

Zeitschrift: Commentarii Mathematici Helvetici
Herausgeber: Schweizerische Mathematische Gesellschaft
Band: 49 (1974)

Artikel: Computation of Lojasiewicz Exponent of $\dots(x, y)$
Autor: Kuo, Tzee-Char
DOI: <https://doi.org/10.5169/seals-37989>

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Computation of Lojasiewicz Exponent of $f(x, y)$ ¹⁾

TZEE-CHAR KUO

Let $f(x)$, $x \in \mathbf{R}^n$, $f(0)=0$, be a real analytic function defined near 0.

First, suppose $f(x) > 0$ for $x \neq 0$. Then Lojasiewicz asserts that there exist $\varepsilon > 0$, $\alpha > 0$ such that

$$f(x) \geq \varepsilon |x|^\alpha, \quad x \text{ near } 0.$$

Geometrically, this says that the graph of $y = f(x)$ lies above the bowl-like graph of $y = \varepsilon |x|^\alpha$.

For general $f(x)$, let V_f denote the variety $f(x)=0$ in \mathbf{R}^n , then Lojasiewicz ([2], p. 85; [3]) asserts that

$$|f(x)| \geq \varepsilon d(x, V_f)^\alpha, \quad x \text{ near } 0,$$

where $d(\cdot, \cdot)$ denotes the usual distance in \mathbf{R}^n .

This inequality, known as the Lojasiewicz inequality, is of fundamental importance in singularities theory.

PROBLEM. Determine the smallest value of α in the Lojasiewicz inequality.

For instance, to determine the smallest integer r such that in the Taylor expansion of f near 0, all terms of degree $> r$ can be omitted without changing the local topological type of f (i.e. the r -jet $j^{(r)}(f)$ is C^0 -sufficient) amounts to determining α for $|\text{Grad } f(x)|$ ([1], Theorem 0), where $\text{Grad } f(x) \neq 0$ for $x \neq 0$.

Let \mathbf{R}_+^2 denote the upper half plane $\{(x, y): y \geq 0\}$; \mathbf{R}_-^2 the lower half plane $y \leq 0$.

All points are understood to be in a sufficiently small neighborhood of 0.

§1. The Results

Let $f(x, y)$ be a real analytic function of two real variables with $f(0, 0)=0$. We may assume, without loss of generality, that the initial form of the Taylor expansion of f is not divisible by y (this amounts to saying that the x -axis is not a tangent of $f(x, y)=0$ at 0). Then, by Puiseux's Theorem ([4], p. 98), f can be factored (near 0)

¹⁾ The purpose of this paper is to give a complete solution of the Problem for functions $f(x, y)$ of two real variables. Our solution depends heavily on the use of Puiseux's Theorem.

into a product

$$f(x, y) = a \prod_{i=1}^m (x - z_i^*) \quad a \neq 0 \quad (1.1)$$

where $m = O(f)$, the order of f , and each z_i^* is a fractional power series in y with order $O(z_i^*) \geq 1$.

EXAMPLE (1.2). $f(x, y) = x(x^2 + y^3 - y^4)$.

Roots are $z_1^* = 0$, $z_2^*, z_3^* = \pm iy^{3/2}(1 - \frac{1}{2}y + \dots)$ with $O(z_1^*) = \infty$, $O(z_2^*) = O(z_3^*) = 3/2$.

SOME DEFINITIONS. (i) Each z_i^* in (1.1) is called a root of f . A fractional power series is *real* if all coefficients are real. For a non-real z^* , $x = z^*$ has no locus in \mathbf{R}_+^2 . This is because for $y > 0$, all fractional powers of y are real numbers, and so z^* is not a real number. In \mathbf{R}_-^2 , however, $x = z^*$ may, or may not, have locus. For the roots z_2^*, z_3^* in Example (1.2), there are loci in \mathbf{R}_-^2 , the two arcs of a cusp. For the roots of $x^2 + y^4 = 0$, there is no locus in either half plane (except the single point 0).

