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# Homotopy mod. $C$ of Spaces of Category 2<sup>1)</sup>

by ISRAEL BERSTEIN, Bucharest

The known result of HOPF concerning the cohomology structure of  $H$ -spaces may now be restated as follows. An  $H$ -space, i.e. a space with a continuous multiplication with unit, has over a field  $k$  of characteristic 0 the same cohomology ring as a product of spaces of type  $(\pi, n)$ . Denoting by  $C$  the class of finite groups, THOM [7] has shown more, namely that an  $H$ -space is equivalent mod.  $C$  to a product of spaces of type  $(\pi, n)$ . On the other hand, in the theory of ECKMANN-HILTON [1], the dual of an  $H$ -space is a space of LUSTERNIK-SCHNIRELMANN category  $\leq 2$ . For such spaces we are proving here the dual of the above result of THOM: any finite simply connected  $CW$ -complex of category  $\leq 2$  is equivalent mod.  $C$  to an union of spheres with a single common point (here and throughout the paper,  $C$  denotes the class of finite groups). The precise result in a slightly more general form is stated in Theorem 2.2.

## 1. Preliminary lemmas

Let

$$\varphi_k : \pi_r(S^n) \rightarrow \pi_r(S^n)$$

be defined by left composition with a map  $S^n \rightarrow S^n$  of degree  $k$ , i.e.  $\varphi_k(\gamma) = k\iota \circ \gamma$  for any  $\gamma \in \pi_r(S^n)$  ( $\iota \in \pi_n(S^n)$ ) is the class of the identity. Then we have for  $n$  even [3]

$$\varphi_k(\gamma) = k\gamma + \frac{k(k-1)}{2} [\iota, \iota] \circ H_0(\gamma) + \frac{(k+1)k(k-1)}{3} [\iota, [\iota, \iota]] \circ H_1(\gamma) \quad (1)$$

where  $H_0$  and  $H_1$  are the generalized HOPF invariants of HILTON. As a consequence of (1)

**1.1.**  $q\gamma = 0$ ,  $\gamma \in \pi_r(S^n)$  implies  $\varphi_{2q}(\gamma) = 0$  (for  $n$  odd it was shown by SERRE that already  $\varphi_{2q}(\gamma) = 0$ ).

Let  $n$  be even and let  $\pi_{2n-1}(S^n) = Z' + G$ , where  $Z'$  is infinite cyclic, generated by  $\alpha$  and  $G$  is finite of order  $g$ . Denote by  $d$  the classical HOPF invariant of  $\alpha$ , i.e.  $H_0(\alpha) = d\iota_{2n-1}$ , where  $\iota_{2n-1}$  generates  $\pi_{2n-1}(S^{2n-1})$ . Let  $[\iota, \iota] = s\alpha + \beta$ ,  $\beta \in G$ . An easy computation, based on (1), shows that for any  $\gamma \in \pi_{2n-1}(S^n)$

**1.2.**  $\varphi_{2mg}(\gamma) = N_0 m\gamma$  where  $N_0 = 2g + gsd(2mg - 1)$ . Moreover,

**1.3.** if  $\gamma = r\alpha + \delta$ ,  $\delta \in G$  then  $\varphi_{2mg}(\gamma) = N_0 r m\alpha$ .

**1.4. Lemma.** Let  $K$  be a  $CW$ -complex,  $n$  an even integer and

$$f : K^{2n-1} \rightarrow S^n$$

<sup>1)</sup> The result of this paper was presented to the International Colloquium on Differential Geometry and Topology, Zurich, June 1960 (in absence of the author, by Professor HILTON).

a map such that  $f^*(u) = h \in H^n(K, Z)$  where  $u \in H^n(S^n, Z)$  is the fundamental class. If the cup-square  $h \smile h \in H^{2n}(K, Z)$  is an element of finite order, then there exists a map  $\varphi: S^n \rightarrow S^n$  of degree  $s \neq 0$  such that  $\varphi \circ f/K^{2n-2}$  is extendable over  $K^{2n}$ .

