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# Amalgamated Free Products of Groups and Homological Duality

R. BIERI and B. ECKMANN

## 1. Introduction

*1.1.* Among the contexts where amalgamated free products of groups occur are presentations of groups and fundamental groups of spaces: A group freely presented by generators and relations can often be considered as an amalgamated free product  $G = G_1 *_S G_2$  of subgroups  $G_1$ ,  $G_2$  and  $S$  which are better known than  $G$ . The fundamental group  $\pi_1(X)$  of a union  $X = X_1 \cup_Y X_2$  of spaces  $X_1$ ,  $X_2$  with identified subspace  $Y$  (all path-connected), where  $\pi_1(Y) \rightarrow \pi_1(X)$  is injective, is an amalgamated free product  $\pi_1(X) = \pi_1(X_1) *_{\pi_1(Y)} \pi_1(X_2)$ .

In both these instances simple examples are available where amalgamation of duality groups (i.e., groups with homological duality generalizing Poincaré duality, cf. [1]) again yields duality groups; or where a group known to be a duality group – for example because it admits a closed manifold as an Eilenberg-Mac Lane space – can be decomposed into an amalgamated free product. A simple illustration of this is given by the closed orientable surface of genus 2 considered as a union of two tori with a disc removed; a similar decomposition is available for closed “sufficiently large” 3-manifolds (cf. [7]). Section 4 contains a list and detailed description of examples of these and other types.

*1.2.* The purpose of this paper is to show that under suitable conditions amalgamation of duality groups leads to duality groups. These conditions are essentially on the dimensions of the groups  $G_1$ ,  $G_2$  and  $S$ . We first prove that *for  $G = G_1 *_S G_2$ , with  $S \neq G_1$  and  $G_2$ , to be a duality group of dimension  $n$  the following condition on the respective cohomology dimensions  $\text{cd}$  is necessary:*

$$n - 1 \leq \text{cd } S \leq \text{cd } G_j \leq n, \quad j = 1, 2. \quad (1.1)$$

In particular, if  $G$  is a duality group of dimension  $> 1$  then  $\text{cd } S > 0$ . The above result thus contains, and explains in a more precise way, the known fact ([1], Corollary 1.5) that a duality group of dimension  $> 1$  cannot be a non-trivial free product. The lower bound for the cohomology dimension of  $S$  is also useful in applications, e.g. to torsion-free arithmetic groups (known, by the work of Borel-Serre [9], to be duality groups).

Conversely, if  $G_1$ ,  $G_2$  and  $S$  are duality groups of dimensions fulfilling the inequalities (1.1), then  $G$  is a duality group in the case  $\text{cd } G_1 = \text{cd } G_2 = n$ ,  $\text{cd } S = n - 1$ , and then  $\text{cd } G = n$ ; and also in the case  $\text{cd } G_1 = \text{cd } G_2 = \text{cd } S = \text{cd } G = n - 1$ . In the other

remaining dimension cases ( $\text{cd } G_1 = \text{cd } S = n-1$ ,  $\text{cd } G_2 = n$ ; and  $\text{cd } G_1 = \text{cd } G_2 = \text{cd } S = n-1$ , but  $\text{cd } G = n$ ) additional conditions on certain restriction homomorphisms must be fulfilled. For the precise statements see Theorems 3.2, 3.3 and 3.5. The additional conditions always hold if  $S$  has finite index in  $G_1$ , or in  $G_1$  and  $G_2$ , respectively.

The proofs of these statements are based on the *Mayer-Vietoris sequence* for amalgamated free products. We recall that sequence briefly in Section 2, with a short sketch of a proof. Moreover, general properties of duality groups established in other papers ([1], [2], [3], [4]) are heavily used. All proofs become simpler if the groups involved are assumed to admit finite projective resolutions<sup>1)</sup>; or equivalently, for finitely presented groups, to admit Eilenberg-Mac Lane complexes dominated by finite complexes (this remark is useful for applications, but our procedure is entirely algebraic). This view-point is adopted in Section 3.

1.3. In Section 5 we prove the same statements without finiteness assumptions. The main tool here, aside from the Mayer-Vietoris sequence, is a property of groups, fulfilled by all duality groups, which is examined in a broader context in [4]: namely, to have finite cohomology dimension and to admit an “elementary duality” property in the top dimension. We call such groups “of type  $(FD_*)$ ”. Groups admitting finite projective resolutions belong to that class; and so do, more generally, groups of finite cohomology dimension admitting a projective resolution which is finitely generated in the top dimension<sup>1)</sup>. The arguments used in Section 5 deal essentially with amalgamated free products of groups of type  $(FD_*)$ . For these a few further dimension relations can be obtained.

## 2. The Mayer-Vietoris Sequence

2.1. Given two monomorphisms of groups  $\iota_1: S \rightarrow G_1$ ,  $\iota_2: S \rightarrow G_2$  one denotes by  $G_1 *_S G_2$  the generalized free product of  $G_1$  and  $G_2$  with amalgamated subgroups  $\iota_1(S)$  and  $\iota_2(S)$ , in short the “amalgamated free product”.  $G = G_1 *_S G_2$  is defined as factor group  $(G_1 * G_2)/N$ , where  $N$  is the normal subgroup of  $G_1 * G_2$  generated by all  $\iota_1(s) \iota_2(s)^{-1}$ ,  $s \in S$ . The natural maps  $\kappa_j: G_j \rightarrow G$ ,  $j = 1, 2$ , are monomorphisms; one often identifies  $G_j$  with  $\kappa_j(G_j)$  and  $S$  with  $\kappa_1 \iota_1(S) = \kappa_2 \iota_2(S)$ , and one then has  $G_1 \cap G_2 = S$ . The diagram

$$\begin{array}{ccc} S & \xrightarrow{\iota_1} & G_1 \\ \iota_2 \downarrow & & \downarrow \kappa_2 \\ G_2 & \xrightarrow[\kappa_1]{} & G \end{array}$$

is a push-out diagram in the category of groups.

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<sup>1)</sup> See “Note added in proof” at the end of this paper.

One writes  $\mathbf{Z}(G/S)$  for the (right)  $G$ -module freely generated, as an Abelian group, by the cosets  $Sx$  of  $G$  modulo  $S$  with  $G$ -action by (right) translations; and similarly for  $\mathbf{Z}(G/G_j)$ ,  $j = 1, 2$ .

**PROPOSITION 2.1.** (Swan [6]). *With  $G = G_1 *_S G_2$  there is associated a short exact sequence of (right)  $G$ -modules*

$$\mathbf{Z}(G/S) \xrightarrow{\alpha} \mathbf{Z}(G/G_1) \oplus \mathbf{Z}(G/G_2) \xrightarrow{\beta} \mathbf{Z} \quad (2.1)$$

where  $\alpha(Sx) = (G_1x, -G_2x)$  and  $\beta(G_1x, 0) = \beta(0, G_2x) = 1$ ,  $x \in G$ .

**2.2.** For an amalgamated free product  $G = G_1 *_S G_2$  there are *Mayer-Vietoris sequences* relating the (co) homology groups of  $G$  to those of  $G_1$ ,  $G_2$  and  $S$ . Although these sequences are well-known (cf. [6], [7], [8]), we will give a simple proof showing how to deduce them almost immediately from (2.1); moreover we get a description of the connecting homomorphisms which we will use in our applications.

**PROPOSITION 2.2.** *For an amalgamated free product  $G = G_1 *_S G_2$ , a left  $G$ -module  $A$  and a right  $G$ -module  $B$  one has long exact sequences ( $k \in \mathbb{Z}$ )*

$$\begin{aligned} \cdots \rightarrow H^k(G; A) \xrightarrow{(\text{res}^*, \text{res}^*)} H^k(G_1; A) \oplus H^k(G_2; A) \xrightarrow{(\text{res}^*, -\text{res}^*)} \\ H^k(S; A) \xrightarrow{\delta} H^{k+1}(G; A) \rightarrow \cdots \end{aligned} \quad (2.2)$$

and

$$\begin{aligned} \cdots \rightarrow H_k(S; B) \xrightarrow{(\text{cor}_*, -\text{cor}_*)} H_k(G_1; B) \oplus H_k(G_2; B) \xrightarrow{(\text{cor}_*, \text{cor}_*)} \\ A_k(G; B) \xrightarrow{\delta} H_{k-1}(G; B) \rightarrow \cdots \end{aligned} \quad (2.3)$$

*The maps  $\text{res}^*$  and  $\text{cor}_*$  are induced by the respective subgroup inclusions.*

*Proof.* Since (2.1) is a sequence of free Abelian groups, we have exact sequences of left (right)  $G$ -modules by diagonal action

$$\text{Hom}(\mathbf{Z}, A) \rightarrow \text{Hom}(\mathbf{Z}(G/G_1), A) \oplus \text{Hom}(\mathbf{Z}(G/G_2), A) \rightarrow \text{Hom}(\mathbf{Z}(G/S), A)$$

and

$$B \otimes \mathbf{Z}(G/S) \rightarrow (B \otimes \mathbf{Z}(G/G_1)) \oplus (B \otimes \mathbf{Z}(G/G_2)) \rightarrow B \otimes \mathbf{Z}.$$

