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# **I**

**L'influence sur la résistance et les  
déformations des phénomènes non-linéaires  
suivants**

**Der Einfluss auf die Traglast und die  
Verformung der folgenden nichtlinearen  
Vorgänge**

**The Influence on Strength and Deformations  
of the following Nonlinear Phenomena**

## **I a**

**Plasticité et viscosité  
Plastizität und Viskosität  
Plasticity and Viscosity**

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# The Significance of Shake Down Loading

La signification du "Shake Down" des charges

Die Bedeutung des "Shake Down" der Belastungen

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The incremental collapse of frames, calculated according to accepted ideas of simple plastic theory, has been illuminated recently by a new formulation [1, 2]. Suppose that a unit load acting at section  $j$  of a frame produces an elastic bending moment  $\mu_{ij}$  at section  $i$  of the frame;  $\mu_{ij}$  is computed in the usual way on the assumption that the frame is initially stress free. Then the actual load  $W_j$  acting at  $j$  will give rise to an elastic bending moment of value

$$M_i = \mu_{ij} W_j \quad (1)$$

If the value of the load  $W_j$  is not fixed, but can take on any value within the range

$$W_j^{\min} \leq W_j \leq W_j^{\max} \quad (2)$$

then the value of the elastic moment  $M_i$  will also fluctuate. To determine its largest value,  $M_i^{\max}$  say, the value of  $W_j$  will be taken to be as large or as small as possible according as the unit moment  $\mu_{ij}$  is positive or negative, denoted by  $+\mu_{ij}^+$  and  $-\mu_{ij}^-$ , where  $\mu_{ij}^+$  and  $\mu_{ij}^-$  are themselves positive numbers. Thus

$$M_i^{\max} = \sum_j (\mu_{ij}^+ W_j^{\max} - \mu_{ij}^- W_j^{\min}) \quad (3)$$

and, similarly,

$$M_i^{\min} = \sum_j (-\mu_{ij}^- W_j^{\max} + \mu_{ij}^+ W_j^{\min}) \quad (4)$$

Now the basic equation for determining the full plastic moments  $(M_p^s)_i$  of a frame so that is just on the point of incremental collapse by the formation of a mechanism with hinge rotations  $\phi_i$  is

$$\sum (M_p^s)_i |\phi_i| = \sum (M_i^{\max} \phi_i^+ - M_i^{\min} \phi_i^-) \quad (5)$$



The numbers  $\phi_i^+$  and  $\phi_i^-$  are themselves positive, and the same sign convention for the hinge rotations  $+\phi_i^+$  and  $-\phi_i^-$  has been used as that for the unit moments  $\mu_{ij}$ . Each term in the sum on the left-hand side of equation (5) is essentially positive, since it represents work dissipated at a rotating plastic hinge. Thus, introducing equations (3) and (4) into (5),

$$\sum_i (M_p^S)_i |\phi_i| = \sum_{i,j} \{ \mu_{ij}^+ W_j^{\max} - \mu_{ij}^- W_j^{\min} \} \phi_i^+ - \sum_{i,j} \{ \mu_{ij}^- W_j^{\max} + \mu_{ij}^+ W_j^{\min} \} \phi_i^- \quad (6)$$

It is convenient to introduce a corresponding static design  $(M_p^O)_i$ , calculated for the same mechanism  $\phi_i$ , but with the loads  $W_j$  all having their fixed maximum values  $W_j^{\max}$ . This static design is thus given by

$$\sum_i (M_p^O)_i |\phi_i| = \sum_{i,j} \{ \mu_{ij}^+ W_j^{\max} - \mu_{ij}^- W_j^{\max} \} \phi_i^+ - \sum_{i,j} \{ \mu_{ij}^- W_j^{\max} + \mu_{ij}^+ W_j^{\max} \} \phi_i^- \quad (7)$$

and it will be seen that this is almost identical with equation (6); the only difference is that in (7) all bending moments are due to  $W_j^{\max}$ . Subtracting the two equations,

$$\sum_i \{ (M_p^S)_i - (M_p^O)_i \} |\phi_i| = \sum_i \left[ \phi_i^+ \{ \sum_j \mu_{ij}^- (W_j^{\max} - W_j^{\min}) \} + \phi_i^- \{ \sum_j \mu_{ij}^+ (W_j^{\max} - W_j^{\min}) \} \right] \quad (8)$$

Three important conclusions may be drawn immediately from a study of equation (8).

First, the whole of the right-hand side of equation (8) is positive or zero. The range of loading  $(W_j^{\max} - W_j^{\min})$  is essentially positive or zero, while the products  $\phi_i^+ \mu_{ij}^-$  and  $\phi_i^- \mu_{ij}^+$  are positive by definition. Thus the equation indicates that the values of full plastic moment  $M_p^S$  required to prevent incremental collapse in a given mechanism  $\phi$  will exceed (or at best equal) the corresponding values  $M_p^O$  for static collapse. In other words, a frame subjected to fluctuating loads will always require more material than a frame subjected to steady peak values of those loads.

Secondly, only the range of loading  $(W_j^{\max} - W_j^{\min})$  occurs in equation (8), and not the absolute values of the loads. Now the equation is a measure of the difference between the incremental collapse design  $M_p^S$  and the static collapse design  $M_p^O$ ; thus this difference in design cannot be affected by any dead load (or any other load of fixed magnitude). That is, the dead loads will affect the actual value of  $M_p^O$ , but are not concerned in any increase to  $M_p^S$  to guard against incremental collapse.

Thirdly, it is only products  $\phi_i^+ \mu_{ij}^-$  and  $\phi_i^- \mu_{ij}^+$  which appear in equation (8). The difference between  $M_p^S$  and  $M_p^O$  arises only from loads which produce unit

negative elastic moments at sections where there are positive hinge rotations, or which produce unit positive elastic moments at sections where there are negative hinge rotations.

As a numerical example, the uniform fixed-ended beam of fig.1 carries loads  $W_1$  and  $W_2$ , where the values of the loads can vary randomly and independently within the ranges

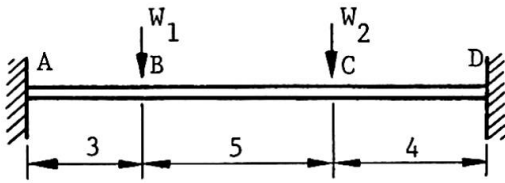


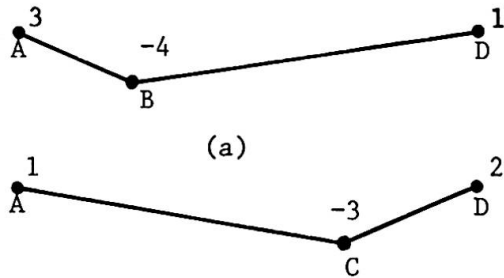
Fig.1

$$\left. \begin{aligned} 0 \leq W_1 \leq 352 \\ 0 \leq W_2 \leq 270 \end{aligned} \right\} \quad (9)$$

If the loads  $W_1$  and  $W_2$  have their fixed maximum values, then the conventional methods of plastic design lead to the static value of full plastic moment:

$$M_p^0 = 536 \quad (10)$$

Static collapse occurs by mechanism (b) of fig.2.



(b)

Fig.2

If, on the other hand, the loads are allowed to vary between the limits (9), then a shakedown analysis must be made. Conventional elastic theory leads to the following table of bending moments:

Table 1

Section	Moment due to		$M_b$	$M_b^{\max}$	$M_b^{\min}$
	$W_1 = 352$	$W_2 = 270$			
A	594	240	834	834	0
B	-297	30	-267	30	-297
C	-22	-320	-342	0	-342
D	198	480	678	678	0

The column labelled  $M_b$  in table 1 represents the static elastic solution when both loads act together with their full values, and it may be noted that the design given by equation (10) can be recovered from the fundamental equation

$$\sum (M_p^0)_i |\phi_i| = \sum M_i \phi_i \quad (11)$$

(where, indeed, the elastic moments  $M_i$  can be replaced by any distribution of

moments in equilibrium with the given external loading). Using the values  $M_i$  from table 1, equation (11) applied to mechanism (a) of fig.2 gives

$$8M_p^O = (834)(3) + (-267)(-4) + (678)(1) ,$$

$$\text{or } M_p^O = 531 ; \quad (12)$$

a similar calculation for mechanism (b) gives the more critical  $M_p^O = 536$  of equation (10).

The last two columns of table 1 give values of  $M^{\max}$  and  $M^{\min}$  as the loads vary between their limits (9). Using equation (5) with mechanism (a),

$$8M_p^S = (834)(3) + (-297)(-4) + (678)(1) ,$$

$$\text{or } M_p^S = 546 ; \quad (13)$$

similarly, for mechanism (b),

$$6M_p^S = (834)(1) + (-342)(-3) + (678)(2)$$

$$\text{or } M_p^S = 536 . \quad (14)$$

Clearly equation (13), mechanism (a), is more critical; the design full plastic moment must be increased from the value 536 of equation (10) for the static case to the value 546 of equation (13) in order to prevent incremental collapse.

Now the formulation of equation (8) shows how this increase arises. Since only products  $\phi^+\mu^-$  or  $\phi^-\mu^+$  can enter into the calculations, the first step is to examine the signs of the elastic bending moments and of the corresponding hinge rotations. The two mechanisms of fig.2 have positive (hogging) hinge rotations at the ends A and D of the beam, and negative (sagging) rotations at the internal points B and C. From table 1 it is seen that the signs of the elastic bending moments due to the load  $W_1$  are precisely the same as those of the hinge rotations at the four critical sections; the conclusion is that the load  $W_1$  cannot contribute at all to any increase in the value of  $M_p$ , (from  $M_p^O$  to  $M_p^S$ ).

Similarly, the signs of the bending moments due to  $W_2$  are the same as the signs of the hinge rotations for mechanism (b); thus mechanism (b) must give the same design value for  $M_p$  whether the loads are static or fluctuating, and this is confirmed by the identity of equations (10) and (14).

The only opposition in sign of bending moment and of hinge rotation occurs

for section B with mechanism (a) and the load  $W_2$ ; in this simple example, it is this single contribution which increases the value of  $M_p$  from the 531 of equation (12) to the 546 of equation (13).

This discussion indicates that equation (8) can be simplified for the purpose of calculation of shakedown limits. The right-hand side may be written

$$\sum_i \{ \phi_i^+ (\sum_j \mu_{ij}^- \bar{W}_j) + \phi_i^- (\sum_j \mu_{ij}^+ \bar{W}_j) \} \equiv \sum_i (\phi_i^+ \bar{M}_i^- + \phi_i^- \bar{M}_i^+) \equiv \sum_i |\phi_i| M_i^* \quad (15)$$

where  $\bar{W}_j$  represents the range of loading ( $W_j^{\max} - W_j^{\min}$ ), leading to a change of elastic bending moment  $\bar{M}_i$ , denoted plus or minus according as the change is an increase or a decrease from the datum. As before, only the products of a positive change of moment  $\bar{M}_i^+$  with a negative hinge rotation  $\phi_i^-$ , and vice versa, are taken, and this is indicated by the final short notation  $|\phi_i| M_i^*$  of (15). Thus, finally, if the static collapse equation is written

$$\text{Static:} \quad \sum (M_p^0)_i |\phi_i| = \sum (M_F)_i \phi_i \quad (16)$$

where  $(M_F)_i$  represents any convenient set of bending moments in equilibrium with the maximum values of the loads, then the incremental collapse equation for the same mechanism but with fluctuating values of the loads may be written

$$\text{Incremental:} \quad \sum (M_p^S)_i |\phi_i| = \sum (M_F)_i \phi_i + \sum M_i^* |\phi_i| \quad (17)$$

The numerical example of fig.1 may be reworked by means of a rearrangement of table 1:

Table 2

Section	Maximum positive and negative change in bending moment		(a)		(b)	
	$\bar{M}_i^+$	$\bar{M}_i^-$	$\phi$	$M_\phi^*$	$\phi$	$M_\phi^*$
A	834	0	3	0	1	0
B	30	-297	-4	120		
C	0	-342			-3	0
D	678	0	1	0	2	0
Static collapse:			$8M_p^0 = 4248$		$6M_p^0 = 3216$	
Incremental collapse:			$8M_p^S = 4368$		$6M_p^S = 3216$	

As a second example, the collapse of the fixed-base portal frame will be investigated, both under static and under fluctuating loads. Figure 3 shows the frame, of uniform section, acted upon by loads  $V$  and  $H$ ; the values of  $V$  and  $H$  are supposed to vary randomly and independently within the ranges

$$\left. \begin{aligned} 0 \leq V \leq V_0, \\ 0 \leq H \leq H_0. \end{aligned} \right\} \quad (18)$$

Also shown in fig.3 are sketch elastic solutions for  $H = 1$  and  $V = 1$ , together with the three possible modes of collapse.

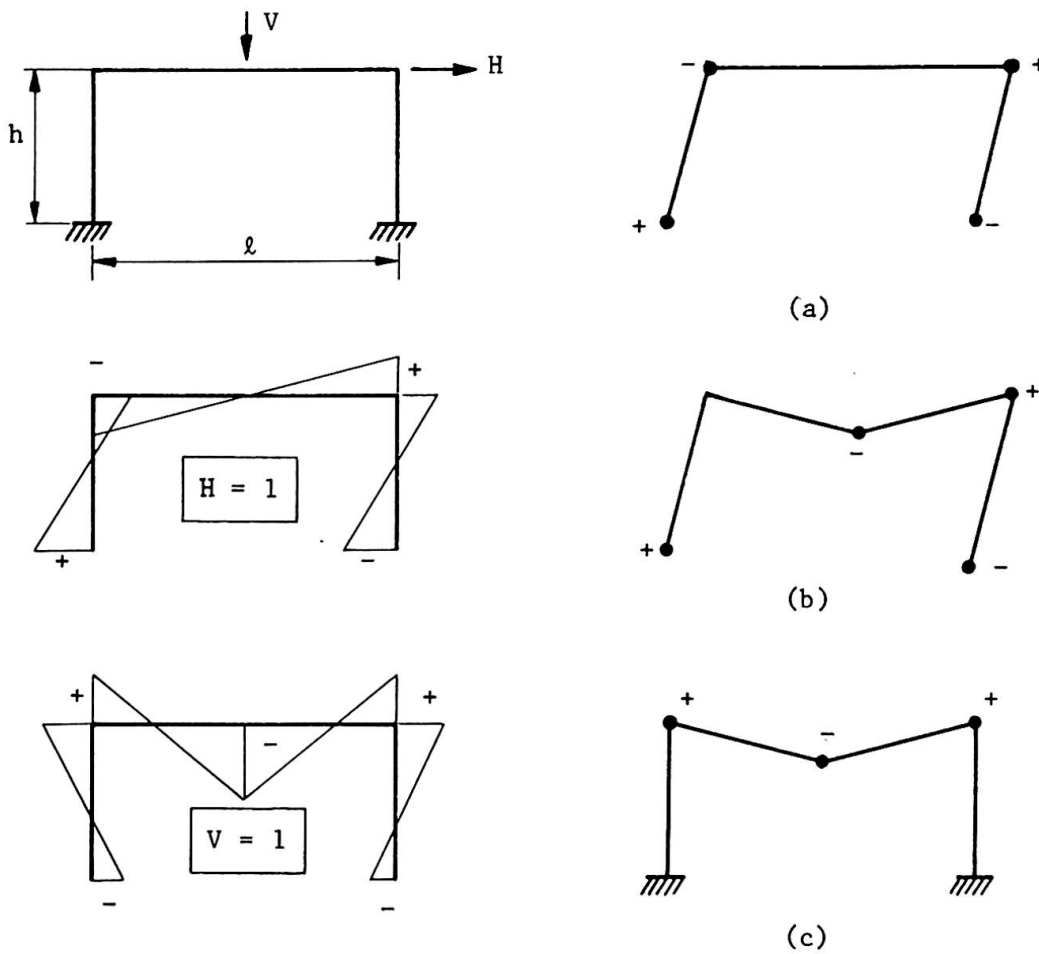


Fig.3

Comparing the elastic solution for  $H = 1$  with mode (a), it will be seen that the signs of the bending moments at the hinge positions are the same in all cases as the signs of the hinge rotations. The conclusion is that there will be no " $\phi^*$ " terms arising from the side load  $H$  for mode (a), and that therefore the terms in  $H$  will be identical for the static collapse and the incremental collapse equation. On the other hand, the elastic solution for

$V = 1$  indicates an opposition in sign for the hinges in the left-hand column for mode (a), so that there will be an " $M_\phi^*$ " contribution from the load  $V$ .

