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- Objekttyp: Article
- Zeitschrift: Asiatische Studien : Zeitschrift der Schweizerischen Asiengesellschaft = Études asiatiques : revue de la Société Suisse-Asie

Band (Jahr): 64 (2010)

Heft 2

PDF erstellt am: 24.09.2024

Persistenter Link: https://doi.org/10.5169/seals-147850

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# ON A HITHERTO NEGLECTED TEXT AGAINST BUDDHIST PERSONALISM: Mahāyānasūtrālankāra 18.92–103 and its Bhāsya

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> Aux anges, aux mânes et aux eaux de Kyōto

## Abstract<sup>1</sup>

According to the Chinese pilgrims Xuanzang and Yijing, the Sāmmitīya sect of Buddhism, an offshoot of the Vātsīputrīya sect, had become by far the most important among the non-Mahāyānist denominations of the northern half of India by the turn of the 7<sup>th</sup> century CE. Now, the Sāmmitīyas were famous for professing a personalist doctrine (*pudgalavāda*) that singled them out as "heretics" and triggered off vehement criticism on the part of their "coreligionists." Whereas only a few Sāmmitīya works have survived down to us in Chinese translation, most of their opponents' tracts have been preserved either in Sanskrit or in Tibetan translation, the most celebrated ones being those of Vasubandhu, Candrakīrti and Kamalašīla. However, one of the earliest extant Yogācāra sources, the *Mahāyānasūtrālankāra(bhāṣya)*, dedicates a section of respectable length to the critique of Buddhist personalism. The present essay provides this neglected early testimony with an introduction, an annotated translation, and text-critical notes.

1 The present study has been made possible by the generous financial support of the Austrian Science Fund (FWF-Projekt P19862 "Philosophische und religiöse Literatur des Buddhismus") and the Numata Foundation, which allowed me to spend three and a half months in Kyoto (Ryukoku University). Thanks are due to Prof. Shoryu Katsura who invited me in Kyoto and succeeded in making my stay there an unforgettable event; to Kazuo Kano, who generously put at my disposal his still provisional edition of Vairocanarakşita's *Sūtrālaikāravivŗti*; to Kensho Okada for sending me his excellent MA-thesis and two articles he wrote with Sayaka Kishi; to Chizuko Yoshimizu, who enabled me to meet these distinguished young scholars of Tsukuba University (and spend two rainy but happy days in Kobuchizawa); to Isabelle Ratié, who made very insightful remarks on this essay.

# 1. Buddhist Personalism and its Critique

1.1.

According to Bareau, the Vātsīputrīya sect branched off from the Sthavira group of early Buddhism some time during the first half of the 3<sup>rd</sup> century BCE.<sup>2</sup> Around the turn of the Common Era, the Vātsīputrīva movement gave rise to four sub-sects: the Bhadrayānīyas, the Dharmottarīyas, the Sannagarikas (or: Sandagirikas) and the Sāmmitīyas (or: Sāmmatīyas).<sup>3</sup> Except for the Sannagarikas, these sub-sects are attested epigraphically from the 2<sup>nd</sup> century CE on: in Mathurā and Sārnāth (Sāmmitīyas, resp. 2nd and 4th century CE), in Karle and Junnar (Dharmottarīyas, 2<sup>nd</sup> century CE), in Nāsik and Kanheri (Bhadrayānīyas, 2<sup>nd</sup> century CE).<sup>4</sup> While the history and ideas of the first three sub-sects are shrouded in mystery, it seems very likely that the Sāmmitīyas gained prominence and eventually eclipsed even the mother-sect, the Vātsīputrīyas.<sup>5</sup> And if the testimony of the Chinese pilgrims Xuanzang and Yijing is to be trusted, by the 7<sup>th</sup> century CE, the Sāmmitīyas had become by far the numerically most important group among the few surviving non-Mahāyānist denominations (Sarvāstivādins, Sthaviras). Their area of influence extended from the lower Indus to the lower Ganges with nearly hegemonic strongholds in Sindh (about 100 monasteries and 10'000 monks), Malava (about 100 monasteries and 20'000 monks) and, most importantly perhaps, Valabhī, where a huge monastic complex (vihāramandala) and intellectual centre flourished since the beginning of the 6<sup>th</sup> century under Maitraka patronage.<sup>6</sup> Interestingly enough, certain among the doctrines of this important Buddhist denomination have been held consistently by all other Buddhist groups to be a heresy - a deviation - known as "personalism" (pudgalavāda).<sup>7</sup> In other words, whatever the representativity of

- 2 See Bareau 1955:33 and 114.
- 3 For a legendary account of this schism, see Bareau 1955:122–123 and Lusthaus 2009:285.
- 4 See Bareau 1955:36. For references, see Bareau 1955:122nn. 2–3, 127n. 4, 128n. 4.
- 5 Note, in this connection, Yaśomitra's explanation of "vātsīputrīya" in AKVy 699,3: vātsīputrīyā āryasāņmatīyāħ. Āryasāņmitīya also occurs at MAV 268,7 ('phags pa man pos bkur ba pa).
- 6 See Bareau 1955:36 and 121–122. All in all, the Sāmmitīya sect amounted to about 65'000 monks and 1'000 monasteries (16'000/500 for the Sarvāstivādins, 20'000/200 for the Sthaviras).
- 7 On this translation, see Chau 1984:7. Note that the expressions "Pudgalavādin/Pudgalavāda" (in much the same way as "Hīnayāna") refers neither to an institutional sect nor to a doctrinal school, but rather to the (alleged) representatives of a set of doctrines based on the

personalism within the Sāmmitīya monastic communities, the most powerful among the non-Mahāyānist denominations was deemed heretic by most of its coreligionists. <sup>8</sup> During centuries, from the *Kathāvatthu* to Kamalaśīla, the intellectual elite of all other groups and/or schools (Theravādins; Vaibhāṣikas, Mādhyamikas, Yogācāras, Sautrāntika, "epistemologists," etc.) shaped ever more sophisticated arguments against the Vātsīputrīya and/or Sāmmitīya *pudgalavāda*. But what did these Buddhist personalists – apparently a contradiction in terms – teach?<sup>9</sup>

# 1.2.

While shaping their doctrine of the *pudgala*, the Buddhist personalists are likely to have attempted to solve several problems they felt were left open by the dominant interpretation of the Buddha's Law in strict terms of selflessness and impermanence. These problems pertained to issues such as memory and knowledge, serial continuity, ethical responsability, eschatology, soteriology and, last but not least, salvation and the nature of the liberated saint. Interestingly enough, a good deal of these problems and their solutions clearly

notion of *pudgala*, and always through the lenses of their opponents. To the best of my knowledge, no Indian Buddhist thinker has ever used this rather deprecative label as a self-designation.

<sup>8</sup> If the term is appropriate at all under such circumstances, for the Buddhists of all persuasions who thought of themselves as "orthodox" (i.e., non-Pudgalavādins) held contradictory opinions on the issue of whether the Pudgalavadins were Buddhists or not. "Coreligionists" (svavūthva) appears in MSAVBh (see below, n. 53), MAV 244,8 (ran gi sde pa man pos bkur pa; \*svayūthyāh sāmmitīyāh) and 286,12, and "Buddhist" (bauddha) in MSAVBh (see below, n. 72) and AKVy 699,4-5 (na hi vātsīputrīyānām mokso nesyate / bauddhatvāt /). But to authors such as Vasubandhu, Śāntaraksita, Kamalaśīla and Prajñākaramati, the Pudgalavādins are at best "pseudo-Buddhists" (saugatammanya) and "outsiders from within" (antaścaratīrthika). See AKBh 472,13-15: tasmād drstyarbudam etasmin śāsana utpannam ya esa ekesām pudgalagrāha ekesām sarvanāstitāgrāhah / ye 'pi ca dravyāntaram evātmānam manyante tīrthakārās tesām eva moksābhāvadoso niskampah /, TS 336: kecit tu saugatammanyā apy ātmānam pracaksate / pudgalavyapadeśena tattvānyatvādivarjitam //, and BCAP 328,28-329,1: pudgalavādinas tu punar antaścaratīrthikāh / skandhebhyas tattvānyatvābhvām avācvam pudgalanāmānam ātmānam icchati / anvathā tīrthikasiddhāntābhiniveśadarśanam syāt / āha ca – kecic ca saugatammanyā apy ātmānam pracaksata\* iti /. \*= TS 336. See also the other texts discussed in Kośa V.228.

<sup>9</sup> On the doctrines of the Pudgalavādins, see Venkata Ramanan 1953, Bareau 1955:114–130, Chau 1984, Chau 1987, Lusthaus 2009.

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foreshadow the later debates on *ātman/anātman* between Buddhist and non-Buddhists intellectuals.<sup>10</sup>

1.3.

According to nearly all doxographic accounts, the Vātsīputrīvas' and Sāmmitīyas' main thesis was the following: "La personne (pudgala) est perçue (upalabhyate) comme une réalité évidente (sāksātkrtaparamārthena). La personne n'est ni identique (sama) aux agrégats (skandha) ni différente (visama) d'eux. Elle n'existe ni dans les agrégats ni en dehors d'eux."<sup>11</sup> But did the Pudgalavādins really claim, as all their opponents would like them to do, that the pudgala ultimately exists (as °paramārthena would suggest), i.e., that it exists as a substantial (*dravvasat*), independent (< *bhāvāntara*) entity? According to most of the rare extant Vātsīputrīya/Sāmmitīya sources,12 the doctrine of the pudgala was meant to provide a satisfactory account of Buddhism as a middle way (madhyamā pratipad) between the extremes of eternalism (śāśvatavāda) and annihilationism (ucchedavāda). This seems at least to be the meaning of the personalists' statement to the effect that the *pudgala* cannot be said (*avaktavya*) to be either the same as or distinct from the five aggregates. For if the *pudgala* is the same as the skandhas, it will be as conditioned (samskrta) and hence momentary (ksanika) as they are, and one can no longer account for recollection, continuity and moral responsibility. But if the *pudgala* is independent from the skandhas, it will be as eternal and unconditioned as the non-Buddhists' atman, and then any relationship with psycho-physical reality and need for religious life (brahmacarya) will be lost.<sup>13</sup> By claiming that their pudgala was neither an eternal and independent entity nor an impermanent entity reducible to the psycho-physical constituents, the Pudgalavadins expected not only to provide the middle way with a doctrinal foundation, but also to disclose the rationale

- 10 In this regard, the SŚ provides a fascinating example of a still purely intra-Buddhistic controversy on exegetical and philosophical issues.
- 11 Bareau 1955:115 (Vātsīputrīya), to be compared with Bareau 1955:123 (Sāmmitīya).
- 12 On this literature, see Venkata Ramanan 1953, Bareau 1955:115 and 122, Chau 1984:7–8, Chau 1987:34–35 and 43–44, Lusthaus 2009:278–285.
- 13 TDS 19c35: "Il est impossible de dire que l'être (*sattva: pudgala*) est différent des caractéristiques, il serait [en conséquence] éternel (*sāśvata*); et, s'il était identique aux caractéristiques, il serait non éternel (*aśāśvata*). Ces deux erreurs ne peuvent être commises." Translation Chau 1987:40.

behind the Buddha's refusal to answer the question whether the soul  $(j\bar{i}va)$  is identical to or different from the body.<sup>14</sup>

1.4.