Now, for any subgroup  $H \subset G$ , the maps

$$\xi: \text{Hom}(\mathbf{Z}(G/H), A) \rightarrow \text{Hom}_H(\mathbf{Z}G, A)$$

$$\eta: B \otimes \mathbf{Z}(G/H) \rightarrow B \otimes_H \mathbf{Z}G$$



given by  $\xi(f)(x) = xf(Hx)$  and  $\eta(b \oplus Hx) = bx^{-1} \otimes x$ ,  $x \in G$ ,  $f \in \text{Hom}(\mathbb{Z}(G/H), A)$ ,  $b \in B$ , are  $G$ -module isomorphisms. We thus get exact sequences of left (right)  $G$ -modules

$$A \mapsto \text{Hom}_{G_1}(\mathbb{Z}G, A) \oplus \text{Hom}_{G_2}(\mathbb{Z}G, A) \twoheadrightarrow \text{Hom}_S(\mathbb{Z}G, A) \quad (2.4)$$

and

$$B \otimes_S \mathbb{Z}G \mapsto (B \otimes_{G_1} \mathbb{Z}G) \oplus (B \otimes_{G_2} \mathbb{Z}G) \twoheadrightarrow B. \quad (2.5)$$

Since, for any subgroup  $H \subset G$ , one has  $H^k(G; \text{Hom}_H(\mathbb{Z}G, A)) \cong H^k(H; A)$  and  $H_k(G; B \otimes_H \mathbb{Z}G) \cong H_k(H; B)$ , the long coefficient sequences corresponding to (2.4) and (2.5) respectively are precisely the desired Mayer-Vietoris sequences. The homomorphisms  $\delta$  and  $\partial$  can easily be described as connecting homomorphisms in the coefficient sequences.

2.3. As an application we discuss conditions for an amalgamated free product to be of type  $(FP)$ , or  $(\overline{FP})$ . A group  $G$  is said to be of type  $(FP)$ , if the trivial  $G$ -module  $\mathbb{Z}$  admits a finite projective resolution over  $\mathbb{Z}G$ ; of type  $(\overline{FP})$  if it admits a finitely generated free resolution.  $(\overline{FP})$  together with finite cohomology dimension  $\text{cd} G$  is equivalent to  $(FP)$ . The results of this section could be obtained by explicit use of resolutions, but we prefer here a procedure based on the homology Mayer-Vietoris sequence and on the criteria for  $(FP)$  and  $(\overline{FP})$  given in [2], Proposition 3.2.

**THEOREM 2.3.** *Let  $G = G_1 *_S G_2$  be an amalgamated free product.*

(i) *If  $G_1$  and  $G_2$  are of type  $(\overline{FP})$ , then  $G$  is of type  $(\overline{FP})$  if and only if  $S$  is.*

(ii) *If  $G$  and  $S$  are of type  $(\overline{FP})$ , then so are  $G_1$  and  $G_2$ .*

*Moreover, the same statements hold for type  $(FP)$ .*

*Proof.* Let  $G_1$  and  $G_2$  be of type  $(\overline{FP})$ . We consider the sequence (2.3) with  $B = \prod \mathbb{Z}G$ , an arbitrary direct product of copies of  $\mathbb{Z}G$ . By [2], Proposition 3.2, since  $\mathbb{Z}G$  is  $G_j$ -free, we have  $H_k(G_j; B) = 0$  for  $k \geq 1$ ,  $j = 1, 2$ . Thus (2.3) yields

$$H_k(G; \prod \mathbb{Z}G) \cong H_{k-1}(S; \prod \mathbb{Z}G), \quad k \geq 2.$$

Moreover, by Proposition 2.1, we have a commutative diagram with exact rows

$$\begin{array}{ccccccc} 0 \rightarrow H_1(G; \prod \mathbb{Z}G) & \rightarrow & (\prod \mathbb{Z}G) \otimes_S \mathbb{Z} & \rightarrow & (\prod \mathbb{Z}G) \otimes_{G_1} \mathbb{Z} \oplus (\prod \mathbb{Z}G) \otimes_{G_2} \mathbb{Z} & \twoheadrightarrow & (\prod \mathbb{Z}G) \otimes_G \mathbb{Z} \\ & & \lambda \downarrow & & \mu \downarrow & & \nu \downarrow \\ 0 & \rightarrow & \prod \mathbb{Z}(G/S) & \rightarrow & \prod \mathbb{Z}(G/G_1) \oplus \prod \mathbb{Z}(G/G_2) & \twoheadrightarrow & \prod \mathbb{Z} \end{array}$$

The maps  $\lambda$ ,  $\mu$  and  $\nu$  are epimorphisms.  $G_1$  and  $G_2$ , being of type  $(FP)$ , are finitely generated, and so is  $G = G_1 *_S G_2$ ; hence  $\mu$  and  $\nu$  are isomorphisms.

Now let  $S$  be of type  $(\overline{FP})$ . Then  $H_k(S; \prod \mathbb{Z}G) \cong \prod H_k(S; \mathbb{Z}G)$  for all  $k \in \mathbb{Z}$ . For  $k=0$ , this tells that  $\lambda$  is an isomorphism, and thus  $H_1(G; \prod \mathbb{Z}G)=0$ . For  $k \geq 1$ , we have  $H_{k+1}(G; \prod \mathbb{Z}G) \cong H_k(S; \prod \mathbb{Z}G) = \prod H_k(S; \mathbb{Z}G) = 0$ , since  $\mathbb{Z}G$  is  $S$ -free. Thus  $H_k(G; \prod \mathbb{Z}G) = 0$  for all  $k \geq 1$  and all direct products  $\prod$ ; by Proposition 3.2 of [2] this implies that  $G$  is of type  $(\overline{FP})$ .

Conversely, assume that  $G$  is of type  $(\overline{FP})$ . It then follows that  $H_k(S; \prod \mathbb{Z}G) = 0$  for  $k \geq 1$ . We may assume that the index  $|G:S|$  is  $\infty$  (since for  $|G:S| < \infty$  any finitely generated resolution over  $\mathbb{Z}G$  is also finitely generated over  $\mathbb{Z}S$ ). Then one has a short exact sequence of  $S$ -modules  $\mathbb{Z}S \rightarrow \mathbb{Z}G \rightarrow \mathbb{Z}G$ , and hence a short exact sequence  $\prod \mathbb{Z}S \rightarrow \prod \mathbb{Z}G \rightarrow \prod \mathbb{Z}G$ . The corresponding coefficient sequence in homology yields  $H_k(S; \prod \mathbb{Z}S) = 0$  for all  $k \geq 1$ . Moreover, the commutative diagram with exact rows

$$\begin{array}{ccccccc} 0 \rightarrow & (\prod \mathbb{Z}S) \otimes_S \mathbb{Z} & \rightarrow & (\prod \mathbb{Z}G) \otimes_S \mathbb{Z} & \rightarrow & (\prod \mathbb{Z}G) \otimes_S \mathbb{Z} & \rightarrow 0 \\ & \downarrow e & & \downarrow \lambda & & \downarrow \lambda & \\ 0 \rightarrow & \prod \mathbb{Z} & \rightarrow & \prod \mathbb{Z}(G/S) & \rightarrow & \prod \mathbb{Z}(G/S) & \rightarrow 0 \end{array}$$

shows that  $\varrho$  is an isomorphism; hence  $\mathbb{Z}$  is finitely presented over  $\mathbb{Z}S$ , or equivalently,  $S$  is finitely generated. By the  $(\overline{FP})$ -criterion, Prop. 3.2 of [2], it follows that  $S$  is of type  $(\overline{FP})$ . We thus have proved (i).

To prove (ii), one considers as before the sequence (2.3) with  $B = \prod \mathbb{Z}G$ . Assuming  $G$  and  $S$  to be of type  $(\overline{FP})$ , the criterion yields  $H_k(G_j; \prod \mathbb{Z}G) = 0$  for  $j=1, 2$  and  $k \geq 1$ . By arguments analogous to those above one then easily checks that the conditions of the criterion are fulfilled, i.e.,  $G_1$  and  $G_2$  are of type  $(\overline{FP})$ .

As to the statements (i) and (ii) for type  $(FP)$ , all that remains is to check that the respective groups have finite cohomology dimension. In the case (ii),  $\text{cd } G < \infty$  of course implies  $\text{cd } G_j < \infty$ ,  $j=1, 2$ . In the case (i) one assumes  $\text{cd } G_j < \infty$ ,  $j=1, 2$ ; if  $\text{cd } S < \infty$ , the sequence (2.2) yields  $\text{cd } G < \infty$ , while the converse implication is again obvious.