The final solution requires, of course, expressions for the elastic bending moments in the frame; using these known values, it will be found that collapse by mode (a) leads to the following equations

$$\text{Mode (a)} \left\{ \begin{array}{l} \text{Static:} \quad H_o h = 4M_p^o, \\ \text{Incremental:} \quad H_o h + \frac{V_o \ell}{8} \left( \frac{3\ell}{2\ell+h} \right) = 4M_p^s; \end{array} \right. \quad (19)$$

Similarly, examination of the unit bending moment distributions in fig.3 shows at once that the side load  $H$  will make no extra contribution to mode (b) of incremental collapse, and the vertical load  $V$  will make no extra contribution to the incremental collapse equation for mode (c). The final equations are

$$\text{Mode (b)} \left\{ \begin{array}{l} \text{Static:} \quad H_o h + \frac{V_o \ell}{2} = 6M_p^o, \\ \text{Incremental:} \quad H_o h + \frac{V_o \ell}{8} \left( \frac{9\ell+4h}{2\ell+h} \right) = 6M_p^s; \end{array} \right. \quad (20)$$

$$\text{Mode (c)} \left\{ \begin{array}{l} \text{Static:} \quad \frac{V_o \ell}{2} = 4M_p^o, \\ \text{Incremental:} \quad \frac{H_o h}{2} \left( \frac{3h}{\ell+6h} \right) + \frac{V_o \ell}{2} = 4M_p^s. \end{array} \right. \quad (21)$$

Equations (19), (20) and (21) are plotted schematically in the interaction diagram of fig.4 (this diagram is drawn for  $\ell = 2h$ , but the general features of the diagram will be preserved for other ratios of  $\ell/h$ ). It will be noted

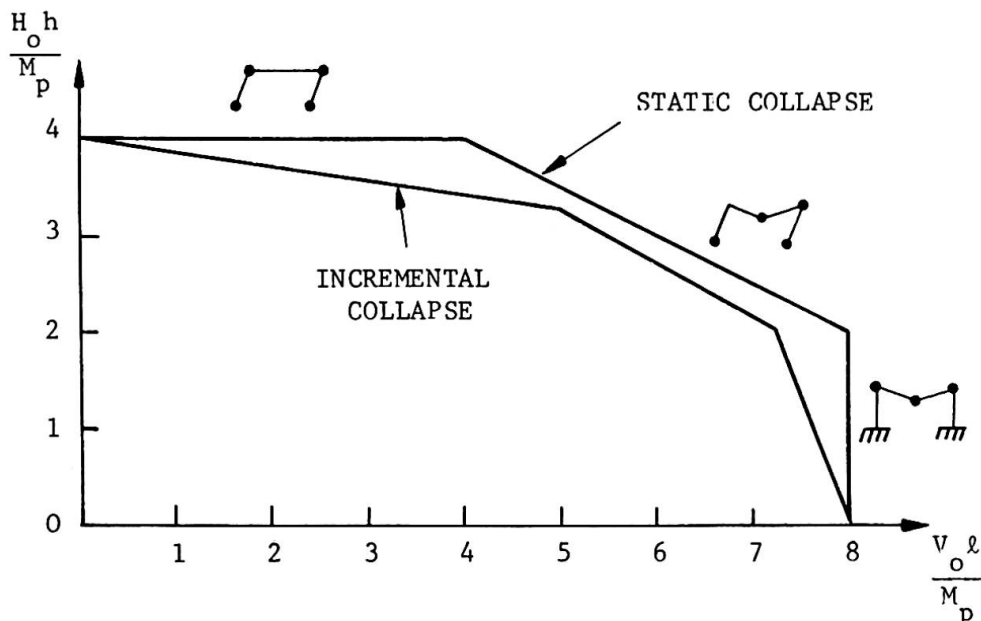


Fig.4

that the "yield surface" for incremental collapse lies entirely within (as it must) the corresponding yield surface for static collapse.

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1. M. H. Ogle. Shakedown of steel frames. Ph.D. Thesis (Cambridge University), 1964.
2. J. Heyman. Plastic design of frames: Vol.2, Applications. Cambridge, 1971.

#### Summary

A new formulation of the basic equation of incremental collapse shows immediately which loads acting on a frame are of significance in shakedown design, and which loads are not. A simple numerical example illustrates the procedure, and an interaction diagram is given for the collapse of the fixed-base portal frame.

## Ductility and Limit States

Ductilité et états limites

Duktilität und Grenzzustände

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**INTRODUCTION** The limit states of the load carrying members and structures clearly can be treated with the help of the typical load-displacement diagram, obtained experimentally, or with the elasto-plastic theory, which enables the prediction of the real deformational behaviour. With this theory any shape of the stress-strain diagram of the material and the influence of the residual stresses can be taken into account. So the instability loads and/or the suitable defined inelastic deflection limit load can be established. Various secondary and local effects like lateral instability, local instability, ductile, brittle, and fatigue fracture have to be referred to the primary global elasto-plastic behaviour. The reliability of different approximate design methods may be estimated by correlating them to the elasto-plastic behaviour. The dimensionless treatment ( which represents also the model law for experimental work ) and the appropriate classification make possible the wider use of the results of the elasto-plastic theory.

In this article the inplanar treatment for the single loading of simple linear structures with time independent inelastic material behaviour is presented.

**DUCTILITY FUNCTIONS OF THE CROSS-SECTION** The nonlinear relationship between the internal force and corresponding specific deformation of the axis of the bar can be expressed in the general case by

$$\begin{aligned} \varphi_x &= \frac{M_x}{EI_x} K_{\varphi x} & \varphi_y &= \frac{M_y}{EI_y} K_{\varphi y} & \varepsilon &= \frac{N}{EA} K_\varepsilon \\ \gamma_x &= \frac{\alpha_x Q_x}{EA} K_{\gamma x} & \gamma_y &= \frac{\alpha_y Q_y}{EA} K_{\gamma y} & \theta &= \frac{T}{EJ} K_\theta \end{aligned} \quad (1)$$

where the ductility function of the cross-section  $K$  can depend on all internal forces,  $K_i = f(M_x, M_y, Q_x, Q_y, N, T)$ . Dimensionless, for the case of uniaxial bending<sup>i</sup> with the normal force, there is

$$K_\varphi = f(\bar{M}, \bar{N}) \quad (2)$$

with  $\bar{M} = M : M^0$  and  $\bar{N} = N : N^0$ , where  $M^0$  and  $N^0$  are typical internal forces ( referred to the proportional limit, yield strength limit, or compression strength of material ). Using the Bernoulli hypothesis for the chosen strains over the cross-section,  $M$  and  $N$  are obtained with the corresponding integration for a given stress-strain diagram of material.

For the stress-strain diagram after Ramberg-Osgood  $\bar{\varepsilon} = \bar{\sigma}(1 + \bar{\sigma}^n)$ , with  $\bar{\varepsilon} = \varepsilon : \varepsilon^0$ ,  $\bar{\sigma} = \sigma : \sigma^0$ , where  $\varepsilon^0 = \sigma^0 : E$  and the yield strength  $\sigma^0$ , defined in Fig.1, the curves in Fig.2 with different parameters  $n$  represent four typical dimensionless stress-strain behaviour. For  $n = 10$  and the rectangular



cross-section the ductility functions  $K_\varphi$  are given in Fig.3, full lines for strain reversal with constant  $\bar{N}$ , and the dashed ones for nonlinear elastic material.

There is a simple relationship between the ductility functions of the individual parts of the cross-section and those of the whole composite one;

$$K_\varphi = \frac{\sum_n EI}{\sum_n \frac{EI}{K_\varphi}} \quad (3)$$

In this way calculated ductility functions for bending and compression of a concrete filled tube are presented in Fig.4, for an ideal elastic-ideal plastic stress-strain diagram of steel and according to CEB for concrete. The typical internal forces are here

$$M^0 = \frac{\pi}{32} [\sigma_s^0 (D^3 - d^3) + \sigma_c^0 d^3] \quad N^0 = \frac{\pi}{4} [\sigma_s^0 (D^2 - d^2) + \sigma_c^0 d^2]$$

DUCTILITY FUNCTIONS OF THE LOAD CARRYING MEMBERS The typical elasto-plastic load-displacement diagram can be performed for example with

$$\delta = \int_0^s \frac{MM_v}{EI} K_\varphi ds \quad (4)$$

One can replace the influence of  $K_\varphi$  with

$$\frac{EI}{K_\varphi} = EI_{eff} = E_{eff} I = (EI)_{eff},$$

but the ductility factor  $K_\varphi$  has a clearer meaning, especially for composite cross-section.

Similar to the definition of  $K_\varphi$ , the ductility of the load carrying member, or structure  $K_\delta$ , is the ratio between the elasto-plastic displacement and the corresponding elastic one,

$$K_\delta = \delta : \delta^e. \quad (5)$$

Consequently, the relationship between the dimensionless load  $\bar{P} = P : P^0$  and dimensionless displacement  $\bar{\delta} = \delta : \delta^0$ , where  $P^0$  represents for example the limit state according to the theory of elasticity, and  $\delta^0$  the corresponding elastic displacement, is

$$\bar{\delta} = \bar{P} \cdot K_\delta. \quad (6)$$

SOME EXAMPLES For the simple beam with constant properties along the axis there is the deflection under concentrated load, acting in any point,

$$K_\delta = \frac{3}{\bar{P}^3} \int_0^{\bar{P}} \bar{M}^2 K_\varphi d\bar{M}, \quad (7)$$

for the uniformly distributed load on a beam

$$K_\delta = \frac{6}{5\bar{P}^2} \int_0^{\bar{P}} \left( \frac{1}{\sqrt{1 - \frac{\bar{M}}{\bar{P}}}} - 1 \right) \bar{M} K_\varphi d\bar{M}, \quad (8)$$

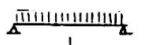

and on a cantilever

$$K_\delta = \frac{2}{\bar{P}^2} \int_0^{\bar{P}} \bar{M} K_\varphi d\bar{M}, \quad (9)$$

with  $\bar{P} = \bar{M}_{\max}$ . Fig.5 shows the results for the rectangular cross-section and three different stress-strain diagrams with strain hardening.

For the examples in Fig.6 the dimensionless deflection limit load  $\bar{P}_{1,1}$ , defined with 10% irreversible deflection and the plastic hinge load, both related to the elastic limit loads, are presented in the table I. Thus there is the possibility for higher allowable stresses in elastic design, dependent on the cross-section and loading ( in this case for the material with  $n = \infty$ , without residual stresses ).

Table I

	●	■	D/t=20	D/t=∞	IPB <sub>V</sub> 100	IPB <sub>V</sub> 340	IPB <sub>L</sub> 300	
	1,43	1,31	1,23	1,17	1,21	1,14	1,08	$\bar{P}_{1,1}$
	1,54	1,39	1,29	1,22	1,23	1,16	1,10	$\bar{P}_{1,1}$
	1,70	1,50	1,34	1,27	1,24	1,16	1,10	$\bar{P}^*$

The symmetric continuous beam, loaded as in Fig.7, has the ductility function

$$K_\delta = \frac{6(3+2\lambda)}{(3+10\lambda)(\bar{M}_1 + \bar{M}_2)} \left( \int_{\bar{M}_2}^{\bar{M}_1} \bar{M} K_\varphi d\bar{M} + 4\lambda \frac{\bar{M}_1 + \bar{M}_2}{\bar{M}_1^2} \int_0^{\bar{M}_1} \bar{M}^2 K_\varphi d\bar{M} \right), \quad (10)$$

with

$$\int_0^{\bar{M}_2} \frac{\bar{M} K_\varphi d\bar{M}}{\sqrt{1 - \frac{\bar{M}}{\bar{M}_2}}} = \int_0^{\bar{M}_1} \frac{\bar{M} K_\varphi d\bar{M}}{\sqrt{1 + \frac{\bar{M}}{\bar{M}_2}}} + \frac{4\lambda}{\bar{M}_1^2} \sqrt{(\bar{M}_1 + \bar{M}_2) \bar{M}_2} \int_0^{\bar{M}_1} \bar{M}^2 K_\varphi d\bar{M}$$

as the deformational condition. There is strong dependence on  $\lambda$ , the ratio of spans.

Fig.8 shows for  $\lambda = 1$  the influence of different stress-strain parameters  $n$ , compared with the plastic hinge theory, and Fig.9 the influence of the cross-section properties for  $\lambda = 0$ .

In Fig.10 the load carrying capacities are given for a beam-column, made of the material with  $n = 10$ , as the function of the slenderness  $\bar{\lambda}$ . The dashed lines represent the influence of strain reversal at  $\bar{N} = \text{const}$  and growing  $\bar{Q}$ .

In Fig.11 the elasto-plastic load deflection diagram  $\bar{Q} - \bar{\delta}$  for  $\bar{N} = 0,1$  for different parameter  $n$  of material can be compared with those of the second order elastic theory and the second order plastic hinge theory. Similarly in Fig.13 for  $\bar{N} = 0,25$  and in Fig.14 for  $\bar{N} = 0,525$  are  $\bar{Q} - \bar{\delta}$  curves for weak axial bending of D16E20, material with  $n = \infty$ , dashed curves for the presence of residual stresses and nonhomogeneity, dimensionless given in Fig.12. For higher  $\bar{\lambda}$  and higher  $\bar{N}$  the difference between elastic limit load, plastic hinge limit load, and elasto-plastic instability limit load, with and without residual stresses, are substantial.

For the case when  $\bar{Q} = \text{const}$ , in Fig.15 and 16, the relationship  $\bar{N} - \bar{\delta}$  is presented for the material with  $n = \infty$  and the rectangular cross-section.

In Fig.15 for  $\bar{Q} = 0,5$  there exists the elastic limit curve and the plastic hinge curve, whereas in Fig.16 the value  $\bar{Q} = 1$  alone represents already the elastic limit state, but for all that there is a large additional normal force carrying capacity, particularly for lower slendernesses.

The dimensionless buckling curves for column with the composite cross-section can be represented in the same diagram as for columns with the single material. So in Fig.17 the lower curve is the tangent modulus curve for the plain concrete, CEB stress-strain diagram, and the upper curve is the Euler curve for the ideal elastic - ideal plastic diagram of steel. Three curves in between belong to columns with concrete filled tubes and encased I-profile ( numbers describe the steel cross-section, steel yield strength, and concrete compression strength ). The slenderness of concrete filled steel tube is

$$\bar{\lambda} = \frac{4L}{\pi D} \sqrt{\frac{1 + c \frac{G_p^2}{G_s^2}}{1 + c \frac{E_c}{E_s}}} \quad \text{with} \quad c = \frac{1}{\frac{D^4}{d^4} - 1} \quad (11)$$

Of course, the real columns have geometrical and structural imperfections. Therefore, the corresponding instability limit loads with the help of the beam-column elasto-plastic theory have to be determined. Fig.18 gives the  $\bar{Q} - \bar{\delta}$  curves with the instability limit states for different slender beam-columns with concrete filled tube cross-section, having ductility functions in Fig.4.

**FINAL REMARKS** The consequent dimensionless treatment would have larger effects in using the results of the elasto-plastic theory for better understanding of the ductile behaviour of structures and with this more rational use of material.

The deterministic treatment of structures with the elasto-plastic theory supports and supplies the probabilistic treatment because a significant variation is possible only with representative parameters for separated influences. On the contrary, the pure empirical statistical analysis of the complex phenomena appearing in the ductile behaviour of structures is rather questionable, if not impossible.

The extension of the elasto-plastic theory on the problems, taking into account more complicated loading and structural geometry, the Bauschinger effect, the influence of the temperature ( also fire ), and strain velocity, calls even more attention to the international collaboration in finding the appropriate classification of the shapes for stress-strain diagrams of the material, cross-sections, structural and geometrical imperfections.

**AKNOWLEDGEMENT** Some results are presented here from larger research project, carried out with the financial support by "Boris Kidrič" Foundation of Ljubljana. The collaboration with my past and present assistant P.Fajfar, V.Marolt, J.Reflak and M.Vitek, and also numerous students, is very much appreciated.

**SUMMARY** Presented results of the elasto-plastic theory, compared with the corresponding elastic theory and plastic hinge theory, show on the one side, that the possibility exists for better exploitation of material also for statical determined structures when inelastic deflection limit state has to be decisive, and on the other side, that one should be careful with the unlimited use of the plastic hinge theory for stability limit states.

