{gr } G \rightarrow TG_{ab}$  is the canonical map of  $\text{gr } G$  into its universal algebra and so prove the addendum to Theorem 1.

2.5. We are left with proving that  $\alpha$  is injective. If  $F_X$  is free on the set  $X$  then  $(F_X)_{ab}$  is free-abelian and  $H_2(F_X, \mathbf{Z}) = 0$ . Hence  $\alpha$  is defined and gives the classical Lie algebra homomorphism

$$\alpha : \text{gr } F_X \rightarrow TG_{ab} \cong \text{Ass}_X, \quad x \cdot F_2 \mapsto x \quad (x \in X).$$

The theory of basic sequences (see, e.g. [1]) or the Poincaré–Birkhoff–Witt theorem (see e.g. [7]) can then be used to prove that  $\alpha$  is injective.

Now let  $\varphi^1 : F_{ab} \hookrightarrow G_{ab}$  be a finitely generated free-abelian subgroup of our torsion-free abelianization  $G_{ab}$ . Lift the inclusion to a group homomorphism  $\varphi : F \rightarrow G$ . The lift gives rise to the commutative square

$$\begin{array}{ccc} \text{gr } F & \xrightarrow{\alpha_F} & TF_{ab} \\ \downarrow \text{gr } \varphi & & \downarrow T\varphi^1 \\ \text{gr } G & \xrightarrow{\alpha_G} & TG_{ab}. \end{array}$$

In it  $\alpha_F$  is injective, and because  $F_{ab}$  and  $G_{ab}$  are both torsion-free abelian groups and  $\varphi^1$  is injective,  $T\varphi^1$  is likewise injective. Consequently the restriction of  $\alpha_G$  to the image of  $\text{gr } \varphi$  is injective. But  $\text{gr } G$  is generated by its first homogeneous component  $G_{ab}$  and  $G_{ab}$ , being torsion-free, is a union of finitely generated free-abelian subgroups. This proves that  $\alpha$  is injective and establishes the claim of Theorem 1. The proofs of the corollaries present no problems.

2.7. *Remark.* The injectivity of  $\alpha$  could also have been inferred from a (rather difficult) theorem of M. Lazard [3] asserting that the canonical map of a Lie  $R$ -algebra into its universal algebra is injective if  $R$  is a principal ideal domain.

### 3. The proof of Theorem 2

3.1. We first return to the set-up of Subsection 2.1 and choose  $R$  to be the rational numbers  $\mathbf{Q}$ . The commutative square

$$\begin{array}{ccc} G/D_{\mathbf{Z}}^2(G) & \xrightarrow{\beta_1^1} & I/I^2 \\ \downarrow \text{can} & & \downarrow \text{can} \\ G/D_{\mathbf{Q}}^2(G) & \xrightarrow{\beta_{\mathbf{Q}}^1} & \text{gr}^1 \mathbf{Q}G \cong I/I^2 \otimes \mathbf{Q} \end{array}$$

shows that  $D_{\mathbf{Q}}^2(G)$  equals  $\ker\{G \rightarrow G_{ab} \otimes \mathbf{Q}\}$  whence

$$\beta_{\mathbf{Q}}^1 \otimes \mathbf{Q}: G_{ab} \otimes \mathbf{Q} \cong G/D_{\mathbf{Q}}^2(G) \otimes \mathbf{Q} \rightarrow \text{gr}^1 \mathbf{Q}G$$

is an isomorphism. It extends uniquely to a homomorphism

$$\mu_{\mathbf{Q}}: T(G_{ab} \otimes \mathbf{Q}) \rightarrow \text{gr } \mathbf{Q}G$$

of graded associative  $\mathbf{Q}$ -algebras. Clearly  $\mu_{\mathbf{Q}}$  is onto. An easy modification of the proof of Lemma 2.2 reveals that  $\mu_{\mathbf{Q}}$  is also injective provided merely that  $H_2(G, \mathbf{Z})$  is a torsion group. For a group  $G$  whose multiplicator is a torsion group one can therefore define a homomorphism

$$\alpha_{\mathbf{Q}}: \text{gr } \{D_{\mathbf{Q}}(G)\} \xrightarrow{\beta_{\mathbf{Q}}^1} \text{gr } \mathbf{Q}G \xleftarrow{\mu_{\mathbf{Q}}} T(G_{ab} \otimes \mathbf{Q})$$

of graded Lie  $\mathbf{Z}$ -algebras.

