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An arithmetical property of quadratic forms

By WALTER LEDERMANN, Manchester

In their paper [1] F. HIRZEBRUCH and H. HOPF have encountered an interesting arithmetical property possessed by certain symmetric bilinear forms

$$f(x,y) = \sum_{i,j=1}^{n} a_{ij} x_{i} y_{j}$$
 (1)

that arise in algebraic topology. In the forms which they consider, the coefficients a_{ij} and the variables are integers and det $a_{ij} = \pm 1$; and it is known that there exists an integral vector w such that

$$f(x, x) \equiv f(x, w) \pmod{2} \tag{2}$$

for all x. If τ is the signature of f, then it is a corollary of their topological investigations that

$$\tau \equiv f(w, w) \pmod{4}. \tag{3}$$

It is desirable to give a purely algebraic proof of (3), and I am greatly indebted to Professor Hopf for having drawn my attention to this question, which will be discussed in this note.

In fact, it will be shown that (3) is a special case of a result concerning forms (1) in which the coefficients and variables are rational numbers with odd denominators. This subset, \mathfrak{Q} , of all rationals forms a ring, whose elements may be grouped into residue classes modulo any power of 2 by stipulating that

$$\frac{c_1}{d_1} \equiv \frac{c_2}{d_2} \pmod{2^{\alpha}}$$

whenever $c_1d_2-d_1c_2\equiv 0\pmod{2^{\alpha}}$; since only odd denominators are allowed, this definition evidently does not depend on the representation of the fractions involved. In particular, a fraction is termed even or odd according as its numerator is even or odd; and we note that, if r is odd, $r^2\equiv 1\pmod{4}$.

The set, V, of n-tuples or "row-vectors" $x = (x_1, x_2, \ldots, x_n)$ $(x_i \in \mathbb{Q})$ is a \mathbb{Q} -module. A change of basis of V amounts to replacing x by the n-tuple $\tilde{x} = xP$, where P is a fixed n-rowed matrix in \mathbb{Q} with odd determinant.

Let f be a symmetric bilinear form which relative to the original basis is expressed as xAy', where $A=(a_{ij})$. After the change of basis, f becomes $\widetilde{x}B\widetilde{y}'$, where

$$B = PAP' . (4)$$

We write $\Delta = \Delta_f = \det A$, and throughout this paper we restrict ourselves to forms with odd determinants, a property which is clearly preserved by the transformation (4).

For a given form f we can in many ways determine a constant vector w such that (2) holds for all x in \mathbb{Q} . Indeed, w may be taken as the solution of the vector equation

$$wA = (a_{11}, a_{22}, \ldots, a_{nn}),$$

this solution being in Ω , because det A is odd. For since

$$f(x, x) \equiv \sum_{i} a_{ii} x_i^2 \equiv \sum_{i} a_{ii} x_i \pmod{2},$$

we have that

$$f(x, w) = wAx' = \Sigma a_{ii}x_i,$$

and (2) is satisfied. If \tilde{w} is another vector satisfying (2), then $f(x, \tilde{w} - w) \equiv 0 \pmod{2}$ for all x, so that $(\tilde{w} - w)A \equiv 0 \pmod{2}$. It follows that

$$\tilde{w} = w + 2z, \tag{5}$$

where z is a suitable vector in Ω . Conversely, any vector of the form (5) satisfies (2). We have that

$$f(\tilde{\boldsymbol{w}}, \, \tilde{\boldsymbol{w}}) = f(\boldsymbol{w}, \, \boldsymbol{w}) + 4f(\boldsymbol{w}, \, \boldsymbol{z}) + 4f(\boldsymbol{z}, \, \boldsymbol{z}) \; .$$

Thus

$$f(\tilde{\boldsymbol{w}}, \tilde{\boldsymbol{w}}) \equiv f(\boldsymbol{w}, \boldsymbol{w}) \pmod{4}$$

that is, f(w, w) (though not w itself) is an invariant modulo 4 of f. Our aim is to prove the following

Theorem. Let f be a quadratic form in n variables in \mathbb{Q} with odd determinant Δ and with signature τ . Then 1)

$$f(w, w) - \tau \equiv \Delta - \operatorname{sgn}\Delta \pmod{4}, \tag{6}$$

where w is a solution of (2).

We remark that, whilst Δ is not an invariant of f, both $\operatorname{sgn}\Delta$ and Δ are invariants mod 4. For in a transformation of the type (4), Δ is multiplied by $(\det P)^2$, which is congruent with 1 mod 4, since $\det P$ is odd.

In particular, when f is unimodular, whether integral or not, we have that $\Delta = \operatorname{sgn} \Delta$, so that (6) reduces to (3).