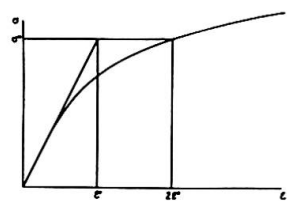


Fig. 1

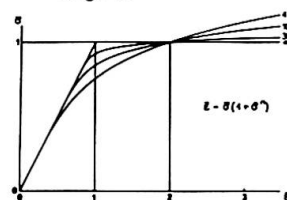


Fig. 2

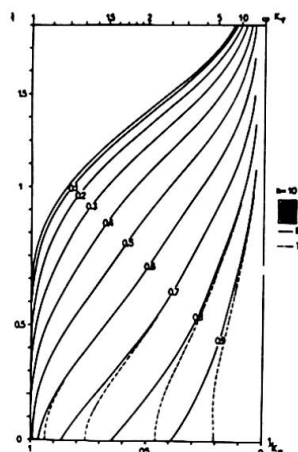


Fig. 3

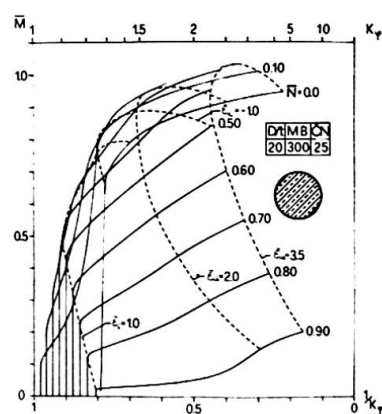


Fig. 4

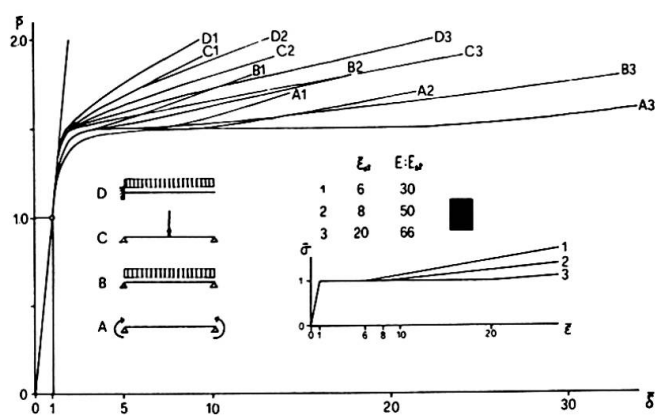


Fig. 5

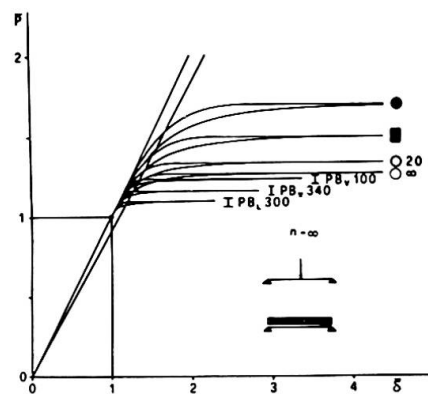


Fig. 6

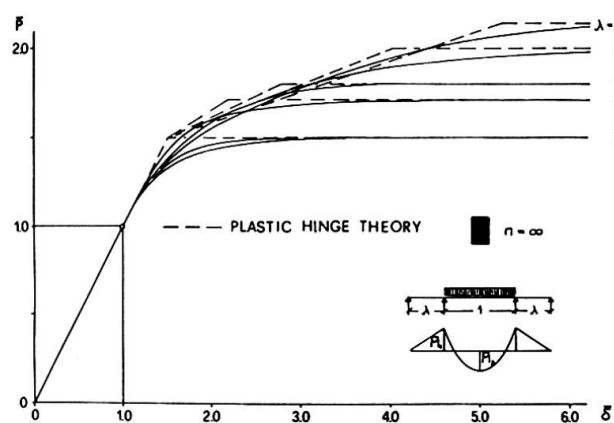


Fig. 7

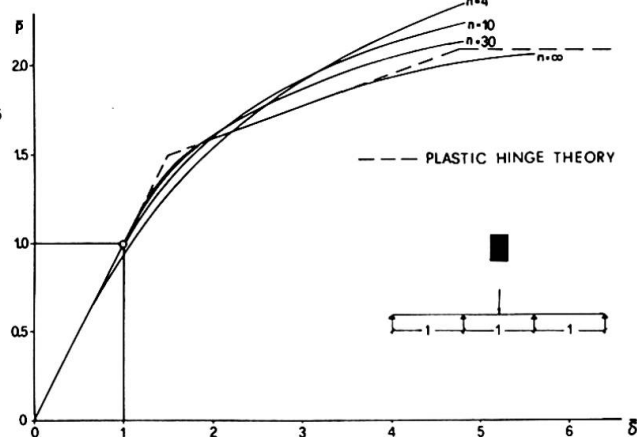


Fig. 8

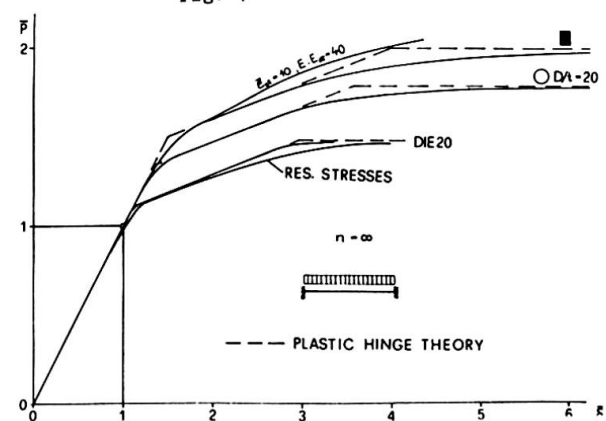


Fig. 9

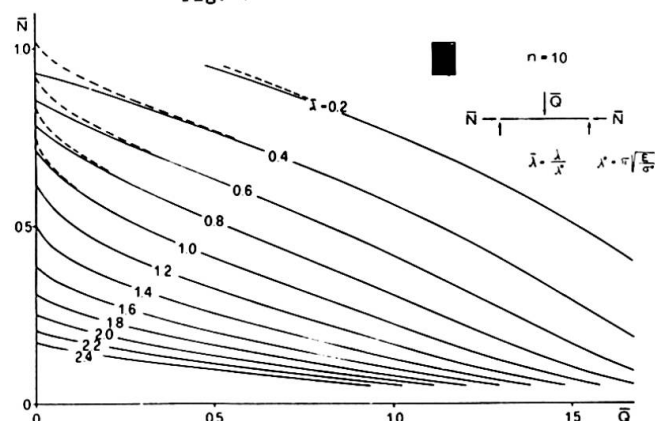


Fig. 10

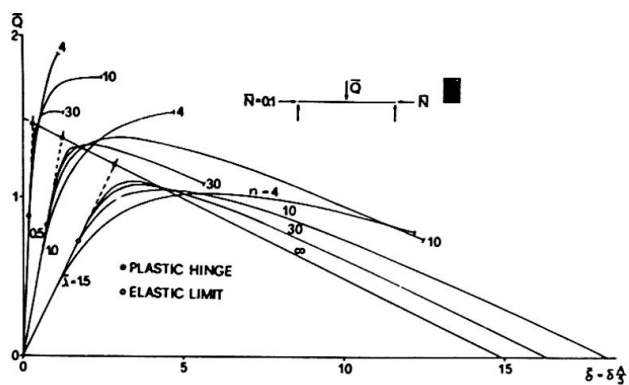


Fig. 11

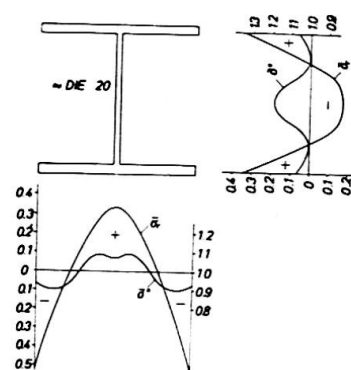


Fig. 12

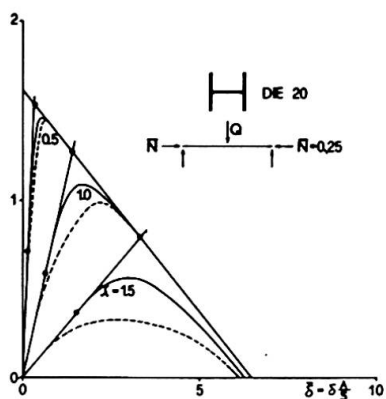


Fig. 13

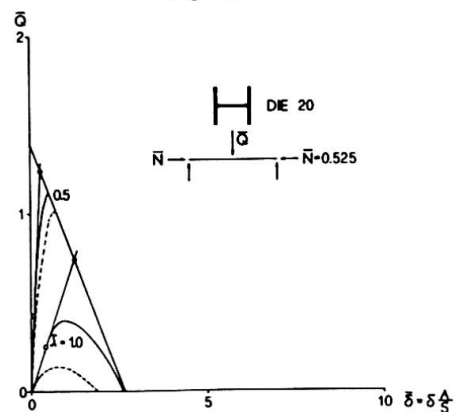


Fig. 14

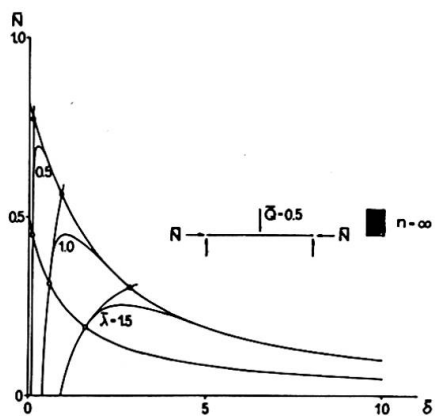


Fig. 15

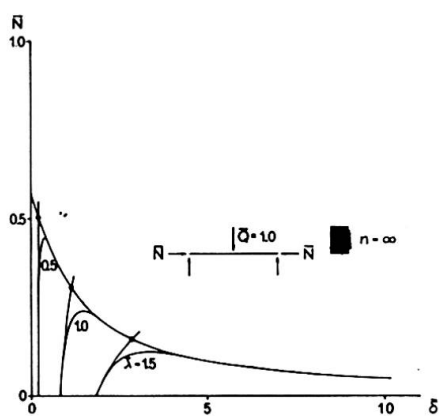


Fig. 16

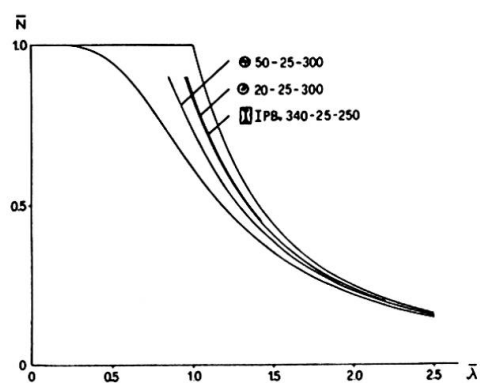


Fig. 17

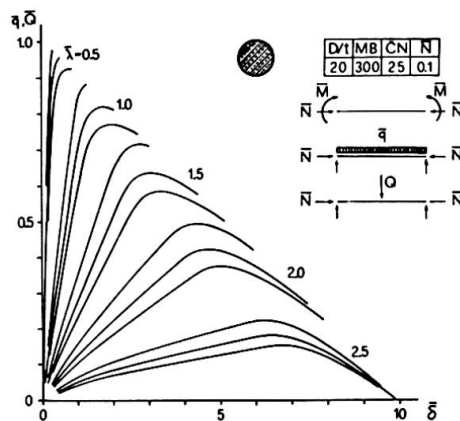


Fig. 18

## Theorems for a Simplified Second Order Limit Analysis of Elastic-Plastic Frames

Méthode réduite de seconde ordre pour la détermination de la charge limite des portiques élasto-plastiques

Hilfssätze für eine vereinfachte Traglastberechnung zweiter Ordnung elastisch-plastischer Rahmentragwerke

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Prof.  
Technical University  
Budapest, Hungary

### Introduction

The use of simple (or "first order") limit analysis - assuming rigid-plastic material - is restricted to a limited class of frames as the computed failure load  $P_{FI}$  ("first order failure load") may give unsafe estimate in presence of axial forces.

Several attempts were made to include the effect of change in geometry and thus to establish a "second order" limit analysis (resulting in a "second order failure load  $P_F$ "), ranging from the most simple Rankine-formula to different computer methods [1], [2], [3], [4], [5].

Introducing simplifications this paper is to offer some theorems, which can be used as techniques for preliminary limit design of a class of simple frames, requiring generally an additional check by a more exact method. Because of lack of space the prove of theorems couldn't be reproduced and only reference can be given either to works treating the problem more generally [6] or to the authors previous reports [7], [8].

Attention is paid to the fact, that while the first order failure load depends basically on the value of full-plastic moment  $M_p$  of the cross sections only, the second order failure load is influenced by the flexural rigidity "EI" of the constituting members as well. Thus a preliminary design procedure has to include criteria for the required value of both flexural rigidity and full-plastic moment in case of a prescribed failure load  $P_F$ .

### Assumptions

The model of a frame in the elastic-plastic range is taken as composed of perfectly elastic, initially straight members (of number  $s$ ) and plastic hinges supposed to develop at certain cross sections only; their greatest possible number be  $m$ . The full-plastic moment of the cross sections is assumed to be constant independently of the axial force  $N$  acting in the corresponding member. Concentrated loads are allowed to act at joints only, increasing proportionally to a single load factor  $P$  (Fig.1.).

We restrict us to cases where in the equations expressing requirements of equilibrium and continuity the shortening of members due both to flexural deformation and direct axial compression can be neglected (excluding thus triangulated frames).

This way the analysis of a perfectly elastic frame can be carried out by solving two simultaneous matrix equations [1] of the form

$$P \cdot \underline{q} = \underline{S} \cdot \underline{\delta} \quad (1)$$

$$\underline{N} = P \cdot \underline{q}_1 + \underline{S}_1 \cdot \underline{\delta} \quad (2)$$

where vector  $\underline{q}$  and  $\underline{q}_1$  depends on the distribution of external loads only (quantities  $\alpha$  in Fig.1.);  $\underline{S}$  is the stiffness matrix (its elements being functions of the axial forces in the members), and vector  $\underline{\delta}$  represents the "free" displacements of the joints. Second equation expresses, that vector  $\underline{N}$  representing the axial forces in the members depends on external loads and displacements of joints as well.

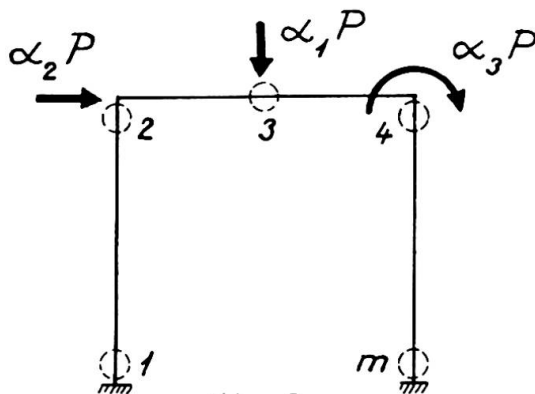


Fig.1.

Basic simplification will be introduced by omitting equation (2) and replacing it by

$$\underline{N} = P \cdot \underline{\beta} \quad (3)$$

i.e. assuming axial forces to increase proportionally to the load factor.  $\underline{\beta}$  can be taken from the solution of a first order elastic analysis or rather of a rigid-plastic limit analysis. This assumption allows the use of superposition as well and thus bending moments  $M$  in an elastic-plastic frame can be expressed  $M = M_e + M(\chi)$ ; the first term being bending moment of the perfectly elastic frame, the second term the moment originated by hinge-rotations  $\chi$  in the plastic hinges. Dividing the plastic hinges into "active" ( $M = M_p$ ) and "inactive" ( $|M| < |M_p|$ ) groups (of number  $i$  and  $m-i$  respectively), the vector  $\underline{M}$  representing the bending moments at the cross sections of the active plastic hinges can be written as

$$\underline{M} = \underline{M}_e + \underline{B} \underline{\chi} + \underline{A} \underline{\chi}_r = \underline{M}_p \quad (4)$$

where  $i$ -vector  $\underline{M}_e$  represents the moments of an elastic frame,  $i$ -vector  $\underline{\chi}$  the rotations in active,  $m-i$  vector  $\underline{\chi}_r$  the rotations



in the inactive plastic hinges; the elements  $b_{pq}$  and  $a_{pq}$  of  $i \times m$ - and  $i \times i$  matrix  $\underline{B}$  and  $\underline{A}$  give the moment at cross-section  $p$  of an elastic frame in presence of axial forces  $\underline{N} = P \cdot \underline{\beta}$  originated by an angular discontinuity  $\chi_q = 1$  in the cross section  $q$ . Positive direction of  $M$  and  $\chi$  is given in Fig. 2. Sign-convention is used to have  $\chi \geq 0$  and  $\underline{M} \geq 0$  in equation (4).

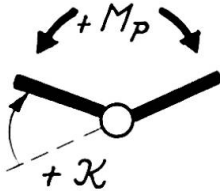


Fig. 2.

We shall refer to as "active loading process" if at increasing load no local unloading in plastic hinges takes place. In this case no inactive plastic hinges exist and

$$\underline{M} = \underline{M}_e + \underline{A} \chi = \underline{M}_p \quad (5)$$

### Stability considerations

Simplification introduced by equation (3) allows to formulate the condition of stability of the state of equilibrium defined by equation (4) as follows:

$$\sum_i \left( \int_0^l EI y''^2 dx - P \int_0^l \beta_s y'^2 dx \right) = 0 \quad (6)$$

for any function  $y$  describing geometrically possible transverse displacements of the points of the members having angular discontinuities  $\chi \geq 0$  at the cross sections of active plastic hinges only. To facilitate stability investigation, the state of equilibrium defined by equation (4) (having  $i$  plastic hinges) should be accompanied by an elastic subsystem "i" (Fig. 3.), loaded by

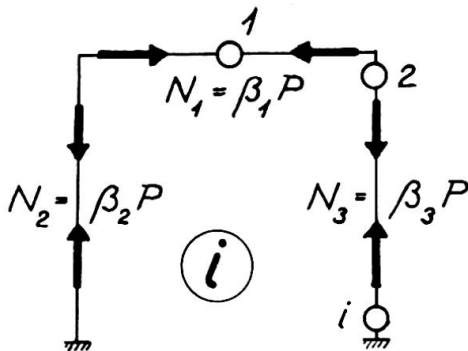


Fig. 3.

axial forces  $\underline{N} = P \cdot \underline{\beta}$  only and containing real hinges of number  $i$  at the location of plastic hinges. The lowest critical load-factor causing buckling of this subsystem is denoted by  $P_{cr,i}$  and is referred to as "deteriorated critical load" in the literature [1]. The buckling-mode of this subsystem at  $P = P_{cr,i}$  be described by eigenfunction  $\tilde{y}_i$  (containing angular discontinuities  $\tilde{\chi}_i$  at the real hinges).

Using above notations following statements can be done:

### Theorem (I)

Supposed that at load factor  $P$  the elastic-plastic frame contains  $i$  active plastic hinges:

If  $P < P_{cr,i}$  the state of equilibrium is stable,



If  $P_{cr,i} < P < P_{cr,i-1}$  ( $P_{cr,i-1}$  being the lowest critical load of an elastic subsystem containing  $i-1$  hinges only) two cases has to be dealt with:

If  $\tilde{\chi}_i \geq 0$  the state of equilibrium is unstable,

If  $\tilde{\chi}_i$  has negative component the state of equilibrium is stable.

### Quadratic programming approach

Starting from the state defined by equations (4) and changing load factor to  $P + dP$ , the incremental forces and deformations can be described:

$$d\mathbf{M} = d(\mathbf{M}_e + \mathbf{B} \mathbf{\chi}_r) + d\mathbf{A} \mathbf{\chi} + \mathbf{A} d\mathbf{\chi}. \quad (7.a)$$

Additionally the nature of plastic hinges requires:

$$d\mathbf{M} \leq 0; \quad d\mathbf{\chi} \geq 0 \quad \text{and} \quad d\mathbf{\chi} \cdot d\mathbf{M} = 0, \quad (7.b)$$

as either incremental rotation or decrease of full-plastic moment in the same hinge must be zero.

As pointed out elsewhere in the literature [9], [10] problems of this kind can be solved by a quadratic programming approach, as (7.a) and (7.b) can be written in form of

$$\mathbf{u} = \mathbf{a} - \mathbf{A} \mathbf{x} \quad (8.a)$$

$$\mathbf{u} \geq 0; \quad \mathbf{x} \geq 0 \quad \text{and} \quad \mathbf{x} \cdot \mathbf{u} = 0 \quad (8.b)$$

By introducing the scalar function

$$z(\mathbf{x}) = \mathbf{a} \mathbf{x} - \frac{1}{2} \mathbf{x} \mathbf{A} \mathbf{x}$$

the solution of problem (8) can be defined as a non-negative vector  $\mathbf{x} = \mathbf{x}_1 \geq 0$ , in case of which the value of function  $z = z(\mathbf{x}_1)$  doesn't exceed the values  $z(\mathbf{x}_1 + d\mathbf{x})$  in its vicinity, provided  $\mathbf{x}_1 + d\mathbf{x} \geq 0$ . By virtue of known mathematical theorems [11] a solution always exist if  $\mathbf{x} \mathbf{A} \mathbf{x} < 0$  for  $\mathbf{x} \geq 0$ ,  $\mathbf{x} \neq 0$ . As condition  $\mathbf{x} \mathbf{A} \mathbf{x} = d\mathbf{\chi} \mathbf{A} d\mathbf{\chi} < 0$  for  $d\mathbf{\chi} \geq 0$  is fulfilled in a stable state of equilibrium; following statement can be done:

### Theorem (II)

Starting from a stable state of equilibrium at load factor  $P$ , equilibrium will exist at  $P + dP$  as well. Thus failure load (peak load) can be reached only in an unstable state of equilibrium.