3.2. Now let  $G$  be TFT, let  $\bar{G}$  be a group with  $H_2(\bar{G}, \mathbf{Z})$  a torsion group and let  $\varphi: G \rightarrow \bar{G}$  be a group homomorphism. Then the canonical maps  $\alpha(G)$ ,  $\alpha_{\mathbf{Q}}(G)$  and  $\alpha_{\mathbf{Q}}(\bar{G})$  are all three defined and they combine to produce the following commutative diagram

$$\begin{array}{ccc} \text{gr } G & \xrightarrow{\alpha(G)} & T G_{ab} \\ \downarrow \iota & & \downarrow T\kappa \\ \text{gr } \{D_{\mathbf{Q}}(G)\} & \xrightarrow{\alpha_{\mathbf{Q}}(G)} & T(G_{ab} \otimes \mathbf{Q}) \\ \downarrow \text{gr}_{\mathbf{Q}} \varphi & & \downarrow T(\varphi^{-1} \otimes \mathbf{Q}) \\ \text{gr } \{D_{\mathbf{Q}}(\bar{G})\} & \xrightarrow{\alpha_{\mathbf{Q}}(\bar{G})} & T(\bar{G}_{ab} \otimes \mathbf{Q}) \end{array}$$

In it  $\iota$  denotes the canonical Lie algebra homomorphism stemming from the inclusions  $G_j \subseteq D_{\mathbf{Q}}^j(G)$ , and  $\kappa: G_{ab} \rightarrow G_{ab} \otimes \mathbf{Q}$  is the obvious canonical  $\mathbf{Z}$ -module homomorphism.

By assumption  $G_{ab}$  is torsion-free. Therefore  $\kappa$  and  $T\kappa$  are injective. By Theorem 1 the same is true for  $\alpha(G)$ . If, as is required in the hypotheses of Theorem 2,  $\varphi^1: G_{ab} \rightarrow \bar{G}_{ab}$  is injective  $T(\varphi^1 \otimes \mathbf{Q})$  will also be injective. Hence the composite  $\iota \circ \text{gr}_{\mathbf{Q}} \varphi: \text{gr } G \rightarrow \text{gr } \{D_{\mathbf{Q}}(G)\}$  is seen to be injective and the claim of Theorem 2 follows upon noting that  $\iota \circ \text{gr}_{\mathbf{Q}} \varphi$  factors through  $\text{gr } \varphi: \text{gr } G \rightarrow \text{gr } \bar{G}$ .

#### 4. Examples and counter-examples

**4.1. E-groups.** Let  $G$  be a group having torsion-free abelianization and trivial multiplicator. If  $G_{ab}$  is even free-abelian the Stallings–Stammbach theorem quoted in 1.4 applies and proves that each  $G_j/G_{j+1}$  is isomorphic with the corresponding factor  $F_j/F_{j+1}$  of a suitable free group  $F$  and so, in particular, torsion-free.

This argument breaks down if  $G_{ab}$  is not free abelian, as it usually happens when  $G$  is the derived group of a knot group or, more generally, when  $G$  is an **E**-group in the sense of [10]. A group  $G$  is there called an **E**-group if  $G_{ab}$  is torsion-free and if the  $G$ -trivial module  $\mathbf{Z}$  admits a  $\mathbf{Z}G$ -projective resolution  $\cdots \rightarrow P_2 \xrightarrow{\partial_2} P_1 \rightarrow P_0 \rightarrow \mathbf{Z} \rightarrow 0$  for which the induced differential  $\mathbf{1} \otimes \partial_2: \mathbf{Z} \otimes_G P_2 \rightarrow \mathbf{Z} \otimes_G P_1$  is injective. The condition on  $\mathbf{1} \otimes \partial_2$  implies that  $H_2(G, \mathbf{Z})$  is zero; the converse, however, is false (see 4.2).