*Proof.* Without loss of generality we may assume, for the sake of convenience, that  $\dim K = 2n$ . Attach to  $S^n$  a  $2n$ -cell with characteristic map in class  $\alpha$ ; let  $Y$  be the resulting space. Let  $c^{2n}(f) \in C^{2n}(K, \pi_{2n-1}(S^n))$  be the obstruction to the extension of  $f$ . By 1.3, if  $\psi: S^n \rightarrow S^n$  has degree  $2g$ , the obstruction  $c^{2n}(\psi \circ f)$  takes on each cell a value which is a multiple of  $\alpha$ . Therefore, denoting by  $i: S^n \rightarrow Y$  the inclusion,  $i \circ \psi \circ f$  can be extended to a map

$$F: K \rightarrow Y.$$

If  $u' \in H^n(Y, Z)$  is the fundamental class, then  $u' \smile u' = -da$ , where  $a$  is the fundamental class of  $H^{2n}(Y, Z) \approx Z$  and  $d$  is the HOPF invariant of  $\alpha$ .

Let  $j: Z \rightarrow \pi_{2n-1}(S^n)$  map  $Z$  onto  $Z'$  ( $j(1) = \alpha$ ); it induces homomorphisms

$$\begin{aligned} j_*: H^{2n}(K, Z) &\rightarrow H^{2n}(K, \pi_{2n-1}(S^n)), \\ j_*: H^{2n}(Y, Z) &\rightarrow H^{2n}(Y, \pi_{2n-1}(S^n)). \end{aligned}$$

It is easy to check that

$$F^*(j_*(a)) = \gamma^{2n}(\psi \circ f)$$

where  $\gamma^{2n}(\psi \circ f)$  is the cohomology class of  $c^{2n}(\psi \circ f)$ . We further have

$$\begin{aligned} d \cdot \gamma^{2n}(\psi \circ f) &= d \cdot F^*(j_*(a)) = j_*(F^*(d \cdot a)) = -j_*(F^*(u' \smile u')) = \\ &= -j_*(2gh \smile 2gh) = -4g^2 \cdot j_*(h \smile h). \end{aligned}$$

This proves that  $\gamma^{2n}(\psi \circ f)$  is an element of finite order, say  $m$ . Let  $\chi: S^n \rightarrow S^n$  be a map of degree  $2mg$ . Then 1.2 immediately yields

$$c^{2n}(\chi \circ \psi \circ f) = N_0 m c^{2n}(\psi \circ f)$$

and

$$\gamma^{2n}(\chi \circ \psi \circ f) = N_0 m \gamma^{2n}(\psi \circ f) = 0.$$

This proves the assertion of the lemma.

**1.5. Proposition.** *Let  $K$  be a  $q$ -dimensional ( $q < \infty$ ) CW-complex,  $n$  an integer and  $h$  an arbitrary element of  $H^n(K, Z)$ , such that the cup-square  $h \smile h$  is an element of finite order. There exist an integer  $N > 0$  and a map  $f: K \rightarrow S^n$  such that  $f^*(u) = Nh$ , where  $u$  is the fundamental class of  $H^n(S^n, Z)$ .*

This proposition was conjectured by SERRE and proved by him for  $n$  odd [5, ch. V, Prop. 2]. For  $n$  even the proof is practically the same but uses 1.1 and 1.4.

*Remark.* In view of 1.5 and of [6, II, 2] we may add to [6, II, 4] the following result:

Let  $V^n$  be an orientable closed differentiable  $n$ -manifold,  $k$  an even number and  $z$  a class in  $H_{n-k}(V^n, \mathbb{Z})$ , whose selfintersection is a class of finite order. Then there exists an integer  $N > 0$  such that the class  $Nz$  can be realized by means of a submanifold whose normal fibre bundle is trivial.

## 2. The main theorem

The base point of any space will be denoted by  $*$ . For any spaces  $X_1, \dots, X_m$ .  $X_1 \vee \dots \vee X_m$  denotes their union with a single common point  $*$ . There are obvious retractions  $r_j: X_1 \vee \dots \vee X_m \rightarrow X_j$  mapping  $X_1, \dots, X_{j-1}, X_{j+1}, \dots, X_m$  onto  $*$ . If  $\varphi_j: X_j \rightarrow Y_j$  are maps, there is a map

$$\varphi_1 \vee \dots \vee \varphi_m: X_1 \vee \dots \vee X_m \rightarrow Y_1 \vee \dots \vee Y_m$$

defined in the obvious way.

In this paper we consider only spaces which have the homotopy type of connected  $CW$ -complexes. For such a space, the two following definitions of LUSTERNIK-SCHNIRELMANN category  $\leq 2$  are equivalent (compare [8, p. 94]).

A)  $\text{cat } X \leq 2$  if and only if  $X = A_1 \cup A_2$  where  $A_1$  and  $A_2$  are open and contractible in  $X$ .