If dealing with active loading process only and supposing that plastic hinges can develop in cross sections of number  $i$  only with given direction of rotation (chosen to be positiv), the moments and hinge-rotations at a load factor can be determined by transforming equation (5):

$$\mathbf{M}_p - \mathbf{M} = \mathbf{M}_p - \mathbf{M}_e - \mathbf{A} \mathbf{\chi} \quad (9.a)$$

and considering additionally that according to the nature of plastic hinges:

$$\mathbf{M}_p - \mathbf{M} \geq 0; \quad \mathbf{\chi} \geq 0 \quad \text{and} \quad (\mathbf{M}_p - \mathbf{M}) \cdot \mathbf{\chi} = 0. \quad (9.b)$$

Problem (9) can be regarded as integrated form of (8), made equivalent by assuming that local unloading in plastic hinges is excluded. Problem (9) can be rewritten in form of (8) again and investigating the properties of  $i \times i$  matrix  $\underline{A}$  (its components being function of  $P$ ), we can state:

Theorem (III)

If  $P < P_{cr,i}$  there exists one and only one solution.

Theorem (IV)

If  $P_{cr,i} < P < P_{cr,i-1}$ , the existence and number of solutions depend on the sign of the components of  $i$ -vector

$$\underline{\mathcal{X}}_0 = \underline{A}^{-1} (\underline{M}_p - \underline{M}_e)$$

If all components of  $\underline{\mathcal{X}}_0$  are positive ( $\underline{\mathcal{X}}_0 > 0$ ), two different solutions exist, one describing a stable, the other an unstable state of equilibrium.

If all components of  $\underline{\mathcal{X}}_0$  are non-negative, but at least one of them equals zero ( $\mathcal{X}_{0j} = 0$ ), a single solution exists, describing an unstable state of equilibrium.

If not all components of  $\underline{\mathcal{X}}_0$  are non-negative, no solution exists.

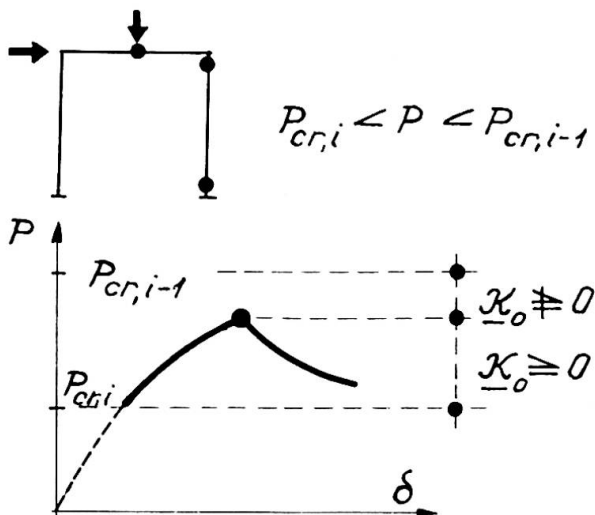


Fig. 4.

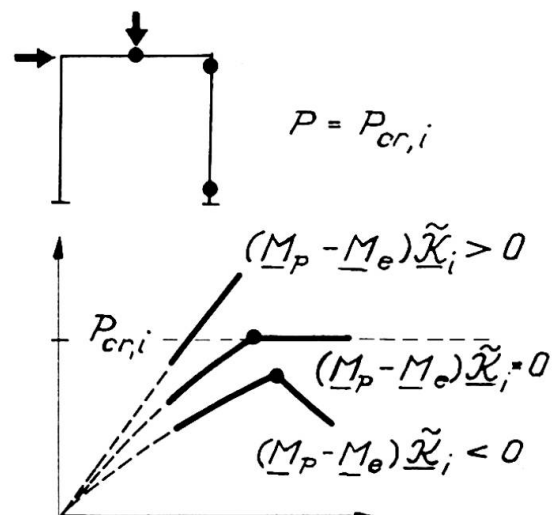


Fig. 5.

Theorem (V)

As special case let be  $P = P_{cr,i}$ . The existence and number of solutions depends on the sign of the scalar product  $(\underline{M}_p - \underline{M}_e) \tilde{\mathcal{X}}_i$ .

If  $(\underline{M}_p - \underline{M}_e) \tilde{\mathcal{X}}_i > 0$  one solution exists, describing a stable state of equilibrium.

If  $(\underline{M}_p - \underline{M}_e) \tilde{\chi}_i < 0$  no solution exists.

If  $(\underline{M}_p - \underline{M}_e) \tilde{\chi}_i = 0$  an infinite number of solution exists, describing indifferent states of equilibrium.

Theorem (IV) and (V) can be illustrated by Fig.4. and Fig.5.

#### Application I. Limit design of "stiff frames"

In a limit design problem the value of  $P_F$  be given and the flexural rigidity  $EI$  of the members and full plastic moment  $\underline{M}_p$  of cross sections are to be determined. The design problem can be solved on various ways as both  $EI$  and  $\underline{M}_p$  contribute to the value of the failure load. So additional restrictions can be given.

We require additionally, that failure should take place if the number of plastic hinges has reached the number  $n$  of plastic hinges contained in a yield-mechanism (rigid-plastic collapse-mechanism), thus  $i = n$ . Frames designed this way will be referred to as "stiff frames". Supposing active loading process, theorems (I) - (IV) can be applied.

The subsystem  $n$  corresponding to a yield-mechanism is unstable in presence of any forces and thus  $P_{cr,n} = 0$  can be taken and the corresponding buckling-mode (eigenfunction)  $\tilde{y}_n$  coincides with the displacements of a rigid-plastic yield-mechanism, having angular discontinuities  $\tilde{\chi}_n$  most easily to determine. According to theorem (I) the chosen yield-mechanism prescribes not only the location, but possible rotational direction of plastic hinges as well, as  $\tilde{\chi}_n \geq 0$  has to be taken. The additional requirement given above states, that

$$0 < P_F < P_{cr,n-1}$$

$P_{cr,n-1}$  being the deteriorated critical load of a subsystem produced by removing any of the hinges in the yield-mechanism. As  $P_{cr,n-1}$  can be written symbolically

$$P_{cr,n-1} = \frac{c_{n-1} EI}{L^2}$$

$c_{n-1}$  being a constant,  $EI$  and  $L$  representing flexural-rigidity and geometrical data, the criterion for flexural rigidity can be given in the form

$$EI > \frac{L^2}{c_{n-1}} P_F \quad (10)$$

The required values of full plastic moments  $\underline{M}_p$  should be determined according to theorem (IV)

$$\underline{\chi}_0 = \underline{A}^{-1}(\underline{M}_p - \underline{M}_e) \geq 0 \quad \text{for } P \leq P_F \quad (11)$$

This later requirement can be illustrated practically by Fig.6., as according to virtual-work considerations  $\underline{\chi}_0$  represents hinge-rotations of the frame under the action of external loads and full-plastic moments at the hinges of number  $n$  (axial forces supposed to be  $N = P/3$ ). Thus this method is equivalent to that referred to as "last hinge method" in the literature [1].

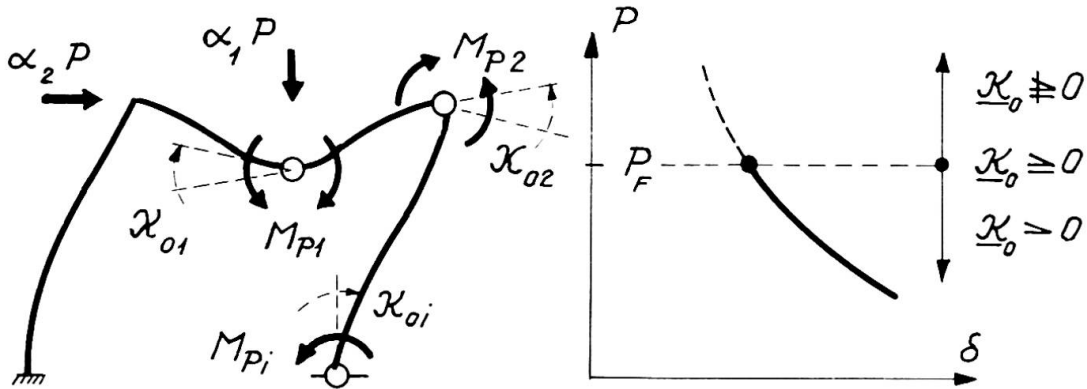


Fig. 6.

If  $m > n$ , more than one yield mechanism should be considered. To make appropriate choice, following theorem can be used.

Theorem (VI)

Supposing active loading process, at

$$P = P_F < P_{cr, n-1}$$

the moments will not exceed the value of full plastic moment at any of the cross sections  $m$ , if inequality (11) is fulfilled for all groups of hinges of number  $n$  corresponding to a possible yield-mechanism.

This theorem can be formulated as a minimum principle for failure loads  $P_F$  computed with respect the different possible yield-mechanisms (Fig. 7.) or as a maximum principle for a multiplier, if the ratio of full-plastic moments is previously prescribed.

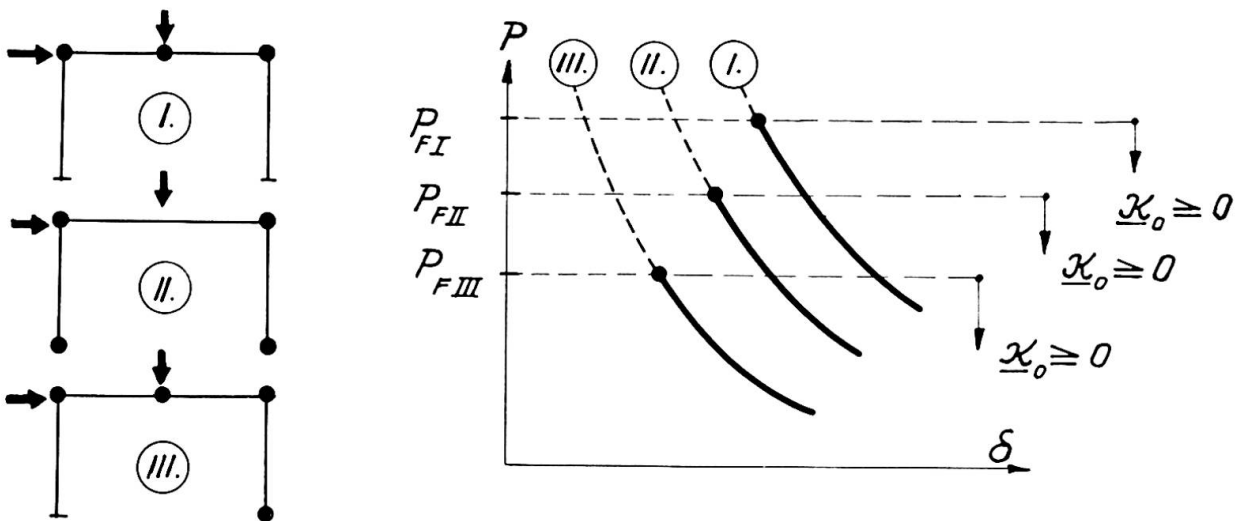


Fig. 7.

### Application II. Limit design of "flexible frames"

If requirement (10) results in an unrealistic flexural rigidity, it has to be allowed to reach failure load in presence of a lower number of plastic hinges, than that transforming the structure into a yield-mechanism ( $i < n$ ). Frames designed this way will be referred to as "flexible frames". A possible way of limit design easy to carry out results from an additional restriction in form of

$$P_F = P_{cr,i} = \frac{c_i EI}{L^2}, \quad (12)$$

$$EI = \frac{L^2}{c_i} P_F$$

which can be regarded as criterion for the flexural rigidity required.

Using theorem (V) the values of full-plastic moments in cross section  $i$  can be determined as - supposing active loading process - equilibrium can exist at  $P = P_{cr,i}$  only if:

$$(\underline{M}_p - \underline{M}_e) \tilde{\chi}_i \geq 0$$

This condition can be brought to a more convenient form by using virtual work consideration, resulting in

$$P_F \sum \alpha_j \tilde{u}_j \leq \underline{M}_p \tilde{\chi}_i \quad (13)$$

where  $\tilde{u}_j$  and  $\tilde{\chi}_i$  represent the displacements and hinge-rotations due to the buckling-mode (eigenfunction  $\tilde{y}_i$ ) of the plastic subsystem "i" at  $P = P_F = P_{cr,i}$  (Fig.8.). This inequality resembles the virtual-work inequality used in a single-plastic limit analysis, but displacements and rotations of a rigid-plastic yield mechanism should be replaced by those belonging to the buckling-mode described by eigenfunction  $\tilde{y}_i$ .

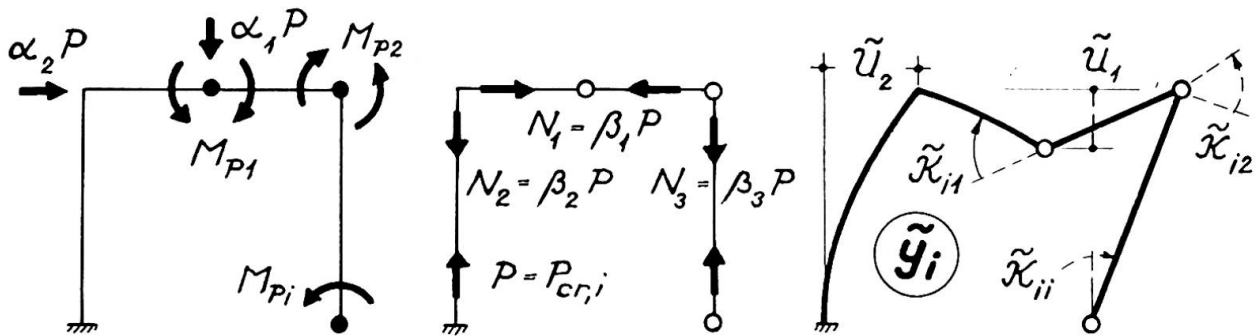


Fig.8.

Using this method an additional check must be carried out with respect to the moments at cross sections being not represented as possible locations of plastic hinges in the subsystem.

### Application III. Bifurcation of equilibrium

Attention is to be paid to the fact, that the assumption of active loading process disregards the possibility of bifurcation of equilibrium which can take place in stable state of equilibrium as well [6], due to the "two-faced" nature of plastic hinges.

As illustrativ example a symmetric and symmetrically loaded frame should be regarded with only two possible locations 1. and 2. for plastic hinges, assuming that at a load factor

$$P_{cr,2} < P < P_{cr,1}$$

( $P_{cr,1}$  and  $P_{cr,2}$  denoting the deteriorated critical load of a subsystem containing one and two real hinges respectively) both plastic hinges are active (Fig.9.).

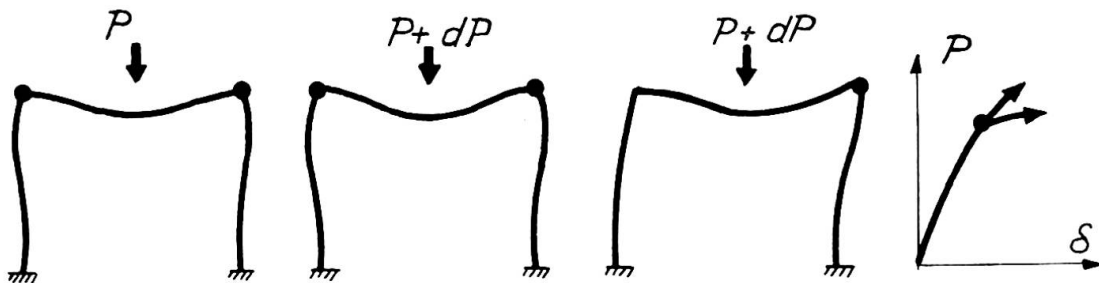


Fig.9.

The possible direction of rotation in plastic hinges is given and taken to be positive. As the buckling-mode at  $P = P_{cr,2}$  of the subsystem containing two real hinges is antisymmetric, according to theorem (II)  $\tilde{\chi}_2 \neq 0$  and the equilibrium is stable. Analysing incremental deformations by equation

$$\text{at } P \quad \underline{M} = \underline{M}_e + \underline{A} \underline{\chi},$$

$$\text{at } P + \Delta P: d\underline{M} = d\underline{M}_e + d\underline{A} \underline{\chi} + \underline{A} d\underline{\chi}$$

$$d\underline{M} \leq 0, \quad d\underline{\chi} \geq 0, \quad d\underline{M} \cdot d\underline{\chi} = 0$$

Supposing now, that at  $P + \Delta P$  a symmetric state of equilibrium with two active hinges exists,

$$d\underline{M} = 0 \quad \text{and}$$

$$d\underline{\chi} = \underline{A}^{-1} (d\underline{M}_e + d\underline{A} \underline{\chi}) > 0,$$

according to theorem (IV) a second solution exists. Thus at  $P$ , although having stable state of equilibrium two different loading paths are possible: a symmetric one and another including side-sway as well.

### Appendix

As illustration, the prove of theorem (IV) is given as follows:

(a) If  $P_{cr,i} < P < P_{cr,i-1}$  and  $\tilde{\mathcal{X}}_i$  angular discontinuities of eigenfunction  $\tilde{y}_i$  are all positive

$$\underline{\mathcal{X}} = \underline{A}^{-1} \underline{M} \geq 0, \quad \text{when } \underline{M} \geq 0 \quad (14)$$

This can be proved by describing the displacements of the structure originated by moments  $\underline{M}$  acting at the hinges by function  $y$  and rotations  $\underline{\mathcal{X}}$  and investigating the expression

$$\sum_j \left[ EI \int_0^l (y'' + c_j \tilde{y}_i'') dx - P \beta_s \int_0^l (y' + c_j \tilde{y}_i')^2 dx \right] > 0 \quad (15)$$

$$c_j = - \frac{\tilde{\mathcal{X}}_j}{\tilde{\mathcal{X}}_{ij}} \quad (16)$$

as  $y + c_j y_i$  are geometrically possible displacements of subsystem "i-1" being stable at  $P < P_{cr,i-1}$ . Transforming and regarding the virtual work equations

$$\begin{aligned} \underline{M} \underline{\mathcal{X}} &= - \sum_j \left( EI \int_0^l y''^2 dx - P \beta_s \int_0^l y'^2 dx \right), \\ \underline{M} \tilde{\mathcal{X}}_i &= - \sum_j \left( EI \int_0^l y'' \tilde{y}_i'' dx - P \beta_s \int_0^l y' \tilde{y}_i' dx \right), \end{aligned}$$

$$\text{we receive:} \quad - \underline{M} \underline{\mathcal{X}} - 2 \frac{\mathcal{X}_j}{\tilde{\mathcal{X}}_{ij}} \underline{M} \tilde{\mathcal{X}}_i > 0 \quad (17)$$

Multiplying by  $\mathcal{X}_{ij} M_j > 0$  and adding up similar expressions for  $j = 1, 2, \dots, i$ :

$$(\underline{M} \underline{\mathcal{X}}) (\underline{M} \tilde{\mathcal{X}}_i) > 0 \quad (18)$$

which together with equation (17) proves statement (14).