**E**-groups have the following stability property: if  $G \in \mathbf{E}$  and  $N \triangleleft G$  is a normal subgroup with torsion-free, abelian factor group then  $N \in \mathbf{E}$ . In particular, the terms of the derived series of an **E**-group are **E**-groups and so are the terms of the lower central series.

**4.2. Groups  $G$  with  $G_{ab}$  torsion-free,  $H_2(G, \mathbf{Z})=0$  but  $G \notin \mathbf{E}$ .** It suffices to prove that  $G$  does not have the stability property enjoyed by **E**-groups. Let  $A$  be an abelian group possessing an automorphism  $\tau$  for which  $\tau - \mathbf{1}: A \rightarrow A$  is bijective and  $\tau \wedge \tau - \mathbf{1} \wedge \mathbf{1}: A \wedge A \rightarrow A \wedge A$  is onto. Let  $C = \langle t \rangle$  be an infinite cyclic group and define  $G$  to be the split extension  $A \rtimes C$  where  $t$  induces on  $A$  the given  $\tau$ . Then  $A = G_2$ ,  $G_{ab} \cong \mathbf{Z}$  and  $H_2(G, \mathbf{Z}) = 0$ , although  $A$  is in general neither torsion-free nor has it trivial multiplicator (take e.g.  $A = (\mathbf{Z}/5\mathbf{Z}) \oplus (\mathbf{Z}/5\mathbf{Z})$  and let  $\tau$  operate by multiplication by 2).

**4.3.** We give next two examples demonstrating that  $\alpha: \text{gr } G \rightarrow TG_{ab}$  need not exist if the hypotheses of Theorem 1 are weakened. Consider first an *abelian group*  $A$ . Then  $\text{gr } A$  is a *commutative* graded Lie algebra concentrated in degree 1 and its universal algebra is the symmetric algebra  $SA$  of  $A$ . Hence  $\alpha: \text{gr } A \rightarrow TA$  can only exist if  $TA$  is commutative. The commutativity of  $\otimes^2 A$ , in turn, is equivalent with the vanishing of the exterior square  $\Lambda^2 A \cong H_2(A, \mathbf{Z})$ ; for the canonical map  $A \wedge A \rightarrow A \otimes A$  taking  $a \wedge b$  to  $a \otimes b - b \otimes a$  is injective. For a

torsion-free abelian group we thus get the following conclusion: The identification  $\text{gr}^1 A \xrightarrow{\sim} T^1 A$  extends to a Lie algebra homomorphism  $\alpha : \text{gr } A \rightarrow TA$  if and only if  $H_2(A, \mathbf{Z}) = 0$ .

4.4. *Groups  $G$  with  $H_2(G, \mathbf{Z}) = 0$  but  $G_{ab}$  not torsion-free.* The exact sequence

$$H_2(G, \mathbf{Z}) \longrightarrow I/I^2 \otimes I/I^2 \xrightarrow{\bar{\mu}} I^2/I^3 \longrightarrow 0$$

(cf. sequence (2) in 2.3.) shows that  $\mu^2 : \otimes^2 G_{ab} \rightarrow I^2/I^3$  is bijective. Consequently the identification  $\text{gr}^1 G \xrightarrow{\sim} T^1 G_{ab}$  extends to

$$\alpha^2 : G_2/G_3 \longrightarrow I^2/I^3 \xleftarrow{\mu^2} \otimes^2 G_{ab}$$

taking  $[g, h] \cdot G_3$  to  $g \cdot G_2 \otimes h \cdot G_2 - h \cdot G_2 \otimes g \cdot G_2$ . (The existence of  $\alpha^2$  can also be deduced from the 5-term sequence associated with the extension  $G_2 \triangleleft G \rightarrow G_{ab}$ , namely

$$H_2(G, \mathbf{Z}) \rightarrow H_2(G_{ab}, \mathbf{Z}) \xrightarrow{\chi} G_2/G_3 \rightarrow G_{ab} \rightarrow G_{ab} \rightarrow 0, \quad (3)$$

and from the facts that  $H_2(G_{ab}, \mathbf{Z}) \cong G_{ab} \wedge G_{ab}$ , that under this isomorphism  $\chi$  becomes the obvious commutator map and that  $\Lambda^2 G_{ab}$  maps canonically into  $\otimes^2 G_{ab}$ .)