(ii) Let $z^* = \sum_{i=1}^{\infty} a_i y^{n_i}$ $a_i \neq 0$, $1 \leq n_1 < n_2 < \dots$ be a given non-real series, a_s its first non real coefficient. We define the *real springboard* of z^* to be

$$z^*(t) = \sum_{i \leq s-1} a_i y^{n_i} + t y^{n_s}$$

where t is a generic real number.

(1.3) Call n_s the complex order of z^* .

Now we put

$$e(f, z^*) = O(f(z^*(t), y))$$

and

$$\delta(f, z^*) = \begin{cases} \max \{O(z^*(t) - z_i^*)\}, & \text{where } z_i^* \text{ runs through all real roots} \\ 1 & \text{if there is no real root.} \end{cases}$$

EXAMPLE (1.4). For $f(x, y)$ as in Example (1.2),

$$e(f, z_2^*) = O(t(t^2 + 1)y^{9/2} + \dots) = 9/2$$

for generic values of t . Notice that for some special value of t , such as $t = 0$, $O(f(z_2^*(t), y)) > 9/2$.

We may call $e(f, z^*)$ the *climbing-exponent* of f along z^* and $\delta(f, z^*)$ the *distance-exponent* of f along z^* .

THEOREM. *The number*

$$L_+(f) = \max_j \left\{ \frac{e(f, z_j^*)}{\delta(f, z_j^*)}, O(f) \right\}$$

where j runs through all indices for which z_j^* is a non real root, has the property that in any given sufficiently small compact neighborhood U of 0, there exists a constant $\varepsilon > 0$ such that

$$(L_+) \quad |f(x, y)| \geq \varepsilon d((x, y), V_f)^{L_+(f)}, \quad (x, y) \in U \cap \mathbb{R}_+^2,$$

where $d(\cdot, \cdot)$ denotes the usual distance in \mathbb{R}^2 , V_f the real curve $f(x, y) = 0$. Moreover, $L_+(f)$ is the smallest number with this property.

We put $L(f) \equiv \max\{L_+(f), L_-(f)\}$, where $L_-(f) = L_+(f(x, -y))$, and call $L(f)$ the Lojasiewicz exponent of f .

COROLLARY 1. *The Lojasiewicz exponent $L(f)$ is the smallest number having the property that in any given sufficiently small compact neighborhood U of 0 in \mathbb{R}^2 , there exists a constant $\varepsilon > 0$ such that*

$$(L) \quad |f(x, y)| \geq \varepsilon d((x, y), V_f)^{L(f)}, \quad (x, y) \in U.$$

COROLLARY 2. *If all roots of $f(x, y)$ (respectively $f(x, -y)$) are real, then $L_+(f) = O(f)$ (respectively $L_-(f) = O(f)$).*

COROLLARY 3. *If all roots of $f(x, y)$ are non-real, then $L_+(f) = \max_{1 \leq i \leq m} \{e(f, z_i^*)\}$.*

EXAMPLE. $f(x, y) = x^2 + y^3$. Both roots of $f(x, y)$ are non-real, $L_+(f) = 3$. Both roots of $f(x, -y)$ are real, $L_-(f) = 2$. Hence $L(f) = 3$.

COROLLARY 4. $L(f), L_+(f), L_-(f)$ are rational numbers.

§2. Proof of Theorem

Notations. For two real-valued functions $A(x_1, \dots, x_n) > 0$ and $B(x_1, \dots, x_n) > 0$ defined for (x_1, \dots, x_n) in a domain D in \mathbb{R}^n with $0 \in \bar{D} - D$, we write

$$A \gtrsim B \tag{2.1}$$

if there exists a constant $k > 0$ such that $kA \geq B$ for all (x_1, \dots, x_n) in D near 0. If

$A \gtrsim B \gtrsim A$, then we write $A \sim B$; this is the case if, and only if A/B lies between two positive constants, x near 0.