The solution of problem (9) can be written in the form

$$\underline{\mathcal{X}} = \underline{A}^{-1} (\underline{M}_p - \underline{M}_e) - \underline{A}^{-1} (\underline{M}_p - \underline{M}) \geq 0, \quad (19.a)$$

$$\underline{M}_p - \underline{M} \geq 0 \quad \text{and} \quad (\underline{M}_p - \underline{M}) \underline{\mathcal{X}} = 0. \quad (19.b)$$

As the second term in (19.a) according to (14) is non-negative,  $\underline{\mathcal{X}} \geq 0$  is impossible if

$$\underline{A}^{-1} (\underline{M}_p - \underline{M}_e) \not\geq 0,$$

and no solution exists.

If

$$\underline{\mathcal{X}}_0 = \underline{A}^{-1} (\underline{M}_p - \underline{M}_e) \geq 0, \quad (20)$$



one solution is given by (20). A second solution can be found as well. With reference to those stated earlier in connection with problem (8), a local minimum of the function

$$z(\underline{x}) = (\underline{M}_p - \underline{M}_e)\underline{x} - \frac{1}{2}\underline{x} \underline{A} \underline{x} \quad (21)$$

in the subspace  $\underline{x} \geq 0$  will define a solution. Choosing one component of  $\underline{x}$  to be  $\underline{x}_j = 0$ , and omitting all elements of  $\underline{M}_p$ ,  $\underline{M}_e$  and  $\underline{A}$  with indices  $j$ , we have an  $i - 1$  - dimensional expression

$$\tilde{z}(\tilde{\underline{x}}) = (\tilde{\underline{M}}_p - \tilde{\underline{M}}_e)\tilde{\underline{x}} - \frac{1}{2}\tilde{\underline{x}} \tilde{\underline{A}} \tilde{\underline{x}} \quad (22)$$

which has always a minimum (denoted by  $\tilde{z}_{j,\min}$ ) for  $\tilde{\underline{x}} \geq 0$  as because of  $P < P_{cr,i-1}$   $\tilde{\underline{A}}$  is negative definite. The value  $\tilde{z}_{j,\min}$  is therefore a minimum on the boundary-plane of subspace  $\underline{x} \geq 0$  defined by  $\underline{x}_j = 0$ . A series of such minimums can be obtained by choosing  $j = 1, 2, \dots, i$ . This among them having the lowest value be denoted by  $\tilde{z}_{\min}$  (at a location given by  $\tilde{\underline{x}}_0$ ).

Considering equations (20), (21) and (22) the values of function  $\tilde{z}$  on the boundary of subspace  $\underline{x} \geq 0$  can be expressed as

$$\tilde{z} = \frac{1}{2}\underline{x}_0 \underline{A} \underline{x}_0 - \frac{1}{2}\underline{r} \underline{A} \underline{r} \quad (23)$$

where  $\underline{r}$  denotes the vector between point  $\underline{x} = \underline{x}_0$  and the point on the boundary. As because of  $P_{cr,i} < P$   $\underline{A}$  is indefinite, the boundary contains points, where

$$\tilde{z} < \underline{x}_0 \underline{A} \underline{x}_0$$

and so

$$\tilde{z}_{\min} < \frac{1}{2}\underline{x}_0 \underline{A} \underline{x}_0 ; \quad \underline{r}_0 \underline{A} \underline{r}_0 > 0, \quad (24)$$

where vector  $\underline{r}_0$  connects points given by  $\underline{x}_0$  and  $\tilde{\underline{x}}_0$ . Equation (23) and (24) prove, that  $\tilde{z}_{\min}$  is a minimum on the boundary and a local minimum in the subspace  $\underline{x} \geq 0$ , thus defining a second solution of problem (19), representing a stable state of equilibrium, being  $\tilde{z}_{\min}$  on the boundary of the subspace  $\underline{x} \geq 0$ . A third solution is impossible, as supposing its existence  $\tilde{\underline{x}}'_0$  and using notations of problem (8)

$$\underline{u} = \underline{a} - \underline{A} \tilde{\underline{x}}_0, \quad \tilde{\underline{x}}_0 \geq 0, \quad \underline{u} \geq 0, \quad \underline{u} \cdot \tilde{\underline{x}}_0 = 0$$

$$\underline{u}' = \underline{a} - \underline{A} \tilde{\underline{x}}'_0, \quad \tilde{\underline{x}}'_0 \geq 0, \quad \underline{u}' \geq 0, \quad \underline{u}' \cdot \tilde{\underline{x}}'_0 = 0$$

would require

$$(\tilde{\underline{x}}_0 - \tilde{\underline{x}}'_0) \underline{A} (\tilde{\underline{x}}_0 - \tilde{\underline{x}}'_0) > 0,$$

which is impossible according theorem (I), as the vector  $\tilde{\underline{x}}_0 - \tilde{\underline{x}}'_0$  connecting two points on the boundary of subspace  $\underline{x} \geq 0$  can't be composed of non-negative components only.



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### Summary

The second order limit analysis - including the effect of change in geometrie - of certain class of frames can be simplified by assuming (i) axial forces to increase proportionally to a load factor (ii) disregarding local unloading in plastic hinges and (iii) taking full-plastic moments to be independent of axial forces. Using these assumptions paper offers some theorems to be used in preliminary limit design of frames.

## **The Influence of Plasticity and Viscosity on the Strength and Deformation of Structures**

L'influence de la plasticité et de la viscosité sur la résistance et la déformation des constructions

Der Einfluss der Plastizität und der Viskosität auf die Traglast und die Verformung von Tragwerken

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### 1. Material Behavior

#### 1.1 Elasticity

Of the various mechanical properties that determine the usefulness of a structural material, its elastic modulus is undoubtedly the single most important one since it alone determines whether the material is rigid enough to satisfy the limitations on deformability that are imposed on any structure by its function and that usually delimit its "serviceability." Although the elastic modulus is also directly proportional to the cohesive strength of the material<sup>1</sup> since, theoretically at least, both can be related to the action of interatomic or intermolecular forces, it has long been recognized that materials that attain a significant portion of their theoretical cohesive strength while strained elastically are not usable as structural materials, because the unavoidable inhomogeneities in their microstructure are bound to cause premature "brittle" fractures that are not only the more explosive the higher the level of elastic strain energy stored in the volume element and suddenly released at fracture, but also the less predictable or reproducible. Therefore, a useful structural material, expected to deform elastically within the limit of serviceability of the structure, must be prevented from explosively failing elastically beyond this limit by energy dissipation mechanisms in its microstructure that prevent the build-up of potentially destructive elastic strain energy as soon as the forces acting on the structure exceed its serviceability limit. The avoidance of brittle failure by providing structural materials the deformational response of which to forces beyond the limit of serviceability deviates from elasticity not only sufficiently but also sufficiently fast to achieve this aim under all loading and environmental conditions which structures must, with an adequate level of confidence, be expected to withstand, is one of the foremost tasks of the material producing industry.

## 1.2 Plasticity and Viscosity of Structural Materials.

Plasticity and viscosity are the phenomenological expressions of the two basic strain energy dissipation processes in the metallic microstructure: the weakly strain-rate and temperature sensitive transgranular (plastic) slip and the strongly strain-rate and temperature sensitive intergranular (quasi-viscous) flow. While in all metals both mechanisms are simultaneously present as soon as the limit of technologically elastic deformation is exceeded (the true physical elastic limit is lower and depends essentially on the limit of accuracy with which deviation from linear elastic behavior can be observed), one or the other will predominate as the loading and environmental conditions change, with low temperatures or high strain-rates suppressing the viscous response, while high temperatures and low strain-rates amplify it. The post-elastic response of structural metals at room and low temperatures is therefore reasonably well represented by plastic flow with constant or strain-dependent (hardening) yield-limit, while the operational stress level in the structural members is governed by the elastic response, although it is well-known that the full utilization of the elasticity of the members depends on sufficient constrained plastic deformation in the overstressed connections being available to prevent localized elastic (brittle) failures through overstrain.

In the non-metallic microstructures of ceramics and concrete almost linear quasi-viscous flow characterizes the binder already at very low stresses<sup>2</sup>, so that a real "elastic limit" does not exist, while a highly nonlinear viscosity which is almost indistinguishable from "plasticity" is the expression, at stress-levels closer to the unconfined compressive (shear) strength, of processes of progressive destruction of the microstructure. Thus, structural concrete is a linear visco-elastic material at any stress above zero, so that the limit of serviceability of concrete structures, particularly at relatively high sustained compressive operational stresses, cannot be identified with the complete absence, but only with a specified limiting amount of irreversible deformation, a limitation that is made possible by the asymptotic increase of the apparent coefficient of viscosity of the concrete in the course of the hardening process that transforms the initial visco-elastic into the fully hardened elastic compound. Experiments<sup>3</sup> have shown that the range of linear visco-elasticity does not extend beyond a stress level of 25 to 30 percent of the compressive strength, which is the usual range of operational stresses. Beyond this stress-intensity the viscous response of the material becomes increasingly non-linear until, at about 80 percent of the compressive strength it is, on first loading,

indistinguishable from plastic response since the associated destruction proceeds at constant stress. Thus, the linear visco-elastic response of the concrete governs the range of serviceability of the structure. As the viscous component becomes increasingly non-linear in stress at stress levels beyond this range, stress relaxation processes governing prestress levels become increasingly rapid, with the result that the higher the prestress, the more difficult to sustain it. In heavily overreinforced beam sections the effect of the highly non-linear viscous redistribution of stresses as well as of moments produces the deceptive appearance of "plasticity" of the concrete. However, this plasticity cannot, as in metals, be depended upon to produce a reliable "overloading capacity" that could be sustained under repeated loading or that could lead to a "shakedown" condition under load repetition, since the increasing local destruction of the microstructure that is reflected in the increasing non-linearity of the apparent viscosity of the concrete leads to rapid large-scale destruction of the overstrained compressive zone after a relatively small number of load-cycles. Only in underreinforced beams does the plastic yielding of the metal reinforcement at low concrete stresses produce conditions resembling "plastic hinges",<sup>4</sup> however, at the usually unacceptable price of large, concentrated cracks in the concrete. The extension of rigid-plastic limit analysis to redundant reinforced concrete is therefore not without serious problems.<sup>5</sup>

## 2. Design Criteria

The influence of plasticity and of viscosity on the strength and deformation of structures and thus on their analysis and design can hardly be adequately assessed or even discussed without carefully considering the implications of the dual design requirements of serviceability and failure resistance as well as of the relations to these requirements, of plasticity or near plasticity on the one hand (highly non-linear viscosity) and of plasticity and quasi-linear viscosity on the other. Unfortunately the Introductory Report misses these critical implications almost completely and presents, instead, a simplistic rigid-plastic limit analysis of one-parametrically loaded steel structures as well as a simplified version of linear-viscoelastic analysis applicable to reinforced concrete structures, presumably as representative illustrations of the "state of the art" in the field of research and engineering practice encompassed by Theme Ia. And this in spite of the fact that every single one of the eight preceding International Congresses of IABSE has devoted part of its attention to some aspect of this theme, and that

in the Proceedings of these eight Congresses as well as in the Memoir volumes published by the Association many of the pioneering papers on the various aspects of the influence of plasticity and viscosity on strength and deformation of structures have been published.

### 2.1 Plastic Limit Design

However, the reader of this Introductory Report which is intended, presumably, to prepare the ground for the discussion of this theme at the Ninth Congress would never suspect this preoccupation over many years on the part of IABSE. Nor is he made aware of the general perspective that has emerged from this preoccupation and that reflects a balance between the applied mechanics, the materials engineering, and the structural design view-points relating to this theme, which would seem to preclude juxtapositions like that between the pre-1940 "pessimistic" elastic theory and the post-1940 "optimistic" plastic theory<sup>6</sup>, as if anybody concerned with the ultimate carrying capacity of structures, from Galileo and Mariotte to the research workers in the nineteen forties, had ever seriously considered the elastic theory as a procedure for the determination of a "failure load" or carrying capacity, or as if the purpose of the plastic theory were to replace the elastic theory that "refers to a physically unrealistic limit state" by a method "that is in agreement with experimental results" and makes it possible to "refer the safety of statically determinate and indeterminate structures to the uniform base of a real limiting state (failure mechanism)" (p. 9).

This exaggerated assessment of the relevance of the theory of rigid-plastic limit design is obviously compatible neither with the dual aspect of design for serviceability and failure nor with those experimental results that contradict even for structures of low redundancy the assumption of this theory that the full plastic carrying capacity associated with the fully developed failure mechanism can actually be attained in spite of the fact that the large plastic hinge rotations required for its development are frequently preceded by local instability phenomenon that cause premature failure below the full plastic moment. In a basic paper Stüssi<sup>7</sup> has in fact demonstrated by an extremely simple experiment that the real carrying capacity of a redundant structural beam of mild steel lies somewhere above the limiting elastic but below the fully plastic carrying capacity. The failure of redundant metal structures to attain their full plastic carrying capacity has also been demonstrated in many other experiments<sup>8</sup>, and the rules that have to be followed to ensure this carrying capacity<sup>9</sup> can be successfully applied only to a very narrow range of structural types.



The orthodox adherents of plastic limit design also chose to discount the practical relevance of the results of experiments on structures loaded by movable or reversed loads or by repeated loads or loads of variable intensity and/or configuration which have conclusively shown<sup>10</sup> that the limiting loads reached in plastic shake-down processes are much closer to the elastic than to the plastic limit loads, so that the range of applicability of the simple plastic limit load analysis is, in fact, severely restricted and becomes doubtful even in the case of multiple floor frame structures of heights at which the wind stresses in the principal members attain intensities comparable to the load-stresses. The small differences between the results of shake-down analysis and of elastic analysis makes it, in fact, appear that under the many conditions under which shake-down analysis would be necessary, elastic analysis with limiting conditions derived from low-cycle fatigue tests is fully adequate.

## 2.2 Elastic-Plastic Analysis.

In their assessment of the rigid-plastic limit analysis for metal structures as "more realistic than the elastic analysis" (p. 9) the authors of the Introductory Report<sup>6</sup> subscribe to the thesis that rigid-plastic analysis, being superior to the elastic analysis, makes the latter superfluous and can therefore completely replace it, independently of the nature of the phenomena arising in the transition from the assessed rigid (in reality elastic) into the fully plastic state. Most of the relevant references of the Introductory Report subscribe to this point of view, from which it follows that theoretical and experimental investigations of this transition have been and are, from an engineering point of view, unnecessary; their results are, therefore, best relegated to oblivion being irrelevant: they either support the assumptions of plastic limit-analysis, in which case they are "self-evident", or they contradict it, in which case they are unwelcome. The list of references of the Introductory Report reflects this point of view clearly, though perhaps not deliberately: after the usual courtesy to Kazinczy (1914) and Kist (1917)<sup>11</sup>, the next reference to plastic analysis dates from 1951. The period of the really pioneering experimental and analytical engineering research relative to plasticity and structural design between 1920 and 1940, in the course of which the basis of the plastic limit analysis has been carefully established, and many of the important results of which can be found in the IASBE Congress Proceedings has, therefore, been deleted from memory. As far as the reader of the Introductory Report is concerned, the basic research on the subject of Theme Ia by J. Fritsche<sup>12</sup>, E. Melan<sup>13</sup>, H. and F. Bleich<sup>14</sup>, Chwalla<sup>15</sup>, Stussi<sup>7</sup>, and others<sup>16</sup> might have never been done, nor might this theme have ever attracted the attention of a previous IABSE Congress.

This curious distortion of perspective, reinforced by the statement that "until 1940 the only method taught and applied was elastic theory" reflects the suprisingly widely held belief that plastic limit design somehow originated in England at about 1950. This belief may have arisen because at the Fourth IABSE Congress (1952) the English group not only completely dominated the proceedings on Theme I3 (Plastic Design), but also consistently omitted to refer to any work done before 1950, except for an oblique reference to Meier-Leibnitz as "having first introduced the concept of the plastic hinge," although in his classic survey of the experimental work in structural plasticity<sup>8</sup>, Meier-Leibnitz rather than "introduce" the concept of the plastic hinge has scrutinized the experimental evidence and, on this basis, carefully discussed and specified the conditions limiting the application of this concept in design.

### 2.3 Decision Rules.

The Introductory Report puts considerable emphasis on the fact that problems of plastic limit analysis can be formulated as problems in linear programming, so that computerized plastic analysis and automatic minimum weight design on this basis can be expected to replace most of the effort of the designer. It should be remembered, however, that apart from the physical limitations of the validity of such analysis, the question of when or whether a minimum weight or minimum material cost criterion provides a valid decision rule for structural design, or whether such a rule leads to a unique answer has never been even formulated. In the absence of a clear answer it appears that a minimum weight or minimum material cost criterion may not produce a minimum cost design, in view of the fact that in structures the cost of labour (fabrication, erection) is inversely rather than directly proportional to the weight of the material.

### 2.4 Linear Visco-elastic Analysis of Concrete.

The Introductory Report recommends the theory of linear visco-elasticity as a basis for the analysis of reinforced concrete structures, referring to creep experiments that were limited to low compressive stress levels for support of this recommendation. While the application of linear-visco-elastic analysis within the range of applied operational stresses can thus be justified, the difficulty arises of selecting visco-elastic models relevant for loads of long duration on the one hand, and loads of relatively short duration and dynamic loads on the other. Attempts to cover the whole time-range with a single model are futile since differential models would involve differential quotients of too high order to permit complete specification of initial conditions for the solution of problems, while the experimental determination of a memory function for a Boltzman integral representation presents

practically unsurmountable difficulties.<sup>18</sup> Obviously, the Kelvin model, suggested in the Introductory Report, is identical with the elastic medium for loads of long duration and completely useless for dynamic loads because of the unlimited increase of its damping with frequency. It has been shown that Burgers model<sup>19</sup> with parameters derived from tests of long duration containing a nonlinear "dashpot" that may become linear for low stresses is the simplest representation of the long-term mechanical response of concrete, to be used for analysis of dead load stresses and deformations, as well as of other long-term phenomena such as shrinkage and temperature stresses as well as of the effect of creep and relaxation on prestress-level and deformation of prestressed structures. For operational stresses of short duration and dynamic effects the Standard Solid<sup>20</sup> with short-time parameters seems adequate.