To claim that the *pudgala* does not exist as a substantial entity is tantamount to saying that it exists as a designation (*prajñaptisat*).<sup>15</sup> This is indeed what the personalists did while developing a sophisticated system supposed to account for the *pudgala* as a designation.<sup>16</sup> According to them, the *pudgala* is liable to three prajñaptis: the pudgala as designated by the basis/bases (\*āśrayaprajñaptapudgala), the pudgala as designated by transmigration (\*samkramaprajñapta*pudgala*), and the *pudgala* as designated by cessation.<sup>17</sup> What does "basis/bases" (āśraya) refer to? First and foremost, to the five agregates, but also, according to the context, to the four great elements (mahābhūta), the twelve sensory bases (*āyatana*) and the eighteen sensory elements (*dhātu*). The *pudgala* as designated with reference to these bases is that which appropriates ( $up\bar{a}\sqrt{d\bar{a}}$ -) and sustains the body, serves as an agent of perceptual awareness (vijñāna),<sup>18</sup> affective sensation (vedanā) and ideation (samjñā), provides the basis for recollection and knowledge, is the possessor of serial continuity (santāna). And according to the Buddhist personalists, the relationship between the *pudgala* and the psychophysical basis is the same as that between fire and fuel, which are neither identical nor distinct.<sup>19</sup> As for the *pudgala* as designated by transmigration, it refers to that which underlies the rebirth stories (*jātaka*) of the (future) Buddha and passes from one existence to another.<sup>20</sup> This designation is threefold: desi-

- 14 On the *avyākrtavastus*, see below, n. 71.
- 15 On the distinction between *dravyasat* and *prajñaptisat* (pseudo-)entities, see below, n. 54. See also Lusthaus 2009:276–278.
- 16 Note the wording of thesis no. 1 in Vasumitra's account: "The *pudgala* is neither the same [as] nor different from the *skandhas*. It is a *prajñapti* dependent on the *skandhas*, *āyatanas*, and *dhātus*." Translation Lusthaus 2009:284.
- 17 On these three *prajñaptis*, see Chau 1984:9–11, Chau 1987:35–39, Venkata Ramanan 1953: 182–195, and Lusthaus 2009:280–281.
- 18 Note thesis no. 15 of the Vātsīputrīyas (according to the *Vibhāṣā*): "La personne (*pudgala*) connaît (*jānāti*) les choses (*dharma*)." Translation Bareau 1955:118.
- 19 On the analogy of fire and fuel, see below, n. 76.
- 20 Note thesis no. 3 of the Vātsīputrīyas (according to Vasumitra and Bhavya): "Dharmas, if apart from the *pudgala*, cannot move on from a previous lifetime to a subsequent lifetime. On the basis of the *pudgala*, one can say there is transference (*samkrānti*)." Translation Lusthaus 2009:284; see also Bareau 1955:116. However, as the SŚ strongly insists upon, the *pudgala* is *never* (until the *nirupadhiśeṣanirvāna*) without a set of *skandhas*, and this is the

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gnation of(/with reference to) the past (\*atītaprajñapti), designation of(/with reference to) the future (*\*anāgataprajñapti*), designation of(/with reference to) the present (\*pratvutpannaprajñapti). According to Chau, "[t]his explains (i) how personal continuity, being an uninterrupted flow of psycho-physical phenomena, not only flows in the present, but has its source in the past and continues to flow into the future, and (ii) how personal karmic responsability is possible, such that Buddhism is no longer susceptible to the charge that it is nihilistic and immoral."<sup>21</sup> Finally, the *pudgala* as designated by cessation points to the end of appropriation (upādāna). Its purpose is "to demonstrate that the Tathagata or an arahant after attaining the nirvana without remainder (nirupadhi*sesanirvāna*) (...) is the liberated person par excellence [referred to as uttama° or *paramapuriso*], dwelling in beatitude."<sup>22</sup> To sum up: "Thus the *pudgala*, with its three designations, is an ineffable (avaktavva) that avoids the two extremes: annihilation (*uccheda*) and eternity ( $s\bar{a}svata$ ). The *pudgala* is the agent of knowledge, memory, the rebirth process, the ripening of actions (karmavipāka), and, after eliminating its obstacles, dwells in beatitude."23

- 21 Chau 1984:11, to be compared with Chau 1987:37.
- 22 Chau 1984:11.

reason why the Pudgalavādins strongly advocated the existence of intermediate existence (*antarābhava*). See thesis no. 33 of the Vātsīputrīyas (according to Vasumitra) in Bareau 1955:119, and thesis no. 10 (according to the *Kathāvatthu*) of the Sāmmitīyas in Bareau 1955:124. Note also Venkata Ramanan 1953:187 (and 195): "Therefore leaving the body of the five *skandhas*, when all that is extinct, the person moves on from this life to another. Hence it is said that there is the person who leaves the five *skandhas* of this state (viz.) *upapattibhava* and takes up the five *skandhas* of the *antarābhava*."

<sup>23</sup> Chau 1984:11. I cannot resist the temptation of quoting the following excerpt from the SS (465a17-465b1): "Le Bouddha a dit [que 1]e pudgala existe en tant que désignation (prajñapti). C'est pourquoi cela s'oppose à [l'opinion de] l'inexistence de la personne. S'il est vrai que la personne n'existe pas, alors il n'y aura pas ce qui tue ainsi que ce qui est tué. Il en est de même pour le vol, l'amour illicite, le mensonge, et l'absorption de l'alcool. C'est [la lacune de l'opinion de] l'inexistence de la personne. Si la personne n'existait pas, il n'y aurait pas non plus les cinq crimes majeurs; [si] les organes des sens ne produisaient pas les bonnes et mauvaises actions, il n'y aurait pas de lien; s'il n'y avait pas ce qui détache les liens, il n'y aurait pas ce qui est attaché également, et il n'y aurait ni acteur ni acte, ni résultat [de l'acte]. S'il n'y avait pas d'acte, il n'y aurait pas de résultat. [S']il n'y avait pas d'acte, de résultat, il n'y aurait ni naissance, ni mort. Mais les êtres vivants, à cause des actes et de leurs résultats, transmigrent dans le cycle de la naissance et de la mort (samsāra). S'il n'y avait ni naissance, ni mort, il n'y aurait pas de cause (hetu) de la naissance et de la mort. S'il n'y avait pas de cause, il n'y aurait pas de cessation de cause. S'il n'y avait pas de cessation de cause, il n'y aurait pas d'orientation vers la voie (mārga); ainsi, il n'y aurait pas

1.5.

Among the many critiques of Buddhist personalism, Vasubandhu's is by far the most systematic and, quite deservedly, the most famous: to the best of my knowledge, AKBh 9 (strictly speaking not a genuine chapter of the AKBh)<sup>24</sup> has been translated in Western languages no less than thrice, not to speak of its partial translations.<sup>25</sup> Still within the Sautrāntika/Yogācāra tradition, Śāntaraksita and Kamalaśīla have dedicated one section of the lengthy Atmaparīksā of the TS(P) to the refutation of the Buddhist pudgala. TS(P) 336-349(/K125,16-131,9/\$159,16–166,18), which represents the last stage in the development of anti-Pudgalavada polemics in this tradition, has been translated into German by Schayer as early as 1931.<sup>26</sup> However, two closely related texts have escaped scholarly attention. The first one is Dharmakīrti's PVSV 147,2-148,5, which has not even been noticed so far as a critique of Pudgalavada, 27 and where Dharmakīrti develops an entirely new line of argument. As for the second one, it is MSA(Bh) 18.92–103(/154,27–160,6), the text translated in the present study. This passage, which is likely to represent the very inception of the Yogācāra critique of the pudgala, has been translated into French as early as 1911 by Lévi and did not go unnoticed until the Second World War. In the rich "Notes préliminaires" to his translation of AKBh 9 (1926), de La Vallée Poussin writes: "[L]e Sūtrālankāra d'Asanga (édité et traduit par S. Lévi, 1907–1911), xviii.92–

les quatre nobles vérités (*āryasatya*). S'il n'y avait pas les quatre nobles vérités, il n'y aurait pas de Bouddha enseignant les quatre vérités. S'il n'y avait pas de Bouddha, il n'y aurait pas de communauté des moines (*sangha*). Ainsi la réfutation du *pudgala* entraîne la réfutation du Triple Joyau (*triratna*) et des quatre nobles vérités. Telle est la réfutation de toutes ces opinions. C'est pourquoi la réfutation du *pudgala* fait naître les erreurs mentionnées cidessus, et d'autres erreurs se produisent également. Si l'on admet que la personne (*pudgala*), le soi existe, les erreurs mentionnées ci-dessus ne se produisent pas. Comme le Bouddha l'a dit dans le *sūtra*, il faut le savoir exactement. C'est pourquoi la personne existe vraiment." Translation Chau 1987:42–43; see also Venkata Ramanan 1953:177–178.

<sup>24</sup> See already *Kośa* V.227.

<sup>25</sup> See Stcherbatsky 1970, Kośa V.230–302 and Duerlinger 1989b/Duerlinger 2003; see Duerlinger 2009 and Goodman 2009. Another extremely important anti-Pudgalavādin text (strongly indebted to AKBh 9) is MAV 244,1–288,9 (explicitly against the Sāmmitīyas [man pos bkur pa, MAV 244,8; see above, n. 8]; for a topical outline of the passage, see Tauscher 1981:36–39).

<sup>26</sup> See Schayer 1931–1932.

<sup>27</sup> This is indeed hardly surprising considering that Dharmakīrti does not even allude to the *pudgala* in this passage.