For a fractional power series y^* in y , and for $d > 0$, $w > 0$, a *horn neighborhood* of y^* of degree d and width w is the point set

$$H_d(y^*; w) = \{(x, y) : |x - \bar{y}^*| \leq w |y|^d\},$$

where \bar{y}^* is y^* with all terms of degree $> d$ omitted. We often write $H_d(y^*)$ instead of $H_d(y^*; w)$. This is a horn-shaped set with vertex 0, containing the point set $x - y^* = 0$, except the origin, in its interior. The definition given here is slightly different from that in [1] in that this new horn neighborhood is the closure of the old one; in particular, the origin is contained in the new but not in the old.

$$\text{For } (x, y) \in H_d(y^*; w), |x - y^*| > w |y|^d. \quad (2.2)$$

For two fractional power series y^*, z^* let $H(y^*, z^*)$ denote the horn neighborhood $H_d(y^*; w)$ where $d = O(y^* - z^*)$, w a sufficiently small number. Call $H(y^*, z^*)$ the horn neighborhood of y^* against z^* .

$$H(y^*, z^*) \cap H(z^*, y^*) = \{0\}. \quad (2.3)$$

LEMMA (2.4). *Let z^* be a given fractional power series (in y). For a finite set of fractional power series $\{y_1^*, \dots, y_s^*\}$ and $d > 0$, there is a finite subset $\Sigma = \Sigma_d(y_1^*, \dots, y_s^*)$ of \mathbf{R} such that for $t \notin \Sigma$,*

$$O((z^* + ty^d) - y_i^*) \leq d, \quad 1 \leq i \leq s. \quad (2.5)$$

The case $s = 1$ is quite obvious, the rest of the proof is by an easy induction on s .

Now consider all real springboards $z_i^*(t_i)$ of the non-real roots z_i^* of f . Let d_i denote the complex order (see (1.3)) of z_i^* . By a repeated application of Lemma (2.4), we can choose specified real values for t_i in $z^*(t_i)$ such that

$$O(z_i^*(t_i) - z^*) \leq d_i, \quad O(z_i^*(t_i) - z_j^*(t_j)) \leq d_i \quad i \neq j, \quad (2.6)$$

where z^* is any real root.

EXAMPLE (2.7). $f(x, y) = (x - y^2)(x^2 + y^4)^2$, $z_1^* = y^2$, $z_2^* = z_3^* = iy^2$, $z_4^* = z_5^* = -iy^2$.

We may choose any values for t_i , $2 \leq i \leq 5$, provided that $t_i \neq 1$, and $t_i \neq t_j$ for $i \neq j$. Note that $t_2 = t_3$ or $t_4 = t_5$ are not allowed.

LEMMA (2.8). *Let $y^* = z^*(t)$ be the real springboard of a non-real fractional*

power series z^* with complex order $\leq d$. Then for $(x, y) \notin H_d(y^*; w)$, $(x, y) \in R_+^2$,

$$|x - y^*| \sim |x - z^*|. \quad (2.9)$$

Proof. Let c be the first non real coefficient of z^* . First, suppose $d = O(y^* - z^*) =$ complex order of z^* . Then

$$|x - z^*| \leq |x - y^*| + |y^* - z^*| \leq |x - y^*| + 2|c - t| |y|^d.$$

By (2.2), $|x - y^*| > w|y|^d$, hence

$$|x - z^*| \leq |x - y^*| + (2|c - t|/w) |x - y^*|.$$

Hence

$$|x - z^*| \lesssim |x - y^*|.$$

Now,

$$|x - y^*| \leq |x - z^*| + |z^* - y^*| \leq |x - z^*| + 2|c - t| |y|^d.$$

For $y > 0$, y^d is real, while cy^d is non-real. Hence

$$|x - z^*| \geq \frac{1}{2}|c'| |y|^d,$$

where $c' = \text{Im}(c)$, and so,

$$\begin{aligned} |x - y^*| &\leq |x - z^*| + (4|c - t|/|c'|) |x - z^*|, \\ |x - y^*| &\lesssim |x - z^*|, \quad \text{proving Lemma (2.8).} \end{aligned}$$