However, it is in general not possible to extend the identification  $\alpha^1 : \text{gr}^1 G \xrightarrow{\sim} T^1 G_{ab}$  to a Lie algebra homomorphism

$$\alpha_* : G/G_2 \oplus G_2/G_3 \oplus G_3/G_4 \rightarrow G_{ab} \oplus \otimes^2 G_{ab} \oplus \otimes^3 G_{ab}$$

of nilpotent Lie algebras of class two. To see this let  $G$  be a one-relator group of the form

$$G = \langle a, t; t^{-1}at = a^m \rangle = \langle a, t; [a, t] = a^{m-1} \rangle \quad (m \in \mathbf{Z} \setminus \{0, 1, 2\}).$$

Then  $G_{ab} = gp(aG_2) \times gp(tG_2) \cong (\mathbf{Z}/|m-1|\mathbf{Z}) \times \mathbf{Z}$  and  $H_2(G, \mathbf{Z}) = 0$ . The iterated commutator  $[a, [a, t]]$  represents the trivial element in  $G_3/G_4$ , whereas the corresponding Lie bracket in  $\otimes^3 G_{ab}$ , namely

$$[aG_2, [aG_2, tG_2]] = aG_2 \otimes aG_2 \otimes tG_2 - 2 \cdot aG_2 \otimes tG_2 \otimes aG_2 + tG_2 \otimes aG_2 \otimes aG_2$$

has order  $|m-1| > 1$ .

4.5. *Groups  $G, \bar{G}$  with trivial multiplicator,  $\varphi: G \rightarrow \bar{G}$  with  $\varphi^1$  injective but  $G_{ab}$  not torsion-free.* Our goal is to show that  $\varphi^2: G_2/G_3 \rightarrow \bar{G}_2/\bar{G}_3$  is not always injective. Let  $G$  be the one-relator group  $\langle a, t; t^{-1}at = a^m \rangle$  considered before and let  $\bar{G}$  arise out of  $G$  by adjoining a  $k^{\text{th}}$  root of  $t$ , i.e.

$$\bar{G} = G \underset{t=u^k}{*} (u) = \langle a, u; u^{-k}au^k = a^m \rangle \quad (k \geq 2),$$

and let  $\varphi: G \rightarrow \bar{G}$  be the canonical injection. Then  $H_2(G, \mathbf{Z}) = H_2(\bar{G}, \mathbf{Z}) = 0$  and  $\varphi^1: G_{ab} \rightarrow \bar{G}_{ab}$  is injective. The map  $\varphi^2: G_2/G_3 \rightarrow \bar{G}_2/\bar{G}_3$  can be identified with the exterior square  $\Lambda^2 \varphi^1: \Lambda^2 G_{ab} \rightarrow \Lambda^2 \bar{G}_{ab}$  (consult (3) above). Both  $\Lambda^2 G_{ab}$  and  $\Lambda^2 \bar{G}_{ab}$  are cyclic of order  $|m-1|$  and  $\varphi^2$  takes the generator  $aG_2 \wedge tG_2$  to  $aG_2 \wedge u^k G_2 = k(aG_2 \wedge uG_2)$ . Hence  $\varphi^2$  is injective if and only  $k$  and  $m$  are relatively prime.

This example shows that the conclusion of Theorem 2 becomes false if  $G_{ab}$  is not assumed to be torsion-free, everything else remaining unchanged. It is clear that a strong assumption on  $H_2(\bar{G}, \mathbf{Z})$  is necessary to exclude cases like the abelianization  $\varphi: F \rightarrow F_{ab}$  of a free group. But I have not been able to determine to what extent the hypothesis on  $H_2(G, \mathbf{Z})$  could be weakened without jeopardizing the claim. (The theorem of Stallings–Stammbach quoted in 1.4. bears also on the issue.)