### 3. Amalgamated free Products of Duality Groups: Type $(FP)$

3.1. We recall (cf. [1]) that  $G$  is a *duality group* of dimension  $n$  if there is a dualizing right  $G$ -module  $C$  and a fundamental class  $e \in H_n(G; C)$  such that the cap-product  $e \cap -$  induces isomorphisms

$$H^k(G; A) \xrightarrow{\cong} H_{n-k}(G; C \otimes A)$$

for every left  $G$ -module  $A$  and all  $k \in \mathbb{Z}$  ( $C \otimes A$  is a right  $G$ -module by diagonal action). If  $C = \mathbb{Z}$  as an Abelian group,  $G$  is called *Poincaré duality group*. In the present section we discuss conditions for an amalgamated free product  $G = G_1 *_S G_2$  to be a duality group. We restrict ourselves to groups of type  $(FP)$ . As we will show in section 5,

all results are in fact valid without that restriction. However, the proofs and the technique used for groups of type (FP) is simpler so that a separate treatment may be justified<sup>1)</sup>. The main tool here are the Theorems 4.4 and 4.5 of [1] which give criteria for duality without explicitly involving the cap-product: A group  $G$  of type (FP) is a duality group of dimension  $n$  if and only if  $H^k(G; \mathbb{Z}G) = 0$  for  $k \neq n$  and torsion-free for  $k = n$  (and then  $C = H^n(G; \mathbb{Z}G)$ ); or if and only if  $H^k(G; A) = 0$  for  $k \neq n$  and all induced  $G$ -modules  $A = L \otimes \mathbb{Z}G$ .

3.2. We thus assume  $G_1, G_2$  and  $S$ , and hence also  $G$ , to be of type (FP). If  $G$  is a duality group of dimension  $n$ , *lower bounds* for  $\text{cd } S$  (and hence for  $\text{cd } G_1$  and  $\text{cd } G_2$ ) can be obtained as follows.

We suppose that  $\text{cd } S < n - 1$ . Then (2.2) with  $A = \mathbb{Z}G$  yields

$$C = H^n(G; \mathbb{Z}G) \cong H^n(G_1; \mathbb{Z}G) \oplus H^n(G_2; \mathbb{Z}G).$$

Since  $G_j$  is of type (FP),  $j = 1, 2$ , the cohomology functors  $H^n(G_j; -)$  commute with direct sums. Since  $C \cong \bigoplus_{G/G_1} H^n(G_1; \mathbb{Z}G_1) \oplus H^n(G_2; \mathbb{Z}G)$ , where the sum  $\bigoplus_{G/G_1}$  is over the cosets of  $G$  modulo  $G_1$ , as right  $G_1$ -modules, we have

$$\begin{aligned} H_n(G_1; C) &\cong \bigoplus_{G/G_1} H_n(G_1; H^n(G_1; \mathbb{Z}G_1)) \oplus H_n(G_1; H^n(G_2; \mathbb{Z}G)) \\ &\cong \bigoplus_{G/G_1} \text{Hom}_{G_1}(H^n(G_1; \mathbb{Z}G_1), H^n(G_1; \mathbb{Z}G_1)) \oplus H_n(G_1; H^n(G_2; \mathbb{Z}G)) \end{aligned}$$

by [4], Theorem 2.4 (see also [3]). Therefore  $H_n(G_1; C) = 0$  implies  $H^n(G_1; \mathbb{Z}G_1) = 0$ , and similarly for  $G_2$ . But at least one of the groups  $H^n(G_1; \mathbb{Z}G_1), H^n(G_2; \mathbb{Z}G_2)$  must be  $\neq 0$ ; thus, e.g.,  $H_n(G_1; C) \neq 0$ . On the other hand  $H_n(G_1; C) \cong H_n(G; C \otimes_{G_1} \mathbb{Z}G) \cong H_n(G; C \otimes \mathbb{Z}(G/G_1))$  is isomorphic, by duality, to  $H^0(G; \mathbb{Z}(G/G_1)) = (\mathbb{Z}(G/G_1))^G$ . Under the action of  $G$ ,  $\mathbb{Z}(G/G_1)$  has no fixed element unless the index  $|G:G_1|$  is finite. But in  $G = G_1 *_S G_2$  the index  $|G:G_1|$  is finite only if  $G = G_1, S = G_2$ . We thus have proved

**THEOREM 3.1.** *Let  $G = G_1 *_S G_2$  be a non-trivial amalgamated free product (i.e.,  $S \neq G_j, j = 1, 2$ ) and let  $G_1, G_2$  and  $S$  be of type (FP). If  $G$  is a duality group of dimension  $n$ , then*

$$n - 1 \leq \text{cd } S \leq \text{cd } G_j \leq n, \quad j = 1, 2. \quad (3.1)$$

3.3. We now give *sufficient* conditions for  $G = G_1 *_S G_2$ , all groups of type (FP), to be a duality group of dimension  $n$ . We will see in particular that all combinations of  $\text{cd } S, \text{cd } G_1, \text{cd } G_2$  which comply with the necessary conditions (3.1) actually occur. Explicit examples will be given in a separate section (§4).

**THEOREM 3.2.** *Let  $G = G_1 *_S G_2$ ,  $G_1$ ,  $G_2$  and  $S$  of type (FP). If  $G_1$  and  $G_2$  are duality groups of dimension  $n$  and  $S$  is a duality group of dimension  $n-1$ , then  $G$  is a duality group of dimension  $n$ .*

*Proof.* The Mayer-Vietoris sequence (2.2) with  $A = \mathbb{Z}G$  immediately yields  $H^k(G; \mathbb{Z}G) = 0$  for  $k \neq n$ , and a short exact sequence

$$0 \rightarrow H^{n-1}(S; \mathbb{Z}G) \rightarrow H^n(G; \mathbb{Z}G) \rightarrow H^n(G_1; \mathbb{Z}G) \oplus H^n(G_2; \mathbb{Z}G) \rightarrow 0. \quad (3.2)$$

By duality we have  $H^{n-1}(S; \mathbb{Z}G) \cong H^{n-1}(S; \mathbb{Z}S) \otimes_S \mathbb{Z}G$  and  $H^n(G_j; \mathbb{Z}G) \cong H^n(G_j; \mathbb{Z}G_j) \otimes_{G_j} \mathbb{Z}G$ ,  $j=1, 2$ . These groups are torsion-free over  $\mathbb{Z}$ . It follows that  $H^n(G; \mathbb{Z}G)$  is torsion-free. By [1], Theorem 4.5,  $G$  is a duality group of dimension  $n$ .

**THEOREM 3.3.** *Let  $G = G_1 *_S G_2$ ,  $G_1$ ,  $G_2$  and  $S$  of type (FP). If  $G_2$  is a duality group of dimension  $n$ , and  $G_1$  and  $S$  are duality groups of dimension  $n-1$  such that the restriction  $\text{res}^*: H^{n-1}(G_1; A) \rightarrow H^{n-1}(S; A)$  is a monomorphism for all induced  $G_1$ -modules  $A$ , then  $G$  is a duality group of dimension  $n$ .*

*Remark 3.4.* The (necessary) assumption that  $\text{res}^*: H^{n-1}(G_1; A) \rightarrow H^{n-1}(S; A)$  be a monomorphism for all induced  $G_1$ -modules  $A$  is fulfilled, in particular, if  $S$  has finite index in  $G_1$ . We will show this when discussing examples (§4); cases where  $S$  has infinite index and where the condition holds will also be exhibited.

*Proof of Theorem 3.3.* Let  $A$  be an induced  $G$ -module (and hence an induced  $G_1$ -,  $G_2$ - and  $S$ -module). By [1], Prop. 1.4,  $H^k(G_1; A) = H^k(S; A) = 0$  for  $k \neq n-1$ , and  $H^k(G_2; A) = 0$  for  $k \neq n$ . The sequence (2.2) then yields  $H^k(G; A) = 0$  for  $k \neq n-1, n$  and an exact sequence

$$0 \rightarrow H^{n-1}(G; A) \rightarrow H^{n-1}(G_1; A) \xrightarrow{\text{res}^*} H^{n-1}(S; A) \rightarrow H^n(G; A) \rightarrow H^n(G_2; A) \rightarrow 0.$$

By assumption  $\text{res}^*$  is a monomorphism, hence  $H^{n-1}(G; A) = 0$ . By [1], Theorem 4.4 it follows that  $G$  is a duality group, of dimension  $n$ .

**THEOREM 3.5.** *Let  $G = G_1 *_S G_2$ ,  $G_1$ ,  $G_2$  and  $S$  of type (FP), and let  $G_1$ ,  $G_2$  and  $S$  be duality groups of dimension  $n-1$ .*

(i) *If  $\text{cd } G \leq n-1$ , then  $G$  is a duality group of dimension  $n-1$ .*

(ii) *If for all induced  $G$ -modules  $A$  the restrictions  $\text{res}^*: H^{n-1}(G_j; A) \rightarrow H^{n-1}(S; A)$  are monomorphisms,  $j=1, 2$ , and  $\text{res}^* H^{n-1}(G_1; A) \cap \text{res}^* H^{n-1}(G_2; A) = 0$ , then  $G$  is a duality group of dimension  $n$ .*

*Remark 3.6.* The assumption (ii) – which is necessary for  $G$  to be a duality group of dimension  $n$  – is again fulfilled if  $S$  has finite index in  $G_1$  and  $G_2$ , but also in other cases (see examples, §4).

