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## On a Marinescu Structure on $\mathcal{C}(X)$

E. BINZ AND W. FELDMAN<sup>1)</sup>

### 0.1. Introduction

For any completely regular topological space  $X$  the  $\mathbf{R}$ -algebra  $\mathcal{C}(X)$ , the set of all continuous real-valued functions of  $X$  endowed with the pointwise defined operations, can be represented as the union of subalgebras, each of which is canonically identified with  $\mathcal{C}(Y)$  for some locally compact space  $Y$ . On each of those subalgebras  $\mathcal{C}(Y)$  there is a natural topology, namely the topology of compact convergence.

The collection of all filters on  $\mathcal{C}(X)$  which have as a basis a convergent filter in one of those subalgebras, defines a certain type of convergence structure (Limitierung [1]) on  $\mathcal{C}(X)$ , a so called Marinescu structure. The algebra  $\mathcal{C}(X)$  endowed with this structure is referred to as  $\mathcal{C}_I(X)$ .

A well-written study of Marinescu structures can be found in [7].

The purpose of this note is to give a description of  $\mathcal{C}_I(X)$ . Here we state some of the properties of  $\mathcal{C}_I(X)$ .

The evaluation map  $\omega$  from the cartesian product  $\mathcal{C}_I(X) \times X$  into the reals is continuous. Assigning to each set  $A \subset X$  the set

$$\{f \in \mathcal{C}(X) \mid f(q) = 0 \text{ for all } q \in A\},$$

we obtain a one-to-one correspondence between the collection of all closed (proper) ideals in  $\mathcal{C}_I(X)$  and all non-empty closed subsets of  $X$ . In particular, every closed maximal ideal consists of all functions in  $\mathcal{C}(X)$  vanishing on a fixed point  $p$  in  $X$ .

Of some interest to us is the initial topology on  $\mathcal{C}(X)$  determined by all continuous seminorms of  $\mathcal{C}_I(X)$ . This topology turns out to be the topology of compact convergence.

As a consequence  $\mathcal{C}_I(X)$  and  $\mathcal{C}_{\infty}(X)$ , the  $\mathbf{R}$ -vector space  $\mathcal{C}(X)$  together with above mentioned topology, have the same dual spaces. In addition we find that the properties of  $\mathcal{C}_I(X)$  listed so far hold also for  $\mathcal{C}_c(X)$ , the algebra  $\mathcal{C}(X)$  equipped with the continuous convergence structure [1]. We therefore investigate whether  $\mathcal{C}_I(X)$  and  $\mathcal{C}_c(X)$  are identical. On a space  $X$  having a countable neighbourhood basis for each point, the identity of  $\mathcal{C}_I(X)$  and  $\mathcal{C}_c(X)$  is equivalent to the local compactness of  $X$ . This is a corollary to the more general theorem 8.

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<sup>1)</sup> Parts of this paper are contained in the thesis of the second author.

### 1.1. Definition of the Convergence Structure

Let  $X$  be a completely regular topological space. We denote the Stone-Čech compactification of  $X$  by  $\beta X$ . It is well-known that every continuous map from  $X$  into a compact space  $C$  can be extended to a continuous map from  $\beta X$  into  $C$ . Since  $X$  is a dense subspace of  $\beta X$ , this extension is unique.

By  $\mathcal{C}(X)$ , we mean the  $\mathbf{R}$ -algebra of all continuous real-valued functions on  $X$  (under the pointwise defined operations). Every function  $f$  in  $\mathcal{C}(X)$  can be regarded as a map from  $X$  into  $\dot{\mathbf{R}}$ , the one-point compactification of the reals. Hence we can extend  $f$  to a function from  $\beta X$  into  $\dot{\mathbf{R}}$ . Clearly if  $f$  is bounded, then the extension is still real-valued. For any  $f \in \mathcal{C}(X)$ , the extension of  $f$  to  $\beta X$ , as a function with values in  $\dot{\mathbf{R}}$ , is again denoted by  $f$ . Let  $K_f \subset \beta X$  be the pre-image under  $f$  of the point  $\infty \in \dot{\mathbf{R}}$ . Since  $f : \beta X \rightarrow \dot{\mathbf{R}}$  is continuous,  $K_f$  is a compact subset of  $\beta X$ . The function  $f$  restricted to  $X$  is of course real-valued, and thus  $K_f$  must be a subset of  $\beta X \setminus X$ , the complement of  $X$  in  $\beta X$ . For any space  $Y$  such that

$$X \subset Y \subset \beta X,$$

we identify each continuous real-valued function on  $Y$  with its restriction to  $X$ . Therefore given any compact set  $K \subset \beta X \setminus X$ , the algebra  $\mathcal{C}(\beta X \setminus K)$  is contained in  $\mathcal{C}(X)$ . In particular, the subalgebra  $\mathcal{C}(\beta X \setminus K_f)$  contains  $f$ . We now conclude that

$$\mathcal{C}(X) = \bigcup_{K \subset \beta X \setminus X} \mathcal{C}(\beta X \setminus K),$$

where  $K$  ranges through all compact subsets of  $\beta X \setminus X$ .

