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# An arithmetical property of quadratic forms

By WALTER LEDERMANN, Manchester

In their paper [1] F. HIRZEBRUCH and H. HOPF have encountered an interesting arithmetical property possessed by certain symmetric bilinear forms

$$f(x,y) = \sum_{i,j=1}^{n} a_{ij} x_{i} y_{j}$$
 (1)

that arise in algebraic topology. In the forms which they consider, the coefficients  $a_{ij}$  and the variables are integers and det  $a_{ij} = \pm 1$ ; and it is known that there exists an integral vector w such that

$$f(x, x) \equiv f(x, w) \pmod{2} \tag{2}$$

for all x. If  $\tau$  is the signature of f, then it is a corollary of their topological investigations that

$$\tau \equiv f(w, w) \pmod{4}. \tag{3}$$

It is desirable to give a purely algebraic proof of (3), and I am greatly indebted to Professor Hopf for having drawn my attention to this question, which will be discussed in this note.

In fact, it will be shown that (3) is a special case of a result concerning forms (1) in which the coefficients and variables are rational numbers with odd denominators. This subset,  $\mathfrak{Q}$ , of all rationals forms a ring, whose elements may be grouped into residue classes modulo any power of 2 by stipulating that

$$\frac{c_1}{d_1} \equiv \frac{c_2}{d_2} \pmod{2^{\alpha}}$$

whenever  $c_1d_2-d_1c_2\equiv 0\pmod{2^{\alpha}}$ ; since only odd denominators are allowed, this definition evidently does not depend on the representation of the fractions involved. In particular, a fraction is termed even or odd according as its numerator is even or odd; and we note that, if r is odd,  $r^2\equiv 1\pmod{4}$ .

The set, V, of n-tuples or "row-vectors"  $x = (x_1, x_2, \ldots, x_n)$   $(x_i \in \mathbb{Q})$  is a  $\mathbb{Q}$ -module. A change of basis of V amounts to replacing x by the n-tuple  $\tilde{x} = xP$ , where P is a fixed n-rowed matrix in  $\mathbb{Q}$  with odd determinant.

Let f be a symmetric bilinear form which relative to the original basis is expressed as xAy', where  $A=(a_{ij})$ . After the change of basis, f becomes  $\widetilde{x}B\widetilde{y}'$ , where

$$B = PAP' . (4)$$

We write  $\Delta = \Delta_f = \det A$ , and throughout this paper we restrict ourselves to forms with odd determinants, a property which is clearly preserved by the transformation (4).

For a given form f we can in many ways determine a constant vector w such that (2) holds for all x in  $\mathbb{Q}$ . Indeed, w may be taken as the solution of the vector equation

$$wA = (a_{11}, a_{22}, \ldots, a_{nn}),$$

this solution being in  $\Omega$ , because det A is odd. For since

$$f(x, x) \equiv \sum_{i} a_{ii} x_i^2 \equiv \sum_{i} a_{ii} x_i \pmod{2},$$

we have that

$$f(x, w) = wAx' = \Sigma a_{ii}x_i,$$

and (2) is satisfied. If  $\tilde{w}$  is another vector satisfying (2), then  $f(x, \tilde{w} - w) \equiv 0 \pmod{2}$  for all x, so that  $(\tilde{w} - w)A \equiv 0 \pmod{2}$ . It follows that

$$\tilde{w} = w + 2z, \tag{5}$$

where z is a suitable vector in  $\Omega$ . Conversely, any vector of the form (5) satisfies (2). We have that

$$f(\tilde{\boldsymbol{w}}, \, \tilde{\boldsymbol{w}}) = f(\boldsymbol{w}, \, \boldsymbol{w}) + 4f(\boldsymbol{w}, \, \boldsymbol{z}) + 4f(\boldsymbol{z}, \, \boldsymbol{z}) \; .$$

Thus

$$f(\tilde{\boldsymbol{w}}, \tilde{\boldsymbol{w}}) \equiv f(\boldsymbol{w}, \boldsymbol{w}) \pmod{4}$$

that is, f(w, w) (though not w itself) is an invariant modulo 4 of f. Our aim is to prove the following

**Theorem.** Let f be a quadratic form in n variables in  $\mathbb{Q}$  with odd determinant  $\Delta$  and with signature  $\tau$ . Then 1)

$$f(w, w) - \tau \equiv \Delta - \operatorname{sgn}\Delta \pmod{4}, \tag{6}$$

where w is a solution of (2).

We remark that, whilst  $\Delta$  is not an invariant of f, both  $\operatorname{sgn}\Delta$  and  $\Delta$  are invariants mod 4. For in a transformation of the type (4),  $\Delta$  is multiplied by  $(\det P)^2$ , which is congruent with 1 mod 4, since  $\det P$  is odd.

In particular, when f is unimodular, whether integral or not, we have that  $\Delta = \operatorname{sgn} \Delta$ , so that (6) reduces to (3).