*Proof of Theorem 3.5.* The sequence (2.2) for induced  $G$ -modules, together with [2], Theorem 4.4, yields the result. The assumption (ii) simply tells that the map  $(\text{res}^*, -\text{res}^*): H^{n-1}(G_1; A) \oplus H^{n-1}(G_2; A) \rightarrow H^{n-1}(S; A)$  is a monomorphism.

#### 4. Examples. Topological Aspects

4.1. In this section we give examples, of algebraic and of topological nature, illustrating the various dimension cases which occur in Section 3.

The *algebraic* examples are explicit applications of Theorems 3.2, 3.3 and 3.5, to groups known to be (low-dimensional) duality groups. They partly concern cases of finite index subgroups  $S$ ; these cases require some additional algebraic ad hoc arguments (Lemma 4.1 and 4.2 below). It should be mentioned that these actually belong to a more general, rather subtle, context dealt with in detail elsewhere (see [4]). They do not use full duality but only finite cohomology dimension.

The *topological* examples combine topological and algebraic arguments, and partly apply the theorems of Section 3, partly illustrate them. They are based on some remarks on Eilenberg-Mac Lane complexes of duality groups, and on their unions with identified subcomplexes, and of course on the van Kampen theorem.

#### 4.2. Algebraic Preliminaries: Subgroups of Finite Index

LEMMA 4.1. *Let  $G$  be a group of type (FP), with  $\text{cd } G = n$ , and  $S \subset G$  a subgroup of finite index. Then the restriction  $\text{res}^*: H^n(G; A) \rightarrow H^n(S; A)$  is a monomorphism for every induced  $G$ -module  $A = L \otimes \mathbb{Z}G$ .*

*Proof.* Since  $|G:S|$  is finite, we can identify  $C = H^n(G; \mathbb{Z}G)$  with  $H^n(S; \mathbb{Z}S)$ , cf. [1], §3. By Theorem 4.2 of [1] we have isomorphisms  $H^n(G; A) \cong C \otimes_G A$  and  $H^n(S; A) \cong C \otimes_S A$ . Under these isomorphisms, as shown in [4], the restriction map  $\text{res}^*$  corresponds to the *transfer*  $\text{res}: C \otimes_G A \rightarrow C \otimes_S A$ , given for arbitrary  $G$ -modules  $A$  by  $\text{res}(c \otimes a) = \sum_i c r_i^{-1} \otimes r_i a$ ,  $c \in C$ ,  $a \in A$ ,  $\{S r_i\}$  being the right cosets of  $G$  modulo  $S$ .

For  $A = L \otimes \mathbb{Z}G$  one has an isomorphism  $\kappa: C \otimes_S (L \otimes \mathbb{Z}G) \cong (C_0 \otimes L) \otimes \mathbb{Z}(G/S)$ ; it is given by

$$\kappa(c \oplus u \oplus x) = cx \oplus u \oplus Sx, \quad c \in C, u \in L, x \in G.$$

$C_0$  denotes the Abelian group underlying  $C$ . In particular,  $C \otimes_G (L \otimes \mathbb{Z}G) \cong C_0 \otimes L$ . As a map  $C_0 \otimes L \rightarrow (C_0 \otimes L) \otimes \mathbb{Z}(G/S)$  the transfer is given by

$$\begin{aligned} \kappa \text{ res } \kappa^{-1}(c \otimes u) &= \kappa \text{ res}(c \otimes u \otimes e) \\ &= \kappa \left( \sum_i c r_i^{-1} \otimes u \otimes r_i \right) \\ &= \sum_i c \otimes u \otimes S r_i \end{aligned}$$

This is obviously a monomorphism.

LEMMA 4.2. *Let  $G = G_1 *_S G_2$ , where  $G_1, G_2$  are of type (FP),  $\text{cd } G_1 \leq n$  and  $\text{cd } G_2 \leq n$ , and where  $S$  has finite index in both  $G_1$  and  $G_2$ . Then the restriction images*

in  $H^n(S; A)$ , for any induced  $G$ -module  $A$ , have intersection 0:

$$\text{res}^* H^n(G_1; A) \cap \text{res}^* H^n(G_2; A) = 0.$$

*Proof.* As before we identify  $H^n(G_1; \mathbb{Z}G_1) = H^n(G_2; \mathbb{Z}G_2) = H^n(S; \mathbb{Z}S)$  and denote this module by  $C$ . The restrictions can be replaced by the transfers  $\text{res}: C \otimes_{G_j} A \rightarrow C \otimes_S A$ ,  $j=1, 2$ . For  $A = L \otimes \mathbb{Z}G$  the transfer

$$\text{res}: (C_0 \otimes L) \otimes \mathbb{Z}(G/G_j) \rightarrow (C_0 \otimes L) \otimes \mathbb{Z}(G/S)$$

is given by

$$\text{res}(c \otimes u \otimes G_j x) = \sum_{r \in \Gamma_j} c \otimes u \otimes Srx$$

$c \in C_0$ ,  $u \in L$ ,  $x \in G$ ;  $\Gamma_j$  denotes a set of representatives (including  $e$ ) of  $G_j$  modulo  $S$ ,  $j=1, 2$ .

We first consider the transfer map  $\text{res}: \mathbb{Z}(G/G_j) \rightarrow \mathbb{Z}(G/S)$  given by  $\text{res}(G_j x) = \sum_{r \in \Gamma_j} Srx$ . We recall that the words of the form  $w = g_2 g'_1 g'_2 g''_1 \dots$  with letters  $g_j, g'_j, g''_j \dots \in \Gamma_j$ ,  $j=1, 2$ , all  $\neq e$ , and with initial letter from  $\Gamma_2$ , represent the right cosets  $\neq G_1$  of  $G$  modulo  $G_1$ . Since cancellation is not possible, the length  $\lambda(w)$  of such a word is defined in an obvious way. An element  $t_1 \in \mathbb{Z}(G/G_1)$  is a finite sum  $t_1 = \sum m G_1 g_2 g'_1 g'_2 g''_1 \dots$  with integral coefficients. Its image  $\text{res}(t_1) \in \mathbb{Z}(G/S)$  is of the form

$$\text{res}(t_1) = \sum m S g_2 g'_1 g'_2 \dots + \sum_{\substack{g_1 \neq e \\ g_1 \in \Gamma_1}} \sum m S g_1 g_2 g'_1 \dots$$

We have divided the sum into two parts according to whether the first letter to the right of  $mS$  is in  $\Gamma_1$  or in  $\Gamma_2$ . Let  $\bar{\lambda}(t_1)$  be the maximum length of words occurring in  $t_1$ , and let  $g_1 w$  be a term in  $t_1$  with  $\lambda(w) = \bar{\lambda}(t_1)$ . Then there is a term  $Sg_1 w$  in the second part of  $\text{res}(t_1)$ , with  $\lambda(g_1 w) = \bar{\lambda}(t_1) + 1$ .

If we now assume  $\text{res}(t_1) = \text{res}(t_2)$  for some  $t_2 \in \mathbb{Z}(G/G_2)$ , the term  $Sg_1 w$  must occur in the "first part" of  $\text{res}(t_2)$ , i.e.,  $g_1 w$  must occur in  $t_2$ , and thus  $\bar{\lambda}(t_2) \geq \bar{\lambda}(t_1) + 1$ . But the situation is entirely symmetric in  $t_1$  and  $t_2$ , so that  $\bar{\lambda}(t_1) \geq \bar{\lambda}(t_1) + 2$ . Hence if  $\text{res}(t_1) = \text{res}(t_2)$ , there are no words of maximum length in  $t_1$ , i.e.,  $t_1 = 0 = t_2$ . Thus we have proved that

$$\text{res} \mathbb{Z}(G/G_1) \cap \text{res} \mathbb{Z}(G/G_2) = 0.$$

Since the restriction maps themselves are monomorphisms, we have a short exact sequence of the form

$$\mathbb{Z}(G/G_1) \oplus \mathbb{Z}(G/G_2) \xrightarrow{(\text{res}, -\text{res})} \mathbb{Z}(G/S) \rightarrow K \quad (4.1)$$

The cokernel  $K$  is torsion-free: Tensoring over  $\mathbf{Z}$  with  $\mathbf{Z}_p = \mathbf{Z}/(p)$ , for a prime  $p$ , gives rise to the exact sequence

$$0 \rightarrow \operatorname{Tor}(\mathbf{Z}_p, K) \rightarrow \mathbf{Z}_p(G/G_1) \oplus \mathbf{Z}_p(G/G_2) \xrightarrow{(\operatorname{res}, -\operatorname{res})} \mathbf{Z}_p(G/S) \rightarrow \mathbf{Z}_p \otimes K \rightarrow 0.$$

But the above arguments on  $\mathbf{Z}(G/G_j)$  and  $\mathbf{Z}(G/S)$  are valid for  $\mathbf{Z}_p$ -group rings as well (the crucial point was that there is no cancellation of terms in  $\operatorname{res}(t_1)$  etc.). Therefore  $(\operatorname{res}, -\operatorname{res})$  is again a monomorphism, and  $\operatorname{Tor}(\mathbf{Z}_p, K)$  is 0 for all primes  $p$ , i.e.,  $K$  is torsion-free. If we tensor (2.2) over  $\mathbf{Z}$  with  $C_0 \otimes L$ , we conclude that  $(\operatorname{res}, -\operatorname{res}): (C_0 \otimes L) \otimes \mathbf{Z}(G/G_1) \oplus (C_0 \otimes L) \otimes \mathbf{Z}(G/G_2) \rightarrow (C_0 \otimes L) \otimes \mathbf{Z}(G/S)$  is a monomorphism, whence

$$\operatorname{res}(C_0 \otimes L) \otimes \mathbf{Z}(G/G_1) \cap \operatorname{res}(C_0 \otimes L) \otimes \mathbf{Z}(G/G_2) = 0.$$

This proves Lemma 4.2.