By  $\mathcal{C}_{co}(\beta X \setminus K)$ , we mean the algebra  $\mathcal{C}(\beta X \setminus K)$  endowed with the topology of compact convergence. The convergence structure, being the subject of our investigation, is the finest of all convergence structures on  $\mathcal{C}(X)$  making the inclusion maps from  $\mathcal{C}_{co}(\beta X \setminus K)$  into  $\mathcal{C}(X)$  continuous for every compact subset  $K \subset \beta X \setminus X$ . We denote the algebra  $\mathcal{C}(X)$  together with this convergence structure by  $\mathcal{C}_I(X)$ , and notice that this is simply the inductive limit, in the category of convergence spaces, (see [7]) of the family

$$\{\mathcal{C}_{co}(\beta X \setminus K) : K \text{ a compact subset of } \beta X \setminus X\} \quad (*)$$

with the ordering defined by inclusion. Of course the inclusion map from  $\mathcal{C}_{co}(\beta X \setminus K)$  into  $\mathcal{C}_{co}(\beta X \setminus K')$  is continuous whenever  $K$  is contained in  $K'$ . Since all the spaces considered in  $(*)$  are locally convex topological  $\mathbf{R}$ -algebras,  $\mathcal{C}_I(X)$  is indeed a Marinescu space as introduced by H. Jarchow in [7]. We leave it to the reader to verify that  $\mathcal{C}_I(X)$  is a convergence  $\mathbf{R}$ -algebra [1], meaning that the operations are continuous.

### 1.2. Completeness of $\mathcal{C}_I(X)$

A filter  $\Theta$  on a commutative convergence group  $G$  is called Cauchy if  $\Theta - \Theta$  converges to zero, where “ $-$ ” denotes the difference operation in  $G$ . If every Cauchy filter in  $G$  converges to some element in  $G$ , then the group is said to be complete.

**THEOREM 1.** *For any completely regular topological space  $X$ , the convergence algebra  $\mathcal{C}_I(X)$  is complete.*

*Proof.* Let  $\Theta$  be a Cauchy filter on  $\mathcal{C}_I(X)$ . We must find a function  $t \in \mathcal{C}_I(X)$  such that  $\Theta$  converges to  $t$ . Here, we remark that a filter  $\Psi$  on  $\mathcal{C}_I(X)$  converges to a function  $g$  in  $\mathcal{C}_I(X)$  if and only if there is a compact  $K \subset \beta X \setminus X$  such that  $\mathcal{C}(\beta X \setminus K)$  contains  $g$  and  $\Psi$  has a base in  $\mathcal{C}_{co}(\beta X \setminus K)$  which is a filter convergent to  $g$  in this space. Now the filter  $\Theta - \Theta$  has a base  $\Phi$  in  $\mathcal{C}_{co}(\beta X \setminus K)$  with  $\Phi$  convergent to zero for some compact  $K \subset \beta X \setminus X$ . Hence any element  $A$  of  $\Phi$  contains  $M - M$  where  $M \in \Theta$ . We will show that  $M$  itself is in  $\mathcal{C}(\beta X \setminus K')$  for some compact  $K' \subset \beta X \setminus X$ . Let  $g$  be a fixed element in  $M$ . For each  $f \in M$ , the function  $f - g$  is in  $M - M$ , and thus in  $\mathcal{C}(\beta X \setminus K)$ . This means that

$$f^{-1}(\infty) \subset g^{-1}(\infty) \cup K.$$

Therefore  $M$  is contained in  $\mathcal{C}(\beta X \setminus K')$  where  $K'$  stands for  $g^{-1}(\infty) \cup K$ . It follows that  $\Theta$  has a base in  $\mathcal{C}(\beta X \setminus K')$ , call it  $\Theta'$ . Since

$$\mathcal{C}(\beta X \setminus K) \subset \mathcal{C}(\beta X \setminus K'),$$

the filter  $\Theta' - \Theta'$  on  $\mathcal{C}_{co}(\beta X \setminus K')$  has  $\Phi$  as a base, and thus  $\Theta'$  is a Cauchy filter in  $\mathcal{C}_{co}(\beta X \setminus K')$ . The completeness of  $\mathcal{C}_{co}(\beta X \setminus K')$  implies that  $\Theta'$  itself converges to some function  $t \in \mathcal{C}(\beta X \setminus K')$ . Hence  $\Theta$  converges to  $t$  in  $\mathcal{C}_I(X)$  as desired.

### 1.3. Closed Ideals in $\mathcal{C}_I(X)$

By an ideal, we mean of course a *proper* ideal. It is evident that for every non-empty subset  $S$  of  $X$  the ideal

$$I(S) = \{f \in \mathcal{C}(X) : f(S) = \{0\}\}$$

is closed in  $\mathcal{C}_I(X)$ . We conjecture that all closed ideals in  $\mathcal{C}_I(X)$  are precisely of this form.

To prove this, let  $J \subset \mathcal{C}_I(X)$  be a closed ideal. We call the set of all points  $p \in X$  with the property that every function  $f \in J$  vanishes on  $p$  the null-set of  $J$ , and denote this set by  $N_X(J)$ . It is exactly the intersection of all zero-sets  $Z_X(f)$  where  $f$  runs through  $J$ . By  $Z_X(f)$ , we mean  $\{x \in X : f(x) = 0\}$ . Since for any function  $f \in J$ , there

is a bounded function  $g \in J$  such that  $Z_X(f) = Z_X(g)$ , we can represent  $N_X(J)$  as

