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Fibrations and Cocategory¹)

by Tudor Ganea, Bucharest

1. Introduction and results

In a previous paper [3], I have defined a based homotopy type invariant, the cocategory, which appears as dual to Lusternik-Schnirelmann category within the framework of the Eckmann-Hilton [1] duality in homotopy theory. The cocategory, cocat X, of an arbitrary topological space X with base-point is the (possibly infinite) strictly positive integer given by the following inductive

Definition 1.1. cocat X = 1 if and only if X is contractible; cocat $X \le n + 1$ whenever there exists a fibration $Q \to Y \to B$ such that the fibre Q dominates X and cocat $Y \le n$. If the phrase cocat $X \le n$ is false for all $n \ge 1$, we put cocat $X = \infty$.

Evidently, cocat X = n will mean that cocat $X \le n$ is true but cocat $X \le n - 1$ is false. It is assumed that all homotopies involved in 1.1 keep base-points fixed; the precise sense of the word fibration is explained in the next section.

In the ECKMANN-HILTON setting, fibrations are dual to cofibrations, i. e. sequences $Q \leftarrow Y \leftarrow B$ in which the first arrow results by pinching to a point the image of B in Y, while the second has the lowering homotopy property [5; p. 14]. It follows that cocategory is indeed dual to category since, provided X has the based homotopy type of a connected CW-complex, cat X is equal to the invariant obtained by reversing the arrows and replacing in 1.1 the words *fibration* and *fibre* by *cofibration* and *cofibre* respectively [3; Th. 1.9]. The following relations between cocat X and standard homotopy invariants of X have been established:

- 1.2. If X is a 1-connected CW-complex with only n non-trivial Postnikov invariants k^{q+2} , then cocat $X \leq n+2$; in particular, if the 1-connected CW-complex X has only n non-trivial homotopy groups, then cocat $X \leq n+1$ [3; Th. 2.10 and Cor. 2.11].
- 1.3. If X has a non-trivial n-fold Whitehead product, then cocat $X \ge n+1$ [3; Cor. 2.13].

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Also, for every $n \ge 1$ there exists a connected CW-complex X such that cocat X = n [3; Remark 2.16].

The purpose of this paper is to present two further results.

Theorem 1.4. If X is a (p-1)-connected $(p \ge 2)$ CW-complex such that $\pi_q(X) = 0$ for $q \ge r+1$, then $\operatorname{cocat} X \le [(r-1)/(p-1)] + 1$.

Here [a/b] stands for the largest integer $\leq a/b$. Theorem 1.4 dualizes a previous result by D. P. Grossman [4] according to which cat $X \leq [r/p] + 1$ if X is a (p-1)-connected complex of dimension $\leq r$. In fact, Grossman's result may be restated for a 1-connected complex X such that $H^q(X; G) \neq 0$ only if $p \leq q \leq r$. The slight difference between the numerical estimation given in 1.4 and that of the Grossman theorem agrees with the relations

 $\dim[\alpha,\beta] = \dim \alpha + \dim \beta - 1$ and $\dim u \circ v = \dim u + \dim v$,

involving Whitehead and cup products which are dual to each other. The proof of 1.4 is based on the extension, given in the next section, of a well known result concerning fibrations with a $K(\pi, n)$ as fibre.

Our next result refers to the cocategory of (n-1)-connective spaces (X, n) over X, and to that of spaces (n, X) obtained by attaching cells to X so as to kill its homotopy groups in dimensions $\geq n$. When X is a CW-complex we shall assume, as we may, that both (X, n) and (n, X) have the based homotopy type of CW-complexes, and state

Theorem 1.5. Let X be a connected CW-complex. Then, for all $n \ge 1$, $cocat(X, n) \le cocat(X)$ and $cocat(n, X) \le cocat(X)$.

For n=2 we have the

Corollary 1.6. The simply connected covering space \tilde{X} of a connected CW-complex X satisfies cocat $\tilde{X} \leq \operatorname{cocat} X$.