#### 4.3. Topological Preliminaries. Eilenberg-MacLane Spaces

If  $X$  is a CW-complex, with subcomplexes  $X_1 \subset X$  and  $X_2 \subset X$  such that  $X_1 \cap X_2 = Y$  is not empty, we will write  $X = X_1 \cup_Y X_2$ . Equivalently, we consider two CW-complexes  $X_1, X_2$  containing non-empty subcomplexes  $Y_1 \subset X_1, Y_2 \subset X_2$  which are isomorphic. Then, by identifying  $Y_1$  with  $Y_2$  through a given isomorphism and writing  $Y = Y_1 = Y_2$ , we obtain a complex  $X = X_1 \cup_Y X_2$ .

We will assume  $X_1$  and  $X_2$  and whence  $X$  to be *connected*;  $Y$  need in general not be connected. We only consider examples where the fundamental group  $\pi_1(Y^{(v)})$  maps *monomorphically* into  $\pi_1(X_1)$  and  $\pi_1(X_2)$ , for each component  $Y^{(v)}$  of  $Y$ . – If  $Y$  is connected, the van Kampen theorem tells that  $\pi_1(X)$  is the amalgamated free product  $\pi_1(X_1) *_{\pi_1(Y)} \pi_1(X_2)$ .

In the situation described above, let  $p: \tilde{X} \rightarrow X$  be the universal cover of  $X$ , and write  $p^{-1}(X_j) = \tilde{X}_j, j=1, 2, p^{-1}(Y^{(v)}) = \tilde{Y}^{(v)}$ . Then  $\tilde{X}_j$  is a certain number of copies of the universal cover  $\tilde{X}_j, j=1, 2$ , and  $\tilde{Y}^{(v)}$  is a certain number of copies of  $\tilde{Y}^{(v)}$ , for all components  $Y^{(v)}$  of  $Y$ . From  $\tilde{X} = \tilde{X}_1 \cup_Y \tilde{X}_2$  and the topological Mayer-Vietoris sequence with integral coefficients

$$\cdots \rightarrow H_k(\tilde{X}) \rightarrow H_k(\tilde{X}_1) \oplus H_k(\tilde{X}_2) \rightarrow H_k(\tilde{X}) \rightarrow H_{k-1}(\tilde{Y}) \rightarrow \cdots$$

we deduce immediately:

(i) If  $\tilde{X}_1, \tilde{X}_2$  and  $\tilde{Y}$  have trivial homology, then the same holds for  $\tilde{X}$ .

(ii) If  $\tilde{X}$  and  $\tilde{Y}$  have trivial homology, then the same holds for  $\tilde{X}_1$  and  $\tilde{X}_2$ .

By “trivial homology” we mean  $H_k = 0$  for  $k \geq 1$  (no statement about  $H_0$ ). Note that obviously  $H_1(\tilde{X}) = H_1(\tilde{X}_1) = H_1(\tilde{X}_2) = H_1(\tilde{Y}) = 0$ .



For a connected complex  $X$ , the universal cover  $\tilde{X}$  having trivial homology is equivalent to  $X$  being aspherical; i.e., to  $X$  being an Eilenberg-Mac Lane complex  $K(G, 1)$  for its fundamental group  $G = \pi_1(X)$ . Thus for  $X = X_1 \cup_Y X_2$  as before, but with  $Y$  connected, (i) tells that if  $X_1, X_2$  and  $Y$  are Eilenberg-Mac Lane complexes, so is  $X$ ; namely  $X = K(G, 1)$  where  $G = G_1 *_S G_2$ ,  $G_j = \pi_1(X_j)$  for  $j = 1, 2$ ,  $S = \pi_1(Y)$ . And conversely, by (ii), if  $X = K(G, 1)$ ,  $Y = K(S, 1)$  then  $X_1, X_2$  are Eilenberg-Mac Lane complexes. The results of Section 3 then have topological interpretations: one replaces (Poincaré) duality groups by (Poincaré) duality Eilenberg-Mac Lane complexes, cf. [4], Section 6, and amalgamated free products by unions of spaces with identified subspaces.

We recall here two topological criteria for duality: (a) If  $X = K(G, 1)$  is a closed manifold (i.e., compact without boundary) then  $G$  is a Poincaré duality group. (b) if  $X = K(G, 1)$  is an  $m$ -dimensional compact orientable manifold-with-boundary such that  $H_k(\partial\tilde{X}) = 0$  for all  $k \neq q$  ( $H_0$  being reduced) and  $H_q(\partial\tilde{X})$  torsion-free, then  $G$  is a duality group of dimension  $n = m - q - 1$  with  $C = H_q(\partial\tilde{X})$ .

#### 4.4. Topological Preliminaries: 3-Dimensional Manifolds

We recall some facts concerning “sufficiently large” 3-manifolds (cf. Waldhausen [7] for terminology and results). Let  $M$  denote, throughout this and the following sections, a triangulable compact connected orientable 3-manifold, and let  $G = \pi_1(M)$ .

**PROPOSITION 4.3.** *If  $M$  is irreducible and  $\partial M$  incompressible in  $M$ , then  $M$  is an Eilenberg-Mac Lane complex  $K(G, 1)$  and  $G$  is a duality group of dimension 2.*

*Proof.*  $M$  is aspherical, see e.g. [7], Lemma 1.1.5. The boundary  $\partial M$  consists of orientable surfaces of genus  $> 0$ , and for each component the fundamental group imbeds monomorphically into  $\pi_1(M)$ . Thus  $\partial\tilde{M}$  consists of universal covers of the surfaces occurring in  $\partial M$ , i.e., of copies of  $\mathbf{R}^2$ . The above conditions for duality are therefore fulfilled, with  $q = 0$ ,  $n = m - q - 1 = 2$ . The dualizing module  $C = H_0(\partial\tilde{M})$  is  $\mathbf{Z}$ -free.

Examples of manifolds  $M$  which satisfy the assumptions of Proposition 4.3 are the closed complements of non-trivial knots in the 3-sphere. Then  $\partial M$  is a torus, and incompressible in  $M$ .

Let now  $M$  be irreducible and closed ( $\partial M = \emptyset$ ), and assume that  $M$  contains an incompressible separating surface  $Y$ . Then  $M = M_1 \cup_Y M_2$ , where  $M_1, M_2$  are compact 3-manifolds with  $\partial M_1 = \partial M_2 = Y$  fulfilling the assumptions of Proposition 4.3. We have  $\pi_1(M) = G = G_1 *_S G_2$  with  $\pi_1(M_j) = G_j$ ,  $j = 1, 2$ ,  $\pi_1(Y) = S$ . The groups  $G_1, G_2$  are duality groups of dimension 2,  $S$  is a Poincaré duality group of dimension 2, and  $G$  is a Poincaré duality group of dimension 3. We thus have an example illustrating (not using) Theorem 3.5. Such examples can be obtained by taking for  $M_1$  and  $M_2$



3-manifolds-with-boundary as in Proposition 4.3, with  $\partial M_1$  and  $\partial M_2$  being surfaces of the same genus, and by identifying  $\partial M_1$  with  $\partial M_2$  through a homeomorphism (e.g.,  $M_1$  and  $M_2$  are knot-complements,  $\partial M_1$  and  $\partial M_2$  tori).

In these examples, the necessary condition (ii) of Theorem 3.5 must be fulfilled; in particular,  $\text{res}^*: H^2(G_1; A) \rightarrow H^2(S; A)$  is a monomorphism for all induced  $G_1$ -modules  $A$ . This remark yields the following result:

**COROLLARY 4.4.** *If  $M$  is irreducible and  $\partial M$  incompressible in  $M$ , with  $\pi_1(M) = G$ ,  $\pi_1(\partial M) = S$ , then the restriction  $\text{res}^*: H^2(G; A) \rightarrow H^2(S; A)$  is a monomorphism for all induced  $G$ -modules  $A$ .*

**Remark 4.5.** A similar situation arises if we take two tori  $X_1, X_2$  with an open disc removed, and identify the two boundary circles  $\partial X_1 = \partial X_2 = Y$ . Then  $X = X_1 \cup_Y X_2$  is the closed surface of genus 2. Since  $X$  and  $Y$  are Eilenberg-Mac Lane spaces  $K(G, 1)$ ,  $K(S, 1)$ , so are  $X_j = K(G_j, 1)$ ,  $j = 1, 2$ ;  $G_1$  is free on two generators  $a, b$ ,  $G_2$  on  $c, d$ , and  $S$  is cyclic generated by  $[a, b] = [c, d]$ . The group  $G$  is presented by  $\langle a, b, c, d \mid [a, b][c, d] = e \rangle$ .