$$\bigcap_{g \in J^\circ} Z_X(g),$$

where  $J^\circ$  denotes the collection of all bounded functions in  $J$ . Furthermore, the set  $J^\circ$  is a closed ideal in  $\mathcal{C}_{co}(\beta X)$ , and is therefore of the form  $I(N_{\beta X}(J^\circ))$  where  $N_{\beta X}(J^\circ)$  is a non-empty subset of  $\beta X$ . Evidently the ideal  $J \subset I(N_X(J))$ . We will show that  $J$  is all of  $I(N_X(J))$ . First, we verify that  $J^\circ$  contains all the bounded functions in  $I(N_X(J))$ . Since  $J^\circ$  consists of all functions in  $\mathcal{C}(\beta X)$  vanishing on  $N_{\beta X}(J^\circ)$ , it is enough to prove that any bounded element of  $I(N_X(J))$  vanishes on  $N_{\beta X}(J^\circ)$ . Clearly we are done as soon as we know that  $\overline{N_{\beta X}(J^\circ)}$  is the closure of  $N_X(J)$  in  $\beta X$ . Assume, to the contrary, that  $N_{\beta X}(J^\circ)$  contains  $\overline{N_X(J)}$ , the closure in  $\beta X$  of  $N_X(J)$ , as a proper subset. For a point  $q \in N_{\beta X}(J^\circ)$  outside of  $\overline{N_X(J)}$ , we choose in  $\beta X$  a closed neighborhood  $U$  of  $q$  disjoint from  $\overline{N_X(J)}$ . There exists a function  $g \in \mathcal{C}(\beta X)$  such that  $g(q) = 1$  and  $g$  vanishes on the complement of  $U$ . We assert that  $g \in J \cap \mathcal{C}(\beta X \setminus K)$ , where  $K$  denotes the compact set  $U \cap N_{\beta X}(J^\circ)$  contained in  $\beta X \setminus X$ . Clearly  $J \cap \mathcal{C}(\beta X \setminus K)$  is a closed ideal in  $\mathcal{C}_{co}(\beta X \setminus K)$ , and therefore consists of all functions vanishing on its null-set. Since the bounded functions in  $J \cap \mathcal{C}(\beta X \setminus K)$  are precisely the elements of  $J^\circ$ , we conclude that  $N_{\beta X}(J^\circ) \cap \beta X \setminus K$  is the null-set of  $J \cap \mathcal{C}(\beta X \setminus K)$ . The function  $g$  vanishes on  $N_{\beta X}(J^\circ) \cap \beta X \setminus K$ , and therefore  $g$  is an element of  $J \cap \mathcal{C}(\beta X \setminus K)$  as claimed. Thus we know  $g \in J^\circ$ . On the other hand,  $g$  is not an element of  $I(N_{\beta X}(J^\circ))$ , which is of course  $J^\circ$ . Because of this contradiction, we conclude that  $N_{\beta X}(J^\circ) = \overline{N_X(J)}$ , and thus  $J^\circ$  consists of all bounded functions in  $I(N_X(J))$  where  $N_X(J)$  is not empty. To complete the proof, let  $f$  be an arbitrary element of  $I(N_X(J))$ . There is a unit  $u$  in  $\mathcal{C}(X)$  such that  $f \cdot u$  is bounded. Hence  $f \cdot u \in J^\circ$ , and therefore  $(f \cdot u) \cdot 1/u \in J$ . This implies that  $J = I(N_X(J))$ .

We now have established

**THEOREM 2.** *An ideal  $J$  in  $\mathcal{C}_I(X)$  is closed if and only if  $J = I(N_X(J))$ .*

**COROLLARY 1.** *A maximal ideal in  $\mathcal{C}_I(X)$  is closed if and only if it consists of all functions in  $\mathcal{C}(X)$  vanishing at a fixed point in  $X$ .*

For every point  $p \in X$  there is a continuous  $\mathbf{R}$ -algebra homomorphism

$$i_X(p): \mathcal{C}_I(X) \rightarrow \mathbf{R},$$

defined by  $i_X(p)(f) = f(p)$  for every  $f \in \mathcal{C}(X)$ . Assigning to each point  $p \in X$  the homomorphism  $i_X(p)$ , we obtain a map

$$i_X: X \rightarrow \mathcal{H}om \mathcal{C}_I(X),$$

where  $\mathcal{H}om \mathcal{C}_I(X)$  denotes the set of all continuous  $\mathbf{R}$ -algebra homomorphisms

from  $\mathcal{C}_I(X)$  onto  $\mathbf{R}$ . Since an element of  $\mathcal{H}om \mathcal{C}_I(X)$  is determined by its kernel, a closed maximal ideal in  $\mathcal{C}_I(X)$ , we deduce from corollary 1

**COROLLARY 2.** *The map  $i_X$  is surjective.*

#### 1.4. The associated locally convex topology of $\mathcal{C}_I(X)$

First, let us demonstrate that, in general,  $\mathcal{C}_I(X)$  is not topological; more precisely

**THEOREM 3.**  *$\mathcal{C}_I(X)$  is topological if and only if  $X$  is locally compact. If  $X$  is locally compact, then  $\mathcal{C}_I(X) = \mathcal{C}_{co}(X)$ .*

*Proof.* If  $X$  is locally compact, then  $\mathcal{C}(X)$  is of the form  $\mathcal{C}(\beta X \setminus K)$ , where  $K = \beta X \setminus X$  is a compact subset of  $\beta X$ . The inclusion map from  $\mathcal{C}_{co}(\beta X \setminus K')$  into  $\mathcal{C}_{co}(X)$  is continuous for any compact set  $K' \subset \beta X \setminus X$ . Thus  $\mathcal{C}_{co}(X)$  is the finest of all convergence structures making the inclusion maps continuous, i.e.,  $\mathcal{C}_I(X)$  coincides with  $\mathcal{C}_{co}(X)$  and hence is topological.

Conversely, assume that  $\mathcal{C}_I(X)$  is topological. Since the neighborhood filter of zero has a base in  $\mathcal{C}(\beta X \setminus K)$  for some compact  $K \subset \beta X \setminus X$  and every neighborhood of zero is absorbent, we have

$$\mathcal{C}(X) = \mathcal{C}(\beta X \setminus K).$$

If there were a compact  $K' \subset \beta X \setminus X$  strictly containing  $K$ , then the neighborhood filter of zero in  $\mathcal{C}_{co}(\beta X \setminus K')$  would be strictly coarser than the neighborhood filter of zero in  $\mathcal{C}_{co}(\beta X \setminus K)$ . This is apparent since two locally compact spaces  $Z$  and  $Z'$  are homeomorphic if and only if  $\mathcal{C}_{co}(Z)$  and  $\mathcal{C}_{co}(Z')$  are bicontinuously isomorphic (see [3]). Therefore  $K$  must be equal to  $\beta X \setminus X$  which means  $X$  is locally compact.