2. A lemma on induced fibrations

All spaces, maps, and homotopies hereafter are assumed to possess, preserve, or keep fixed a base-point, generally denoted by *. A sequence $\mathcal{F}: Q \xrightarrow{\eta} Y \xrightarrow{\beta} B$ of spaces and maps is a fibration with fibre $Q = \beta^{-1}(*)$ and inclusion map η if for any space E, any homotopy $h_t: E \to B$ and any map $k: E \to Y$ satisfying $\beta \circ k = h_0$, there is a homotopy $H_t: E \to Y$ such that $H_0 = k$ and $\beta \circ H_t = h_t$. We do not require that β be onto. The space of paths in B emanating from the base-point is denoted by EB, the loop-space by ΩB . Consider the fibration $\mathcal F$ above, and let $\Phi: C \to B$

be a map; the sequence $Q \xrightarrow{\zeta} Z \xrightarrow{\gamma} C$ in which

$$Z = \{(c, y) \mid \Phi(c) = \beta(y)\} \subset C \times Y, \quad *_Z = (*_C, *_Y),$$
 $\zeta(q) = (*_C, \eta(q)) \quad \text{and} \quad \gamma(c, y) = c,$

is the familiar fibration induced by $\mathcal F$ via Φ . Suppose the rows in the diagram

$$Q_{1} \xrightarrow{\eta_{1}} Y_{1} \xrightarrow{\beta_{1}} B_{1}$$

$$\downarrow h \qquad \downarrow g \qquad \downarrow f$$

$$Q_{2} \xrightarrow{\eta_{2}} Y_{2} \xrightarrow{\beta_{2}} B_{2}$$

are fibrations. The first is algebraically equivalent to the second if there are singular homotopy equivalences f, g, h such that each square commutes. A map $f: X \to Y$ is a singular homotopy equivalence if $f_q: \pi_q(X, x) \to \pi_q(Y, f(x))$ is isomorphic for all $q \ge 0$ and all $x \in X$; if X and Y are 0-connected, it suffices to take x = *. We shall often use the geometric realization |S(X)| of the singular complex of an arbitrary space X and the canonical map $j_X: |S(X)| \to X$ which induces homotopy and homology isomorphisms in all dimensions [7].

Lemma 2.1. Let $\mathcal{F}: Q \xrightarrow{\eta} Y \xrightarrow{\beta} B$ be a fibration with Y and B both having the based homotopy type of a CW-complex. Suppose that B is (m-1)-connected and that $\pi_q(Q) \neq 0$ only if $n \leq q \leq n+m-2$, where $m \geq 2$ and $n \geq 1$. Suppose further that there exists a singular homotopy equivalence $\theta: Q \to \Omega W$, where W is a 1-connected space. Then, there exists a map $\Phi: B \to W$ such that \mathcal{F} is algebraically equivalent to the fibration induced by $\mathcal{G}: \Omega W \xrightarrow{i} EW \xrightarrow{p} W$ via Φ .

Proof. Introduce the diagram

$$Q \stackrel{j_{Q}}{\longleftarrow} |S(Q)| \stackrel{f}{\longrightarrow} \Omega W$$

$$\eta \downarrow |\eta| \downarrow \qquad \qquad \downarrow i$$

$$Y \stackrel{j_{Y}}{\longleftarrow} |S(Y)| \stackrel{g}{\longrightarrow} EW$$

$$\downarrow \beta \downarrow \qquad \qquad \downarrow S(Y) |/|S(Q)| \qquad \downarrow p$$

$$B \stackrel{j_{B}}{\longleftarrow} |S(B)| \stackrel{\varepsilon}{\longleftarrow} C(\gamma) \stackrel{W}{\longrightarrow} W$$

$$(1)$$

The maps $|\eta|$ and $|\beta|$ are induced by η and β respectively, and the two squares on the left commute; |S(Q)| is a subcomplex of |S(Y)| and $|\eta|$ is the inclusion map. Since |S(*)| = * and $\beta \circ \eta(Q) = *$, we have