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103, dépend dans une certaine mesure du Traité de Vasubandhu.<sup>28</sup> – Signalons par exemple la discussion des rapports du feu et du combustible, l'emploi des mêmes textes scripturaires, la démonstration de l'inactivité du Pudgala."29 But, due maybe to most of our contemporaries' pitiable unwillingness to read French and failure to take into consideration the finest pieces of 20th century scholarship, this important text has since then sunk into oblivion.<sup>30</sup> That the MSA(Bh) and AKBh 9 quote the same scriptural sources is, in itself, no argument in favour of the AKBh's indebtedness towards the MSA(Bh), since both had to counter the exegesis made of these loci by the Pudgalavadins themselves, i.e., are very likely to have drawn on their opponents' treatises (as is made clear by the SS). As for the discussion on the relationship between fire and fuel, it is no argument either, for it can also be shown to occur in at least one Pudgalavāda source.<sup>31</sup> The issue of the relationship between the two texts is made still more complicated by the question whether the author of the (MSA)Bh and the author of the AKBh were or not one and the same person.<sup>32</sup> Whatever be the case, the MSA(Bh) provides extremely interesting arguments against the *pudgala* and is to be considered as an important milestone in the history of this debate.

# 1.6.

There can be no point in attempting to summarize or paraphrase the many arguments put forward in our passage. Suffice it to say that, as nearly all Buddhist polemical tracts before the rise of the so-called epistemological literature, the MSA(Bh) uses a twofold argumentative strategy against the *pudgala*: first, by reason(ing) (*yukti*), i.e., by resorting to the first two means of valid cognition (*pramāņa*), perception (*pratyakṣa*) and inference (*anumāna*), and second, by (authoritative) scriptures (*āgama*), the third means of valid cognition recognized by all the Yogācāras before Dignāga. But what does "against the *pudgala*" mean in this context? As we have seen, the Pudgalavādin claims that the *pudgala* cannot be said to be either identical to or different from the *skandha*s. His adversary summons him to make a choice: either does the *pudgala* exist as a substantial entity (*dravyasat*), and then it *must* be either the same as or distinct

30 No less neglected, and probably for the same reason, is the *Mahāprajñāpāramitāśāstra*'s interesting refutation of the *pudgala*, translated into French by Lamotte in 1949. See *Traité* II.735–750.

<sup>28</sup> Note that the MSA (if not the Bh) predates the AKBh from at least one century.

<sup>29</sup> Kośa V.229.

<sup>31</sup> See below, n. 76.

<sup>32</sup> For a summary and new light on this problem, see Franco/Preisendanz 2010:XV-XVII.

from the *skandhas*, or it merely exists as an entity of designation (*prajñaptisat*, a "nominal fiction" [Lusthaus]), and then it can rightly be said to be neither identical to nor different from the *skandhas*. In other words, either the *pudgala* exists (as the Pudgalavādin pretends), and then the claim that it is neither the same as nor distinct from the *skandhas* is false, or it does not exist, and the silence of the Buddha in teachings such as the *Vatsagotrasūtra* finds its justification. As for scriptural argumentation, it is made a rather complicated issue insofar as both parties rely on (supposedly) canonical literature in order to make their point.<sup>33</sup> The philosophical quarrel then turns to an exegetical one, for the Buddha, no one would dare to contend, has often made use of the notion of *pudgala*. Now, did he resort to it in a purely pragmatic and didactic purpose, as the adversary of the *pudgala* repeatedly contends, or did his statements concerning the *pudgala* refer to an *ens* – whatever its precise ontological status – as the Pudgalavādin (allegedly) has it?

# 2. The Immediate Context of MSA(Bh) 18.92–103

# 2.1.

One should be wary of restricting MSA(Bh) 18.92–103 to its polemical dimension, for its intra-textual context suggests yet another, soteriologically oriented meaning. Like the closely parallel chapter of the BoBh (1.17), MSA(Bh) 18 is dedicated to the factors that are "aids" to awakening (*bodhipakṣya*° or *bodhipākṣikadharma*).<sup>34</sup> In both chapters, these factors (traditionally held to amount to thirty-seven), are discussed at length in a sixteen-item list. In both chapters again, the last two items consist of three concentrations (*samādhi*) and four summary statements of doctrine (*dharmoddāna*).

# 2.2.

MSA 18.77–81/MSABh 148,6–149,12 deals with three kinds of concentration endowed each with a specific domain (*gocara*) and purpose (*artha*):<sup>35</sup> the con-

<sup>33</sup> For a very suggestive example, see below, n. 103.

<sup>34</sup> On the 37 *bodhipākşikadharma*s and their various classifications, see *Traité* III.1119–1207; see also Dayal 1970:80–164.

<sup>35</sup> On these three kinds of concentration (called also the three "doors of liberation," *vimokṣa-mukha*), see *Traité* III.1209–1232, and *Kośa* V.184–192; in the context of the thirty-seven *bodhipākṣikadharma*s, see BoBh W276,2–277,4/D187,15–188,8. Note that, properly speak-

centration on emptiness (sunyatasamadhi) bears on and aims at the thorough knowledge (parijna) of the two kinds of selflessness, viz. the selflessness of the (pseudo-)person (pudgalanairatmya) and of the factors (dharmanairatmya); the unfocused concentration (apranihitasamadhi) bears on and aims at ridding one-self (prahana) of the basis of the (false) belief in a self (atmagraha) regarding these two selflessnesses, viz., the five constituents-of-(pseudo-)personality to which one clings (upadanaskandha); the signless concentration<sup>36</sup> (animittasamadhi) bears on and aims at the direct realization (saksatkriya) of the absolute calmness (atyantopasama) of the basis of this false belief.<sup>37</sup> One may wonder why, among the numerous concentrations alluded to in Buddhist literature, these three alone are listed as bodhipaksika factors. Whereas the MSA(Bh) remains silent on this point, the BoBh provides an interesting answer: "But why are only

ing, MSA(Bh) 18.80-81/148,23-149,12 already belongs to the section devoted to the four *dharmoddānas*.

37 To be compared with (1) the Abhidharmic understanding of the three samādhis as summarized by Ghosaka (Abhidharmāmrta T 1553, 975c1-9, translated in Traité III.1214): "Les trois samādhi sont śūnyatā-, apranihita- et ānimittasamādhi. C'est parce que la pensée prend pour objet l'Anāsrava, qu'ils sont appelés samādhi. Concentré, l'ascète voit les cinq agrégats d'attachement (upādānaskandha) comme vides (śūnya), privés de moi (anātman) et de mien (anātmīya): voilà le śūnyatāsamādhi. Entré en ce samādhi, il ne souhaite plus amour (rāga), haine (dvesa), aberration (moha) ni renaissance (punarbhava): voilà l'apranihitasamādhi. Il est un samādhi dont l'objet (ālambana) est exempt de dix caractères (nimitta). Quels sont ces dix? Les cinq objets, matière, etc. (rūpādipañcavişaya), l'homme (purusa), la femme (strī), la naissance (jāti), la vieillesse (jarā) et l'impermanence (anityatā). Voilà l'animittasamādhi." The MSA(Bh)'s ideas are much closer to the "mādhyamika" Traité (III.1223). Here, the śunyatāsamādhi has two aspects: "1. Parce qu'elle considère (samanupasvati) les cinq agrégats d'attachement (pañca upādānaskandha) comme n'ayant ni identité (ekatva) ni différence (anyatva), elle est 'vide' (śūnya). 2. Parce qu'elle considère le moi (*ātman*) et le mien (*ātmīya*) comme inexistants (*anupalabdha*), elle est 'sans moi' (anātmaka)." Among the four aspects of the apranihitasamādhi, two are of interest to us: "1. Parce qu'elle considère les cinq agrégats d'attachement (pañcopādānaskandha) comme issus de causes et de conditions (hetupratyayaja), elle est 'impermanente' (anitya). 2. Parce qu'elle les considère comme des tourments du corps et de l'esprit (kāvikamānasikavihethana), elle est 'douleur' (duhkha)." As for the first two aspects of the ānimittasamādhi, they are as follows: "1. Parce qu'elle considère le Nirvāna comme la destruction de toutes les sortes de douleurs (nānāvidhaduhkhanirodha), elle est 'destruction' (nirodha). 2. Parce qu'elle le considère comme l'extinction du feu du triple poison (trivisa) et des autres passions (kleśa), elle est 'calme' (śānta)."

<sup>36</sup> On the meaning of *animitta* and *ānimitta* in early Yogācāra thought, see Schmithausen 1969: 121–22n. 79.

these three concentrations mentioned, [and] not [others] beyond these, not more than these? [Because all] this [consists of] two [things]: that which exists and that which does not exist. Among them, what is conditioned and what is unconditioned are that which exists, [whereas] that which does not exist [consists in] either the self or what belongs to the self. In this regard, the unfocused concentration is singled out (vyavasthāna) because it is not intent upon, i.e., because it is adverse to [that part of] existent [things that is] conditioned. As for signless concentration, it is singled out because it is intent upon, i.e., because it takes perfect delight in the unconditioned *nirvāna*. As for that thing which is non-existent, the bodhisattva should be neither intent upon nor non-intent upon it, but simply consider it correctly as non-existent. And one should know that it is with reference to this way of considering [non-existent things] that the concentration on emptiness is singled out." 38 In other words, these three samādhis do not only cover the entire realm of being and non-being. They also encapsulate, so to say as its meditative counterparts, the whole Buddhist path in that they are instrumental in the bodhisattva's reluctance towards conditioned factors, his fondness for the unconditioned *nirvāna*, and his rejection of false views that are responsible for defilements, entanglement in samsāra and suffering. It is, then, hardly surprising that statements of a more doctrinal nature be supplied in order to provide these all-inclusive meditative and salvational devices with a theoretical foundation. And such is indeed the case of the four summary statements of doctrine that form the last item of the bodhipaksva list. As MSA 18.80ac has it, "four summary statements of doctrine have been preached [by the perfectly awakened buddhas] to the bodhisattvas as [being] the basis (upanisad) of [these three] concentrations."<sup>39</sup> What do these summary statements of doctrine consist of? According to the BoBh, "these four summary statements of doctrine [are those] which both the buddhas and the bodhisattvas teach in order to purify the living beings. Which four [are they]? [First, there is]

- 38 BoBh W276,15–25/D187,24–188,5: kasmāt punar eşām eva trayāņām samādhīnām prajňaptir bhavati / nāta uttari nāto bhūyah / dvayam idam sac cāsac ca / tatra samskrtam asamskrtam ca sad asad ātmā vātmīyam vā / tatra samskrte saty apraņidhānatah prātikūlyato 'praņihitasamādhivyavasthānam / asamskrte punar nirvāņe praņidhānatah samyagabhiratigrahaņato nirnimittasamādhivyavasthānam / yat punar etad asad eva vastu tatra bodhisattvena na praņidhānam nāpraņidhānam karaņīyam / api tu tad asad\* ity eva yathābhūtam draṣtavyam / tac ca darśanam adhikrtya śūnyatāsamādhivyavasthānam veditavyam /. \*asad WT: asad asad D.
- 39 MSA 18.80ac: samādhyupanisattvena dharmoddānacatustayam / deśitam bodhisattvebhyah [...].