Next, suppose $d < O(y^* - z^*)$. Then

$$\lim_{y \rightarrow 0} |y^* - z^*| |y|^{-d} = 0.$$

Again, (2.9) follows from the triangle inequalities

$$|x - y^*| \leq |x - z^*| + |z^* - y^*|$$

and

$$|x - z^*| \leq |x - y^*| + |y^* - z^*|.$$

From now on, z_1^*, \dots, z_m^* denote the m roots of f (with multiplicity), and let y_1^*, \dots, y_m^* denote the real roots and the springboards of non-real roots, satisfying (2.6).

That is, $y_i^* = z_i^*$ if z_i^* is real, and $y_i^* = z_i^*(t_i)$ if z_i^* is not real. All fractional power series are understood to be in y with order ≥ 1 . All points (x, y) are understood to be in \mathbf{R}_+^2 .

Thus $H_d(y^*; w) \cap \mathbf{R}_+^2$ will be written simply as $H_d(y^*; w)$.

Let \mathfrak{F} denote the family of horn neighborhoods of the y_i^* 's against one another: $\mathfrak{F} = \{H(y_i^*, y_j^*): i \neq j\}$.

We divide a neighborhood of 0 (in \mathbf{R}_+^2) into regions of three types. *Type 1*: Regions which are the smallest members of \mathfrak{F} . *Type 2*: Those of type $H - \bigcup_\alpha H_\alpha$, $H, H_\alpha \in \mathfrak{F}$, where H_α runs through all members of \mathfrak{F} contained in H . *Type 3*: The complements of the union of all members of \mathfrak{F} .

Inequality (L_+) will be established in regions of each type.

For a real fractional power series w^* , let V_{w^*} denote the point set $x - w^* = 0$.

LEMMA (2.10). *Let y^* be a real fractional power series. Then*

$$d((x, y), V_{y^*}) \sim |x - y^*|. \quad (2.11)$$

This is obvious.

LEMMA (2.12). *Let y^*, z^* be two real fractional power series. Then for $(x, y) \notin H(y^*, z^*)$,*

$$d((x, y), V_{y^*}) \gtrsim d((x, y), V_{z^*}). \quad (2.13)$$

Consequently, for $(x, y) \notin H(y^*, z^*) \cup H(z^*, y^*)$,

$$d((x, y), V_{y^*}) \sim d((x, y), V_{z^*}). \quad (2.14)$$

Proof. To show (2.13), let us first consider the special case $y^* = 0$, whence V_{y^*} is the y -axis. Write $z^* = ay^d + \dots$, $a \neq 0$. Now, by (2.11), $d((x, y), V_{y^*}) \sim x$, $d((x, y), V_{z^*}) \sim |x - (ay^d + \dots)|$. For $(x, y) \notin H(y^*, z^*) = H_d(y^*)$, where $d = O(y^* - z^*)$, $|x| > w|y|^d$ by (2.2). Hence

$$|(x - (ay^d + \dots))/x| = |1 - (ay^d + \dots)/x| \leq 1 + 2|a| |y|^d/w|y|^d = 1 + 2|a|/w,$$

and (2.13) follows.

For the general case, we can perform a C^1 -coordinate transformation

$$X = x - y^*, \quad Y = y \quad (2.15)$$

(this transformation is C^1 since $O(y^*) \geq 1$). Then the general case is reduced to the above special case.