$G_1, G_2$  are duality groups of dimension 1,  $S$  is a Poincaré duality group of dimension 1, and  $G$  is a Poincaré duality group of dimension 2. We thus have an example illustrating (not using) Theorem 3.5, case (ii). As before we get a side-result:

**COROLLARY 4.5.** *Let  $G$  be free on two generators  $a, b$ , and  $S$  cyclic generated by  $[a, b]$ . Then the restriction  $\text{res}^*: H^1(G; A) \rightarrow H^1(S; A)$  is a monomorphism for all induced  $G$ -modules  $A$ .*

#### 4.5. Examples

We will apply Theorems 3.2, 3.3 and 3.5 to explicitly given amalgamated free products of groups  $G = G_1 *_S G_2$ . We write  $n_j = \text{cd } G_j$ ,  $m = \text{cd } S$  and  $n = \text{cd } G$ , and use the symbol  $[n_1, n_2, m; n]$  to indicate the dimensions occurring in an example. We recall that Theorem 3.2 refers to the case  $[n, n, n-1; n]$ , Theorem 3.3 to  $[n-1, n, n-1; n]$ , Theorem 3.5, case (i) to  $[n-1, n-1, n-1; n-1]$  and case (ii) to  $[n-1, n-1, n-1; n]$ .

**EXAMPLE 1**  $[2, 2, 1; 2]$ . Let  $G$  be presented by  $\langle a, b, c \mid [a, b] = [a, c] = e \rangle$ . This group can be obtained as  $G = G_1 *_S G_2$  with  $G_1 = \langle a, b \mid [a, b] = e \rangle$ ,  $G_2 = \langle c, d \mid [c, d] = e \rangle$ ,  $S$  infinite cyclic generated by  $a \in G_1$  or  $d \in G_2$  respectively.  $G_1$  and  $G_2$  are Poincaré duality groups of dimension 2,  $S$  of dimension 1. By Theorem 3.2  $G$  is a duality group of dimension 2.

Corresponding to the decomposition  $G = G_1 *_S G_2$  one may take for  $K(G, 1)$  the space obtained from two tori  $X_1, X_2$  by identifying circles which are generators of  $\pi_1(X_1)$  and  $\pi_1(X_2)$  respectively (e.g., one takes two tori in  $\mathbb{R}^3$  having the same vertical

axes of rotation and puts one on top of the other). It follows that this space is a duality complex of formal dimension 2.

EXAMPLE 2  $[1, 2, 1; 2]$ . Let  $G$  be presented by  $\langle a, b \mid [a^2, b] = e \rangle$ . We may write  $G = G_1 *_S G_2$ ,  $G_1 = \langle a \rangle$ ,  $G_2 = \langle b, c \mid [b, c] = e \rangle$ ,  $S = (a^2) = (c)$ . Then  $G_1, G_2, S$  are Poincaré duality groups of dimension 1, 2, 1 respectively. Since  $S$  has finite index in  $G_1$ , the restriction condition of Theorem 3.3 is fulfilled (Proposition 4.1). It follows that  $G$  is a duality group of dimension 2.

An alternative proof of this fact is obtained as an application of [1], Theorem 5.2.

EXAMPLE 3  $[1, 2, 1; 2]$ . We take  $G_1 = \langle a, b \rangle$ ,  $G_2 = \langle c, d \mid [c, d] = e \rangle$  and  $S = ([a, b]) = (c)$ . Then  $G = G_1 *_S G_2 = \langle a, b, c, d \mid [a, b] = c, [c, d] = e \rangle$ . Since all finitely generated free groups are duality groups of dimension 1 so is  $G_1$ ;  $G_2$  and  $S$  are Poincaré duality groups of dimensions 2 and 1. The restriction condition for  $\text{res}^*: H^1(G_1; A) \rightarrow H^1(S; A)$  is fulfilled by Corollary 4.5 (though here the index  $|G_1:S|$  is not finite). By Theorem 3.3,  $G$  is a duality group of dimension 2.

A topological description similar to that of Example 1 is easily obtained.

EXAMPLE 4  $[2, 3, 2; 3]$ . Let  $G_1$  be the fundamental group  $\pi_1(X_1)$  of the complement of a non-trivial knot in the 3-sphere,  $S = \pi_1(\partial X_1)$  the fundamental group of the boundary torus, and  $G_2 = \pi_1(X_2)$  the fundamental group of the 3-torus  $X_2$ . We identify  $S$  with the fundamental group of a 2-torus  $Y \subset X_2$ . Then  $G = G_1 *_S G_2 = \pi_1(X)$ , where  $X$  is the union of  $X_1$  and  $X_2$  with  $\partial X_1$  identified with  $Y$  (of course, algebraic descriptions of  $G$  are available).

$G_1$  is a duality group of dimension 2,  $G_2$  a Poincaré duality group of dimension 3,  $S$  of dimension 2; the restriction condition of Theorem 3.3 is fulfilled by Corollary 4.4. Thus  $G$  is a duality group of dimension 3, i.e.,  $X$  is a duality complex.

EXAMPLE 5  $[1, 1, 1; 1]$ . We take for  $G_1$  and  $G_2$  free groups on two generators,  $G_1 = \langle s, b \rangle$ ,  $G_2 = \langle c, h \rangle$ , and  $S = (b) = (d)$ . Then  $G = G_1 *_S G_2$  is free on 2 generators, and we have a trivial illustration of Theorem 3.5, case (i). – A less trivial example, where the theorem is applied, is the following.

EXAMPLE 6  $[2, 2, 2; 2]$ . We take  $G_1$  to be the group called  $G$  in Example 1,  $X_1$  the space called  $X$  there,  $G_1 = \pi_1(X_1)$ . Let  $G_2 = \pi_1(X_2)$  be a second copy of the same group,  $X_2$  of the same space. Let  $S = \pi_1(Y)$ , where  $Y$  is one of the tori in  $X_1$  or  $X_2$  respectively. Then  $G = G_1 *_S G_2 = \pi_1(X)$  with  $X = X_1 \cup_Y X_2$ ; this space simply consists of three tori, with common vertical axis, one on top of the other. Algebraically,  $G = \langle a, b, c, d \mid [a, d] = [b, d] = [c, d] = e \rangle$ . It is clear geometrically, that  $\text{cd } G = 2$ ; it can also easily be seen from the fact that  $G$  is an extension of a cyclic group by a free group. Thus by Theorem 3.5, case (i),  $G$  is a duality group of dimension 2.

EXAMPLE 7  $[1, 1, 1; 2]$ . Let  $G_1 = \langle a \rangle$ ,  $G_2 = \langle b \rangle$ ,  $S = (a^2) = (b^3)$ , all Poincaré duality groups of dimension 1. Since  $S$  has finite index in both  $G_1$  and  $G_2$ , condition (ii) of Theorem 3.5 is fulfilled (Proposition 4.2). Hence  $G = G_1 *_S G_2 = \langle a, b \mid a^2 = b^3 \rangle$  is a duality group of dimension 2. (Cf. again [1], Theorem 5.2).

We note here that in Section 4.3 examples are given for the dimension cases  $[2, 2, 2; 3]$  and  $[1, 1, 1; 2]$  where duality of  $G$  occurs for topological reasons. They illustrate (but do not use) Theorem 3.5, case (ii), with  $|G_1 : S| = |G_2 : S| = \infty$ .

## 5. Amalgamated free Products of Duality Groups: Type $(FD_*)$

5.1. In this section we show that the results of Section 3 remain valid without the assumption that the groups involved are of type  $(FP)$ . This requires some modification of the proofs; we first explain the difference in approach.

We recall that for a duality group  $G$  of dimension  $n$  one has

$$H^k(G; A) = 0 \quad \text{for } k \neq n \quad \text{and all induced } G\text{-modules } A. \quad (5.1)$$

For groups of type  $(FP)$  condition (5.1) is also *sufficient* for duality. This was essential in Section 3: we proved that (5.1) is carried over, in the appropriate dimensions, from the given duality groups to the amalgamated free product. This part of the arguments, based upon the Mayer-Vietoris sequence, remains valid in the general case.

Now one can show (see [4]) that there is another class of groups, called groups of type  $(FD_*)$ , for which (5.1) is sufficient for duality; the definition is given below. While we do not know<sup>1)</sup> whether duality groups must be of type  $(FP)$ , they are necessarily of type  $(FD_*)$ , as shown in [4], Theorem 2.4. Therefore, to prove the theorems of Section 3 for arbitrary groups, we can start from the fact that those groups which are duality groups by assumption are of type  $(FD_*)$ ; all that remains then to be proved is that this property is carried over to the amalgamated free product. Hereby we rely on the detailed analysis of type  $(FD_*)$  made in [4].