In view of the fact that  $\mathcal{C}_I(X)$  is not, in general, topological, we wish to determine the associated locally convex space  $\mathcal{C}_{\tau I}(X)$  of  $\mathcal{C}_I(X)$ . The topology of  $\mathcal{C}_{\tau I}(X)$  is generated by all the continuous seminorms on  $\mathcal{C}_I(X)$ .

Let

$$p: \mathcal{C}_I(X) \rightarrow \mathbf{R}$$

be a continuous seminorm. We construct a seminorm  $\tilde{p}$  which majorizes  $p$  and is more convenient to work with. For a compact set  $K \subset \beta X \setminus X$ , we denote by  $p_K$  the restriction of  $p$  to  $\mathcal{C}(\beta X \setminus K)$ . Clearly

$$p_K: \mathcal{C}_{co}(\beta X \setminus K) \rightarrow \mathbf{R}$$

is continuous. Therefore we can find a compact set  $Q_K \subset \beta X \setminus K$  such that a constant multiple  $\alpha$  of the seminorm

$$s_{Q_K}: \mathcal{C}_{co}(\beta X \setminus K) \rightarrow \mathbf{R},$$

defined by  $s_{Q_K}(f) = \sup_{q \in Q_K} |f(q)|$ , majorizes  $p_K$ . This implies that for any function  $f \in \mathcal{C}(\beta X \setminus K)$ ,

$$\tilde{p}_K(f) = \sup \{p_K(g) : |g| \leq |f| \text{ and } g \in \mathcal{C}(\beta X \setminus K)\}$$

is a real number less than or equal to  $\alpha s_{Q_K}(f)$ . Since for every function  $g \in \mathcal{C}(X)$  the relation  $|g| \leq |f|$  implies that  $g \in \mathcal{C}(\beta X \setminus K)$ , we know that

$$\tilde{p}(f) = \sup \{p(g) : |g| \leq |f| \text{ and } g \in \mathcal{C}(X)\}$$

is identical to  $\tilde{p}_K(f)$ . Of course every function in  $\mathcal{C}(X)$  is an element of  $\mathcal{C}(\beta X \setminus K)$  for some compact  $K \subset \beta X \setminus X$ . It is not difficult to verify that the maps

$$\tilde{p} : \mathcal{C}_I(X) \rightarrow \mathbf{R}$$

and

$$\tilde{p}_K : \mathcal{C}_{\infty}(\beta X \setminus K) \rightarrow \mathbf{R} \text{ for any compact } K \subset \beta X \setminus X,$$

sending each  $f \in \mathcal{C}(X)$  to  $\tilde{p}(f)$  and each  $f \in \mathcal{C}(\beta X \setminus K)$  to  $\tilde{p}_K(f)$  respectively, are seminorms. Since  $\tilde{p}$  restricted to  $\mathcal{C}(\beta X \setminus K)$  is  $\tilde{p}_K$ , we conclude that  $\tilde{p}$  itself is a continuous seminorm. Furthermore,  $\tilde{p}$  has the following properties

$$\tilde{p}(f) = \tilde{p}(|f|) \text{ for all } f \in \mathcal{C}(X)$$

and

$$\tilde{p}(f) \leq \tilde{p}(g) \text{ for all } f, g \in \mathcal{C}(X) \text{ with } |f| \leq |g|.$$

**LEMMA 1.** *The kernel  $P$  of  $\tilde{p}$ , the set of all functions  $f \in \mathcal{C}(X)$  with  $\tilde{p}(f) = 0$ , is a closed ideal in  $\mathcal{C}_I(X)$  consisting of all elements in  $\mathcal{C}(X)$  vanishing on a compact subset of  $X$ .*

*Proof.*  $P$  is clearly a linear subspace of  $\mathcal{C}(X)$ . To show it is an ideal, let  $g \in P$ . For an arbitrary element  $f \in \mathcal{C}(X)$ , we consider

$$((-n \vee f) \wedge n)$$

where  $n$  denotes the function of constant value  $n \in \mathbf{N}$ . Now

$$\tilde{p}(g \cdot ((-n \vee f) \wedge n)) \leq \tilde{p}(g \cdot n) = n \cdot \tilde{p}(g)$$

and hence  $g \cdot ((-n \vee f) \wedge n) \in P$ . The Fréchet filter generated by the sequence

$$(g \cdot ((-n \vee f) \wedge n))_{n \in \mathbf{N}}$$

converges to  $g \cdot f$  in  $\mathcal{C}_I(X)$ . Since  $P$  is obviously closed,  $g \cdot f$  is an element of  $P$ . Thus  $P$  is a closed ideal in  $\mathcal{C}_I(X)$ , and therefore consists of all functions in  $\mathcal{C}(X)$  vanishing on its non-empty null-set  $Q \subset X$  (see theorem 2). It only remains to prove that  $Q$  is compact. We can express  $P$  as the union of the kernels of  $\tilde{p}_K$  for all compact  $K \subset \beta X \setminus X$ .

On the other hand, the kernel  $P_K$  of  $\tilde{p}_K$  contains the kernel  $H_K$  of  $s_{Q_K}$ . Hence we have

$$N_{\beta X \setminus K}(P_K) \subset N_{\beta X \setminus K}(H_K).$$

But  $N_{\beta X \setminus K}(H_K)$  is nothing else but  $Q_K$ . Since  $Q$  is contained in the intersection of the null-sets of  $P_K$ ,

$$Q \subset \bigcap_K Q_K,$$

where  $K$  runs through all compact subsets of  $\beta X \setminus X$ . The fact that  $\bigcap_K Q_K$  is a compact subset of  $X$  implies that  $Q$  is compact.