LEMMA (2.16). Let y^*, z^* be two real fractional series. Then for $(x, y) \in H(y^*, z^*)$,

$$d((x, y), V_{z^*}) \sim |y|^\alpha, \quad \alpha = O(y^* - z^*). \quad (2.17)$$

Proof. By (2.3), (2.2),

$$|x - z^*| > w|y|^\alpha.$$

Now

$$\begin{aligned} H(y^*, z^*) &= H_\alpha(y^*), \\ |x - z^*| &\leq |x - y^*| + |y^* - z^*| \\ &\leq w|y|^\alpha + |y^* - z^*| \sim |y|^\alpha. \end{aligned}$$

Hence (2.17) follows.

Type 1. Consider a smallest member H of \mathfrak{F} . Say $H = H(y_i^*, y_h^*)$.

First, suppose y_i^* is the real springboard of a non-real root z_i^* , $y_i^* = z_i^*(t_i)$.

Let d_i denote the complex order of z_i^* [(1.3)].

We claim that $H = H_{d_i}(y_i^*)$. Indeed, the complex conjugate \bar{z}_i^* of z_i^* is another root of f , since f is real. Say $\bar{z}_i^* = z_k^*$. Now $O(z_k^* - z_i^*) = O(y_k^* - y_i^*) = d_i$, where $y_k^* = z_k^*(t_k)$, and so $H_{d_i}(y_i^*) = H(y_i^*, y_k^*)$ is a member of \mathfrak{F} . Since H is a smallest member, we must have $H \subset H_{d_i}(y_i^*)$. By (2.6), $H_{d_i}(y_i^*)$ is a smallest member of \mathfrak{F} ; hence $H = H_{d_i}(y_i^*)$.

Now, for $(x, y) \in H$, $|x - z_i^*| \sim |y|^{d_i}$; moreover, for any $j \neq i$, $|x - y_j^*| \sim |y|^{\alpha_j}$, where $\alpha_j = O(y_j^* - y_i^*)$, by (2.17). If y_j^* , $j \neq i$, is the real springboard of a non-real root z_j^* , then we have $|x - z_j^*| \sim |x - y_j^*|$, by Lemma (2.8). Hence

$$|f(x, y)| = \prod_j |x - z_j^*| \sim |y|^{e(f, y^*)}, \quad (2.18)$$

since $e(f, y^*) = d_i + \sum_{j \neq i} \alpha_j$.

Now, V_f is defined by $\prod_j (x - y_j^*) = 0$ where y_j^* runs through all real roots. Hence

$$d((x, y), V_f) = \min_j \{d((x, y), V_{y_j^*})\}.$$

For $(x, y) \in H = H_{d_i}(y_i^*)$,

$$d((x, y), V_{y_j^*}) \sim |x - y_j^*| \sim |y|^{\alpha_j}$$

where

$$\alpha_j = O(y_i^* - y_j^*) = O(y_i^* - z_j^*)$$

(See (2.6)). Hence

$$d((x, y), V_f) \sim |y|^{\delta(f, y^*)}. \quad (2.19)$$

By (2.18), (2.19), we have, for $(x, y) \in H_{d_i}(y_i^*)$

$$|f(x, y)| \sim d((x, y), V_f)^{\gamma_i} \quad (2.20)$$

where $\gamma_i = e(f, y_i^*)/\delta(f, y_i^*)$.

Next, suppose y_i^* is a real root. As y_i^* can be a multiple root, let μ denote its multiplicity. Hence

$$|f(x, y)| \sim |x - y_i^*|^\mu \prod_j |x - z_j^*|, \quad (2.21)$$

where z_j^* runs through all roots other than y_i^* . For $(x, y) \in H = H(y_i^*, y_h^*)$, we claim that

$$d((x, y), V_f) \sim d((x, y), V_{y_i^*}) \sim |x - y_i^*|. \quad (2.22)$$

Indeed, since H is a smallest member, H is contained in $H(y_i^*, y_s^*)$, and is therefore disjoint from $H(y_s^*, y_i^*)$, where y_s^* is any real root other than y_i^* . Then the first \sim of (2.22) follows from (2.13), the second \sim follows from (2.11). Moreover, for $(x, y) \in H$, and for $j \neq i$,

$$|x - z_j^*| \sim |x - y_j^*| \gtrsim |x - y_i^*|, \quad (2.23)$$

the first relation follows from Lemma (2.8), and the last relation follows from (2.13). Now, by (2.21), (2.23) and (2.22),

$$|f(x, y)| \gtrsim |x - y_i^*|^m \sim d((x, y), V_f)^m, \quad m = O(f). \quad (2.24)$$