5.2. For the definition of type  $(FD_*)$  we need a natural “duality” homomorphism  $\varphi_*$  closely related to the cap-product  $(e \cap -)$ . We recall that one has for left  $G$ -modules  $M, A$  the natural homomorphism

$$\varphi : M^* \otimes_G A \rightarrow \text{Hom}_G(M, A)$$

given by

$$\varphi(f \otimes a)(m) = f(m)a, \quad f \in M^* = \text{Hom}_G(M, \mathbb{Z}G), \quad a \in A, m \in M.$$

For a  $G$ -projective resolution  $\mathcal{P} \rightarrow \mathbb{Z}$  we thus have a homomorphism of complexes

$$\varphi: \mathcal{P}^* \otimes_G A \rightarrow \text{Hom}_G(\mathcal{P}, A). \quad (5.2)$$

Now assume  $G$  to be of finite cohomology dimension  $\text{cd } G = n$ . Then  $\mathcal{P}$  is split-exact over  $\mathbb{Z}G$  in dimensions  $\geq n$ , and thus  $\varphi$  induces

$$\varphi_*: H^n(G; \mathbb{Z}G) \otimes_G A \rightarrow H^n(G; A).$$

If we wish to emphasize the coefficient module  $A$ , we write  $\varphi_*^A$  for  $\varphi_*$ .

**DEFINITION 5.1.** A group  $G$  is said of type  $(FD_*)$  if it has finite cohomology dimension  $n$  and if  $\varphi_*^A$  is an isomorphism for all  $G$ -modules  $A$ .

*Remarks 5.2.* Let  $\text{cd } G = n$ . It is shown in [4], Theorem 2.4, that if  $\varphi_*^F$  is an epimorphism for all free  $G$ -modules  $F$ , then  $\varphi_*^A$  is an isomorphism for all  $G$ -modules  $A$ . Moreover,  $\varphi_*^A$  is an isomorphism for all  $G$ -modules  $A$  if and only if there is an element  $e \in H_n(G; C)$ ,  $C = H^n(G; \mathbb{Z}G)$ , such that  $(e \cap -): H^n(G; A) \rightarrow C \otimes_G A$  is an isomorphism for all  $G$ -modules  $A$ , inverse of  $\varphi_*^A$ . In particular, if  $G$  is a duality group then it is of type  $(FD_*)$ . – Let  $G$  be a group of finite cohomology dimension, and assume that  $G$  admits a  $G$ -projective resolution  $\mathcal{P} \rightarrow \mathbb{Z}$  with  $P_n$  finitely generated<sup>2)</sup>. Then  $\varphi_*^A$  is an isomorphism (cf. [1], Theorem 4.2), i.e.,  $G$  is of type  $(FD_*)$ . In particular, all groups of type  $(FP)$  are of type  $(FD_*)$ . Note that the converse is not true: There are groups  $G$  with  $\text{cd } G = n$  and  $P_n$  finitely generated, but not of type  $(FP)$ , see [4], Section 2.5.

We first show that the dimension restriction of Theorem 3.1 holds for type  $(FD_*)$ .

**THEOREM 5.3.** Let  $G = G_1 *_S G_2$  be a non-trivial amalgamated free product. If  $G$  is a duality group of dimension  $n$ , and if  $G_1, G_2$  are of type  $(FD_*)$ , then

$$n - 1 \leq \text{cd } S \leq \text{cd } G_j \leq \text{cd } G = n, \quad j = 1, 2.$$

*Proof.* Since  $G_1$  is of type  $(FD_*)$ , we have  $H^n(G_1; \mathbb{Z}G_1) \otimes_{G_1} \mathbb{Z}G \cong H^n(G_1; \mathbb{Z}G)$ ; in other words,  $H^n(G_1; \mathbb{Z}G)$  is isomorphic to  $H^n(G_1; \mathbb{Z}G_1) \otimes \mathbb{Z}(G/G_1) \cong \bigoplus H^n(G_1; \mathbb{Z}G_1)$ , the sum being over the cosets of  $G$  modulo  $G_1$ . Thus the proof of Theorem 3.1 applies without change.

**5.3.** Before giving the analogue of Theorems 3.2, 3.3 and 3.5 we show that  $\varphi_*$  occurring in the definition is compatible with the Mayer-Vietoris sequence.

We first have to relate  $\varphi_*$  to subgroups  $S \subset G$ . Let  $\mathcal{P} \rightarrow \mathbb{Z}$  be a  $G$ -projective resolution. There is a map  $\varphi(S)$  generalizing  $\varphi$  of (5.2), for a  $G$ -module  $A$ ,

$$\varphi(S): \text{Hom}_S(\mathcal{P}, \mathbb{Z}G) \otimes_G A \rightarrow \text{Hom}_S(\mathcal{P}, A)$$

<sup>2)</sup> Type  $(FD_*)$  is, in fact, equivalent to that property, cf. "Note added in proof" at the end of the paper.

given by  $\varphi(S)(f \otimes a)(p) = f(p)a$ ,  $f \in \text{Hom}_S(\mathcal{P}, \mathbb{Z}G)$ ,  $a \in A$ ,  $p \in \mathcal{P}$ . If  $\text{cd } S \leq n$ , we have again an induced homomorphism

$$\varphi(S)_*: H^n(S; \mathbb{Z}G) \otimes_G A \rightarrow H^n(S; A).$$

Of course, if  $S = G$  then  $\varphi(S)_* = \varphi_*$ . One has a commutative diagram

$$\begin{array}{ccc} H^n(S; \mathbb{Z}S) \otimes_S A & \xrightarrow{\varphi^A_*} & H^n(S; A) \\ \varphi_* \mathbb{Z}G \otimes A \searrow & & \nearrow \varphi(S)^A_* \\ & H^n(S; \mathbb{Z}G) \otimes_G A & \end{array}$$

(note that  $H^n(S; \mathbb{Z}S) \otimes_S A = [H^n(S; \mathbb{Z}S) \otimes_S \mathbb{Z}G] \otimes_G A$ ). If for the group  $S$  the map  $\varphi_*^{\mathbb{Z}G}$  is an isomorphism (e.g., if  $S$  is of type  $(FD_*)$ ), we may therefore identify the map  $\varphi^A_*$  for  $S$  with  $\varphi(S)^A_*: H^n(S; \mathbb{Z}G) \otimes_G A \rightarrow H^n(S; A)$ .

In the Mayer-Vietoris sequence for  $G = G_1 *_S G_2$  we have compatibility of  $\varphi_*$  (for the various groups) with all restriction homomorphisms, by [4], Section 3. Compatibility with the connecting homomorphisms is described in the following lemma.

**LEMMA 5.4.** *Let  $G = G_1 *_S G_2$  be an amalgamated free product with  $\text{cd } S < \text{cd } G \leq n$ , and  $A$  a left  $G$ -module. Then the following diagram is commutative*

$$\begin{array}{ccc} H^{n-1}(S; \mathbb{Z}G) \otimes_G A & \xrightarrow{\delta \otimes_G A} & H^n(G; \mathbb{Z}G) \otimes_G A \\ \varphi(S)^A_* \downarrow & & \downarrow \varphi^A_* \\ H^{n-1}(S; A) & \xrightarrow{\delta} & H^n(G; A) \end{array}$$

where  $\delta$  is the connecting homomorphism in the Mayer-Vietoris sequence (2.2).

*Proof.* The short exact sequence (2.1) yields an exact sequence of left  $G$ -modules

$$A \rightarrow \text{Hom}_{G_1}(\mathbb{Z}G, A) \oplus \text{Hom}_{G_2}(\mathbb{Z}G, A) \rightarrow \text{Hom}_S(\mathbb{Z}G, A).$$

Let  $\mathcal{P} \rightarrow \mathbb{Z}$  be a  $G$ -projective resolution. For any subgroup  $H \subset G$  one has natural isomorphisms  $\text{Hom}_G(\mathcal{P}, \text{Hom}_H(\mathbb{Z}G, A)) \cong \text{Hom}_H(\mathcal{P}, A)$ . As  $\varphi(S)$  commutes with restrictions we obtain a commutative diagram

$$\begin{array}{ccccc} \text{Hom}_G(\mathcal{P}, \mathbb{Z}G) \otimes_G A & \xrightarrow{\lambda} & \text{Hom}_{G_1}(\mathcal{P}, \mathbb{Z}G) \otimes_G A \oplus \text{Hom}_{G_2}(\mathcal{P}, \mathbb{Z}G) \otimes_G A & \twoheadrightarrow & \text{Hom}_S(\mathcal{P}, \mathbb{Z}G) \otimes_G A \\ \varphi \downarrow & & \varphi(G_1) \oplus \varphi(G_2) \downarrow & & \varphi(S) \downarrow \\ \text{Hom}_G(\mathcal{P}, A) & \rightarrow & \text{Hom}_{G_1}(\mathcal{P}, A) \oplus \text{Hom}_{G_2}(\mathcal{P}, A) & \twoheadrightarrow & \text{Hom}_S(\mathcal{P}, A). \end{array}$$

If  $A$  is  $G$ -free then  $\lambda$  is an monomorphism. Passing to cohomology one thus gets the assertion of the lemma for free  $A$ . For arbitrary  $G$ -modules  $A$ , take a free module  $F$

with an epimorphism  $F \rightarrow A$ ; one then has a commutative diagram

$$\begin{array}{ccccc}
 H^{n-1}(S; \mathbb{Z}G) \otimes_G F & \xrightarrow{\delta \otimes_G F} & H^n(G; \mathbb{Z}G) \otimes_G F & & \\
 \downarrow \varphi(S)_* F & \searrow \mu & \downarrow \varphi_* F & \swarrow & \\
 & H^{n-1}(S; \mathbb{Z}G) \otimes_G A & \xrightarrow{\delta \otimes_G A} & H^n(G; \mathbb{Z}G) \otimes_G A & \\
 & \downarrow \varphi(S)_* A & & \downarrow \varphi_* A & \\
 H^{n-1}(S; F) & \xrightarrow{\delta} & H^n(G; F) & & \\
 & \uparrow & \uparrow & & \\
 & H^{n-1}(S; A) & \xrightarrow{\delta} & H^n(G; A) &
 \end{array}$$

The homomorphisms  $\delta$  and  $\delta \otimes_G$  commute with coefficient maps, and so do  $\varphi_*$  and  $\varphi(S)_*$ . We have already proved that the outer square is commutative. Since  $\mu$  is an epimorphism, it follows that the inner square is also commutative.