Next, we will show that  $\tilde{p}$  is majorized by a constant multiple of the supremum seminorm  $s$  over  $Q$ . Let  $f \in \mathcal{C}(X)$ , and consider

$$g = ((-s(\mathbf{f}) \vee f) \wedge s(\mathbf{f})).$$

By the previous lemma, we have

$$\tilde{p}(f - g) = 0.$$

Furthermore,

$$|\tilde{p}(f) - \tilde{p}(g)| \leq \tilde{p}(f - g),$$

and hence  $\tilde{p}(f) = \tilde{p}(g)$ . From the inequality  $|g| \leq s(\mathbf{f})$ , we conclude that

$$\tilde{p}(f) \leq \tilde{p}(s(\mathbf{f})) = s(f) \tilde{p}(1).$$

Therefore we have proved

**THEOREM 4.** *The associated locally convex space of  $\mathcal{C}_I(X)$  is  $\mathcal{C}_{eo}(X)$ .*

The associated locally convex space of  $\mathcal{C}_I(X)$  coincides with the locally convex inductive limit of the family

$$\{\mathcal{C}_{eo}(\beta X \setminus K) : K \text{ is a compact subset of } \beta X \setminus X\}.$$

Thus we may state

**COROLLARY 1.** *The locally convex inductive limit of the family*

$$\{\mathcal{C}_{eo}(\beta X \setminus K) : K \text{ is a compact subset of } \beta X \setminus X\}$$

*is  $\mathcal{C}_{eo}(X)$ .*

For any convergence vector space  $E$  over  $\mathbf{R}$ , its dual  $\mathcal{L}(E)$  is identical with the dual of the associated locally convex space of  $E$ . Therefore

$$\text{COROLLARY 2. } \mathcal{L}(\mathcal{C}_I(X)) = \mathcal{L}(\mathcal{C}_{eo}(X)).$$

### 1.5. Functorial Properties of $\mathcal{C}_I(X)$

Let  $X$  and  $Y$  denote completely regular topological spaces. Every continuous map

$$t: X \rightarrow Y$$

induces a homomorphism

$$t^*: \mathcal{C}_I(Y) \rightarrow \mathcal{C}_I(X),$$

defined by  $t^*(f) = f \circ t$  for every  $f \in \mathcal{C}(Y)$ . To see that  $t^*$  is continuous, we consider the restrictions

$$t_K^*: \mathcal{C}_{co}(\beta Y \setminus K) \rightarrow \mathcal{C}_I(X)$$

where  $t_K^*$  denotes  $t^* \upharpoonright \mathcal{C}(\beta Y \setminus K)$ , and verify that  $t_K^*$  is continuous for every compact set  $K \subset \beta Y \setminus Y$ . To this end, we extend  $t$  to a map

$$\tilde{t}: \beta X \rightarrow \beta Y.$$

For each compact  $K \subset \beta Y \setminus Y$ , we know  $\tilde{t}^{-1}(K)$  is a compact subset of  $\beta X \setminus X$ . Furthermore, for a compact  $K \subset \beta Y \setminus Y$  the map  $t_K^*$  is induced by

$$\tilde{t} \upharpoonright (\beta X \setminus \tilde{t}^{-1}(K)): \beta X \setminus \tilde{t}^{-1}(K) \rightarrow \beta Y \setminus K,$$

which we denote by  $t_K$ . That is,  $t_K^*(f) = f \circ t_K$  for all  $f \in \mathcal{C}(\beta Y \setminus K)$ . Clearly

$$t_K^*: \mathcal{C}_{co}(\beta Y \setminus K) \rightarrow \mathcal{C}_{co}(\beta X \setminus \tilde{t}^{-1}(K))$$

is continuous for every compact  $K \subset \beta Y \setminus Y$ , and therefore  $t^*$  itself is continuous.

On the other hand, let

$$u: \mathcal{C}_I(Y) \rightarrow \mathcal{C}_I(X)$$

be a continuous  $\mathbf{R}$ -algebra homomorphism sending unity to unity. We will now show that  $u$  is of the form  $t^*$  where  $t$  maps  $X$  into  $Y$  continuously. The homomorphism  $u$  induces a continuous map

$$u^*: \mathcal{H}om_s \mathcal{C}_I(X) \rightarrow \mathcal{H}om_s \mathcal{C}_I(Y)$$

defined by  $u^*(h) = h \circ u$  for every  $h \in \mathcal{H}om \mathcal{C}_I(X)$ . The index  $s$  denotes the topology of pointwise convergence. Corollary 2 of theorem 2 implies that the map  $i_Z: Z \rightarrow \mathcal{H}om_s \mathcal{C}_I(Z)$  is a homeomorphism for any completely regular topological space  $Z$ . Thus we have a continuous map  $t$  from  $X$  into  $Y$  defined by  $t = i_Y^{-1} \circ u^* \circ i_X$ . Now it is easy to verify that  $t^*$  is equal to  $u$ .

To summarize these facts, we state

**THEOREM 5.** *A homomorphism*

$$u: \mathcal{C}_I(Y) \rightarrow \mathcal{C}_I(X)$$

*taking unity to unity is continuous if and only if there exists a continuous map  $t: X \rightarrow Y$  such that  $u = t^*$ .*

For maps  $t: X \rightarrow Y$  and  $s: Y \rightarrow Z$  between completely regular topological spaces, we have the obvious identities

$$(s \circ t)^* = t^* \circ s^*$$

and

$$\text{id}_X^* = \text{id}_{\mathcal{C}(X)}.$$

## 1.6. Realcompact Spaces

Let  $X$  be a completely regular topological space. As before, the zero-set  $Z_{\beta X}(f)$  of a function  $f \in \mathcal{C}(\beta X)$  means the set of all points  $p \in \beta X$  where  $f$  vanishes.