4.6. *A family of  $2^{\aleph_0}$  non-isomorphic groups with trivial multiplicator having all the same torsion-free abelianization.* Let  $\{{}_k F\}_{k \in \mathbf{N}}$  be a sequence of free groups of rank two, say  ${}_k F$  is free on  $x_k$  and  $y_k$ , and let  $ab: {}_k F \rightarrow ({}_k F)_{ab}$  be the abelianizations. If

$$\Phi = \{\varphi_k: ({}_k F)_{ab} \rightarrow ({}_{k+1} F)_{ab}\}_{k \in \mathbf{N}}$$

is a given sequence of homomorphisms it can be lifted to a sequence

$$\Phi = \{\Phi_j: {}_k F \rightarrow {}_{k+1} F\}_{k \in \mathbf{N}}$$

so as to produce a commutative ladder

$$\begin{array}{ccccccc} {}_1 F & \xrightarrow{\Phi_1} & {}_2 F & \xrightarrow{\Phi_2} & {}_3 F & \xrightarrow{\Phi_3} & {}_4 F \longrightarrow \cdots \\ \downarrow ab & & \downarrow ab & & \downarrow ab & & \downarrow ab \\ ({}_1 F)_{ab} & \xrightarrow{\varphi_1} & ({}_2 F)_{ab} & \xrightarrow{\varphi_2} & ({}_3 F)_{ab} & \xrightarrow{\varphi_3} & ({}_4 F)_{ab} \longrightarrow \cdots \end{array}$$

If the  $\varphi_k$  are injective the lifts  $\Phi_k$  are likewise injective, e.g. because of Theorem 2 and the residual nilpotency of free groups. The direct limit  $G_\Phi = \text{colim } \Phi$  is

therefore a locally free group with trivial multiplicator and torsion-free abelianization  $(G_\Phi)_{ab} = \text{colim } \varphi$ ; and  $\text{gr } G_\Phi$  is isomorphic to the Lie algebra of  $T(G_\Phi)_{ab} \cong T(\text{colim } \varphi)$  generated by its first homogeneous component  $\text{colim } \varphi$ . In particular,  $\text{gr } G_\Phi$  depends only on  $\varphi$  and not on the choice of the lift  $\Phi$ .

Next let  $P$  be an infinite set of odd rational primes and let  $\lambda : \mathbf{N} \rightarrow P$  be an enumeration of  $P$ . Define the sequence  $\varphi = \{\varphi_k\}$  by

$$\varphi_k : x_k \cdot ({}_k F)_2 \mapsto x_{k+1}^{\lambda_k} \cdot ({}_{k+1} F)_2 \quad \text{and} \quad y_k \cdot ({}_k F)_2 \mapsto y_{k+1}^{\lambda_k} \cdot ({}_{k+1} F)_2.$$

The direct limit  $\text{colim } \varphi$  can be identified with the direct sum  $A_x \oplus A_y$  of two copies of the subgroup of the rationals generated by the elements  $1/p$  ( $p \in P$ ). For each  $S \subseteq \mathbf{N}$  define a lift  $\Phi(S)$  of  $\varphi$  by the formulae

$$\Phi_k(S) : x_k \mapsto \begin{cases} x_{k+1}^{\lambda_k} & \text{if } k \in S \\ x_{k+1}^{\lambda_k} [y_{k+1}, x_{k+1}] & \text{if } k \notin S \end{cases} \quad \text{and} \quad y_k \mapsto y_{k+1}^{\lambda_k}.$$

We shall prove that  $\text{colim } \Phi(S)$  and  $\text{colim } \Phi(S')$  are isomorphic if and only if the symmetric difference of  $S$  and  $S'$  is finite. Since  $\mathbf{N}$  can be written as a disjoint union of infinitely many infinite subjects this will imply that there are  $2^{\aleph_0}$  many non-isomorphic locally free groups whose associated graded Lie  $\mathbf{Z}$ -algebras are isomorphic.