$$|\beta| \circ |\eta| (|S(Q)|) = *.$$

Let |S(Y)|/|S(Q)| and φ result by pinching the subset |S(Q)| of |S(Y)| to a point, which will serve as base-point in |S(Y)|/|S(Q)|. It follows from (2) that $\gamma = |\beta| \circ \varphi^{-1}$ is single-valued, and hence continuous. According to [11; § 8], the space |S(Y)|/|S(Q)| may be given a CW-structure and φ is easily seen to be cellular; therefore, its reduced mapping cylinder $C(\gamma)$ is a CW-complex in which |S(Y)|/|S(Q)| and |S(B)| are embedded as subcomplexes by means of the maps ε and k respectively. The standard retraction ϱ of $C(\gamma)$ onto |S(B)| satisfies the relation $\varrho \circ \varepsilon = \gamma$. Let $f = \theta \circ j\varrho$. Since the CW-pair (|S(Y)|, |S(Q)|) has the homotopy extension property and since EW is contractible, there exists a map g such that

$$g \circ |\eta| = i \circ f. \tag{3}$$

Finally, since $p \circ i(\Omega W) = *$, (3) implies that $\psi = p \circ g \circ \varphi^{-1}$ is single-valued, and hence continuous.

We now prove that there is a map h such that

$$h \circ \varepsilon = \psi$$
.

Since ε is an inclusion map, this amounts to extending ψ over the complex $C(\gamma)$. The diagram

$$H_{q}(Y,Q) \stackrel{j_{*}}{\longleftarrow} H_{q}(|S(Y)|,|S(Q)|) \stackrel{\varphi_{*}}{\longrightarrow} H_{q}(|S(Y)|/|S(Q)|,*)$$

$$\downarrow \beta_{*} \qquad \qquad \downarrow |\beta|_{*} \qquad \qquad \downarrow \varepsilon_{*} \qquad \qquad \downarrow \varepsilon_{*}$$

$$H_{q}(B,*) \stackrel{(j_{B})_{*}}{\longleftarrow} H_{q}(|S(B)|,*) \stackrel{\varrho_{*}}{\longleftarrow} H_{q}(C(\gamma),*)$$

$$(4)$$

in which j_* is induced by the map of pairs defined by j_Y , is obviously commutative. Consideration of the upper left square in (1) and the five lemma show j_* isomorphic in all dimensions; excision in the CW-pair (|S(Y)|, |S(Q)|) implies that so is also φ_* , while $(j_B)_*$ and ϱ_* are standard isomorphisms. Since $\pi_q(Q) = 0$ for q < n and $\pi_q(B) = 0$ for q < m, a well known result by Serre [9; p. 469] implies that β_* , whence $|\beta|_*$ and ε_* , are monomorphic for $q \le n + m - 1$ and epimorphic for

 $q \leq n + m$. Passing to cohomology, the universal coefficient theorem yields

$$H^{q+1}(C(\gamma), |S(Y)|/|S(Q)|; G) = 0$$
 for all $q \le n + m - 1$ (5)

and all coefficient groups G. Since $\pi_q(\Omega W) \approx \pi_q(Q)$, we also have

$$\pi_q(W) = 0 \quad \text{for all} \quad q \ge n + m \; .$$
 (6)

From (5) and (6) we finally obtain

$$H^{q+1}(C(\gamma), \mid S(Y) \mid / \mid S(Q) \mid ; \pi_q(W)) = 0 \quad \text{for all} \quad q \ge 0 \; ,$$

and a standard obstruction argument now yields the desired map h.

Since Y and B have the based homotopy type of a CW-complex, there exist homotopy inverses e_Y and e_B of j_Y and j_B respectively. Select a homotopy

$$b_t: |S(B)| \rightarrow |S(B)|$$
 with $b_0 = \mathrm{id}$, $b_1 = e_B \circ j_B$, $b_t(*) = *$.

Notice next that there is a homotopy

$$k_t: |S(Y)|/|S(Q)| \to C(\gamma)$$
 with $k_0 = \varepsilon$, $k_1 = k \circ \gamma$, $k_t(*) = *$.

Define a homotopy $H_t: |S(Y)| \to W$ by

$$\begin{split} H_t(y) &= h \circ k_{2t} \circ \varphi(y) & \text{if } 0 \leq t \leq \frac{1}{2}, \\ &= h \circ k \circ b_{2t-1} \circ |\beta| (y) & \text{if } \frac{1}{2} \leq t \leq 1. \end{split}$$

Taking (2) into account, we obtain

$$H_t(\mid S(Q)\mid) = * = p \circ g(\mid S(Q)\mid)$$
 and $H_0(y) = p \circ g(y)$.