It appears that students nowadays do not spend enough time on exploring the literature in the field of their intended research before starting their own work, as otherwise the student referred to in the Introductory Report (p. 12) could have found that problems of increase, with time, of "second order" moments (moments in the deformed structure) due to creep, particularly in flat, long span arches where this increase tends to lead to instability<sup>21</sup> ("creep-buckling"), as well as of unexpectedly large relaxation of prestress due to the non-linearity of the creep<sup>3</sup> have been analytically treated quite some time ago. This knowledge might have induced him to expand his research beyond the limits of previous research efforts, considering, for instance, that it has been shown that even within the stress-range within which the use of linear visco-elastic theory is applicable, the fact of the increase with time of the coefficients of viscosity of the model due to time-hardening of the concrete, which requires the replacement of these parameters by time functions, severely curtails the usefulness of the correspondence principles, since it leads to differential equations with variable coefficients that have no correspondence in elastic theory and can usually not be solved in closed form. These difficulties, which arise from the discrepancy between the assumptions of linear visco-elastic theory and the behavior of a real material like concrete, must be carefully considered in the application of this theory as a method of structural analysis.

## 2.5 Non-linear and Failure Analysis of Reinforced Concrete.

Problems of non-linear analysis of reinforced concrete, as distinct from failure analysis, are of limited practical significance and arise only when compressive stresses in the concrete attain between one third and two thirds of the unconfined compressive strength while the reinforcement remains elastic. Such conditions, which are rarely considered in design,



cause, where they occur, moderate redistribution of elastic stresses and moments and can be repeatedly applied without producing deterioration of the micro-structure followed by destruction of the concrete or abnormal cracking in the tension zone.

Failure of reinforced concrete sections subject to transient, sustained or repeated bending moments is initiated either when the compressive stress attains not less than 80 to 85 percent of the compressive strength under single load application, or when the stress in the reinforcement attains the yield limit or the fatigue strength associated with the number of load cycles, or both. While a substantial "plastic" redistribution of stresses and of bending moments may accompany the gradual crushing of the concrete or the plastic yielding of the reinforcement, the state attained is one of incipient or progressive destruction; it is not comparable, in its implications, to the "plastic resistance" of metals which is accompanied by a capacity for substantial, irreversible, but non-destructive deformation. This is the reason that the early analysis of similar conditions in reinforced plates was not introduced as plastic design, but as failure or rupture design and the traces of destruction as "rupture lines", in accordance with the realistic assessment of this condition, which is missing in the more recent attempts to apply plastic limit analysis to reinforced concrete. The objections to these attempts have been clearly summarized by G. Winter at the Miami Symposium<sup>22</sup> and repeated in the Introductory Report, with implied dissatisfaction at the "reluctance of the engineers to exploit the inelastic phenomena in beams". This reluctance is, however, well founded, and can hardly be compensated by the "advantages" of mathematical optimization of the design in terms of "economic functions" of similarly dubious engineering relevance as in the case of plastic design of metal structures for minimum weight or minimum material cost.

The fact that design for failure<sup>2,3</sup> utilizing lines of rupture, can be successfully applied to the prediction of the carrying capacity of plates does not imply that this procedure represents a kinematic limit design as understood in the theory of plasticity. Such plates are usually under-reinforced and fail simultaneously within the span and along the (fixed or continuous) supports by yielding of the reinforcement, with heavy fissurization of the concrete; problems of moment-redistribution and capacity of hinge, rotation do not arise.

Extension of this method to shells is difficult and uncertain, particularly because of the effect of creep due to the sustained compressive forces. The recommendation of the Introductory Report to obtain the necessary information for creep failure analysis of reinforced concrete shells from

model tests using "a material that represents as closely as possible the real material" is, in fact, a call for full-scale testing since the rules of similitude preclude meaningful structural model tests with reduced geometric dimensions but unchanged material rigidity and creep response.

### 3. Design Procedures.

It appears from the Introductory Report that there is a divergence in the approach to "limit-design" between those concerned with steel structures and those concerned with reinforced concrete structures. While the former seem to consider limit-design for plastic collapse as the unique design procedure, to be applied to the exclusion of any other and in particular of elastic design, thus completely disregarding the dual aspect of design for operation (serviceability) and design against failure (reliability), the latter, becoming increasingly conscious of the fact that between the stages of the first appearance of fine cracks in the concrete and final structural failure (usually by collapse due to a mixture of total and partial destruction of the resistance of a relatively small number of critical section) there are many intermediate stages, seem to have developed the belief that engineering design should, ideally, consist in matching of the structural resistance at several intermediate stages with their "corresponding loads" and associated probabilities of occurrence<sup>24</sup>. This latter point of view as much overstates the complexity of the design-problem as the former understates it. In the Preliminary Publication of the Eighth IASBE Congress an attempt was made to present the principal aspects of the probabilistic approach to safety<sup>25</sup>, which provides the only rational way to a balanced design procedure, and to discuss the effect of the deformational response of the structural material on this procedure<sup>26</sup>. In the light of this discussion it can be concluded that the consideration of "intermediate" stages between the limits of serviceability and of failure, which would have to include consideration of their respective statistical dispersions, complicates the procedure unnecessarily, since only in the cases of very costly structures subject to purely stochastic destructive loads, such as sea-walls, break-waters and off-shore platforms as well as, perhaps, tall buildings subject to earthquakes does it become necessary to consider conditions of partial damage, so that the cost of repair of structural damage associated with such pre-failure (intermediate) conditions which, in decision theory, are known as conditions of "success-loss", may strongly affect the design decisions<sup>27</sup>. This is, however, not the case in the design of the majority of engineering structures for operational loads for which, therefore, the recommendation of CEB to design for the two criteria of serviceability and of failure seems fully adequate. It would be desirable to use the same approach also in the design of metal structures.

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## Large Plastic and Viscous Deformations of Dynamically Loaded Structures

Les grandes déformations plastiques et visqueuses des structures sous charge dynamique

Grosse plastische und viskose Verformungen dynamisch belasteter Konstruktionen

### 1. INTRODUCTION

The general report points out very clearly that under statical conditions the plastic and viscous deformations and the effects of geometry changes influence significantly the ultimate behavior of structures. The purpose of this report is to illustrate, that these phenomena play even more important role in structures which are subjected to impulsive or pressure (blast-type) loading and undertake large deformations. At this special kind of loading the maximum deflections and the load carrying capacity depend on the energy which can be absorbed by the structure. In case of elasto-plastic material the plastic energy dissipation capacity is usually dominant and allowing moderately large plastic deformations the changes in geometry also influence its magnitude in a considerably manner. In addition, some materials (e.g. mild steel) are sensitive to strain-rate, therefore, considering rapid loading the viscous properties can have also an important effect.

The exact analysis of this kind of problems even at very simple structures results in fairly complicated calculations, which cannot be used widely in the practice (see e.g. [6,7]). In order to overcome these difficulties among others a simple approximate method has been elaborated for the estimation of the permanent deflections [4,5,8]. This method will form the basis of our forthcoming investigation.

### 2. THE CONCEPT OF THE APPROXIMATE METHOD

The state of a rigid-plastic structure with density  $\rho$  is characterized by the displacement, velocity and acceleration fields  $u_i$ ,  $\dot{u}_i$  and  $\ddot{u}_i$ .

At pressure loading the external pressure can be described in the form  $T_i = p(t)T_i^0$ . Here  $T_i^0$  is the function of coordinates  $x_i$  and defines the distribution of loading, while the load parameter  $p(t)$  gives its magnitude in any instant of time. In our investigations

$$\begin{array}{ll} \text{if } 0 < t < t_0 & p(t) \equiv p_0 \\ \text{if } t > t_0 & p(t) \equiv 0. \end{array}$$



The initial conditions of motion are: at  $t=0$ ,  $u_i = \dot{u}_i = 0$ .

At impulsive loading  $p(t) \equiv 0$ , but an initial velocity field  $V_i$  is prescribed: at  $t=0$ ,  $u_i = 0$ ,  $\dot{u}_i = V_i$ .

During the dynamic response the displacements of the structure change not only their magnitude but their distribution, as well (travelling hinges etc.). Consequently, they can be expressed in the general form  $u_i(x_i, t)$ . The aim of our approximate method is to replace the actual displacements by a stationary kinematically admissible displacement field  $u_i^*$  which can be expressed in a product form  $u_i^* = w_0(t) u_i^c(x_i)$ . Using this mode approximation the determination of the maximum permanent displacements is reduced to the solution of a one-degree-of-freedom system [8]. The differential equation of motion of this equivalent system is

$$w_0 = K[p(t) - r(t)] \quad (1)$$

$$\text{where} \quad K = \frac{\int_A T_i^0 u_i^c dA}{\int_V \rho u_i^c u_i^c dV} \quad (2)$$

and  $r(t)$  is the resistance displayed by the structure under quasi-static conditions. This function can also be approximated in a product-form

$$r(t) = p_c r_1(w_0) r_2(\dot{w}_0) \quad (3)$$

where  $p_c$  is the simple collapse load factor and  $r_1$  and  $r_2$  express the influence of changes in geometry and strain-rate sensitivity, respectively. They all are related with the predicted displacement field  $u_i^c$ .

In most structures  $r_1$  and  $r_2$  can be expressed in the simple form:

$$r_1 = 1 + z_1 w_0^n, \quad r_2 = 1 + z_2 \dot{w}_0^m. \quad (4)$$

Here  $z_1$ ,  $z_2$ ,  $n$  and  $m$  are constants,  $w_0 = W_0/H$  and  $H$  is a characteristic dimension (thickness) of the structure. Then, equation (1) can be transformed as bellow.

a/ Pressure loading:

$$\frac{d^2 w_0}{d\tau^2} + \frac{\lambda_0^2}{\eta^2} \left[ 1 + z_1 w_0^n \right] \left[ 1 + z_2 \left( \frac{dw_0}{d\tau} \right)^m \right] = \delta \frac{\lambda_0^2}{\eta} \quad (5)$$

Where  $\tau = t/t_0$ ,  $\lambda_0 = KI^2/Hp_c$ ,  $I = p_0 t_0$ ,  $\eta = p_0/p_c$

and  $\delta = 1$  if  $0 < \tau < 1$ ,  
 $\delta = 0$  if  $\tau > 1$

b/ Impulsive loading:

$$\frac{d^2 w_0}{dt^2} + \frac{v_0^2}{\lambda_0} \left[ 1 + z_1 w_0^n \right] \left[ 1 + z_3 \left( \frac{1}{v_0} \frac{dw_0}{dt} \right)^m \right] = 0 \quad (6)$$

Here  $z_3 = v_0^m z_2$  and  $v_0 = V_0/H$ . The latter denotes the parameter of the initial velocity field and can be determined from the impulse  $I$ , which represents the dynamic pressure.

The purpose of our investigation is to determine the maximum value of  $w_0$  when the structure is in rest: i.e. at  $t=t_f$ ,  $\dot{w}_0=0$ , and  $w_0=w_0^{\max}$ . Then, the maximum permanent displacements can be estimated:

$$u_i^{\max} \approx w_0^{\max} u_i^c$$

Using the present approximate method the investigation of different problems is relatively simple. The quasi-static solutions are available in the literature or can be gained by the suitable assumption of the functions (4). The non-linear second order differential equations (5) and (6), respectively, are to be solved numerically.

### 3. APPLICATIONS

In order to illustrate the application of the method and the influence of geometry changes and viscous effects on the dynamic response of structures some results of our investigations will be presented.

#### 3.1 CIRCULAR PLATE

The simply supported circular rigid-viscoplastic plate with outer radius  $r=R$  and fully plastic moment  $M_0$  is subjected to a uniformly distributed dynamic pressure represented by an impulse per unit area ( $I$ ). The transverse deflections and the initial velocities are assumed

$$w = w_0(t) \left( 1 - \frac{r}{R} \right)$$

$$v = v_0 \left( 1 - \frac{r}{R} \right)$$

Here  $v_0 = V_0/H$  and from dynamical considerations  $V_0 = 2 I/\mu$ ,  $\mu = \rho H$ . The simple collapse load factor is  $p_c = 6M_0/R^2$  and according to equation (2)  $K = 2/\mu$ .

The effect of membrane forces at large deflections will be taken into account by choosing  $z_1 = 1/3$  and  $n=2$  in equation (6) [1]. The influence of strainrate sensitivity in steel plates can be also significant. In case of linear viscosity the parameters of equation (6) are  $z_3' = 0,8(HV_0/\gamma R^2)$ ,  $m'=1$ . Here the viscous constant  $\gamma$  can be determined from experiments [3]. In case of non-linear viscosity  $z_3'' = 1,13(HV_0/2DR^2)^{1/m''}$ , where for steel  $m''=1/5$  and  $D=40,4 \text{ sec}^{-1}$  [6].



Some results of our investigations are plotted in Fig.1.

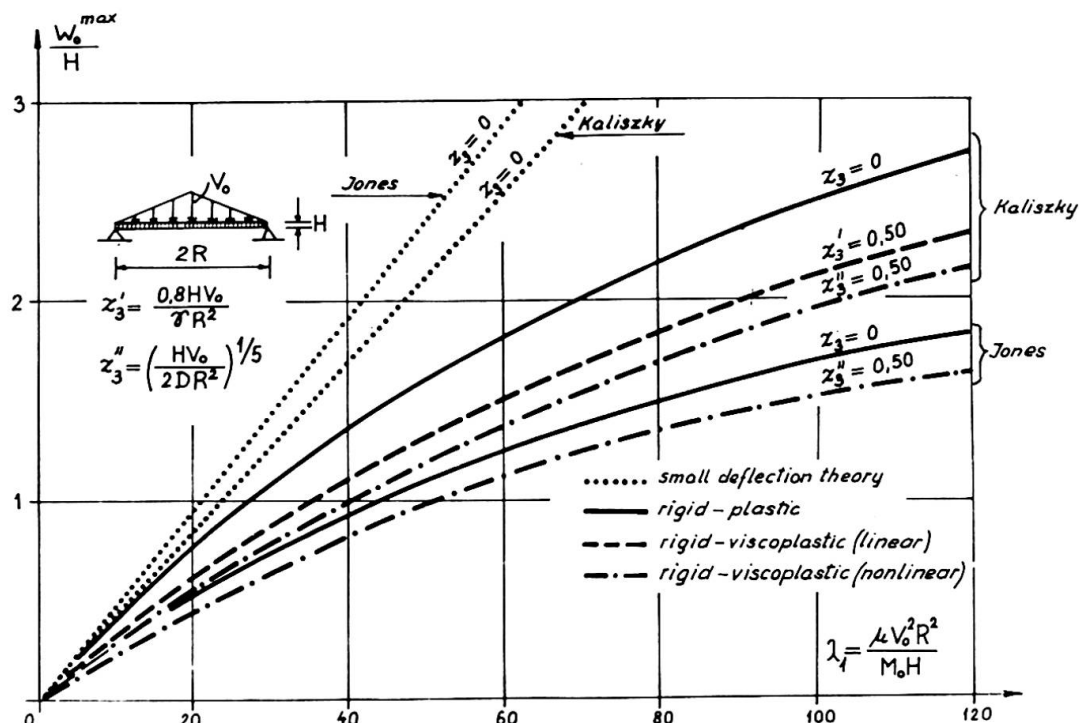


Fig.1. Circular plate under impulsive loading.

Here, for the sake of comparison, N.Jones' more accurate solution and the results of the simple bending theory are also illustrated [6,7]. Fig.2. represents the maximum permanent deflections in case when the structure is subjected to a pressure loading and the viscous effects are not taken into consideration.

### 3.2 SHALLOW SPHERICAL SHELL

Consider a rigid-plastic shallow spherical membrane shell with a hinged edge and subjected to an internal dynamic pressure uniformly distributed over the plane (Fig.3.). According to the membrane solution the simple collapse load factor is  $p_c = 2N_0/R$ ,  $N_0 = G_0 H$  and assuming the transverse deflections in the form

$$w = w_0(t) \left[ 1 - \left( \frac{r}{L} \right)^2 \right]$$

the constant defined by equation (2) is  $K = 3/2\mu$ . As the quasi-static solution of problem shows [9] taking into consideration the influence of changes in geometry the parameters in equation (5) can be chosen as  $n=1$ ,

$$z_1 = \left( \frac{2}{R/H} \right)^2 \quad \text{and omitting the viscous effects} \quad z_2 = 0.$$

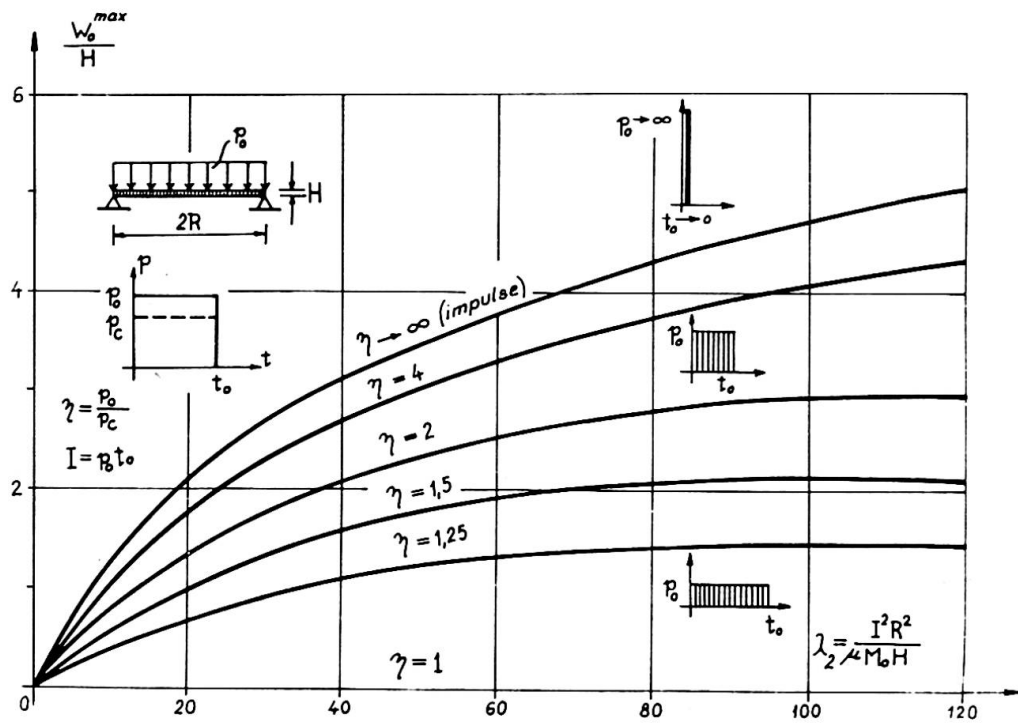


Fig.2. Circular plate under pressure loading.