Here, we consider the collection

$$(**) \quad \{\mathcal{C}_{co}(\beta X \setminus Z_{\beta X}): Z_{\beta X} \subset \beta X \setminus X \text{ is a zero-set}\}$$

This is a subfamily of the family of all topological algebras  $\mathcal{C}_{co}(\beta X \setminus K)$  for  $K$  a compact subset of  $\beta X \setminus X$ . As in section 1.1, it is clear that the union of all  $\mathcal{C}(\beta X \setminus Z_{\beta X})$  for  $Z_{\beta X}$  a zero-set outside of  $X$  is again  $\mathcal{C}(X)$ . Under the natural ordering (as in section 1.1), the collection  $(**)$  is an inductive system, and we denote the inductive limit of this system by  $\mathcal{C}_{I'}(X)$ .

It is easy to see that  $\mathcal{C}_{I'}(X)$  is actually the finest convergence structure on  $\mathcal{C}(X)$  obtainable as an inductive limit of a subfamily of the family of all  $\mathcal{C}_{co}(\beta X \setminus K)$  for  $K$  a compact subset of  $\beta X \setminus X$ . Of course the identity,

$$(***) \quad \text{id}: \mathcal{C}_{I'}(X) \rightarrow \mathcal{C}_I(X),$$

is continuous. Our main concern in this section is to determine under what conditions this identity is a homeomorphism.

If every compact subset of  $\beta X \setminus X$  is contained in a zero-set in  $\beta X \setminus X$ , then clearly the identity  $(***)$  is a homeomorphism. Conversely, assume that

$$\text{id}: \mathcal{C}_I(X) \rightarrow \mathcal{C}_{I'}(X)$$

is continuous. Therefore we have a continuous injection

$$\text{id}^*: \mathcal{H}om_s \mathcal{C}_{I'}(X) \rightarrow \mathcal{H}om_s \mathcal{C}_I(X),$$

where  $\mathcal{H}om_s \mathcal{C}_{I'}(X)$  denotes the set of all continuous  $\mathbf{R}$ -algebra homomorphism

from  $\mathcal{C}_{I'}(X)$  onto  $\mathbf{R}$  together with the topology of pointwise convergence. For both  $X$  and its Hewitt realcompactification  $vX$  the convergence algebras  $\mathcal{C}_{I'}(X)$  and  $\mathcal{C}_{I'}(vX)$  are identical, since any zero-set contained in  $\beta X \setminus X$  is already contained in  $\beta X \setminus vX$  (see [6], p. 118). Thus

$$\mathcal{H}om_s \mathcal{C}_{I'}(X) = \mathcal{H}om_s \mathcal{C}_{I'}(vX).$$

In view of (I), we conclude that the map

$$i_{vX}: vX \rightarrow \mathcal{H}om_s \mathcal{C}_{I'}(X)$$

is continuous. This tells us that  $\text{id}^* \circ i_{vX}$  maps  $vX$  injectively into  $\mathcal{H}om_s \mathcal{C}_I(X)$ , which is homeomorphic to  $X$ . Hence  $X$  must be realcompact.

To continue our investigation, without loss of generality we can regard  $X$  as a realcompact space. Since by assumption

$$\text{id}: \mathcal{C}_I(X) \rightarrow \mathcal{C}_{I'}(X)$$

is continuous, we know that the inclusion map from  $\mathcal{C}_{\infty}(\beta X \setminus K)$  into  $\mathcal{C}_{I'}(X)$  is continuous for any compact  $K \subset \beta X \setminus X$ . Thus the neighborhood filter of zero in  $\mathcal{C}_{\infty}(\beta X \setminus K)$  has a basis in  $\mathcal{C}_{\infty}(\beta X \setminus Z_{\beta X})$  for some zero-set contained in  $\beta X \setminus X$ . Because every neighborhood of zero in  $\mathcal{C}_{\infty}(\beta X \setminus K)$  is absorbent,  $\mathcal{C}(\beta X \setminus Z_{\beta X}) \supset \mathcal{C}(\beta X \setminus K)$  meaning that  $Z_{\beta X} \supset K$ . To summarize, we have established the following

**THEOREM 6.** *Let  $X$  be a realcompact space.  $\mathcal{C}_I(X)$  is identical to  $\mathcal{C}_{I'}(X)$  if and only if every compact set in  $\beta X \setminus X$  is contained in some zero-set in  $\beta X \setminus X$ .*

We note that in the case of a realcompact locally compact space  $X$ , the convergence algebra  $\mathcal{C}_I(X)$  coincides with  $\mathcal{C}_{I'}(X)$  if and only if  $\beta X \setminus X$  is a zero-set, i.e.,  $X$  is  $\sigma$ -compact.

More generally, assume that  $\mathcal{C}_{I'}(X)$  is topological for a realcompact space  $X$ . By arguing as in section 1.4, we conclude that  $X$  is of the form  $\beta X \setminus Z_{\beta X}$  for some zero-set  $Z_{\beta X}$ . This means that  $X$  is  $\sigma$ -compact and locally compact.

Therefore, we can state

**THEOREM 7.** *Let  $X$  be a realcompact space. The convergence algebra  $\mathcal{C}_{I'}(X)$  is topological if and only if  $X$  is locally compact and  $\sigma$ -compact.*

As an example of a realcompact space  $X$  for which  $\mathcal{C}_I(X)$  and  $\mathcal{C}_{I'}(X)$  do not coincide, consider the reals together with the discrete topology.