B)  $\text{cat } X \leq 2$  if and only if there exists a map

$$\Phi: X \rightarrow X \vee X$$

such that  $r_j \circ \Phi \simeq \theta_X: X \rightarrow X$  ( $j = 1, 2$ ) where  $\theta_X$  is the identity map of  $X$  and the homotopies are rel.  $*$ .

If  $\text{cat } X \leq 2$  define

$$\Phi_m: X \rightarrow \underbrace{X \vee \dots \vee X}_{m\text{-fold}} \quad (2)$$

by

$$\Phi_2 = \Phi, \quad \Phi_m = \underbrace{(\theta_X \vee \dots \vee \theta_X \vee \Phi)}_{(m-2)\text{-fold}} \circ \Phi_{m-1}. \quad (2')$$

It follows readily that

$$2.1. \quad r_j \circ \Phi_m \simeq \theta_X, \quad j = 1, \dots, m.$$

**2.2. Theorem.** *Let  $K$  be a connected and simply connected  $CW$ -complex whose homology groups are finitely generated in each dimension and let  $\mathcal{C}$  be the class of finite groups. If  $\text{cat } K \leq 2$ , there exists for any integer  $r > 1$  a map*

$$f: K^{r+1} \rightarrow L$$

where  $L$  is an union of spheres, such that

$$f_*: H_i(K^{r+1}, \mathbb{Z}) \rightarrow H_i(L, \mathbb{Z})$$

and

$$f_* : \pi_i(K^{r+1}) \rightarrow \pi_i(L)$$

are  $C$ -monomorphisms for  $i < r$  and  $C$ -epimorphisms for  $i \leq r$ .

**2.3. Corollary.** *If  $\dim K < \infty$ , we may choose  $Y$  and the map  $f : K \rightarrow L$  such that*

$$f_* : H_i(K, Z) \rightarrow H_i(L, Z)$$

and

$$f_* : \pi_i(K) \rightarrow \pi_i(L)$$

are  $C$ -isomorphisms in all dimensions.

*Remark.* If the homology of  $K$  is not finitely generated, then Theorem 2.2 is not true. A counter example is provided by a complex  $K' = K'(Q, 2)$  such that  $H_i(K', Z) = 0$  for  $i \neq 0, 2$  and  $H_2(K', Z) = Q$  where  $Q$  is the group of rationals.

*Proof of 2.2.* Since all cup-products in  $K$  vanish (see [2]) it follows from 1.5 that for any cohomology class  $h \in H^i(K^{r+1}, k)$ ,  $i \leq r$ , where  $k$  is the field of rationals, there exists a map

$$g : K^{r+1} \rightarrow S^i$$

and a class  $u \in H^i(S^i, k)$  such that

$$g^*(u) = h.$$

Let  $h_1, h_2, \dots, h_m$  be a base of  $\sum_{i=1}^r H^i(K^{r+1}, k)$ . Choose for each  $j$ , ( $j = 1, \dots, m$ ) a map

$$g_j : K^{r+1} \rightarrow S_j$$

where  $S_j$  is a sphere of the corresponding dimension, such that

$$g_j^*(u_j) = h_j, \quad u_j \in H^{n_j}(S_j, k), \quad n_j = \dim S_j. \quad (3)$$

Let

$$\Phi_m : K \rightarrow \underbrace{K \vee \dots \vee K}_{m\text{-fold}}$$

be as in (2). We may assume that  $\Phi_m$  is cellular; then  $\Phi_m$  induces a map

$$\bar{\Phi}_m : K^{r+1} \rightarrow K^{r+1} \vee \dots \vee K^{r+1}.$$

Consider the map

$$g_1 \vee \dots \vee g_m : K^{r+1} \vee \dots \vee K^{r+1} \rightarrow S_1 \vee \dots \vee S_m = L.$$

It is easy to check, by means of 2.1 (where all homotopies may be chosen cellular) and (3) that  $f : K^{r+1} \rightarrow Y$ ,  $f = (g_1 \vee \dots \vee g_m) \circ \bar{\Phi}_m$  induces isomorphisms

$$f^* : H^i(L, k) \rightarrow H^i(K^{r+1}, k)$$

in dimensions  $i \leq r$ . Applying the known results of SERRE [5, ch. III, Th. 3 and Prop. 1] we obtain the conclusion of the theorem.