Remark. We may not replace \gtrsim by \sim in (2.24). See Example (3.6) in §3. However, for (x, y) in a sector $S_\eta = \{(x, y) : |y| \leq \eta|x|\}$ we do have

$$|f(x, y)| \sim d((x, y), V_f)^m. \quad (2.25)$$

In fact, since the initial form of f is not divisible by y , for $(x, y) \in S_\eta$, η sufficiently small,

$$|f(x, y)| \sim \varrho^m, \quad \varrho = (x^2 + y^2)^{1/2} \sim |x|,$$

and

$$d((x, y), V_f) \sim |x| \sim \varrho.$$

Hence we have (2.25).

Type 2. The region is of the form $H - \bigcup_\alpha H_\alpha$. Collect all y_i^* for which $V_{y_i^*} \subset H$. By permutting the indices, if necessary, we may assume they are y_1^*, \dots, y_k^* . Then

$V_{y_q^*} \not\subset H$, $q > k$. For $i \leq k$, $V_{y_i^*} \subset H$, then $H = H_{d_i}(y_i^*)$ for some d_i . Hence for $q > k$, $H(y_q^*, y_i^*) \cap H = \{0\}$.

First, suppose none of the y_i^* 's, $1 \leq i \leq k$, is a real root. Choose a fixed y_i^* , say y_1^* . For any j , $1 < j \leq k$, $H(y_1^*, y_j^*)$ and $H(y_j^*, y_1^*)$ are disjoint proper subsets of H . Hence, by (2.11), (2.14),

$$|x - y_1^*| \sim |x - y_j^*|, \quad \text{for } (x, y) \in H - \bigcup_{\alpha} H_{\alpha}.$$

By (2.2),

$$|x - y_1^*| \gtrsim |y|^{\alpha_j}, \quad \alpha_j = O(y_1^* - y_j^*), \quad 1 < j \leq k.$$

Moreover, by (2.6),

$$O(y_1^* - y_j^*) = O(y_1^* - z_j^*) \quad 1 < j \leq k.$$

Hence, by Lemma (2.8),

$$\prod_{i=1}^k |x - z_i^*| \sim \prod_{i=1}^k |x - y_i^*| \gtrsim |y|^{\alpha},$$

where $\alpha = \sum_{i=1}^k O(y_1^* - z_i^*)$.

Now, for any $q > k$, $H(y_q^*, y_1^*) \cap H = \{0\}$. Hence for $(x, y) \in H$,

$$|x - z_q^*| \sim |x - y_q^*| \geq |y|^{\alpha_q}, \quad \alpha_q = O(y_1^* - y_q^*) = O(y_1^* - z_q^*).$$

Thus, for $(x, y) \in H$,

$$|f(x, y)| \gtrsim |y|^{e(f, y_1^*)}. \quad (2.26)$$

We now show that

$$d((x, y), V_f) \sim |y|^{\delta(f, y_1^*)} \quad (2.27)$$

and then (L_+) follows.

In case f has no real root, $V_f = \{0\}$, $\delta(f, y_1^*) = 1$ and (2.27) is obvious.

Now let y_s^* , $s > k$, be any real root. We claim that $H \subset H(y_1^*, y_s^*)$. Indeed, H is a horn neighborhood of y_1^* , say of degree d_1 . If $d_1 < O(y_1^* - y_s^*)$, then we would have $V_{y_s^*} \subset H$, a contradiction. Therefore $d_1 \geq O(y_1^* - y_s^*)$, $H \subset H(y_1^*, y_s^*)$. By Lemma (2.16),

$$d((x, y), V_{y_s^*}) \sim |y|^{\alpha_s}, \quad \alpha_s = O(y_1^* - y_s^*). \quad (2.28)$$

Since y_s^* is any real root, (2.27) follows.