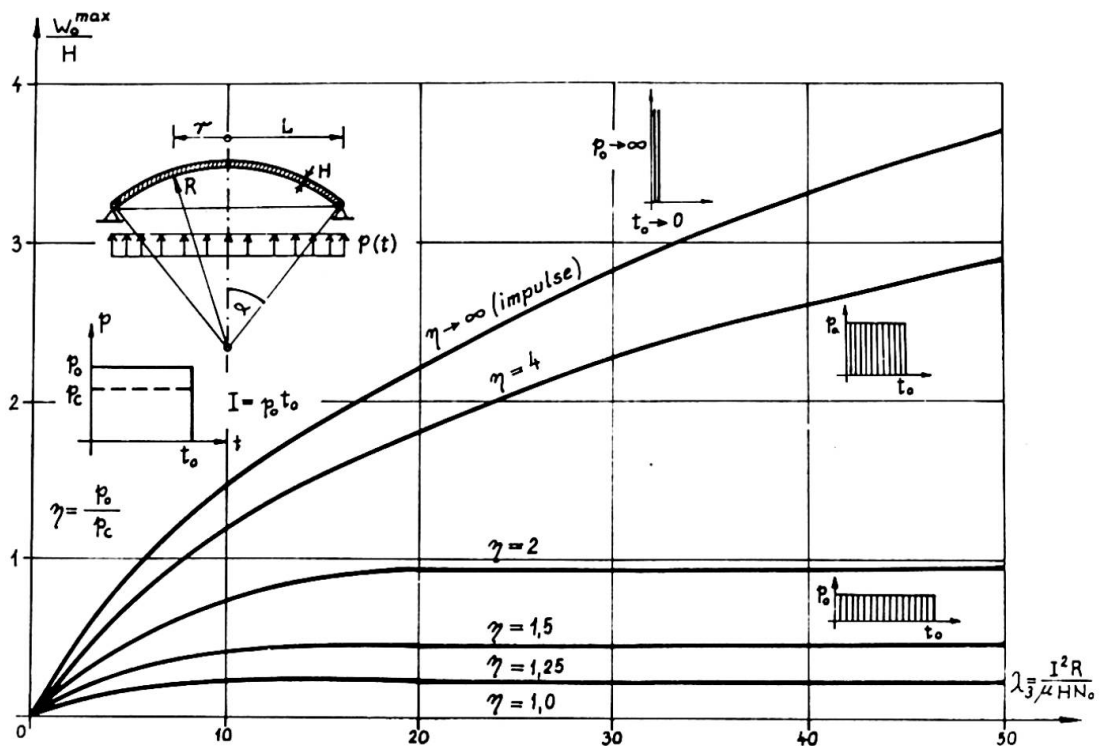


Fig.3. Spherical shell under pressure loading.

To illustrate the results the maximum permanent deflections in the function of  $\eta = p_0/p_c$  and  $\lambda_3 = I^2 R / \mu H N_0$  for the parameters  $R/H=25$  and  $L/R=\sin\alpha=0,20$  are given in Fig.3.

### 3.3 PORTAL FRAME

Consider an encastred rigid-plastic portal frame with constant fully plastic moment  $M_0$  subjected to constant vertical loads  $V$  and to uniformly distributed horizontal dynamic pressure  $p(t)$  (Fig.4.). Assuming plastic hinges at the top and the bottom of the columns the simple collapse load factor and the constant defined by equation (2) can be obtained  $p_c = 8M_0/L^2$  and  $K=3/5\mu_0$ . Here  $\mu_0$  is the mass per unit length.

In our former examples the changes in geometry were increasing the resistance of the structure. At the present problem, however, during the horizontal displacements the increasing moments caused by the vertical loads are decreasing the resistance of the frame. Taking into account this effect the parameters of equation (5) can be determined from simple statical considerations:  $z_1 = -(V/p_c) L(H/L)$ ,  $n=1$  and omitting the viscous effects  $z_2=0$ .

Using the parameters  $H/L=1/10$  and  $V/P_c L=5$  the maximum horizontal displacements in the function of  $\lambda_4 = I^2 L^2 / \mu_0 H M_0$  are illustrated in Fig.4. Here  $H$  denotes the constant height of the cross-sections.

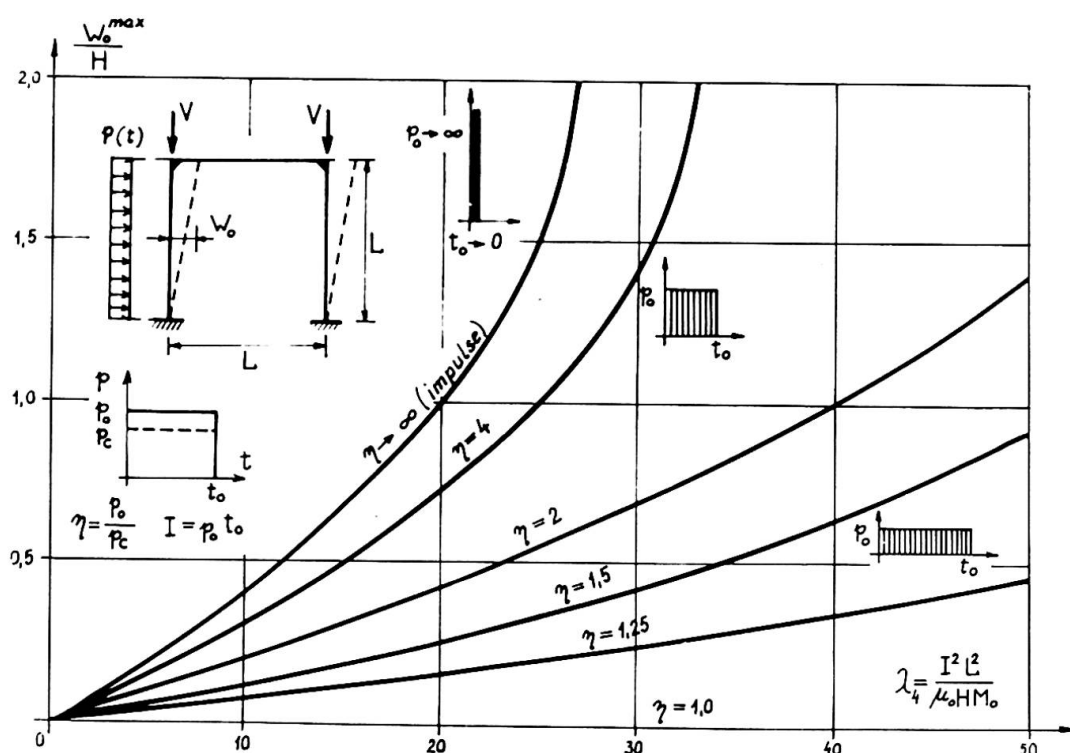


Fig.4. Portal frame under pressure loading.

#### 4. CONCLUSIONS

According to our investigations the changes in geometry can influence the maximum permanent deflection of structures significantly. In particular this effect is very important when as a consequence of compressive forces the resistance of the structure is of decreasing character. Then, the neglect of the second order effects is at the safety's cost. The strain-rate sensitivity even in steel structures does not have a great importance.

Whenever the load-deflection and deflection-rate relation of the corresponding static problem is known or can be described approximately, the method presented can be used for the rapid calculation of the maximum permanent deflections of any kind of structures subjected to pressure or impulsive loading. The idea of the method can be extended for the investigation of other piecewise linear or non-linear phenomena as e.g. strain-hardening and elasto-plastic deformations.

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### SUMMARY

An approximate method is presented for the prediction of the maximum permanent deflections of structures undertaking large plastic and visco-plastic deformations under impulsive or pressure loading. As illustrative examples a circular plate, a shallow spherical shell and a portal frame are investigated. According to the numerical results mainly the effect of changes in geometry can influence the response of dynamically loaded structures significantly.

**Rheological Theory of Membranes Undergoing Large Deformations  
(Physical, Geometrical and Engineering Aspects)**

Théorie rhéologique des membranes soumises aux grandes déformations  
(Ses aspects physiques, géométriques et techniques)

Rheologisch-theoretische Untersuchung von Membranen unter Berücksichtigung  
grosser Deformationen  
(Deren physikalische, geometrische und ingenieur-technische Aspekte)

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**Introduction**

In the last years, a rapid development of the theory of large deformations can be observed. It is due to the need of obtaining a more powerful tool in investigating the modern structural materials and their mechanical properties. However, the research work in this field is mostly concentrated on the elastic behaviour of rubberlike materials under certain specific conditions. A systematic development of this direction is given in the books of Green and Zerna <sup>1</sup> and Green and Adkins <sup>2</sup>. In the latter, we also find some indications which may lead to further generalizations of the theory as far as materials with rheological properties are concerned. It should be mentioned, however, that because of the generality of considerations, lack of physical aspects and applications they only point out clearly the difficulties encountered in formulating the problem.

In recent structural mechanics and the design of engineering structures, we are often faced with the necessity of considering nonlinearities of different kinds, even within the classical concepts of strain and stress states. However, all of them cumulate, if large displacements and, especially, large deformations of flat or spatial modern constructions have to be taken into account. Then the problem is that of a double nonlinearity: physical and geometrical. A typical example of such a problem in engineering are the deformation and stress states in a pneumatic structure. Except some particular cases, the theory of pneumatic structures must necessarily be based on that of nonlinear membranes. Furthermore, since the materials used, such as, for example, plastics and textiles, are very extensible, the constructions undergo large deformations.

Depending on the physical properties of the applied material and loading conditions, it is then necessary to take into account not only the instantaneous effects which occur at the instant of pressure application, but also time-dependent phenomena. These are of rheological nature and may considerably influence the resulting states of strain and stress.

Although the rheological aspects of the theory of nonlinear membranes are of great practical importance in different fields of applications, the available information to be found in literature is rather scarce. It is evident that one of the reasons of such a situation is the lack of an appropriate theoretical approach to interpreting experimental data for real materials in question. On the other hand, it seems to be clear that without such data concerned particularly with large deformations in multiaxial states of strain and stress, the rheological theory of nonlinear membranes may less be determined in an explicit way as could be expected on the basis of its mathematical strictness.

Even if a physical nonlinear theory is founded on proper assumptions, the problem of solving the resulting nonlinear integral or differential equations for the considered concrete cases of practical significance still remains. It is evident that solutions can be found only by applying approximate methods. If these methods are appropriate and carefully chosen, we may, in some particular cases, even expect to obtain analytical results. It would then be possible to have a more general basis for discussions than in the case of a numerical solution.

The main difficulty in establishing a physical theory of large rheological deformations, besides that mentioned above, lies in a proper choice of the form of constitutive equations and physical variables which we want to expose as those of outstanding importance. In the theory of nonlinear membranes it is preferable to have stresses expressed through strains or strain rates. Therefore, all theories which are founded on strain superposing rather than stress are not very suitable in application. This is due to the fact that usually the inversion of a constitutive equation, if at all possible, leads to complicated expressions for stresses, particularly in high nonlinear cases.

According to our opinion, the most convenient approach in founding a physical theory for our purposes, especially concerned with nonlinear membranes of rotational symmetry, is that based on energy considerations. Since a nonlinear rheological process is mainly associated with dissipation of mechanical energy, it seems to be reasonable to introduce into investigation the form of dissipation power.

On the other hand, in order to obtain a more clear physical significance of constitutive relations, it is possible to make use of the known concepts of thermodynamical potentials of deformation states. Independently of the fact that thermodynamical equalities find, in principle, application to stationary reversible processes, they can also be utilized under certain conditions by analogy in investigating quasi-stationary irreversible ones. Thus, the stresses can be found as derivatives of the corresponding energy forms which are functions of the strain state invariants.

Especially, use can be made of dissipative potentials (often introduced in analogy to elastic potentials) when solving plastic and creep problems by applying variational theorems and methods.



It is the main aim of our paper to give a comprehensive discussion of the problem of setting up a physical theory of nonlinear viscoelastic materials which can be adapted directly to membranes exhibiting large deformations. Because of specific features of the problem in the case of rotational symmetry, which we want to study exclusively, characterized by symmetry of strain and stress states, it is possible to base our considerations on purely homogeneous deformation. Therefore, we do not intend to go deeper into generalities than necessary for our purposes. We shall touch these questions only which, according to our opinion, are fundamental and can lead us directly to effective results. In realizing this aim we bear in mind the possible applications.

In our further investigation we shall assume that materials considered are isotropic, homogeneous and incompressible.

### 1. Geometrical aspects of the theory

We consider geometry of deformation of a nonlinear membrane the middle surface of which at time  $t = t_0$  (neutral state) is denoted by  $S_0$  and represented by dotted lines in Fig. 1.  $S_0$  is generated by the revolution of a plane curve  $f$  through a full angle about  $x_3$ -axis in its plane. The curve  $f$  has no multiple points and is smooth. All kinds of singularities are excluded from our considerations.

The membrane is of very small thickness  $2h_0$  which is constant in the neutral state.

$S_0$  is given in a system of cylindrical coordinates  $x_i$  ( $i=1,2,3$ ).

At  $t=t_0$  the membrane is loaded by a uniform pressure  $p = p(t)$  and at an arbitrary instant  $t$ , in consequence of deformation process, we obtain a different rotational membrane with initial axis of symmetry. Its middle surface is now  $S$  and thickness  $2h$ . The latter varies with surface coordinates and time.

We assume for  $S$  a system of cylindrical coordinates

$\bar{x}_i$ . Both the systems assumed satisfy the relations

$$x_i = x_i(\bar{x}_j, t), \quad \bar{x}_i = \bar{x}_i(x_j, t), \quad (1.1)$$

where  $j = 1, 2, 3$  for every  $i$ .

On the other hand, we introduce a system of curvilinear coordinates  $\theta_i$  in which  $\theta_\alpha$  ( $\alpha=1, 2$ ) coincide with lines of main

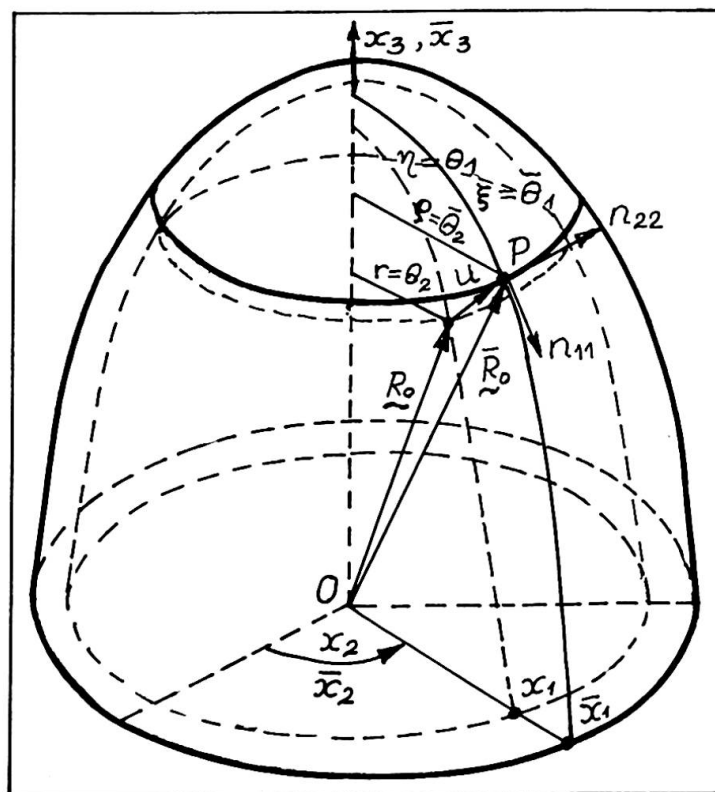


Fig. 1

curvatures on  $S_0$ .  $\theta_1$  varies within the values  $\pm h_0$  on the direction of outward normal to  $S_0$  and  $\theta_3 = 0$  defines  $S_0$ . This system deforms in time together with the membrane and the set of  $\theta_i$  related to a fixed (at  $t_0$ ) point  $P_0$  remains attached to it as it moves to a new position  $P$  at  $t$ . Thus, we may write

$$x_i = x_i(\theta_j) \quad , \quad \bar{x}_i = \bar{x}_i(\theta_j, t) \quad , \quad (1.2)$$

where  $j = 1, 2, 3$  for every  $i$ .

Displacement vector of  $P_0$  to  $P$  is given as the difference of corresponding radius vectors of these positions from the origin  $O$

$$u(\theta_1, t) = \bar{R}_0^*(\theta_1, t) - R_0^*(\theta_1) = \bar{R}_0(\theta_\alpha, t) - R_0(\theta_\alpha) + \theta_3 [A_3(\theta_\alpha, t) - a_3(\theta_\alpha)] \quad , \quad (1.3)$$

where the vectors  $\bar{R}_0$  and  $R_0$  are related to  $S$  and  $S_0$ , respectively, and  $a_3$  and  $A_3$  are vectors normal to  $S_0$  and  $S$ . Thus, for a point on the middle surface we find from (1.3)

$$u_0(\theta_\alpha, t) = \bar{R}_0(\theta_\alpha, t) - R_0(\theta_\alpha) \quad . \quad (1.4)$$

The line elements on  $S$  and  $S_0$ , corresponding to the vectors  $\bar{R}_0$  and  $R_0$  are, respectively,

$$ds^2 = A_{\alpha\beta} d\theta_\alpha d\theta_\beta \quad , \quad (1.5)$$

$$ds_0^2 = a_{\alpha\beta} d\theta_\alpha d\theta_\beta \quad , \quad (1.6)$$

where  $A_{\alpha\beta}$  and  $a_{\alpha\beta}$  are covariant base tensors of  $S$  and  $S_0$ , respectively.