*Remark.* Set  $K^{r+1} = A$ ,  $L = B$ ; in order to apply SERRE's results, quoted above, we must assume that  $\pi_2(A) \rightarrow \pi_2(B)$  is an epimorphism. In fact, this restriction may be removed. We may assume that  $f$  is an inclusion. Further, passing if necessary to singular polytopes, we may also assume that  $B$  is a simplicial complex with the strong (metric) topology and  $A$  is a sub-complex (the strong topology and the weak one on a simplicial complex yield spaces of the same homotopy type [4]).

Let  $Y$  be the space of paths in  $B$  beginning at the base point and ending in  $A$ . According to [4],  $Y$  is sufficiently smooth in order to admit an universal covering space; as noticed by SERRE [5, ch. III, Remarque 3], this is sufficient for the validity of his Théorème 3 without the above assumption concerning the second homotopy groups.

### 3. Concluding remarks

The notion of space of category  $\leq 2$  may be relativized by introducing spaces of category  $\leq 2$  mod.  $C$ . Namely, with the notations of the beginning of the previous section,  $\text{cat } X \leq 2 \pmod{C}$  if there is a map

$$\Phi : X \rightarrow X \vee X$$

such that  $r_j \circ \Phi$ ,  $j = 1, 2$  are  $C$ -isomorphisms in homology. Obviously

**3.1. Remark.** *Theorem 2.2 remains true if we replace  $\text{cat } K \leq 2$  by  $\text{cat } K \leq 2 \pmod{C}$ .*

In view of Theorem 2.2 all computations mod.  $C$  of the homotopy groups of a simply connected space  $X$  of category  $\leq 2$  with finitely generated singular homology groups reduce to similar computations for an union of spheres, a problem solved by HILTON [3]. For, we may replace  $X$  by its singular polytope  $P(X)$  whose category is also  $\leq 2$  [2]. It results that for a space  $X$  of category  $\leq 2$  the HUREWICZ homomorphism  $\pi_n(X) \rightarrow H_n(X)$  is always a  $C$ -epimorphism; its kernel consists mod.  $C$  of iterated WHITEHEAD products. This means that the homology groups of  $X$  (their free part) entirely determine mod.  $C$  its homotopy groups. This enables us to prove

**3.2. Corollary.** *For any two simply connected CW-complexes  $K$  and  $L$  with finitely generated homology groups in each dimension, the groups  $\pi_n(K \vee L)$  are determined mod.  $C$  by  $\pi_n(K)$ ,  $\pi_n(L)$ ,  $H_*(\Omega K, k)$  and  $H_*(\Omega L, k)$  (where  $k$  is the field of rationals).*

*Proof.* As is well known

$$\pi_n(K \vee L) = \pi_n(K) + \pi_n(L) + \pi_n(K \square L)$$

where  $K \square L$  is the space of paths in  $K \times L$  beginning in the subspace

$K \times * \cup * \times L$  and ending at the base point. It is easy to see that

$$K \square L = EK \times \Omega L \cup \Omega K \times EL \subset EK \times EL = E(K \times L),$$

where  $\Omega K, \Omega L$  are the loop spaces and  $EK, EL, E(K \times L)$  are the spaces of paths ending at  $*$ . Applying the relative KÜNNETH theorem to  $(EK, \Omega K) \times (EL, \Omega L)$  we obtain

$$H_n(K \square L, k) = \sum_{\substack{p, q = n-1 \\ p, q > 0}} H_p(\Omega K, k) \otimes H_q(\Omega L, k).$$

Or the other hand, by [4],  $K \square L$  has the homotopy type of a  $CW$ -complex and by 2.4 below,  $\text{cat}(K \square L) \leq 2$ . Then, as we have remarked, the homology groups  $H_*(K \square L, k)$  determine  $\pi_n(K \square L)$  and 2.3 is proved.

**2.4. Lemma.**  $\text{cat}(K \square L) \leq 2$ .

*Proof.* Let  $U$  be a contractible open neighbourhood of  $*$  in  $K$  and  $V$  be such a neighbourhood of  $*$  in  $L$ . Then  $K \square L$  has the homotopy type of the space  $Z$  of paths in  $K \times L$ , beginning in  $K \times V \cup U \times L$  and ending at  $*$ .

$$Z = EK \times E_0L \cup E_0K \times EL$$

where  $E_0K \subset EK$  consists of paths beginning in  $U$  and  $E_0L \subset EL$  of paths beginning in  $V$ . It suffices to prove that  $\text{cat} Z \leq 2$ . This is true since  $Z$  is the union of the following two open contractible sets

$$A = EK \times E_0L \cup EU \times EL$$

$$B = E_0K \times EL \cup EK \times EV.$$

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