The theorem is proved by an induction with respect to n which is based on the following simple matrix formula. Consider a partitioning of A, say

$$A = \begin{pmatrix} K & L' \\ L & M \end{pmatrix}$$
,

<sup>1)</sup> As usual, we define  $\operatorname{sgn}\varDelta$  to be +1 or -1 according as  $\varDelta>0$  or  $\varDelta<0$  .

where K is non-singular and of dimension less than n. Put

$$P = \begin{pmatrix} I & O \\ -LK^{-1} & I \end{pmatrix}$$

where the identity matrices on the diagonal are of dimensions (in general distinct) equal to those of K and M respectively. Then

$$PAP' = \begin{pmatrix} K & O \\ O & M - LK^{-1}L' \end{pmatrix}. \tag{7}$$

When  $\det K$  is odd, this transformation is admissible, since P then lies in  $\mathbb{Q}$ . Now if not all diagonal elements of A are even, we may, without loss of generality, assume that  $a_{11}$  is odd and then put  $K = (a_{11})$ . If, on the other hand, all diagonal elements are even, then each row of A must contain at least one odd element, or else  $\det A$  could not be odd. We may then assume that  $a_{12}$  is odd and that K is the leading 2-rowed submatrix; for in that case  $\det K = a_{11}a_{22} - a_{12}^2 \equiv -1 \pmod{4}$ , which is certainly odd. Thus, when n > 2, we can always apply a transformation of the type (7), in which the dimension of K is either 1 or 2.

When V is referred to the new basis, f splits and we write

$$f(x, x) = g(x^{(1)}, x^{(1)}) + h(x^{(2)}, x^{(2)})$$
,

where  $x = (x^{(1)}, x^{(2)})$  and the dimensions of the vectors  $x^{(1)}$  and  $x^{(2)}$  are those of K and M respectively<sup>2</sup>). Evidently

$$\Delta_f = \Delta_g \Delta_h \,, \; \tau_f = \tau_g + \tau_h \,,$$

where suffixes are used to distinguish quantities corresponding to different forms. Also, if  $w^{(1)}$  and  $w^{(2)}$  are such that

$$g(x^{(1)}, x^{(1)}) \equiv g(x^{(1)}, w^{(1)}) \pmod{2}$$

for all  $x^{(1)}$  and

$$h(x^{(2)}, x^{(2)}) \equiv h(x^{(2)}, w^{(2)}) \pmod{2}$$

for all  $x^{(2)}$ , then  $w = (w^{(1)}, w^{(2)})$  satisfies (2).

Leaving aside for the present the cases in which n = 1 or n = 2, we may assume, by induction, that the theorem holds for the forms g and h. Then, since

$$f(w, w) - \tau_f = (g(w^{(1)}, w^{(1)}) - \tau_g) + (h(w^{(2)}, w^{(2)}) - \tau_h),$$

we have that

$$f(w, w) - \tau_f \equiv \Delta_g - \operatorname{sgn}\Delta_g + \Delta_h - \operatorname{sgn}\Delta_h, \qquad (8)$$

<sup>2)</sup> A somewhat similar method of reduction, but in a different context, has been employed by Minkowski ([2], 16-20).

with the convention that henceforth all congruences are mod 4. Now, if r and s are odd, (1-r) (1-s) is divisible by 4, so that

$$r+s\equiv 1+rs$$
.

Hence, in particular,

$$\Delta_a + \Delta_h \equiv 1 + \Delta_a \Delta_h = 1 + \Delta_t$$

and

$$\operatorname{sgn}\Delta_a + \operatorname{sgn}\Delta_h \equiv 1 + \operatorname{sgn}(\Delta_a\Delta_h) = 1 + \operatorname{sgn}\Delta_t$$

Substituting in (8) we immediately obtain (6).

It only remains to verify the theorem for the two lowest dimensions. When  $n=1, f=a_{11}x_1^2$ , where  $a_{11}$  is odd. We may then put  $w_1=1$  to satisfy (2). Thus  $f(w,w)=a_{11}=\Delta$ . Since  $\tau=\operatorname{sgn} a_{11}=\operatorname{sgn} \Delta$ , the relation (6) is certainly true. When n=2, that is when  $f=a_{11}x_1^2+a_{22}x_2^2+2a_{12}x_1x_2$ , we have to distinguish two cases.

- (i) Assume that  $a_{11}$  and  $a_{22}$  are not both even, so that we may assume that  $a_{11}$  is odd. The transformation (7) can then be applied with  $K = (a_{11})$ , and f splits into two unary forms. The induction argument is therefore available as before.
- (ii) If  $a_{11}$  and  $a_{22}$  are both even,  $a_{12}$  is necessarily odd and  $\Delta = a_{11}a_{22} a_{12}^2 \equiv -1$ . Evidently, f(x, x) is even for all x, so that the vector w = 0 satisfies (2). We have therefore to show that

$$-\tau \equiv -1 - \operatorname{sgn}\Delta . \tag{9}$$

When  $\operatorname{sgn} \Delta = -1$ , the form is indefinite, that is  $\tau = 0$ , and (9) is true. On the other hand, when  $\operatorname{sgn} \Delta = 1$ , then  $\tau = 2$  or  $\tau = -2$  according as  $a_{11} > 0$  or  $a_{11} < 0$ . But  $2 \equiv -2$ , and again (9) holds in each case.

## REFERENCE

- [1] F. HIRZEBRUCH and H. HOPF, Felder von Flächenelementen in 4-dimensionalen Mannigfaltigkeiten. Math. Annalen 136 (1958).
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