Therefore, by [6], there is a map $g_1: |S(Y)| \to EW$ such that

$$g_1 \circ |\eta| = g \circ |\eta|$$
 and $h \circ k \circ e_B \circ j_B \circ |\beta| = p \circ g_1$ (7)

Let $\Phi = h \circ k \circ e_B$ and let $\mathcal{H}: \Omega W \xrightarrow{\zeta} Z \xrightarrow{\lambda} B$ be the fibration induced by \mathcal{G} via Φ . According to (7), a map $d: |S(Y)| \to Z$, satisfying

$$d \circ |\eta| = \zeta \circ f \quad \text{and} \quad j_B \circ |\beta| = \lambda \circ d$$
, (8)

is defined by setting $d(y) = (j_B \circ |\beta| (y), g_1(y))$. In the sequence

$$\pi_q(|S(Y)|, |S(Q)|) \xrightarrow{j_q} \pi_q(Y, Q) \xrightarrow{\beta_q} \pi_q(B, *) \xleftarrow{\lambda_q} \pi_q(Z, \Omega W),$$

where j_q is induced by the map of pairs defined by j_T , the first arrow, as in (4), is isomorphic for all $q \ge 1$; since \mathcal{F} and \mathcal{H} are fibrations, so are also β_q and λ_q . Therefore, (8) and commutativity on the left in (1) imply that the map of pairs defined by d induces isomorphisms

$$\pi_q(\mid S(Y)\mid,\mid S(Q)\mid) \approx \pi_q(Z,\Omega W)$$

in all dimensions. Since $f = \theta \circ j_Q$ is a singular homotopy equivalence, the first of the relations (8) and the five lemma now imply that d also is a singular homotopy equivalence. As easily seen, the map $\lambda \circ d \circ e_Y : Y \to B$ is homotopic to β . Since \mathcal{H} is a fibration, the covering homotopy theorem yields a map $D: Y \to Z$, which is homotopic to $d \circ e_Y$, and satisfies $\lambda \circ D = \beta$; let $F: Q \to \Omega W$ be the map defined by D. Like $d \circ e_Y$, D is a singular homotopy equivalence; the five lemma implies that so is also F, and the required algebraic equivalence is now provided by the maps id_B , D, F.

Remark 2.2. Letting m=2 in 2.1 we recover the well known result concerning fibrations with a $K(\pi, n)$ as fibre (see for instance [5; Th. 7.1, p. 43]). Lemma 2.1 has a dual concerning induced cofibrations.

3. Proof of Theorem 1.4

It is well known that any (n-1)-connected CW-complex of dimension < 2n has the homotopy type of a suspension. Dually, we have

Lemma 3.1. Let X be an arbitrary space and let $n \geq 2$. If X is (n-1)-connected and $\pi_q(X) = 0$ for $q \geq 2n-1$, then there exists a 1-connected space W and a singular homotopy equivalence $X \to \Omega W$.

Proof. The space W is obtained by attaching cells to the reduced suspension ΣX so as to kill its homotopy groups in dimensions $\geq 2n$. Let $\sigma: \Sigma X \to W$ denote the inclusion map and consider the sequence

$$X \stackrel{e}{\to} \Omega \Sigma X \stackrel{\Omega \sigma}{\to} \Omega W$$
,

in which e is the natural embedding. Evidently, $\Omega \sigma$ induces isomorphisms of homotopy groups in dimensions $\leq 2n-2$; by the Freudenthal theorem (see for instance [8; p. 05]), so does also e. Finally, for $q \geq 2n-1$ we have $\pi_q(X) = \pi_q(\Omega W) = 0$.

Proof of 1.4. The result is obvious if $1 \le r \le p-1$ since X then is contractible. Suppose $r \ge p$ and let X be an arbitrary (p-1)-connected

CW-complex such that $\pi_q(X) = 0$ for $q \ge r + 1$. Let the CW-complex B result by attaching cells to X so as to kill its homotopy groups in dimensions $\ge r - p + 2$. Replace the inclusion map $X \to B$ by a homotopy equivalent fibre map to obtain a fibration $\mathcal{F}: Q \to Y \to B$ such that

$$Y$$
 has the homotopy type of X , (9)

$$\pi_q(B) \neq 0 \quad \text{only if} \quad p \leq q \leq r - p + 1,$$
(10)

$$\pi_q(Q) \neq 0$$
 only if $\max(p, r - p + 2) \leq q \leq r$. (11)