### 1.7. Universal Representation of $\mathcal{C}_I(X)$

For a completely regular topological space  $X$ , the homomorphism

$$d: \mathcal{C}_I(X) \rightarrow \mathcal{C}_c(\mathcal{H}om_c \mathcal{C}_I(X)),$$

defined by  $d(f)(h) = h(f)$  for all  $f \in \mathcal{C}(X)$  and all  $h \in \mathcal{H}om \mathcal{C}_I(X)$ , is called the universal representation [2] of  $\mathcal{C}_I(X)$ . The subscript  $c$  indicates the continuous convergence structure (*Limitierung der stetigen Konvergenz* [1]) on the sets  $\mathcal{H}om \mathcal{C}_I(X)$  and  $\mathcal{C}(\mathcal{H}om_c \mathcal{C}_I(X))$ .

We first investigate the continuous convergence structure on  $\mathcal{H}om \mathcal{C}_I(X)$ .

The space  $\mathcal{H}om_c \mathcal{C}_c(X)$  is homeomorphic to  $X$  [3], and thus the continuous convergence structure on  $\mathcal{H}om \mathcal{C}_c(X)$  is the topology of pointwise convergence. Since the evaluation map

$$\omega: \mathcal{C}_I(X) \times X \rightarrow \mathbf{R}$$

(defined by  $\omega(f, p) = f(p)$  for all  $f \in \mathcal{C}(X)$  and all  $p \in X$ ) is continuous, the identity

$$\text{id}: \mathcal{C}_I(X) \rightarrow \mathcal{C}_c(X)$$

is continuous. Furthermore, the sets  $\mathcal{H}om \mathcal{C}_I(X)$  and  $\mathcal{H}om \mathcal{C}_c(X)$  are identical (corollary 2 of theorem 2) which means that

$$\text{id}: \mathcal{H}om_c \mathcal{C}_c(X) \rightarrow \mathcal{H}om_c \mathcal{C}_I(X)$$

is continuous. On the other hand the identity map from  $\mathcal{H}om_c \mathcal{C}_I(X)$  into  $\mathcal{H}om_s \mathcal{C}_I(X)$  is clearly continuous (the subscript  $s$  indicates the topology of pointwise convergence). It follows that

$$\mathcal{H}om_c \mathcal{C}_I(X) = \mathcal{H}om_s \mathcal{C}_I(X),$$

which is homeomorphic to  $X$  via the map  $i_X$  defined earlier. Therefore

$$i_X^*: \mathcal{C}_c(\mathcal{H}om_c \mathcal{C}_I(X)) \rightarrow \mathcal{C}_c(X)$$

is a bicontinuous isomorphism, and of course  $i_X^* \circ d$  is the identity map on  $\mathcal{C}(X)$ .

Our main problem is thus to determine whether  $\mathcal{C}_I(X)$  and  $\mathcal{C}_c(X)$  coincide. So far, we can say the following

**THEOREM 8.** *Let  $X$  be a completely regular topological space. If there is a point  $q$  in  $X$  having a countable base of neighborhoods and no compact neighborhood, then  $\mathcal{C}_c(X)$  cannot be an inductive limit of topological vector spaces over  $\mathbf{R}$ .*

*Proof.* Any inductive limit of topological vector spaces over  $\mathbf{R}$  has the property that for each filter  $\Phi$  converging to zero, there exists a coarser filter  $\Phi'$  convergent to zero with

$$\lambda \cdot \Phi' = \Phi'$$

for every real number  $\lambda$  unequal to zero.

Our aim is to show that under the assumption of the theorem,  $\mathcal{C}_e(X)$  fails to satisfy this condition.

Let  $\{Q_m\}_{m \in \mathbb{N}}$  be a countable collection of open sets in  $X$  that form a base for the neighborhood filter at  $q$ . We define inductively a certain system of nested neighborhoods of  $q$ . Let  $N_1 = X$  and let  $\{O_{1,\alpha}\}$  be an open covering of  $X$  with no finite subcovering. Set

$$U_1 = O_1^q \cap Q_1,$$

where  $O_1^q$  is a member of  $\{O_{1,\alpha}\}$  containing  $q$ . Assume that the closed respectively open neighborhoods  $N_i$  and  $U_i$  are defined. Choose  $N_{i+1}$  to be a closed neighborhood of  $q$  contained in  $U_i$ , and let  $\{O_{i+1,\alpha}\}$  be a covering of  $N_{i+1}$  by open sets in  $X$  having no finite subcovering. We pick  $U_{i+1}$  to be an open neighborhood of  $q$  contained in

$$O_{i+1}^q \cap Q_{i+1} \cap N_{i+1},$$

where  $O_{i+1}^q$  is a member of  $\{O_{i+1,\alpha}\}$  with  $q \in O_{i+1}^q$ . With this system of respectively closed and open neighborhoods of  $q$ ,

$$N_1 \subset U_1 \subset N_2 \subset U_2 \dots,$$

we construct a filter  $\Theta$  that does not satisfy the condition mentioned above. Let

$$T_n = \left\{ f \in \mathcal{C}(X) : f(N_n) \subset \left[ \frac{-1}{n}, \frac{1}{n} \right] \right\}$$

and let

$$T_x = \{ f \in \mathcal{C}(X) : f(W_x) = \{0\} \}$$

for  $x \neq q$ , where we choose  $W_x$  as follows: Since  $x \neq q$ , the point  $x$  lies in  $N_r$  but not in  $N_{r+1}$  for some natural number  $r$ . Let  $W_x$  be a closed neighborhood of  $x$  contained in

$$\bigcap_{j=1}^r O_j^x \cap N_{r+1}$$

where  $O_j^x$  is a member of the covering system  $\{O_{j,\alpha}\}$  containing  $x$ . It is clear that the sets  $\{T_n : n \in \mathbb{N}\}$  and  $\{T_x : x \in X \text{ and } x \neq q\}$  generate a filter  $\Theta$  convergent to zero in  $\mathcal{C}_e(X)$ . Assume that there exists a coarser filter  $\Theta'$  in  $\mathcal{C}_e(X)$  convergent to zero with