The theorem is proved by an induction with respect to n which is based on the following simple matrix formula. Consider a partitioning of A, say

$$A = \begin{pmatrix} K & L' \\ L & M \end{pmatrix}$$
,

¹⁾ As usual, we define $\operatorname{sgn}\varDelta$ to be +1 or -1 according as $\varDelta>0$ or $\varDelta<0$.

where K is non-singular and of dimension less than n. Put

$$P = \begin{pmatrix} I & O \\ -LK^{-1} & I \end{pmatrix}$$

where the identity matrices on the diagonal are of dimensions (in general distinct) equal to those of K and M respectively. Then

$$PAP' = \begin{pmatrix} K & O \\ O & M - LK^{-1}L' \end{pmatrix}. \tag{7}$$

When $\det K$ is odd, this transformation is admissible, since P then lies in \mathbb{Q} . Now if not all diagonal elements of A are even, we may, without loss of generality, assume that a_{11} is odd and then put $K = (a_{11})$. If, on the other hand, all diagonal elements are even, then each row of A must contain at least one odd element, or else $\det A$ could not be odd. We may then assume that a_{12} is odd and that K is the leading 2-rowed submatrix; for in that case $\det K = a_{11}a_{22} - a_{12}^2 \equiv -1 \pmod{4}$, which is certainly odd. Thus, when n > 2, we can always apply a transformation of the type (7), in which the dimension of K is either 1 or 2.

When V is referred to the new basis, f splits and we write

$$f(x, x) = g(x^{(1)}, x^{(1)}) + h(x^{(2)}, x^{(2)})$$
,

where $x = (x^{(1)}, x^{(2)})$ and the dimensions of the vectors $x^{(1)}$ and $x^{(2)}$ are those of K and M respectively²). Evidently

$$\Delta_f = \Delta_g \Delta_h \,, \; \tau_f = \tau_g + \tau_h \,,$$

where suffixes are used to distinguish quantities corresponding to different forms. Also, if $w^{(1)}$ and $w^{(2)}$ are such that

$$g(x^{(1)}, x^{(1)}) \equiv g(x^{(1)}, w^{(1)}) \pmod{2}$$

for all $x^{(1)}$ and

$$h(x^{(2)}, x^{(2)}) \equiv h(x^{(2)}, w^{(2)}) \pmod{2}$$

for all $x^{(2)}$, then $w = (w^{(1)}, w^{(2)})$ satisfies (2).

Leaving aside for the present the cases in which n = 1 or n = 2, we may assume, by induction, that the theorem holds for the forms g and h. Then, since

$$f(w, w) - \tau_f = (g(w^{(1)}, w^{(1)}) - \tau_g) + (h(w^{(2)}, w^{(2)}) - \tau_h),$$

we have that

$$f(w, w) - \tau_f \equiv \Delta_g - \operatorname{sgn}\Delta_g + \Delta_h - \operatorname{sgn}\Delta_h, \qquad (8)$$

²⁾ A somewhat similar method of reduction, but in a different context, has been employed by Minkowski ([2], 16-20).

with the convention that henceforth all congruences are mod 4. Now, if r and s are odd, (1-r) (1-s) is divisible by 4, so that

$$r+s\equiv 1+rs$$
.

Hence, in particular,

$$\Delta_a + \Delta_h \equiv 1 + \Delta_a \Delta_h = 1 + \Delta_t$$

and

$$\operatorname{sgn}\Delta_a + \operatorname{sgn}\Delta_h \equiv 1 + \operatorname{sgn}(\Delta_a\Delta_h) = 1 + \operatorname{sgn}\Delta_t$$

Substituting in (8) we immediately obtain (6).

It only remains to verify the theorem for the two lowest dimensions. When $n=1, f=a_{11}x_1^2$, where a_{11} is odd. We may then put $w_1=1$ to satisfy (2). Thus $f(w,w)=a_{11}=\Delta$. Since $\tau=\operatorname{sgn} a_{11}=\operatorname{sgn} \Delta$, the relation (6) is certainly true. When n=2, that is when $f=a_{11}x_1^2+a_{22}x_2^2+2a_{12}x_1x_2$, we have to distinguish two cases.

- (i) Assume that a_{11} and a_{22} are not both even, so that we may assume that a_{11} is odd. The transformation (7) can then be applied with $K = (a_{11})$, and f splits into two unary forms. The induction argument is therefore available as before.
- (ii) If a_{11} and a_{22} are both even, a_{12} is necessarily odd and $\Delta = a_{11}a_{22} a_{12}^2 \equiv -1$. Evidently, f(x, x) is even for all x, so that the vector w = 0 satisfies (2). We have therefore to show that

$$-\tau \equiv -1 - \operatorname{sgn}\Delta . \tag{9}$$

When $\operatorname{sgn} \Delta = -1$, the form is indefinite, that is $\tau = 0$, and (9) is true. On the other hand, when $\operatorname{sgn} \Delta = 1$, then $\tau = 2$ or $\tau = -2$ according as $a_{11} > 0$ or $a_{11} < 0$. But $2 \equiv -2$, and again (9) holds in each case.

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