Since r - p + 1 < r, we may assume as an induction hypothesis that (10) implies

$$\operatorname{cocat} B \le [(r-p)/(p-1)] + 1. \tag{12}$$

It follows from (10), (11), 3.1, and Lemma 2.1 that there is a 1-connected space W and a map $\Phi: B \to W$ such that \mathcal{F} is algebraically equivalent to the fibration $\Omega W \to Z \to B$ induced by $\Omega W \to EW \to W$ via Φ . Therefore, Y has the homotopy type of the singular polytope of Z. By (9), [3; Prop. 2.8 and 2.9], and (12) we finally obtain

$$\operatorname{cocat}\, X = \operatorname{cocat}\, |\, S(Z)\, |\, \leq \operatorname{cocat}\, Z \leq \operatorname{cocat}\, B + 1 \leq [(r-1)/(p-1)] + 1\;.$$

4. Proof of Theorem 1.5

For any 0-connected space X and any $n \ge 1$ there is a space (X, n) and a map $p:(X,n) \to X$ such that $\pi_q(X,n) = 0$ if q < n and $p_q:$ $\pi_q(X,n) \approx \pi_q(X)$ if $q \ge n$. Similarly, there is a space (n,X) and a map $j:X \to (n,X)$ such that $\pi_q(n,X) = 0$ if $q \ge n$ and $j_q:\pi_q(X) \approx \pi_q(n,X)$ if q < n. When X has the homotopy type of a CW-complex, we shall assume, as we may, that the same holds for both (X,n) and (n,X); their homotopy type is then uniquely determined by that of X and n.

Proof of 1.5. If cocat X = 1, then X is contractible and so are both (X, n) and (n, X). Suppose 1.5 is true for any connected CW-complex of cocategory $\leq m$ and suppose cocat X = m + 1. Let $Q \stackrel{\eta}{\to} Y \stackrel{\beta}{\to} B$ be a fibration such that Q dominates X and cocat Y = m.

Let $R \stackrel{\varphi}{\to} Z \stackrel{\psi}{\to} |S(B)|_0$ denote the fibration obtained by replacing the map $|\beta|_0 : |S(Y)|_0 \to |S(B)|_0$ by a homotopy equivalent fibre map; the subscript 0 indicates restriction to the path-component of the base-point.

As easily seen, there is a map $r: R \to Q$ which, by the five lemma, induces isomorphisms $r_q: \pi_q(R) \approx \pi_q(Q)$ for all $q \ge 1$. (13)

Let C be a connected covering space of $|S(B)|_0$ such that $\pi_1(C)$ maps isomorphically onto the subgroup $\psi_1\pi_1(Z)$ of $\pi_1(|S(B)|_0)$ under the projection $f:C\to |S(B)|_0$. Since Z has the homotopy type of a connected CW-complex, the monodromy principle yields a map $g:Z\to C$ such that $f\circ g=\psi$. Let $T\stackrel{\varepsilon}{\to}W\stackrel{\gamma}{\to}C$ be the fibration obtained by replacing g by a homotopy equivalent fibre map. As above, there is a map $t:T\to R$ which, since f_1 is monomorphic, induces isomorphisms

$$t_q: \pi_q(T) \approx \pi_q(R) \quad \text{for all} \quad q \ge 1.$$
 (14)

For the same reason and since $f_1\pi_1(C) = \psi_1\pi_1(Z)$, the homomorphism $\psi_1:\pi_1(W)\to\pi_1(C)$ is onto. Therefore, in $\pi_n(C)$ the subgroup

$$\gamma_n \pi_n(W)$$
 is closed under the operations of $\pi_1(C)$. (15)