5.4. We now establish the analogue of Theorems 3.2, 3.3 and 3.5 without finiteness restrictions.

**THEOREM 5.5** (cf. Theorem 3.2). *Let  $G = G_1 *_S G_2$  where  $G_1$  and  $G_2$  are duality groups of dimension  $n$  and  $S$  is a duality group of dimension  $n-1$ . Then  $G$  is a duality group of dimension  $n$ .*

*Proof.* According to the preliminary remarks in 5.1 we only have to prove that  $G$  is of type  $(FD_*)$ . It is clear that  $\text{cd } G = n$ , and that  $G_1$ ,  $G_2$  and  $S$  are of type  $(FD_*)$ .

In the commutative diagram with exact rows, for a free  $G$ -module  $A$ ,

$$\begin{array}{ccccccc}
 H^{n-1}(S; \mathbb{Z}G) \otimes_G A & \rightarrow & H^n(G; \mathbb{Z}G) \otimes_G A & \rightarrow & H^n(G_1; \mathbb{Z}G) \otimes_G A \oplus H^n(G_2; \mathbb{Z}G) \otimes_G A \\
 \varphi(S)_* \downarrow & & \varphi_* \downarrow & & \varphi(G_1)_* \oplus \varphi(G_2)_* \downarrow \\
 H^{n-1}(S; A) & \rightarrow & H^n(G; A) & \rightarrow & H^n(G_1; A) \oplus H^n(G_2; A)
 \end{array}$$

$\varphi(S)_*$ ,  $\varphi(G_1)_*$  and  $\varphi(G_2)_*$  are isomorphisms, and so is  $\varphi_*$  by the 5-lemma. Hence  $G$  is of type  $(FD_*)$ , and thus a duality group of dimension  $n$ .

**THEOREM 5.6** (cf. Theorem 3.3). *Let  $G = G_1 *_S G_2$ , where  $G_2$  is a duality group of dimension  $n$  and  $G_1$  and  $S$  are duality groups of dimension  $n-1$ . If the restriction  $\text{res}: H^{n-1}(G_1; A) \rightarrow H^{n-1}(S; A)$  is a monomorphism for all induced  $G_1$ -modules  $A$  then  $G$  is a duality group of dimension  $n$ .*

*Proof.* Again  $\text{cd } G = n$ . Let  $A$  be a free  $G$ -module. Then one has a commutative diagram with exact rows

$$\begin{array}{ccccccc}
H^{n-1}(G_1; \mathbb{Z}G) \otimes_G A & \rightarrow & H^{n-1}(S; \mathbb{Z}G) \otimes_G A & \rightarrow & H^n(G; \mathbb{Z}G) \otimes_G A & \rightarrow & H^n(G_2; \mathbb{Z}G) \otimes_G A \\
\varphi(G_1)_* \downarrow & & \varphi(S)_* \downarrow & & \varphi_* \downarrow & & \varphi(G_2)_* \downarrow \\
H^{n-1}(G_1; A) & \rightarrow & H^{n-1}(S; A) & \rightarrow & H^n(G; A) & \rightarrow & H^n(G_2; A)
\end{array}$$

Since  $\varphi(G_j)_*$ ,  $j=1, 2$  and  $\varphi(S)_*$  are isomorphisms, so is  $\varphi_*$ . Hence  $G$  is of type  $(FD_*)$ , and therefore a duality group of dimension  $n$ .

**THEOREM 5.7** (cf. Theorem 3.5). *Let  $G = G_1 *_S G_2$ , where  $G_1$ ,  $G_2$  and  $S$  are duality groups of dimension  $n-1$ .*

(i) *If  $\text{cd } G \leq n-1$ , then  $G$  is a duality group of dimension  $n-1$ .*

(ii) *If for all induced  $G$ -modules  $A$  the restrictions  $\text{res}^*: H^{n-1}(G_j; A) \rightarrow H^{n-1}(S; A)$  are monomorphisms,  $j=1, 2$ , and  $\text{res}^* H^{n-1}(G_1; A) \cap \text{res}^* H^{n-1}(G_2; A) = 0$ , then  $G$  is a duality group of dimension  $n$ .*

*Proof.* Again  $\text{cd } G < \infty$ , namely  $=n-1$  in case (i),  $=n$  in case (ii). For a free  $G$ -module  $A$  one has commutative diagrams, in case (i)

$$\begin{array}{ccccc}
H^{n-1}(G; \mathbb{Z}G) \otimes_G A & \rightarrow & H^{n-1}(G_1; \mathbb{Z}G) \otimes_G A \oplus H^{n-1}(G_2; \mathbb{Z}G) \otimes_G A & \rightarrow & H^{n-1}(S; \mathbb{Z}G) \otimes_G A \\
\varphi_* \downarrow & & \varphi(G_1)_* \oplus \varphi(G_2)_* \downarrow & & \varphi(S)_* \downarrow \\
H^{n-1}(G; A) & \rightarrow & H^{n-1}(G_1; A) \oplus H^{n-1}(G_2; A) & \rightarrow & H^{n-1}(S; A);
\end{array}$$

and in case (ii)

$$\begin{array}{ccccc}
H^{n-1}(G_1; \mathbb{Z}G) \otimes_G A \oplus H^{n-1}(G_2; \mathbb{Z}G) \otimes_G A & \rightarrow & H^{n-1}(S; \mathbb{Z}G) \otimes_G A & \rightarrow & H^n(G; \mathbb{Z}G) \otimes_G A \\
\varphi(G_1)_* \oplus \varphi(G_2)_* \downarrow & & \varphi(S)_* \downarrow & & \varphi_* \downarrow \\
H^{n-1}(G_1; A) \oplus H^{n-1}(G_2; A) & \rightarrow & H^{n-1}(S; A) & \rightarrow & H^n(G; A),
\end{array}$$

with exact rows in both cases. The 5-lemma again shows that  $\varphi_*$  is an isomorphism; i.e.,  $G$  is of type  $(FD_*)$ , and hence a duality group of dimension  $n-1$  or  $n$  respectively.

5.5. It is clear that the method of this section applies more generally to amalgamated free products of groups which are not assumed to be duality groups, but just groups of type  $(FD_*)$ . One then obtains relations for the various *dimensions* involved, as follows.

**PROPOSITION 5.8.** *Let  $G = G_1 *_S G_2$ , where  $\text{cd } G_1 < n-1$ ,  $\text{cd } S < n-2$ ,  $\text{cd } G_2 = n$ , and where  $G_2$  is of type  $(FD_*)$ . Then  $\text{cd } G = n$  and  $G$  is of type  $(FD_*)$ .*

**PROPOSITION 5.9.** *Let  $G = G_1 *_S G_2$ , where  $\text{cd } G_1 = n-1$ ,  $\text{cd } S = n-1$ ,  $\text{cd } G_2 = n$ , and where  $S$  and  $G_2$  are of type  $(FD_*)$ . Then  $\text{cd } G = n$  and  $G$  is of type  $(FD_*)$ .*

**PROPOSITION 5.10.** *Let  $G = G_1 *_S G_2$ , where  $\text{cd } G_1 = \text{cd } G_2 = \text{cd } S = n$ ,  $\text{cd } G = n + 1$ , and where  $S$  is of type  $(FD_*)$ . Then  $G$  is of type  $(FD_*)$ .*

The proofs are similar to those above and can be left to the reader. Note that these results provide a method for constructing examples of groups which are of type  $(FD_*)$  but *not* of type  $(FP)$ : For those groups which are assumed to be of type  $(FD_*)$  one may take type  $(FP)$  with the respective cohomology dimensions; for the others, groups which are not of type  $(FP)$ , e.g., which are not finitely generated but have the appropriate finite cohomology dimensions.

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**Note added in proof:** It was recently proved by R. Strebel that duality groups are necessarily of type  $(FP)$ , and that type  $(FD_*)$  is equivalent to the existence of a projective resolution of finite length which is finitely generated in the top dimension. – It thus turns out that the treatment in Section 3 is sufficient for our main results; Section 5 is still of interest with regard to the method used, and to groups of type  $(FD_*)$  which are not duality groups.

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