According to the existing symmetry we define the principal extension ratios  $\lambda_i$  ( $i=1, 2, 3$ ) in meridional, latitudinal and normal directions. These coincide with the principal directions of strain. Denoting by  $\bar{\theta}_1$  and  $\theta_1$  the arc lengths measured along meridians from  $A$  to  $P$  and  $A_0$  to  $P_0$ , respectively, we have

$$\lambda_1 = d_{\theta_1} \bar{\theta}_1 \quad , \quad \lambda_2 = \bar{x}_1/x_1 \quad , \quad \lambda_3 = \lambda = (\lambda_1 \lambda_2)^{-1} \quad , \quad (1.7)$$

where  $d_{\theta_1} = d/d\theta_1$ ; the value of  $\lambda$  (from the condition of incompressibility) being dependent on both the remaining ratios.

On the basis of Eq. (1.7) we may write Eqs. (1.5) and (1.6) as follows

$$ds^2 = \lambda_1^2 (d\theta_1^1)^2 + \lambda_2^2 x_1^2 (d\theta^2)^2 \quad , \quad (1.8)$$

$$ds_0^2 = (d\theta^1)^2 + x_1^2 (d\theta^2)^2 \quad , \quad (1.9)$$

and thus define the surface strain tensor

$$\gamma_{\alpha\beta} = \frac{1}{2} (A_{\alpha\beta} - a_{\alpha\beta}) \quad , \quad (1.10)$$

given by the difference of Eqs. (1.8) and (1.9)

$$2\gamma_{\alpha\beta} d\theta_\alpha d\theta_\beta = ds^2 - ds_0^2 = (\lambda_1^2 - 1)(d\theta^1)^2 + x_1^2 (\lambda_2^2 - 1)(d\theta^2)^2 \quad . \quad (1.11)$$

The relative extension of the line element  $ds$  is then found to be

$$\gamma = (ds - ds_0)/ds_0, \quad (1 + \gamma)^2 - 1 = 2\gamma_{\alpha\beta} d\theta_\alpha d\theta_\beta (ds_0)^{-2}. \quad (1.12)$$

Let us consider now <sup>the</sup> strain rate tensor which plays an important part in what follows. If the rheological process of deformation is such that at  $t_0$  the line element  $ds_0$  is given by Eq. (1.6) and at  $t$  by Eq. (1.5), then at  $t+dt$  its length becomes equal to

$$ds + d(ds) = (1 + \gamma) ds_0 + d(ds), \quad (1.13)$$

the rate of extension being  $d(ds)/dt$ . Thus, the deformation rate is obtained as the ratio  $[d(ds)/dt]/ds$

$$\omega = \dot{\gamma}(1 + \gamma)^{-1}, \quad \dot{\gamma} = d\gamma/dt. \quad (1.14)$$

On the other hand, by differentiating Eq. (1.12) at fixed  $t$  we find

$$\dot{\gamma}(1 + \gamma) = \dot{\gamma}_{\alpha\beta} d\theta_\alpha d\theta_\beta (ds)^{-2}, \quad (1.15)$$

and from Eq. (1.14) follows that

$$\omega = \dot{\gamma}_{\alpha\beta} d\theta_\alpha d\theta_\beta [(1 + \gamma) ds]^{-2}, \quad (1.16)$$

the counterpart of Eq. (1.11) being

$$\dot{\gamma}_{\alpha\beta} d\theta_\alpha d\theta_\beta = \dot{\lambda}_1 \lambda_1 (d\theta^1)^2 + x_1^2 \dot{\lambda}_2 \lambda_2 (d\theta^2)^2. \quad (1.17)$$

Since on the basis of Eq. (1.11) we have main strains at the middle surface

$$\gamma_{11} = \lambda_1^2 - 1, \quad \gamma_{22} = (\lambda_2^2 - 1) x_1^2, \quad (1.18)$$

the main strain rates are

$$\dot{\gamma}_{11} = \dot{\lambda}_1 \lambda_1, \quad \dot{\gamma}_{22} = \dot{\lambda}_2 \lambda_2 x_1^2. \quad (1.19)$$

The nondimensional strain tensor components are obtained by means of transformation

$$e_{\alpha\beta} = \gamma_{\alpha\beta} (a_{\alpha\alpha} a_{\beta\beta})^{-\frac{1}{2}}, \quad (1.20)$$

which instead of Eq. (1.18) gives

$$e_{11} = e_1 = \lambda_1^2 - 1, \quad e_{22} = e_2 = \lambda_2^2 - 1. \quad (1.21)$$

Thus, the nondimensional strain rate tensor components are

$$\dot{e}_1 = \dot{\lambda}_1 \lambda_1, \quad \dot{e}_2 = \dot{\lambda}_2 \lambda_2. \quad (1.22)$$

The remaining components of the general strain tensor  $\gamma_{ij}$

are found on the basis of general metric tensors for  $S$  and  $S_0$ , denoted  $G_{ij}$  and  $g_{ij}$ , respectively.

For an arbitrary point of the membrane we may write, respectively,

$$G_{\alpha\beta} = A_{\alpha\beta} - 2\theta_3 B_{\alpha\beta}, \quad G_{\alpha 3} = 0, \quad G_{33} = \lambda^2, \quad (1.23)$$

where

$$A_{11} = \lambda_1^2, \quad A_{22} = \lambda_2^2, \quad A_{\alpha\beta} = 0 \quad (\alpha \neq \beta), \quad B_{11} = -k_{11}, \quad (1.24)$$

$$B_{22} = -k_{22}, \quad B_{\alpha\beta} = 0 \quad (\alpha \neq \beta),$$

and

$$g_{\alpha\beta} = a_{\alpha\beta} - 2\theta_3 b_{\alpha\beta}, \quad g_{\alpha 3} = 0, \quad g_{33} = 1, \quad (1.25)$$

where

$$a_{11} = 1, \quad a_{22} = \lambda_1^2, \quad a_{\alpha\beta} = 0 \quad (\alpha \neq \beta), \quad b_{11} = -k_{11}^0, \quad (1.26)$$

$$b_{22} = -k_{22}^0, \quad b_{\alpha\beta} = 0 \quad (\alpha \neq \beta).$$

Here  $B_{\alpha\beta}$ ,  $b_{\alpha\beta}$  are tensors associated with the second fundamental form of the surfaces  $S$  and  $S_0$ , respectively, and  $k_{\alpha\beta}$  and  $k_{\alpha\beta}^0$  are corresponding curvatures.

From Eq. (1.23) and Eq. (1.25) it follows that

$$\gamma_{\alpha 3} = 0, \quad \gamma_{33} = \frac{1}{2}(\lambda^2 - 1) = \frac{1}{2}[(\lambda_1 \lambda_2)^{-1} - 1], \quad (1.27)$$

and its rate is

$$\dot{\gamma}_{33} = \dot{\lambda} = -\frac{1}{2}(\lambda_1 \lambda_2)^{-2}(\dot{\lambda}_1 \lambda_2 - \lambda_1 \dot{\lambda}_2). \quad (1.28)$$

Furthermore, from Eq. (1.20) we conclude that

$$\dot{e}_{33} = \dot{e}_3 = \frac{1}{2}(\lambda^2 - 1), \quad \dot{e}_3 = \dot{\lambda} \lambda. \quad (1.29)$$

We shall express now the components of strain tensor through the components of displacement vector given by Eq. (1.3) (for  $\theta_3 = 0$ ), or Eq. (1.4). In order to do that, we represent displacement vector in the form

$$\underline{u} = u_i \underline{g}^i = u^i \underline{g}_i, \quad (1.30)$$

where  $\underline{g}_i$  and  $\underline{g}^i$  are covariant and contravariant vectors of the base, respectively,

$$\underline{g}_i \underline{g}_j = g_{ij}, \quad \underline{g}^i \underline{g}^j = g^{ij}. \quad (1.31)$$

Taking into account the relation between base vectors

$$\underline{G}_i = \underline{g}_i + \underline{u}_{,i}, \quad (1.32)$$

and, hence,

$$G_{ij} = g_{ij} + \underline{g}_i \underline{u}_{,j} + \underline{g}_j \underline{u}_{,i} + \underline{u}_{,i} \underline{u}_{,j}, \quad (1.33)$$

where

$$u_{,i} = u_k|_i g^k \quad , \quad u_k|_i = u_{k,i} - \Gamma_{ki}^1 u_1 \quad , \quad (1.34)$$

we find strain tensor  $\gamma_{ij}$  by introducing Eq. (1.34) into Eq. (1.33). Thus, we obtain

$$\gamma_{ij} = \frac{1}{2}(u_i|_j + u_j|_i + u^k|_i u_{k,j}) \quad , \quad u^k|_i = u^k_{,i} + \Gamma_{li}^k u^l \quad , \quad (1.35)$$

where Christoffel's symbols  $\Gamma$  are calculated for  $S_0$  from the metric tensors  $g_{ij}, g^{ij}$  of  $S_0$ .

By performing the indicated in Eq. (1.35) operations on displacement components and having in mind Eq. (1.20) we find physical components of strain state (for  $S_0$ ,  $\theta_3=0$ )

$$e_1 = \partial_{\theta_1} u_1 + \frac{1}{2}(\partial_{\theta_1} u_1 + k_{11}^0 u_3)^2 + \frac{1}{2}(\partial_{\theta_1} u_3 - k_{11}^0 u_1)^2 \quad , \quad (1.36)$$

$$e_2 = k_{22}^0 u_3 + \frac{1}{2}(k_{22}^0 u_3)^2 \quad , \quad (1.37)$$

$$e_3 = -\frac{1}{2}(k_{11}^0 u_1)^2 \quad . \quad (1.38)$$

These are geometrical formulas expressing strain state components through displacement components. As it is seen, the first equation depends on Eqs. (1.37) and (1.38). By solving the latter with respect to  $u_3$  and  $u_1$ , respectively, and introducing the results into the former, we thus obtain the condition of compatibility of strain state.

From Eqs. (1.37) and (1.38) we find, respectively,

$$u_3 = -R_2^0(1 + \sqrt{1+2e_2}) \quad , \quad u_1 = R_1^0 \sqrt{-2e_3} \quad , \quad e_3 < 0 \quad , \quad (1.39)$$

and the said condition gives

$$\begin{aligned} \partial_{\eta}(R_1^0 \sqrt{2\bar{e}_3}) + \frac{1}{2}[\partial_{\eta}(R_1^0 \sqrt{2\bar{e}_3}) - R_2^0 R_1^{0-1}(1 + \sqrt{1+2e_2})]^2 + \frac{1}{2}\{\partial_{\eta}[R_2^0(1 + \sqrt{2e_2+1})] + \\ + \sqrt{2\bar{e}_3}\}^2 - e_1 = 0 \quad , \quad \bar{e}_3 = -e_3 \quad , \quad \eta = \theta_1 \quad . \quad (1.40) \end{aligned}$$

Here,  $R_1^0, R_2^0$  denote main curvature radii

$$k_{11}^0 = R_1^{0-1} \quad , \quad k_{22}^0 = R_2^{0-1} \quad . \quad (1.41)$$

The curvatures of Eq. (1.41) satisfy the equation

$$d_{\eta}(rk_{22}^0) = k_{11}^0 d_{\eta}r \quad , \quad r = x_1 \quad , \quad (1.42)$$

where

$$k_{11}^0 = -d_{\eta}^2 r [1 - (d_{\eta}r)^2]^{-\frac{1}{2}} \quad . \quad (1.43)$$

Substituting Eq. (1.43) into Eq. (1.42) and taking into account the fact that  $k_{22}^0$  is finite for  $r=0$  and  $d_2 r = 1$  for  $r=0$ , through integration we obtain

$$k_{22}^0 = r^{-1} [1 - (d_2 r)^2]^{-\frac{1}{2}}. \quad (1.44)$$

Analogous formulae are valid for the curvatures  $k_{11}$  and  $k_{22}$  at arbitrary instant  $t$  of the deforming membrane. Thus, we have

$$k_{11} = -d_\xi \varphi [1 - (d_\xi \varphi)^2]^{-\frac{1}{2}}, \quad k_{22} = \varphi^{-1} [1 - (d_\xi \varphi)^2]^{-\frac{1}{2}}, \quad (1.45)$$

where we put  $\varphi = \bar{x}_1$  and  $\xi = \bar{\theta}_1$ . Thus, Eqs. (1.43) and (1.44) can be considered as initial conditions for Eqs. (1.45) describing continuous change of curvatures during deformation process

$$k_{11}(t) = [R_1(t)]^{-1}, \quad k_{22}(t) = [R_2(t)]^{-1}, \quad (1.46)$$

where  $R_1, R_2$  are radii of main curvatures at instant  $t$ .

Finally, it should be mentioned that the corresponding components of strain rate state may be found by differentiating Eq. (1.35) or Eqs. (1.36) - (1.38) with respect to time.

## 2. Statical aspects of the theory

According to the membrane theory, we neglect all moments and shearing forces in our considerations of quasi static equilibrium of rheological process. In what follows we refer all results to the undeformed membrane.

The physical stress resultants per unit length are given by the relation

$$n_{\alpha\beta} = n^{\alpha\beta} (a_{\beta\beta} / a^{\alpha\alpha})^{\frac{1}{2}}, \quad (2.1)$$

where  $n^{\alpha\beta}$  satisfy the conditions of equilibrium

$$n^{\alpha\beta}|_{\alpha} = 0, \quad n^{\alpha\beta} b_{\alpha\beta} + p = 0, \quad p = p_1 - p_2, \quad (2.2)$$

Here,  $p$  is the resultant pressure in the direction of the outward normal to the middle surface and

$$n^{\alpha\beta}|_{\alpha} = n^{\alpha\beta},_{\alpha} + \Gamma_{\alpha\gamma}^{\beta} n^{\alpha\gamma} + \Gamma_{\alpha\gamma}^{\alpha} n^{\gamma\beta}. \quad (2.3)$$

In our particular case we have only two stress resultant components  $n_{11}$  and  $n_{22}$  and two non-vanishing components of the Christoffel tensor, and Eq. (2.2) furnishes

$$n_{11},_1 + \Gamma_{21}^2 n^{11} + \Gamma_{22}^1 n^{22} = 0, \quad (2.4)$$

or

$$d_2 (r n_{11}) = r^2 n_{22} d_2 r, \quad (2.5)$$

if Eq. (2.1) is taken into account. On the other hand, the second of Eq. (2.2) gives

$$k_{11}^0 n_{11} + r^2 k_{22}^0 n_{22} = p \quad (2.6)$$



**Three Remarks on Viscoelasticity and Inelasticity of Concrete**

Trois remarques sur la viscoélasticité et inélasticité du béton

Drei Bemerkungen zur Viskoelastizität und Unelastizität des Betons

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The intent of this discussion is to make three remarks calling attention to some recent developments in inelasticity of concrete extending the exposition of this subject in the Introductory Report to Theme Ia.

(1) In the Introductory Report it is stated that the theory of linear viscoelastic bodies with age-dependent properties can be applied to concrete structures. It would be, however, more accurate to say that no better theory is available at present. The theory of viscoelasticity can accurately describe the behavior of concrete under low stress only when its specific water content and temperature are constant, which is a rare condition in actual structures. Otherwise concrete is not viscoelastic but exhibits a more general behavior in which the strains are functionals not only of the history of stress but also of the histories of specific water content and temperature. As a consequence, the stress problem is coupled with a diffusion problem. Taking this fact into account, the number of unknown material parameters is immensely increased so that their determination from the available creep and relaxation data alone would hardly be possible. It is therefore necessary to turn attention to the physical processes in the microstructure and try to determine the form of the stress-strain relations on this basis. The main source of shrinkage, delayed thermal dilatation and creep of concrete at working stress levels is presently believed to be some kind of diffusion processes in the microstructure of cement paste, involving hindered adsorbed water, interlayer hydrate water, calcium ions and capillary water [3]. This approach has recently received a good deal of attention and the latest advances can be found in references [1] and [2]. The constitutive equation which follows from the above mechanism appears to be amenable to structural analysis with the help of electronic computers [1].

(2) Even if the behavior of concrete is assumed to obey the linear theory of viscoelasticity of age-dependent bodies, the structural analysis is not easily accomplished. Among the simplified stress-strain relations used in practical problems, the relatively best ones are those of the type used by Arutyunyan, as quoted in the Introductory Report. These are able to reflect both the reversible and irreversible deformations and also the so-called aging. However, for simplicity of analysis, the time shape of the creep curve in these relations is being assumed as a simple exponential, which implies only a single retardation time. In reality, the shape of the creep curves observed is quite different, which can be seen in the logarithmic time scale; the creep curves continue to rise significantly over many decades of the time elapsed and have thus a very broad retardation spectrum, with many exponential components. Therefore it is important to carry out the structural analysis for the actual unit creep curves. This can be done only numerically, e.g. by the methods described in references [4] and [5].



If the structure is large (i.e. requires too many nodes), this approach runs into difficulties because the need of storing the complete history of the stress state in the structure and evaluating from it the hereditary integrals overtaxes the storage capacity of the computers presently available and requires too much machine time. These requirements can be circumvented by characterizing the entire stress history with a few suitably defined hidden state variables of the material, as has been proposed in reference [6].

(3) Alternatively, the stress-strain law can be also represented by a spring-dashpot model. The Introductory Report refers to this approach in conjunction with the applications of the finite element method by Zienkiewicz, Watson and King. For an accurate representation of the shape of creep curves, as discussed above, a relatively long chain of Kelvin elements or Maxwell elements is needed. In pursuing this approach, there are, however, two obstacles. First the method of determining the model parameters from creep data has not yet been clarified, for the case when aging is involved. The second obstacle arises in numerical application. Namely, the usual numerical algorithms (of Euler, Runge-Kutta or predictor-corrector type) become numerically unstable when the time step is increased beyond the value of the shortest retardation time. Because this time is for concrete quite short, analysis of the long-term response would require an impractically high number of small time steps (about  $10^6$ ), regardless of the fact that under steady conditions the solution must vary very slowly after long times elapsed. This obstacle may be overcome and an arbitrary increase of the time steps (without causing numerical instability) can be made feasible by introducing a certain special set of hidden material variables (see Eqs. 70 to 79 in Ref. [1]).

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#### SUMMARY

The discussion presented calls attention to some recent developments which include (1) the theory of creep of concrete based on diffusion processes in the microstructure, (2) the numerical integration methods based on superposition of unit creep curves and (3) those based on an equivalent rate-type formulation.