Next, suppose some y_i^* , $1 \leq i \leq k$, is a real root. Say $i = 1$. By (2.11) and (2.14),

$$|x - y_1^*| \sim |x - y_j^*| \quad 2 \leq j \leq k.$$

For $q > k$,

$$|x - y_q^*| \gtrsim |x - y_1^*|$$

by (2.13). Therefore,

$$|f(x, y)| = \prod_{j=1}^m |x - z_j^*| \sim \prod_j |x - y_j^*| \gtrsim |x - y_1^*|^m \sim d((x, y), V_f)^m.$$

We have again established (L_+) in this case.

Type 3. First, suppose f has at least one real root. Say y_1^* is a real root. For $(x, y) \notin \bigcup_{i,j} H(y_i^*, y_j^*)$,

$$|x - y_i^*| \sim |x - y_j^*|, \quad \text{for all } i, j. \quad (2.29)$$

Moreover,

$$|f(x, y)| = \prod_i |x - z_i^*| \sim \prod_i |x - y_i^*| \sim |x - y_1^*|^m.$$

Now,

$$d((x, y), V_f) \sim \min_i \{|x - y_i^*|\} \sim |x - y_1^*|$$

by (2.29), where y_i^* runs through all real roots.

Therefore we have

$$|f(x, y)| \sim d((x, y), V_f)^m. \quad (2.30)$$

Finally, suppose there is no real root. We still have (2.29). Since $(x, y) \notin H(y_i^*, y_j^*)$ for all i, j ,

$$|x - y_i^*| \gtrsim |y|^{\alpha_j} \quad \text{where } \alpha_j = O(y_i^* - y_j^*), \quad j \neq i.$$

Hence

$$|f(x, y)| \gtrsim |y|^{e(y^*, f)}, \quad 1 \leq i \leq m.$$

Again, we have proved (L_+) .

To complete the proof of the theorem, it remains to show that $L_+(f)$ is the smallest number having the property (L_+) . This follows from (2.20) and (2.25).

Proof of the Corollaries. Corollary 1 follows immediately. Corollary 2 is obvious. Corollary 4 follows from Puiseux's Theorem; the exponents of the roots z_i^* are rational numbers (with a same denominator). Corollary 3 follows from the fact that $O(z_i^*) \geq 1$ for all i , and hence $e(f, z_i^*) \geq O(f)$.

§3. Illustrative Examples

For two arcs $\gamma: x = y^*$, $\beta: x = z^*$, call $d(\gamma, \beta) = O(y^* - z^*)$ the degree of contact of γ and β .

For a real arc $\gamma: x = y^*$, the Lojasiewicz exponent of f along γ , $l_f(\gamma)$, is defined by

$$|f(y^*, y)| \sim d((y^*, y), V_f)^{l_f(\gamma)}.$$

In particular, if $f(x, y)$ is positive definite, then

$$f(y^*, y) \sim |y|^{l_f(\gamma)}.$$

EXAMPLE (3.1). $f(x, y) = x^2 + y^{10}$. Both roots are non-real. The real springboards of the roots are $\gamma_i: x = t_i y^5$, $i = 1, 2$.

For any real arc β ,

$$l_f(\beta) = \begin{cases} 10 & \text{if } d(\gamma_i, \beta) \geq 5 \\ 2d(\gamma_i, \beta) & \text{if } d(\gamma_i, \beta) \leq 5. \end{cases}$$

(3.2). As β varies so that $d(\gamma_i, \beta)$ increases, $l_f(\beta)$ increases.

The maximal value of $l_f(\beta)$ is 10 and is taken when $d(\gamma_i, \beta) \geq 5$.