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the summary statement of doctrine [saying] that all conditioned factors are impermanent. [Second, there is] the summary statement of doctrine [saying] that all conditioned factors are painful. [Third, there is] the summary statement of doctrine [saying] that all factors are selfless. [Fourth, there is] the summary statement of doctrine [saying] that extinction is peaceful. Since the buddhas and bodhisattvas mainly preach (udīrayanti) to the living beings a doctrine whose meaning is related to them, they are called 'summary statements of doctrine.' And since they have been constantly proclaimed [and produced], again and again (*uditodita*),<sup>40</sup> by peacefully minded sages of old, they are called 'summary statements.' And since [they are] the path leading to the great[est] prosperity (*udaya*) and going upwards ( $\bar{u}rdhva$ ) to the peak of existence, they are called 'summary statements.""41 How do these four summary statements relate to the three above-mentioned concentrations? According to the MSABh (149,1-3), "anityāh sarvasamskārāh" and "duhkhāh sarvasamskārāh" serve as the basis of unfocused concentration, "anātmānah sarvadharmāh" as the basis of the concentration on emptiness, and "santam nirvanam" as the basis of signless concentration.

# 2.3.

As one of the etymologizing explanations provided by the BoBh has it, "the buddhas and bodhisattvas mainly preach to the living beings a doctrine whose meaning is related" to these four summary statements. Indeed, these summaries of the Law encapsulate at least two among the latter's most characteristic doctrinal commitments, viz. impermanence and selflessness. Now, as every

- 40 The BoBh is likely to pun on the two meanings of Skt. *udita*, viz. "spoken" ( $\langle \sqrt{vad} \rangle$ ) and "born" ( $\langle ud\sqrt{i} \rangle$ ), as is testified to by the interpretive Tibetan translation (BoBh<sub>tib</sub> wi D146b1): *dus rtag tu 'byun źin' 'byun ba'i phyir (uditoditatvāt < ud*\*i) yan thub pa thugs źi ba sna ma rnams kyis rtag tu brjod cin brjod pa'i phyir (uditoditatvāt < \sqrt{vad}) yan mdo źes bya'o //.*
- 41 BoBh W277,5–15/D188,9–16: catvārīmāni dharmoddānāni yāni buddhāś ca bodhisattvāś ca sattvānām viśuddhaye deśayanti / katamāni catvāri / anityāh sarvasamskārā iti dharmoddānam / duhkhāh sarvasamskārā iti dharmoddānam / anātmānah sarvadharmā iti dharmoddānam / śāntam nirvānam iti dharmoddānam / etatpratisamyuktārtham yadbhūyasā dharmam udīrayanti buddhabodhisattvāh sattvānām / tasmād etāni dharmoddānānīty ucyante / paurānaiś\* ca śāntamānasair munibhir uditoditatvān nityakālam uddānānīty ucyante / mahodayagāminī bhavāgrordhvagāminī caişā\*\* pratipat tasmād uddānānīty ucyante /. \*paurānaiś em.: paurāņeś D, purānaiś W; \*\*bhavāgrordhvagāminī caişā DT: bhavāgrāc ca gāminī W. On the dharmoddānas, see also Akş 150,8–39 and Braarvig 1993:561–565, BoBh W277,5–284,7/D188,9–192,20, BHSD s.v. uddāna.

doctrinal statement within Buddhist scholastics, these two ought to be admitted not only on the basis of scripture, but also after an evaluation through reason-(ing), viz. through the two remaining means of valid cognition, perception and inference. This evaluation is nearly coextensive with the insight born of (rational) reflection (cintāmayī prajñā) by means of which a bodhisattva assesses the truth-value of scriptural contents (*śruta*) before he subjects them to a nearly endless mental cultivation (bhāvanā).42 And except for its conclusive statement (MSA[Bh] 18.104/160,9), the rest of MSA(Bh) 18 is devoted to the demonstration (prasādhana) of momentariness (ksanikatva, MSA[Bh] 18.82-91/149,12–154,26) and selflessness (i.e., pudgalanairātmya, MSA[Bh] 18.92– 103/154,27–160,6).43 As we can see, rational argumentation and philosophy are first and foremost aimed at providing soteriologically relevant dogmas and the subsequent meditative practices with indisputable, supposedly value-free foundations. As our text makes clear, the proof of selflessness proceeds in a negative, polemic way by attempting to refute the coreligionists's claims to the existence of a real *pudgala* that would abandon the *skandhas* at death and take on new ones at rebirth.44 But this polemical endeavour does not cease to belong to the cintāmayī level: the Buddhist scriptures are replete with allusions to the pudgala, allusions out of which fellow Buddhist doctors have developed a systematic doctrine with its own claims to legitimacy and salvational efficacy; these

- 42 On *yukti* and the *cintāmayī prajñā*, see Yoshimizu 1996:114–119n. 85, Deleanu 2006:II.494-495n. 74 and Eltschinger 2009.
- 43 Note that the corresponding passage of the BoBh (W280,18-281,1/D190,17-22) contains no proof of selflessness, but the following statement: punah sarvadharmānām bodhisattvah samskytāsamskytānām dvividham nairātmyam yathābhūtam prajānāti / pudgalanairātmyam dharmanairātmyam ca / tatredam pudgalanairātmyam yan naiva te vidyamānā dharmāh pudgalāh / nāpi vidyamānadharmavinirmukto 'nyah pudgalo vidyate / tatredam dharmanairātmyam yat sarvesv abhilāpyesu vastusu sarvābhilāpasvabhāvo dharmo na samvidyate\* / evam hi bodhisattvah sarvadharmā anātmāna iti vathābhūtam prajānāti /. \*Note BoBh<sub>tib</sub> wi D148a3: brjod par bya ba'i dnos po thams cad la brjod pa'i no bo ñid kyi chos thams cad med pa ste. "Next, the bodhisattva correctly discerns the twofold selflessness of all conditioned and unconditioned factors, [viz.] the selflessness of the (pseudo-)person and the selflessness of the factors. Among them, the selflessness of the (pseudo-)person is that neither are these [really] existing factors *pudgalas* nor is there another *pudgala* [that would be] independent of [these really] existing factors. Among them, the selflessness of the factors is that no [verbally] expressible entity possesses a factor [such as it would] have [any of all [these verbal] expressions for its nature. And thus does the bodhisattya correctly discern that all factors are selfless." This way of accounting for *dharmanairātmya* and *sūnyatā* is but a short sketch of the one developed at length in BoBh 1.4 (*Tattvārthapatala*).
- 44 See above, n. 20.

scriptures are, then, in need of an ad hoc exegesis designed to dispell doubts regarding their internal (in)consistency. One or two centuries later, however, the celebrated Buddhist polygraph Sthiramati (500-570 in Valabhī) provides an altogether different interpretation of MSA[Bh] 18.92-103/154,27-160,6: "By showing before [that all conditioned factors are] momentary, selfless[ness] has then been [eo ipso] demonstrated since [all] that which is momentary is [also] selfless. However, outsiders (\*tīrthika) and ordinary people (\*laukika) wrongly believe in the existence of a self (*\*ātman*), of an agent (*\*kartr*), of a seer (\*drastr), of an experiencer (\*vedaka), of a man (\*mānava) and of a human (\*manuja)45 that are distinct from the skandhas. [The MSA(Bh) now] demonstrates the selflessness of the (pseudo-)person by [adducing] other [i.e., specific logical] reasons (\*hetu) so that [these outsiders and ordinary people] abandon their wrong notion (\*viparyāsa)."46 Although Sthiramati alludes here and there to a Sāmmitīya Buddhist opponent,<sup>47</sup> his introductory statement interprets the whole passage as a refutation of the non-Buddhists' substantialist assumptions, thus mirroring the deep shift that took place at the turn of the 6<sup>th</sup> century CE in the aims and the targets of the Buddhist intellectuals.48

# 3. On the Present Translation

My translation is based on Sylvain Lévi's *editio princeps* (1907, L), on the two extant Nepalese manuscripts of the MSABh (MS A/B), on the Tibetan version of the text (MSABh<sub>tib</sub>) and on Sthiramati's massive commentary (MSAVBh, preserved in its Tibetan translation only). To these materials, one must add the text-critical footnotes of Sylvain Lévi's French translation (1911), which often reflect

- 45 According to TSD 2360b, Tib. śed may render Skt. manu, while Tib. śed bu may render Skt. mānava and Tib. śed bdag, Skt. ātman. However, in an enumeration close to Sthiramati's, Akş 11,29 has śed bu (var. śed can) dań śed las skyes, which Braarvig (1993:II.44) renders: °mānavamanuja°, and which I follow for want of a better hypothesis.
- 46 MSAVBh tsi D162b6–7/P191a7–b1: gon du skad cig mar bstan pa'i sgo nas yan gan skad cig ma yin pa de (em.: DP des) bdag med pa yin pas de'i skabs su bdag med par (D: P pa) bsgrubs zin mod kyi / mu stegs pa dan / 'jig rten pa dag phun po la ma gtogs pa'i bdag dan / byed pa po dan / lta ba po dan / tshor ba po dan / śed can dan śed bdag la sogs pa yod par phyin ci log tu mnon par źen te / de dag gi phyin ci log dan bral ba'i phyir gtan tshigs gźan (D: P om. gźan) gyis kyan gan zag la bdag med pa sgrub bo //.
- 47 See below, nn. 53, 72, 73, 83.
- 48 See Eltschinger forthcoming 2.

a more accurate understanding of the text than the 1907 edition, and the parallel passages of AKBh 9 (generally quoted in their Sanskrit original without translation). The identification of the passages quoted or alluded to in the MSABh and the MSAVBh has been greatly facilitated by the very useful work of Kensho Okada and Sayaka Kishi (2007 and 2008), by La Vallée Poussin's footnotes to his French translation of AKBh 9 (Kośa V) and by Ejima's philological notes as reproduced in Lee's new edition of AKBh 9 (LE). I have also taken much benefit of Vairocanaraksita's short glosses on the basis of Kazuo Kano's provisional edition of the codex unicus (Vairocanaraksita MS). My translation and annotations owe much to my close reading of Sthiramati's MSAVBh. But how should we proceed with this bulky commentary? It is fair to say that Sthiramati's explanations were almost certainly meant for an untrained audience - for  $b\bar{a}las$ .<sup>49</sup> In other words, most of this commentary is not worthy of a translation. I have limited myself to summarizing and paraphrasing it while providing Sanskrit equivalents (then always preceded by an asterisk). My own text-critical remarks are to be found in a separate section at the end of the translation. Although much remains to be done, I sincerely hope to have succeeded in making the text intelligible and to attract the attention of scholars to a very significant milestone in the history of the "mainstream" Buddhists' arguments against the Pudgalavada.