$$\lambda \cdot \theta' = \theta'$$

for every real number  $\lambda \neq 0$ . To the interval  $[-1, 1]$ , there is a set  $F' \in \Theta'$  and a neighborhood  $N_k$  of  $q$  such that

$$F'(N_k) = \{ f(p) : f \in F' \text{ and } p \in N_k \}$$

is a subset of  $[-1, 1]$ . For  $\lambda$  equal to  $1/2k$ , we have

$$\frac{1}{2k} F'(N_k) \subset \left[ -\frac{1}{2k}, \frac{1}{2k} \right],$$

and  $(1/2k) F' \in \Theta'$ . Thus  $(1/2k) F'$  contains a finite intersection of elements of the form  $T_n$  and  $T_x$ , say

$$\bigcap_{n \in \tilde{N}} T_n \cap \bigcap_{x \in \tilde{X}} T_x,$$

where  $\tilde{N}$  is a finite subset of  $N$  and  $\tilde{X}$  is a finite subset of  $X \setminus \{q\}$ . Now we claim that

$$N_k \not\subset \bigcup_{x \in \tilde{X}} W_x \cup N_{k+1}.$$

Our construction guarantees that for a fixed  $W_x$ , either  $W_x$  is a subset of the complement of  $N_k$  or  $W_x$  is contained in an element of the open covering  $\{O_{k,\alpha}\}$ . Furthermore,  $N_{k+1}$  is contained in  $O_k^q$ . Since the open covering  $\{O_{k,\alpha}\}$  has no finite sub-covering, the claim is true. Therefore, we can find a function  $g \in \mathcal{C}(X)$  vanishing on  $\bigcup_{x \in X} W_x \cup N_{k+1}$  with  $g$  taking on the value  $1/k$  for some point in  $N_k$  and  $\|g\| \leq 1/k$ . This function is certainly not in  $(1/2k) F'$  but it is in  $\bigcup_{n \in \tilde{N}} T_n \cap \bigcup_{x \in \tilde{X}} T_x$ , and this contradiction establishes the theorem.

## 2.1. Consequences for $\mathcal{C}_c(X)$

In this section, we demonstrate consequences of the theory developed in 1.1 to 1.7 in investigating closed ideals in  $\mathcal{C}_c(Y)$  for a convergence space  $Y$ , and in determining both the associated locally convex topological space of  $\mathcal{C}_c(X)$  and the dual space of  $\mathcal{C}_c(X)$ , where  $X$  is a completely regular topological space. The results we obtain can be found in [4] and [5] respectively; however, the proofs given here are simpler than those provided in [4] and [5].

First, we look at closed ideals in  $\mathcal{C}_c(Y)$ .

Let  $Y$  be an arbitrary convergence space. To this space we associate a completely regular topological space as follows: Any two points  $p, q \in Y$  are said to be equivalent if  $f(p) = f(q)$  for all real-valued continuous functions  $f$ . As usual, the set of all these functions is denoted by  $\mathcal{C}(Y)$ . The quotient set defined by the above equivalence relation is called  $Y'$ . Any function  $f \in \mathcal{C}(Y)$  defines a function

$$f': Y' \rightarrow \mathbf{R}$$

by sending each  $\bar{p} \in Y'$  to  $f(p)$ . The initial topology induced by the family

$$\{f': f \in \mathcal{C}(Y)\}$$

is, of course, completely regular. The set  $Y'$  together with this topology is again denoted by  $Y'$ .

The obvious projection

$$\pi: Y \rightarrow Y'$$

induces an isomorphism (with respect to the usual  $\mathbf{R}$ -algebra structure)

$$\pi^*: \mathcal{C}(Y') \rightarrow \mathcal{C}(Y)$$

defined by  $\pi^*(g) = g \circ \pi$  for all  $g \in \mathcal{C}(Y')$ . This isomorphism is continuous if both algebras carry the continuous convergence structure. Hence for any closed ideal  $J$  in  $\mathcal{C}_c(Y)$  (the algebra  $\mathcal{C}(Y)$  together with the continuous convergence structure), the ideal  $\pi^{*-1}(J) \subset \mathcal{C}_c(Y')$  is closed. Since the identity map,

$$\text{id}: \mathcal{C}_I(Y') \rightarrow \mathcal{C}_c(Y')$$

is continuous, we conclude that  $\pi^{*-1}(J)$  is closed in  $\mathcal{C}_I(Y')$ . Therefore, we know by theorem 2 that it is of the form  $I(N)$  where  $N \subset Y'$  is a closed non-empty subset. It is clear that  $I(\pi^{-1}(N)) = J$ . Since an ideal of the form  $I(M)$  for any non-empty subset of  $Y$  is closed in  $\mathcal{C}_c(Y)$ , we have the following result

**THEOREM 9.** *For any convergence space  $Y$ , an ideal  $J$  in  $\mathcal{C}_c(Y)$  is closed if and only if it is of the form  $I(N_Y(J))$ .*

Another application of the theory developed in chapter 1 is the following theorem

**THEOREM 10.** *Let  $X$  be a completely regular topological space. The associated locally convex space of  $\mathcal{C}_c(X)$  is  $\mathcal{C}_{co}(X)$ .*

*Proof.* Clearly the identity from  $\mathcal{C}_c(X)$  into the locally convex topological vector space  $\mathcal{C}_{co}(X)$  is continuous. Since

$$\text{id}: \mathcal{C}_I(X) \rightarrow \mathcal{C}_c(X)$$

is also continuous, in view of theorem 4 the proof is complete.

By reasoning as in the proof of the last theorem, we obtain

**THEOREM 11.** *For any completely regular space  $X$  the spaces  $\mathcal{L}(\mathcal{C}_I(X))$ ,  $\mathcal{L}(\mathcal{C}_c(X))$ , and  $\mathcal{L}(\mathcal{C}_{co}(X))$  are identical.*

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