Observe that $L(f) = 10$ by Corollary 1.

A phenomenon similar to (3.2) appears in the next example.

EXAMPLE (3.3). $f(x, y) = (x^2 + y^{10})((x - y^3)^2 + y^{40})$.

Consider the real springboard $\gamma_1: x = ty^5$, arising from the first factor, we have $l_f(\gamma_1) = 16$. Let us perturb γ_1 to $\beta: x = ty^5 + (sy^d + \text{terms of degree} > d)$, where $s \neq 0$, $|s|$ small. For $d = d(\gamma_1, \beta)$ varies in the range $1 \leq d < \infty$,

$$l_f(\beta) = \begin{cases} 16 & \text{if } d \geq 5 \\ 2d + 6 & \text{if } 5 \geq d \geq 3 \\ 4d & \text{if } 3 \geq d \end{cases}$$

(3.4). As $d(\gamma_1, \beta)$ increases, $l_f(\beta)$ increases.

Observe that $l_f(\gamma_1) = 16$ is a maximal value, which is reached when $d \geq 5$.

Now consider $\gamma_2: x = y^3 + ty^{20}$, the real springboard of a root of the second factor.

For any $\beta : x = (y^3 + ty^{20}) + (sy^d + \dots)$, we have

$$l_f(\beta) = \begin{cases} 46 & \text{if } d \geq 20 \\ 6 + 2d & \text{if } 20 \geq d \geq 3 \\ 4d & \text{if } 3 \geq d \end{cases}$$

(3.5). Again, as $d = d(\gamma_2, \beta)$ increases, $l_f(\beta)$ increases.

Observe that $l_f(\gamma_2) = 46$ is a maximal value. Also, by Corollary 1, $L(f) = 46$.

When $f(x, y)$ has real roots, the way l_f varies near a real root is quite different from that near a non-real root as in the last two examples.

EXAMPLE (3.6). $f(x, y) = (x - y^2)(x^4 + y^{10})$.

Consider the value of $l_f(\beta)$, where

$$\beta : x = y^2 + (sy^d + \dots), \quad s \neq 0.$$

We have, along β ,

$$|f(x, y)| = \begin{cases} |y|^{d+10} & d \geq 5/2 \\ |y|^{5d} & 5/2 \geq d, \end{cases}$$

$$d((x, y), V_f) \sim |y|^d.$$

Hence

$$l_f(\beta) = \begin{cases} 1 + 10/d & d \geq 5/2 \\ 5 & 5/2 \geq d. \end{cases}$$

Now observe that in contrast with (3.2), (3.4) and (3.5), $l_f(\beta)$ decreases as d increases.

The maximal value of l_f over arcs of type β is 5. Note that $O(f) = 5$. However, the maximal value of l_f near a real springboard of either root of the second factor is 12; and $L(f) = 12$.

In this example, $e(f, \gamma_i^*)/\delta(f, \gamma_i^*) = 12 > O(f) = 5$.

It is not true, however, that for general f , $e/\delta \geq O(f)$.

EXAMPLE (3.7). $f(x, y) = x(x - y^q)(x^2 + y^{2p})$, $p > q$. Then $e = p + q + 2p$, $\delta = p$, $O(f) = 4$, and $e/\delta < O(f)$.

To close this section, we give an example due to Lojasiewicz, which shows that for a polynomial f of degree n , one can have $L(f) > n$.

EXAMPLE (3.8). ([2], p. 85).

$$f(x, y) = x^{2n} + (x - y^n)^2 = (x - y^n + ix^n)(x - y^n - ix^n).$$

The roots are $z_1^*, z_2^* : x = y^n \pm iy^{n^2} + \dots$. We have $e(f, z_i^*) = 2n^2 = L(f)$.

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*Departement of Mathematics
New Asia College
The Chinese University of Hong Kong
Shatin, Kowloon, Hong Kong*

Received September 25, 1973