Introduce the diagram

$$U \xrightarrow{\sigma} (W, n) \xrightarrow{\lambda} D$$

$$\downarrow h \qquad \downarrow p \qquad \downarrow d$$

$$T \xrightarrow{\varepsilon} W \xrightarrow{\gamma} C$$

$$\downarrow k \qquad \downarrow j \qquad \downarrow e$$

$$V \xrightarrow{\tau} (n, W) \xrightarrow{\mu} E$$

The space E and the inclusion map e are obtained by attaching cells to C in such a way that

$$e_a: \pi_a(C) \approx \pi_a(E)$$
 if $q < n$,

the sequence

$$\pi_n(W) \stackrel{\gamma_n}{\to} \pi_n(C) \stackrel{e_n}{\to} \pi_n(E) \to 0$$

be exact, and $\pi_q(E) = 0$ if q > n; according to [10; Th. 2.10.1] this is possible in view of (15). The space D and the map d are selected so that $\pi_q(D) = 0$ if q < n,

$$d_n: \pi_n(D) \approx \gamma_n \pi_n(W)$$
 and $d_g: \pi_g(D) \approx \pi_g(C)$

if q > n. Since W has the homotopy type of a connected CW-complex, so have, by assumption, (W, n) and (n, W), and standard arguments now

yield maps λ and μ for which the two squares on the right are homotopy commutative. Without altering the homotopy types of (W, n) and (n, W), we may assume that λ and μ are fibre maps with U and V as fibres; the inclusion maps are denoted by σ and τ . Next, by means of the covering homotopy theorem, we may readjust the maps p and j within their own homotopy classes so as to obtain totally commutative squares on the right. Suppose this is so and let h and k be the maps defined by p and p respectively. Passing to homotopy groups, application of the five lemma, in the form given in [2; p, 16], to the resulting ladder yields

$$\pi_{\sigma}(U) = 0$$
 if $q < n$, $h_{\sigma}: \pi_{\sigma}(U) \approx \pi_{\sigma}(T)$ if $q \ge n$, (16)

$$\pi_q(V) = 0$$
 if $q \ge n$, $k_q: \pi_q(T) \approx \pi_q(V)$ if $q < n$. (17)

Since X is a connected CW-complex which is dominated by Q, X is also dominated by $|S(Q)|_0$. Since γ_1 is onto and W is 0-connected, T is 0-connected and, by (13) and (14), $|S(Q)|_0$ has the homotopy type of |S(T)|. It follows from (16) that (|S(T)|, n) has the homotopy type of |S(U)|, while (17) implies that (n, |S(T)|) has the homotopy type of |S(V)|. Since (X, n) and (n, X) have the homotopy type of CW-complexes, it follows easily that (X, n) is dominated by |S(U)|, and (n, X) by |S(V)|.

Since W, like Z, has the homotopy type of $|S(Y)|_0$, and since the component of the base-point in a CW-complex is a retract of the complex, by [3; Prop. 2.8] we have

$$\operatorname{cocat} W = \operatorname{cocat} |S(Y)|_{0} \leq \operatorname{cocat} |S(Y)| \leq \operatorname{cocat} Y = m.$$

Since W has the homotopy type of a connected CW-complex, the induction hypothesis now implies that $\operatorname{cocat}(W, n) \leq m$ and $\operatorname{cocat}(n, W) \leq m$. By [3; Prop. 2.8] and 1.1 we obtain

 $\operatorname{cocat} \mid S(U) \mid \leq \operatorname{cocat} U \leq m+1 \;, \quad \operatorname{cocat} \mid S(V) \mid \leq \operatorname{cocat} V \leq m+1 \;,$ and this clearly implies the desired result.

Appendix

(Added in proof)

The inductive arguments used in the proof of 1.4 are easily seen to yield the following more general result:

Let X be a (p-1)-connected CW-complex, $p \ge 2$. If the set of all integers q for which $\pi_q(X) \ne 0$ is contained in the union of k closed linear intervals, each of length p-2, then $\cos X \le k+1$.

We allow the linear intervals to be degenerate, i.e. to have length 0. The second part of 1.2 now follows as the set $\{q_1, \ldots, q_n\}$ is contained in the intervals $[q_j, q_j], j = 1, \ldots, n$; Theorem 1.4 follows upon noticing that the integers between p and r are all contained in the intervals

$$[j(p-1)+1,j(p-1)+p-1], j=1,\ldots, \left[\frac{r-1}{p-1}\right].$$

Also, the author wishes to acknowledge that a result equivalent to Lemma 2.1 above has been obtained independently and with a different proof by P. J. Hilton as Theorem 3 in his paper "Excision and principal fibrations", Comment. Math. Helv. 35 (1961).

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