# 4. MSA(Bh) 18.92–103/154,27–160,6

In order to demonstrate the selflessness [pertaining] to the *pudgala*, [the MSA now devotes] twelve stanzas [i.e., MSA 18.92–103] to the elucidation (*vibhāga*) of selflessness:

The *pudgala* must be said to exist as a [mere] designation (*prajñaptyastitayā*), but not as a [real] substance (*dravyatas*), because one does not perceive [it], because [our pseudo-perception of the *pudgala*] is [nothing but] a wrong notion (*viparyāsa*), because it is a pollution (*saṃkleśa*), because [the personalistic false view] is the cause of [that which is] defiled (*kliṣța*). (MSA 18.92)

This [*pudgala*] cannot be said to be either one [and the same] with or distinct from the [basis of its designation, i.e., from the five *skandhas*], because of the two faults [that would ensue]: for [if the *skandhas* and the *pudgala* were one and the same,] the *skandhas* would be

49 For a good example of this, see below, n. 73.

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(*prasaniga*) the self, and [if the *skandhas* and the *pudgala* were distinct,] the [*pudgala*] would be a [real] substance. (MSA 18.93)

If [the *pudgala*] exists as a [real] substance and [at the same time] cannot be said [to be either one and the same with or distinct from the *skandhas*, then you] have to state the reason (*prayojana*) [for this], [because a real *pudgala*] cannot be said to be neither one [and the same with] nor distinct [from the *skandhas*] without a reason [being provided for the denial of each of the two propositions]. (MSA 18.94)

Because of [their distinct] characteristics, because of [that which is] observed in the [ordinary] world and because of the [authoritative] treatises [that speak to the contrary], it is not correct [to affirm] that fuel and fire cannot be said [to be either one and the same or distinct from one another], for one indeed perceives [them] as [being] two. (MSA 18.95)

Since a cognition arises provided two [factors only] are present,<sup>50</sup> the [*pudgala*] is not [its causal] condition, because [such a *pudgala*] is useless. Therefore, the [*pudgala*] cannot be a seer, [and this] up to a liberator. (MSA 18.96)

Or, if [the *pudgala*] presided over [the rise of a cognition],<sup>51</sup> neither would it bring about a [pleasurable cognition that would be] impermanent, nor [would it ever bring about] an undesirable one. [Moreover,] its operation and characteristic are to be established. [Additionally, the Blessed One's] threefold complete awakening [would get] ruined [if the *pudgala* existed as a real substance]. (MSA 18.97)

Furthermore, its effort in order to see, etc., is not self-arisen, because of all the three [faults that are to be presented below]. [Nor can the *pudgala* serve as] the [causal] condition of this effort. Seeing, etc., lacking an effort[, cannot have the *pudgala* for its agent]. (MSA 18.98)

Because the [*pudgala*] would no [longer] be an agent, because [the exertion] is impermanent, [and] because [exertion] would occur [all] at once [and] permanently, [this *pudgala*'s] effort in order to see [something visible], etc., cannot be self-arisen. (MSA 18.99)

Neither a [*pudgala*] that [always] remains as it is nor a perishable [*pudgala*] can be the [causal] condition [of the exertion aimed at producing seeing, etc., and this for three reasons:] because [this exertion] does not exist before[, hence cannot be due to a permanent cause]; because [this *pudgala*] would [*ipso facto*] be impermanent; and because there is no third hypothesis [i.e., the hypothesis of a *pudgala* that would be neither permanent nor impermanent]. (MSA 18.100)

And [this can also be demonstrated by resorting to scripture,] because [the Blessed One has] taught [that] all *dharmas* are selfless, [that] ultimately [there is nothing but] emptiness, and [that] to perceive a self is harmful. (MSA 18.101)

Because by [resorting to the designation of] *pudgala*, one [can, as did the Blessed One,] indicate differences in addiction and [mental] series concerning defilement and purification,<sup>52</sup> which vary [each] according to degree and party. (MSA 18.102)

- 50 On the reading: *dvaye sati ca*, see below, text-critical remarks ( $\rightarrow$ L157,3).
- 51 I have read: svāmitve sati vā- instead of: svāmitve sati cā-. See below, text-critical remarks (→L157,5).
- 52 I have read: *saṃkleśe vyavadāne ca* instead of: *saṃkleśavyavadāne ca*. See below, textcritical remarks (→L159,3).

[The Blessed One did not have to teach the *pudgala*, because] the view of a self is not to be generated [anew in the living beings], [because their] cultivation [of it] is beginningless [and therefore does not need to be taught], [and because if salvation presupposed the knowledge of the *pudgala*,] all [living beings could] achieve liberation without effort. There is either no liberation [at all] or no [substantially existing] *pudgala*. (MSA 18.103)

[The Pudgalavādin:<sup>53</sup>] Should one say that the *pudgala* exists, [or] should one [rather] say that it does not exist? [The MSA] answers: *The* pudgala *must be said* 

53 Sthiramati (MSAVBh tsi D163a1-4/P191b2-6) introduces the opponent as follows: 'di ltar bcom Idan 'das kvis kvaň so so'i skye bo'i gaň zag daň / rgyun du źugs pa'i gaň zag daň / lan cig phyir 'on ba'i gan zag dan / phyir mi 'on ba'i gan zag dan / dgra bcom pa'i gan zag dan / byan chub sems dpa'i gan zag dan / gan zag gcig 'jig rten du 'byun ba na 'jig rten kun la phan pa dan bde bar byed pa ste / 'di ltar de bźin gśegs pa'i gan zag go źes gsuns la / ran gi sde pa las kyan sam (em.: a sam D, a sam P) bi ti pa dag phun po dan gcig pa yan ma yin / tha dad pa yan ma yin pa'i gan zag rdzas su yod la / tshig gis brjod du med par yan 'dod cin / mu stegs pa dan 'jig rten pa dag bdag dan gan zag yod par 'dod na / khyed gan zag med par 'dod pa rnams gan zag ces bya ba 'di yod pa źig tu 'dod dam / med pa źig tu 'dod pa smros (D: P smos) sig ces dri'o //. "The Blessed One (\*bhagavat) has said: 'The [good] ordinary person (\*prthagjanapudgala), the person who has entered the stream (\*srotaāpannapudgala), the person who returns [only] once [to the sphere of desire] (\*sakrdāgāmipudgala), the person who does not return [any longer to the sphere of desire] (\* $an\bar{a}g\bar{a}$ mipudgala), the person who is a saint (\*arhatpudgala), the person who is a bodhisattva (\*bodhisattvapudgala), and the one (\*eka) person who, when he appears in the world, causes welfare (*\*hita*) and happiness (*\*sukha*), i.e., the person who is a Tathāgata (*\*tathā*gatapudgala)." Even among [our] coreligionists (\*svayūthya), the Sāmmitīyas admit that the *pudgala*, which is neither one [and the same] as the constituents-of-(pseudo-)personality (\*skandha) nor distinct (\*bhinna) [from them], exists as a [real] substance (\*dravyasat) and is unspeakable (\*avaktavya); and the outsiders (\*tīrthika) as well as the worldly [persons] (*\*laukika*) admit that the self (*\*ātman*) and the *pudgala* exist. [These opponents now] ask: 'You who admit that the *pudgala* does not exist ought to say [now] whether you accept that what is called *pudgala* exists or whether you accept that it does not exist."" \*To be compared with Aks 118,26-33 (see also Okada/Kishi 2008:93, and Braarvig 1993:II.452-453 for a translation); parts of the sūtra (?) are also quoted in AKBh 468,16/LE90,9 (ekah pudgalo loka utpadyamāna utpadyata iti), TSP K126,6-7/\$160,12-13 (ekah pudgalo loka utpadyamāna utpadyate yadvat tathāgata iti), and SŚ (463a14 and 463c28 according to LE90n. 343 [see Venkata Ramanan 1953:170 and 173] with further references to T 2, 561a18, T 2, 569b24, AN I.22 [I, XIII, 1]). The list of the pudgalas in Aks further includes the person following his faith (śraddhānusārī pudgalah), the person following religious teaching (*dharmānusārī pudgalah*), the person on the eighth stage (*astamakah pudgalah*), the person being an isolated buddha (pratyekabuddhah pudgalah). For definitions of these pudgalas, see Braarvig 1993:II.453-454n. 1. See also below, n. 109. Whatever its exact origin, this text belongs, according to Bareau 1955:115, to the most oft-quoted ones in Vātsīputrīya circles. On the Pudgalavādins' original views regarding the different pudgalas

*to exist as a [mere] designation* (prajñaptyastitā), *but not as a [real] substance* (dravyatas) [MSA 18.92ab].<sup>54 55</sup>And because one can say [with equal legitimacy] that [the *pudgala*] exists as a [mere] designation [and] that it does not exist as a [real] substance, in thus adopting a conditional position (*anekāmśavāda*) [on the *pudgala*], there is no room at all either for the fault of [affirming its absolute] existence or for the fault of [affirming its absolute] non-existence. [The Pudgala-vādin:] But how can one know that this [*pudgala*] does not exist as a [real] substance? [Answer:] *Because one does not perceive* [*it*] [MSA 18.92c<sup>1</sup>]. Indeed, contrary to [*dharmas*] such as visible [things], this [*pudgala*] is not perceived as a [real] substance.<sup>56</sup> [The Pudgalavādin:<sup>57</sup>] But what is called "perception" [also

56 According to MSAVBh *tsi* D163b5–7/P192a8–b2, there are two means of valid cognition (\*pramāņa) establishing (\*√sādh-) that entities (\*vastu) exist as real substances (\*dravya-sat): perception (\*pratyakṣapramāṇa) and inference (\*anumānapramāṇa). And insofar as objects like visible things (\*rūpa), etc., up to mental events (\*dharma), exist, they are per-

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engaged on the path, see Chau 1984:13–15, Chau 1987:46–48 and Venkata Ramanan 1953: 205–211.