4.7. If the symmetric difference of  $S$  and  $S'$  is finite then clearly  $\text{colim } \Phi(S)$  and  $\text{colim } \Phi(S')$  are isomorphic. The converse will be established by showing that, up to a finite error,  $S$  can be recovered from the nilpotent quotient of class two  $G_{\Phi(S)}/(G_{\Phi(S)})_3$ .

Let  $F$  be free on  $x$  and  $y$ . The elements of  $H = F/F_3$  can be parametrized by the lattice points  $\mathbf{Z}^3$  via

$$\mathbf{Z}^3 \ni (a, b, c) \leftrightarrow x^a y^b (y^{-1} x^{-1} y x)^c \cdot F_3 \in F/F_3 = H.$$

The resulting group multiplication on  $\mathbf{Z}^3$  is then given by

$$(a, b, c) \cdot (a', b', c') = (a + a', b + b', b a' + c + c').$$

Note that this group multiplication has an obvious extension to points of  $\mathbf{Q}^3$ .

For positive powers and roots of elements of  $H = H_{\mathbf{Z}} \subseteq H_{\mathbf{Q}}$  one gets

$$(a, b, c)^m = \left( m a, m b, m c + \binom{m}{2} \cdot a \cdot b \right)$$

$$(a, b, c)^{1/m} = (a/m, b/m, c/m - \frac{1}{2} \cdot (m-1) \cdot (a/m) \cdot (b/m))$$

It follows that an element of  $H_{\mathbf{Z}}$  is an  $m^{\text{th}}$  power ( $m$  an odd integer) if and only if all three entries are integral multiples of  $m$ .

The endomorphism  $\Phi^{\epsilon}$  of  $H$  corresponding to the lifts  $\Phi_k$  with  $k \in S$  has the parametric description

$$(a, b, c)\Phi^{\epsilon} = (\lambda_k \cdot a, \lambda_k \cdot b, (\lambda_k)^2 \cdot c).$$

It has the property that the image of an element of  $H$  which is an  $m^{\text{th}}$  power is at least a  $(\lambda_k \cdot m)^{\text{th}}$  power and that the image of an element which is not a  $q^{\text{th}}$  power ( $q \neq \lambda_k$  odd prime) is still not a  $q^{\text{th}}$  power.

The endomorphism  $\Phi^{\epsilon}$  of  $H$  corresponding to the lifts  $\Phi_k$  with  $k \notin S$  has the description

$$(a, b, c)\Phi^{\epsilon} = (\lambda_k \cdot a, \lambda_k \cdot b, (\lambda_k)^2 \cdot c + a).$$

If  $q \neq \lambda_k$  is an odd prime then the image under  $\Phi^{\epsilon}$  of an element which is not a  $q^{\text{th}}$  power is still not a  $q^{\text{th}}$  power. Moreover, if  $(a, b, c)\Phi^{\epsilon}$  is a  $\lambda_k^{\text{th}}$  power then  $\lambda_k \mid a$ .

4.8. Now let  $S \subseteq \mathbf{N}$  and construct the group  $G_{\Phi(S)} = \text{colim } \Phi(S)$ . Then the nilpotent group  $N(S) = G_{\Phi(S)} / (G_{\Phi(S)})_3$  is the direct limit of the obvious chain

$${}_1H \xrightarrow{\Phi_{1*}} {}_2H \xrightarrow{\Phi_{2*}} {}_3H \xrightarrow{\Phi_{3*}}$$

where each  ${}_kH$  is isomorphic with the free nilpotent group  $H$  discussed above. The isolators  $I(n) = \{n' \in N(S) \mid n = (n')^j \text{ some } j \in \mathbf{Z}\}$  of an element  $n \in N(S)$  are of two types: if  $n$  stems from an element  $(a_k, b_k, c_k) \in {}_kH$  with  $a_k \neq 0$ , – note the choice of  $k$  does not matter – then  $I(n) \cong gp\{1/p \mid p \in \lambda(S)\}$ , otherwise  $I(n) \cong gp\{1/p \mid p \in P\}$ . The claim then follows from the classification of isomorphism types of subgroups of the rationals.

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