<sup>54</sup> According to MSAVBh tsi D163a6-7/P191b8-192a1, the MSA relies here on Aks ('phags pa blo gros mi zad pa'i mdo) 118,34-35 (see also Okada/Kishi 2008:94): gan zag gi sgra de dag thams cad ni de bźin gśegs pa'i kun rdzob kyi tshig gi gnas kyis sems can rnams dran ba'i phyir bstan pa ste /. "All those words for persons are taught by the Tathagata from the standpoint of conventional phrases to guide beings." Translation Braarvig 1993:II.454. According to MSAVBh tsi D163a1/P191b2, words like "designation" (\*prajñapti), "mere word" (tshig tsam = vacanamātra?), "mere conventional expression" (\*vyavahāramātra) and "mere name" (\*nāmamātra) on the one side, and "existing as a [real] substance" (\**dravvasat*), "existing as a [real] nature" (*ran bźin yod = svabhāvasat*?) and "existing ultimately" (\*paramārthasat) on the other side are synonymous (\*ekārtha). The pudgala exists as a mere verbal designation, as a noun and a conventional expression only. To be compared with AKBh 461,14–17/LE 38,1–5: yat tarhi vātsīputrīvāh pudgalam santam icchanti / vicāryam tāvad etat / kim te dravyata icchanty āhosvit prajňaptitah / kim cedam dravyata iti kim vā prajňaptitah / rūpādivad bhāvāntaram ced dravyatah / ksīrādivat samudāyaś cet prajñaptitah /. Yasomitra adduces other classical examples of prajñaptisat (pseudo-)entities (AKVy 699,12–14): yathā ksīragrhasenādikam rūparasagandhasprastavyebhyas trnakāsthestikādibhyo hastyaśvarathādibhyaś ca na bhāvāntaram isyate /. On the (partly parallel) distinction between samvrtisat and paramārthasat, see AK 6.4, AKBh 333,23-334,13 and Kośa IV.139-142; see also Katsura 1976.

<sup>55</sup> According to MSAVBh *tsi* D163a7–b1/P192a2–3, the Pudgalavādin now objects as follows: Either you adopt (\* $\sqrt{grah}$ -) the thesis (\**pakṣa*, \**aṃśa*) that the *pudgala* exists (but you don't say that it exists), or you adopt the thesis that the *pudgala* does not exist (but you don't say that it doesn't exist). Why do you say that it exists as a mere verbal designation and that it does not exist as a real substance? Why don't you hold an unconditional position (\**ekām*-*śavāda*)?

consists in] a cognition by the intellect (*buddhi*).<sup>58</sup> Now, it is not the case that [we] Pudgalavādins do not cognize the *pudgala* through the intellect. Moreover, the Blessed One has said: "In this very life, [the living being] perceives an  $\bar{a}tman$ , designates [an  $\bar{a}tman$ ]."<sup>59</sup> How then [can the *pudgala* be said] not [to be] perceived? [Answer:] It is not the case that, when it is perceived in this way, the

57 According to MSAVBh *tsi* D163b7–164a1/P192b3 (*\*pudgalavādin*). That this is an objection is also testified to by Vairocanarakşita's (MS 42b2) gloss: *upalabdhir ityādi* codyam /.

- 58 According to MSAVBh tsi D164a1-4/P192b3-7, one cannot claim that only (\*kevalam) that which is cognized (\* $\sqrt{dr}\dot{s}$ -) by the (corporeal) sense-faculties exists as a real substance (\*dravvasat), for there are things existing as real substances which, though they are not perceived by the (corporeal) sense-faculties, are cognized by the intellect (\*buddhi), like thought and the mental factors (\*cittacaitta). Therefore, "perceived" (\*upalabdha) can also refer to things that are grasped by mental awareness (\*manovijñāna). According to the Pudgalavādin, then, insofar as the *pudgala* is made perceptible (\**pratyakşīkrta*) by the intellect, it is grasped by perception (\*pratyaksapramāna) and therefore exists as a real substance. I haven't succeeded so far in locating any clear-cut Pudgalavādin statement to the effect that the *pudgala* is grasped by mental awareness alone (the SS remains silent on this important issue). According to the Vatsiputriva/Sammitiva of AK 9 (AKBh 463,11–14/LE 52,2–7, Kośa V.238), the pudgala is grasped by all the six  $v_{ij}\tilde{n}anas$ , but in an indirect manner: sadbhir apīty ucyate / katham krtvā / cakşurvijneyāni ced rūpāni pratītya pudgalam prativibhāvayati caksurvijneyah pudgalo vaktavyah / no tu vaktavyo rūpāni vā no vā / evam yāvan manovijneyān ced dharmān pratītya pudgalam prativibhāvayati / manovijneyah pudgalo vaktavyo no tu vaktavyo dharmā vā no vā / (Yaśomitra [AKVy 701,8] explains prativibhāvayati as: upalakşayati tadupādānatvāt, i.e., "one distinguishes [it] in a secondary way, because [the *pudgala*] has these [things] for its basis"). On the *manovijñāna*, see Kośa V.242–243n. 3 and, in the context of the perceptibility of the *pudgala*, AKBh 467,1– 2/LE80,2-3 (Kośa V.252) and AKBh 463,10ff./LE52,1ff. (Kośa V.238ff). See also Traité II.735-736 and n. 1.
- 59 Unidentified (see also Okada/Kishi 2008:94–95).

ceived (\* $upa\sqrt{labh}$ ) by the sense-faculties (\*indriya), the direct perceptual awarenesses (\* $vijn\bar{a}na$ ), etc. But no sense-faculty or direct perceptual awareness cognizes the *pudgala*. Therefore, since it is not grasped by perception, it does not exist as a real substance. Here, Sthiramati refers to the classification of *dharmas* into 18 sensory elements (*dhātu*; 6 *viṣayas*, 6 *indriyas*, 6 *vijnānas*). See AK 1.14ab, AKBh 10,10–11 and Kośa I.27. To be compared with AKBh 461,6–8 and 14–15/LE 36,3–6 and 12 (Kośa V.231–232): pratyakṣānumānā-bhāvāt / ye hi dharmāḥ santi teṣāṃ pratyakṣām upalabdhir bhavaty asaty antarāye / tadyathā ṣaṇṇāṃ viṣayāṇāṃ manasaś ca / anumānaṃ ca / tadyathā pañcānām indriyāṇām / [...] na caivam ātmano 'stīti nāsty ātmā /.

[*pudgala*] is perceived as a [real] substance.<sup>60</sup> [The Pudgalavādin:] For which reason? [Answer:] *Because* [*the pseudo-perception of the* pudgala] *is* [*nothing but*] *a wrong notion* (viparyāsa) [MSA 18.92c<sup>2</sup>].<sup>61</sup> Indeed, the Blessed One has said that to [take] the selfless as a self is a wrong notion. Therefore, to grasp a *pudgala* in this way is [nothing but] a wrong notion. [The Pudgalavādin:] How is it known that [to grasp a *pudgala* is a wrong notion]? [Answer:] *Because it is a pollution* (saṃkleśa) [MSA 18.92d<sup>1</sup>].<sup>62</sup> Indeed, this pollution is characterized as [that] defilement [which consists in] the personalistic [false] view, i.e., [that which expresses itself in the form] of "I" [and] "mine."<sup>63 64</sup>Now, that which is not a wrong notion (*aviparyāsa*) cannot be a pollution.<sup>65</sup> [The Pudgalavādin:] And how can one know that this very [false view] is a pollution? [Answer:] *Because [the personalistic false view] is the cause of [that which is] defiled* 

- 60 According to MSAVBh *tsi* D164b1–2/P193a5–7, the scriptural *locus* advocated by the Pudgalavādin has not been preached by the Blessed One with a view (\**abhiprāya*) to affirm that the *pudgala* exists as a real substance (\**dravyasat*), but rather with a view to affirm that living beings (\**sattva*) speak erroneously (*phyin ci log tu smra ba*) when they claim to see (\* $\sqrt{drs}$ -) and to perceive (\**upa* $\sqrt{labh}$ -) the self (\**ātman*) in spite of there being no self.
- 61 Wrong notions are traditionally held to be four in number: permanent (*nitya*), pleasurable (*sukha*), pure/good (*śuci/śubha*), self (*ātman*). AKBh 283,5–7 (*Kośa* IV.21): *catvāro viparyāsāḥ / anitye nityam iti / duḥkhe sukham iti / aśucau śucīti / anātmany ātmeti /.* "[There are] four wrong notions: to take what is impermanent as permanent; to take what is painful as pleasurable; to take what is impure as pure; to take what is selfless as a self." On the four *viparyāsa*s, see e.g. *Traité* II.925n. 1, Lévi 1911:237n. 1, May 1959:190–205.
- 62 According to MSAVBh *tsi* D164b4–5/P193b1–2, pollution is sixfold: desire (\*rāga), hostility (*khon khro ba = pratigha*?), (self-)conceit (*na rgyal = (asmi)māna*?), nescience (\*avidyā), false view (\*drsti), and doubt (\*samsáaya). I am not aware of any other occurrence of this sixfold list. On the meaning of samkleśa, see Schmithausen 1987:II.246–247n. 21 and May 1959:97–98n. 226.
- 63 On the satkāyadrsti, see Kośa V.15–17, Traité II.737n. 3 and Eltschinger forthcoming 1.
- 64 According to MSAVBh *tsi* D164b6/P193b3-4, the Pudgalavādin now objects as follows: The false view of the *pudgala* (\**pudgaladrsti*) may well have the character of a pollution (\**samkleśalakṣana*), still it does not have the character of a wrong notion (\**viparyāsalakṣana*).
- 65 According to MSAVBh *tsi* D164b7–165a1/P193b4–7, all that which is pollution (*\*saṃ-kleśa*) is wrong notion (*\*viparyāsa*), as to grasp (*\*grahaņa*) something as permanent (*\*nitya*) or good (*\*subha*), and nothing non-polluted is a wrong notion, as to grasp something as impermanent (*\*anitya*) or offensive (*\*asubha*). Therefore, if to grasp something as the self (*\*ātman*) or the *pudgala* has the character of pollution (*\*saṃkleśalakṣaṇa*), it must be a wrong notion.

(klista) [MSA 18.92d<sup>2</sup>].<sup>66</sup> [It is] indeed with this [personalistic false view] as a cause [that] desire, etc., [which are] defiled, are produced.

[The Pudgalavādin:] But [according to you,] should one say that the *pudgala* is one [and the same] with or rather distinct (*anya*) from the [real] thing (*vastu*) named "corporeity," etc., with regard to which the [verbal] designation "*pudgala*" [takes place]? [The MSA] answers:<sup>67</sup> This [pudgala] can be said to be neither one [and the same] with nor distinct from the [basis of its designation, *i.e., from the five* skandhas] [MSA 18.93ab<sup>1</sup>].<sup>68</sup> [The Pudgalavādin:] For which reason? [Answer:] Because of the two faults [that would ensue] [MSA 18.93b<sup>2</sup>]. [The Pudgalavādin:] Because of which two faults? [Answer:] Because [if the

- 66 According to MSAVBh tsi D165a3-4/P194a1-2, defilements (\*kleśa) such as desire (\*rāga), hostility (\*dveşa) and error (\*moha) arise from the false view of a self (\*ātmadṛṣți). Therefore, since the false view of a self serves as the cause of defilements, the personalistic false view (\*satkāyadṛṣți) is the nature of the defilements (\*kleśasvabhāva). On the genealogy of the defilements out of the false view of a self, see below, MSABh 160,3-4 and n. 123. For similar statements in early Yogācāra literature and in the Buddhist epistemologists' works, see Eltschinger forthcoming 1.
- 67 According to MSAVBh tsi D165a4-b2/P194a2-b1, the Pudgalavādin has objected as follows: You claim that the *pudgala* does not exist as a real substance (\**dravyasat*), but exists as a designation (\*prajñaptisat). Now, a designation (\*prajñapti) is impossible (\*asam*bhava*) without a basis ( $g_{2i} = *vastu$ ), as the designation "pot" (\* $g_{hata}$  iti) is impossible in the absence of a pot, but occurs in dependence of a pot (\*ghatam  $\bar{a}\dot{s}ritya$ ). On which basis, then, does the designation "pudgala" occur? The reply to this objection is as follows: The designation "pudgala," far from being based on something existing substantially, is nothing but a designation of the five constituents-of-(pseudo-)personality to which one clings (\*pañcopādānaskandha), as it is said in the Sūtra: "O monks, those ascetics or Brahmins who consider that [there is] a self, all these only consider the five constituents-of-(pseudo-)personality to which one clings."\* \*MSAVBh tsi D165a6/P194a4-5: dge sbyon nam bram ze 'am / gan su yan run ba bdag gam bdag gi źes 'dogs pa de dag ni ñe bar len pa'i phun po lna ñid la na 'am bdag gi źes lta źin' 'dogs par zad do źes gsuns so //. To be compared with AKBh 282,1-3 (see also Okada/Kishi 2008:95; Kośa IV.17) and AKBh 467,6-7/LE82,2-4 (Kośa V.253; see LE82n. 289 for references): ye kecid bhiksavah śramanā vā brāhmanā vā ātmeti samanupaśyantah samanupaśyanti sarve ta imān eva pañcopādānaskandhān iti /, and SN III.46 (XXII, 47, 3) (see also Okada/Kishi 2008:95): ye hi keci bhikkhave samanā vā brāhmaņā vā anekavihitam attānam samanupassamānā samanupassanti / sabbe te pañcupādānakkhandhe samanupassanti etesam vā aññataram /. The same passage is quoted in MAV 244,15–18 and 254,14–16.
- 68 According to MSAVBh *tsi* D165b2–3/P194b1–3, since it exists as a mere designation (\**prajñaptisat*) but not as a real substance (\**dravyasat*), the *pudgala* can be said neither to be of the same nature (\**ekasvabhāva*) as the *skandhas* nor to be distinct from the *skandhas*.

skandhas *and the* pudgala *were one and the same*,] *the* skandhas *would be* (prasanga) *the self, and* [*if the* skandhas *and the* pudgala *were distinct*,] *the* [pudgala] *would be a* [*real*] *substance* [MSA 18.93cd].<sup>69</sup> For if [the *skandhas* and the *pudgala*] are one [and the same], it follows that the *skandhas* are the self, and that the *pudgala* is a [real] substance. But if [the *skandhas* and the *pudgala*] are distinct[, then it follows that] the *pudgala* exists as a [real] substance. For [it is] in this way [only, i.e.], since the *pudgala* exists as a [mere] designation, [that] one is justified [in saying] that it cannot be said [to be either one and the same with or distinct from the *skandhas*<sup>70</sup>]; [and] therefore, it is established as a point [to be left] unanswered (*avyākṛtavastu*).<sup>71</sup>

- 69 According to MSAVBh *tsi* D165b5–166a2/P194b6–195a3, if one holds that the *skandhas* and the *pudgala* are one and the same (\**eka*), then, (1a) as the self (\**ātman*) is of a permanent nature (\**nityasvabhāva*), the five *skandhas* themselves will be of a permanent nature (which is false). Moreover, (1b) if the five *skandhas* and the self were one and the same, then, as the the five *skandhas* exist as real substances (\**dravyasat*), the *pudgala* also would exist as a real substance (which is false). But if one holds the *pudgala* to exist independently of the five *skandhas*, then, (2) as the outsiders (\**tīrthika*) claim that what they call "*ātman*" exists as a real substance independently of the *skandhas*, what is called "*pudgala*" will also exist as a real substance independently of the five *skandhas* (which is false). In both Vasubandhu's and Sthiramati's interpretations, (1b) = (2). For an argument similar to (1b), see AKBh 461,24–462,24/LE40,9–48,5, quoted below, n. 76.
- MSAVBh tsi D166a2-3/P195a3-5: gon du bśad pa ltar na gan zag rdzas su med par 'gyur te / btags pa tsam du yod pas na / gan zag gi phun po dan ran bźin gcig par mi (em.: DP om. mi) 'gyur ro // phun po ñid gan zag gi ran bźin yin no źes kyan ma brjod la / phun po la ma gtogs par gan zag logs śig na yod par yan mi brjod la / don du na phun po la yan gan zag gi ran bźin med / phun po la ma gtogs par gan zag ces bya ba logs śig na yan rdzas su med do źes bya ba'i don to //. "As stated before, since the pudgala does not exist as a [real] substance, [but] exists as a mere designation, the pudgala cannot have the same nature as the skandhas. [We] don't say that the skandhas are the nature of the pudgala, and we don't say that the pudgala does not exist as a [real] substance independently of the skandhas. Such is the [intended] meaning."
- 71 According to MSAVBh tsi D166a4–6/P195a6–8, one says neither that the skandhas and the pudgala are one and the same thing, nor that they are distinct things. Therefore, if someone asks whether the skandhas and the pudgala are one and the same, one does not answer that they are one and the same thing (\*eka ity avyākrta). And if someone asks whether the skandhas and the pudgala are distinct things, one does not answer that they are distinct things (\*bhinna ity avyākrta). And indeed, since the pudgala is without a nature of its own (\*niḥsvabhāva), it can be taught neither to be the same as the skandhas nor to be distinct from them, as it cannot be answered that the son of a barren woman (\*vandhyāputra) is of dark (\*śyāma) or clear (\*gaura) complexion. The types of questions are traditionally held to

be four in number (AKBh 292,9-10): sthāpanīyah praśno 'vyākrta ity uktam / caturvidho hi praśnah / ekāmśavyākaranīyo vibhajyavyākaranīyah pariprcchyavyākaranīyah sthāpanīyaś ca /. "A question that should be avoided is called 'unanswered.' There are indeed four types of questions: to be answered by absolute affirmation, to be answered by distinguishing [the different aspects involved], to be answered by questioning [the questioner], and to be avoided [, i.e., left unanswered]." (1) AKBh 292,15: kim sarvasattvā marantīty ekāmśena vyākartavyam marişyantīti /. "[The question:] 'Will all living beings die?' should be answered by absolute affirmation: '[Yes, all] will die." (2) AKBh 292,15-16: kim sarve janisyanta iti vibhajva vyākartavyam saklešā janisvante na nihklešā iti /. "[The question:] 'Will all [living beings] be reborn?' should be answered by distinguishing: '[Those] who are defiled will be reborn, not the undefiled [ones]." (3) AKBh 292,16-19: kim manusyo viśisto hīna iti pariprechva vyākartavyam / kān adhikrtya praśnayasīti / yadi brūyād devān iti / hīna iti vyākartavyam / yadi brūyād apāyān iti / viśista iti vyākartavyam /. "[The question:] 'Is the human being superior [or] inferior?' should be answered by questioning [the questioner]: 'With regard to whom do you ask?' If he said: 'To the gods,' [then] one should answer: 'Inferior.' [But] if he said: 'To [those of] the evil states of existence,' [then] one should answer: 'Superior.'" (4) The issue of the *pudgala* as well as all the other *avyākrta*vastus belongs to the fourth category (AKBh 292,19-20): kim anyah skandhebhyah sattvo 'nanya iti sthāpanīvah / sattvadravyasyābhāvād vandhyāputraśvāmagauratādivat /. "[The question:] 'Is the [personal] being other or the same as the *skandhas*?' should be avoided, because there is no [such real] substance [as] a [personal] being, as [should be avoided the question] whether the son of a barren woman is of dark or clear complexion." Other famous examples include: "Is the hair of a tortoise hard or soft?" (kin kaurmasya romnah kharatā mrdutā vā [AKBh 469,12/LE98,5]), or: "The fruits of the mango tree in your palace, are they sour or sweet? - [But, says king Milinda,] there is no mango tree in my palace!" (yas te 'ntahpure āmravrksas tasya kim amlāni phalāny āhosvin madhurānīti / naiva mamāntahpure kaścid āmravrkso 'sti / [AKBh 469,20-21/LE100,3-4]). The reason why the Buddha remains silent on questions such as that of the identity/difference of the self and the skandhas is that he takes into consideration the intention of the person asking the question (prastur āśayāpeksā) in order to prevent him from falling into the extremes of eternalism (sāśvatānta, if he answers that they are indeed different) and annihilationism (ucchedānta, if he answers that they are the same), i.e., in false views (drsti) and ethical nihilism (the view that there is no good or bad action and no eschatological consequence), the latter being generally held to be more perverse than the former. On the ten or fourteen avyākrtavastus, see AKBh 292,8-294,4 and Kośa IV.43-48, Traité I.153-161 and 423; for other references, see May 1959:277-278n. 1015. In the specific context of the present polemic, see especially AKBh 469,9-471,19/LE98,1-114,3 (Kośa V.262-270), MAV 250,16-252,2 and Venkata Ramanan 1953:168 and 175-176.

# VINCENT ELTSCHINGER

<sup>72</sup>But to those who, violating the Teacher's Teaching, admit that the *pudgala* exists as a [real] substance,<sup>73</sup> one should reply as follows: *If* [*your* pudgala] *exists as a* [*real*] *substance and* [*at the same time*] *cannot be said* [*to be either one and the same with or distinct from the* skandhas, *then you*] *have to state the reason* (prayojana) [*for this*] [MSA 18.94ab].<sup>74</sup> [The Pudgalavādin:] Why [should we state such a reason]? [Answer:] [*Because a real* pudgala] *cannot be said to be neither one* [*and the same with*] *nor distinct* [*from the* skandhas] *without a reason* [*being provided for the denial of each of the two propositions*] [MSA 18.94cd].<sup>75</sup>

But if [, in the absence of any reason,] it were merely due to an example that they accepted that the *pudgala* cannot be said [to be either one and the same with or distinct from the *skandhas*, stating:] "As fire can be said to be neither distinct

- 72 According to MSAVBh *tsi* D166a6–7/P195a8–b2, MSABh 155,19–156,8 has been criticizing in a general way (\*sāmānyena) both the \*ātmavādin outsiders (\*tīrthika) and the \*bauddhas who believe in the existence of the pudgala. In MSABh 156,8–24 (see below, n. 83) onwards, the MSABh starts to criticize the Buddhist coreligionists (*bstan pa 'di la źugs pa*), more precisely the views of the Sāmmitīyas (*sam* [P: D *sam*] *bi ti pa*) who admit that the *pudgala* exists as a real substance. See above, n. 8.
- 73 According to MSAVBh tsi D166a7-b2/P195b2-5, "those who" refers to the Sāmmitīyas (sam [P: D sam] bi ti pa); "Teacher" refers to the Blessed Buddha (\*bhagavān buddhaḥ); "Teaching" refers to the twelve-membered word of the Buddha (\*dvādaśānigapravacana), i.e., to the doctrine according to which all factors are impermanent (\*anitya), painful (\*duḥkha), empty (\*śūnya), and selfless (\*anātman). To "violate" this teaching is tantamount to expounding its meaning (\*artha) erroneously by saying that what is called "pudgala" exists as a real substance (\*dravyasat), that it can be said neither to be one and the same with (\*eka) nor distinct from (\*bhinna) the five constituents-of-(pseudo-)personality (\*pañcaskandha).
- 74 According to MSAVBh *tsi* D166b2–5/P195b5–196a1, the proponent has stated the reasons (\**prayojana* = \**hetu*; in MSABh 156,4–7) why he says neither that the *pudgala* is one and the same with the *skandhas* (for if it were the case, the *skandhas* would be permanent and the *pudgala* would exist as a real substance) nor that it is distinct from them (for if it were the case, the *pudgala* would exist as a real substance as the \**ātman* postulated by the outsiders [\**tīrthikaparikalpita*]). In the same way, the Pudgalavādin should state the reasons why his substantially existing *pudgala* cannot be said (\**avācya*) to be either one and the same with or distinct from the *skandhas*.
- 75 According to MSAVBh *tsi* D166b7–167a2/P196a4–6, if they cannot be said to be one and the same (\**eka*) thing, then they must be distinct (\**bhinna*) things, like fire and water, and if they cannot be said to be distinct things, then they must be one and the same, like fire and fire's heat (\**agnyausnya*).

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from nor the same as the fuel (*indhana*),"<sup>76</sup> one should reply to them as follows: Because of [their distinct] characteristics, because of [that which is] observed in the [ordinary] world and because of the [authoritative] treatises [that speak to the contrary], it is not correct [to affirm] that fuel and fire cannot be said [MSA 18.95ac] to be either one [and the same] or distinct [from one another]. For what we call "fire" is [nothing but] the element fire (*tejodhātu*), [whereas] the fuel [consists of] the remaining [three] elements [i.e., earth, water and wind]. Now since their characteristics are distinct, fire is simply other than fuel.<sup>77</sup> And since

- 76 According to MSAVBh tsi D167a2-b1/P196a7-b6, the Pudgalavādin adduces an example of something that exists as a real substance but cannot be said to be either one and the same with or distinct from another. Fire (\*agni) and fuel (\*indhana) exist as real substances (\*dravvasat) but are not distinct things, for once fire has arisen from fuel, they are no longer distinct things; and if they were distinct things, fire could arise even in the absence of fuel; but since one doesn't observe that fire arises in the absence of fuel, they are not distinct things. Nor are fire and fuel one and the same thing, for fuel is the cause of fire and does not have heat for its nature (*\*usnatāsvabhāva*), whereas fire is the effect of fuel and has heat for its nature; and if they were one and the same thing, then, as one would not burn oneself when touching (*[sam]* $\sqrt{sprs}$ ) fire, one would burn oneself when touching fuel. On the example of fuel and fire, see AKBh 461,24-462,24/LE40,9-48,5 (Kośa V.234-237), and especially AKBh 462,1-4/LE42,2-6: na hi vinendhanenāgnih prajñapyate / na cānya indhanād agnih śakyate pratijñātum / nāpy ananyah / yadi hy anyah syād anusnam indhanam syāt / athānanyah syād dāhyam eva dāhakam syāt / evam na ca vinā skandhaih pudgalah prajñapyate / na cānyah skandhebhyah śakyate pratijñātum śāśvataprasangāt / nāpy ananya ucchedaprasangād iti /. Note AKVy 700,7-8: śāśvataprasangād itv asamskrtavat / ucchedaprasangād iti skandhavat /. See also above, n. 69. That the(/certain) Pudgalavādins made use of this analogy is made almost certain by a passage of the SS (466b3-6, translation Chau 1987:35 [see also Venkata Ramanan 1953:182]): "Qu'est-ce que le pudgaladésigné-par-les-fondements? - Comme le Bouddha l'a dit à Pāpaka: 'En se fondant sur telles et telles choses composées (samskāra), on nomme [pudgala] ce-qui-est-désigné-parles-fondements.' Ce qui est nommé [pudgala]-désigné-par-les-fondements, est comme le feu [par rapport au combustible]." On the \*āśrayaprajñaptapudgala, see 1. Buddhist Personalism and its Critique, 1.4. On the fire-fuel analogy, see Duerlinger 1982.
- 77 According to MSAVBh tsi D167b5–6/P197a3–5, among the four great elements (\*mahābhūta), fire is the element fire (\*tejodhātu) and has heat for its characteristic (\*uṣṇatālakṣaṇa); as for fuel, it consists of the remaining three elements, viz. earth (\*prthivīdhātu), water (\*abdhātu) and wind (\*vāyudhātu), which have respectively for their characteristics solidity (\*kharalakṣaṇa), fluidity (\*snehalakṣaṇa) and mobility (\*īraṇālakṣaṇa). See AK 1.12cd, AKBh 8,18–25, and Kośa I.22–23. To be compared with AKBh 462,12–14/ LE44,11–13 (Kośa V.235): atha punas tatraiva kāṣṭhādau pradīpte yad auṣṇyaṇ tad agnis tatsahajātāni bhūtānīndhanam iṣyante / tayor api siddham anyatvaṇ lakṣaṇabhedāt /, and AKVy 700,21–24 thereon: tayor apy agnīndhanayor evalakṣaṇayoḥ siddham anyatvaṇ

in the world [of ordinary experience], one observes [the existence of] fuel such as wood even in the absence of fire, and [of] fire even in the absence of fuel, [their] being other is [well] established.<sup>78</sup> Moreover, in the [authoritative] treatises (*sāstre*) [preached by Him], the Blessed One has said nowhere that fire and fuel cannot be said [to be either one and the same or distinct].<sup>79</sup> Therefore, this is incorrect. [The Pudgalavādin:] But how is it known that fire [also] exists without fuel? [Answer:] *Because one perceives* [*that fire can exist without fuel*] [MSA 18.95d<sup>1</sup>],<sup>80</sup> for [when it is] blown by the wind, [fire can] even go a long way while [still] ablaze. [The Pudgalavādin:] But in this case, the wind [itself] might [well] be the fuel! [Answer:] This is precisely the reason why it is established that fire and fuel are [mutually] distinct [things]!<sup>81</sup> [The Pudgalavādin: But] why? [Answer:] *As* [*being*] *two, indeed* [MSA 18.95d<sup>2</sup>].<sup>82</sup> [This] is to be construed with "Because one perceives." [And] indeed, here two [things] are perceived, [i.e.,] the flame and, as [its] fuel, the wind.

lakşanabhedāt / prthivīdhātvādīnām lakşanānyatvāt / bhinnalakşanānām hy anyatvam drstam rūpavedanādīnām /.

- 78 On the existence of fire in the absence of fuel, see below, MSABh 156,20–21.
- 79 According to MSAVBh tsi D168a2-4/P196b2-4, in the Abhidharma (mnon pa'i chos 'bum gyi gźun = \*Abhidharmaśatasāhasrikagrantha?), the Blessed One has not said that fire and fuel are one and the same thing, but that they are distinct things, for he has said: "Fire has heat for its nature (\*uṣṇatāsvabhāva), earth has solidity for its nature (\*kharasvabhāva), water has fluidity for its nature (\*snehasvabhāva), and wind has mobility for its nature (\*īraṇāsvabhāva)."
- 80 According to MSAVBh *tsi* D167b2–4/P196b7–197a3, *upalabdhe*h adduces a fourth reason proving that fire and fuel are distinct things. According to Sthiramati, *upalabdhi* is to be understood as *\*upalabdhipramāna*.
- 81 According to MSAVBh *tsi* D168b1–2/P198a2–3, since the wind performs the action (*\*karman*) of bringing the flame (*\*jvālā*) somewhere else (*\*anyadeśa*), it has mobility for its nature (*\*īraņāsvabhāva*); but since the flame has heat for its nature (*\*uṣṇatāsvabhāva*), wind and fire are established as mutually distinct things.
- 82 Like Vasubandhu, Sthiramati (MSAVBh tsi D168b2-3/P198a3-5) seems to interpret dvayena hi as a fifth reason in favour of the difference between fire and fuel, for "dvayena hi occurs in the stanza, but not the word upalabheh" (kā ri kā las gñis su źes 'byuṅ gi / dmigs pa źes bya ba ni tshig mi 'byuṅ mod kyi, MSAVBh tsi D168b2-3/P198a4). When the flame is blown by the wind, one perceives them as being two: the wind is perceived as having mobility/motion for its characteristic, whereas the flame is perceived as having heat for its characteristics.

[The Pudgalavādin:] The *pudgala*, which is the seer, [and this] up to the cognizer, the agent, the experiencer, the knower and the liberator, does simply exist.<sup>83</sup> [Answer:] The [*pudgala*] is no more justified as [being] a seer than it is [as the agent of any action,] up to [being] a liberator. [And] indeed, it could be the agent of the cognitions called "seeing," etc., either as [their causal] condition or as [their] master.<sup>84</sup> Among these [two, let us consider] first [the hypothesis of the *pudgala* as a causal condition]: *Since a cognition arises in dependence of two* [*factors only*], *the* [pudgala] *is not* [*its causal*] *condition* [MSA 18.96ab].<sup>85</sup> [The

- 83 According to MSAVBh tsi D168b4-7/P198a5-b2, the false view (\*drsti) of the Sāmmitīyas (sam [P: D sam] bi ti pa) has been duly refuted (in MSABh 156,8–24, see above, n. 72) so that the MSABh 156,24ff. can turn to the refutation of those outsiders (\*tirthika) who hold that the self (?*na'i bdag*, "the self that is the I/ego") exists. According to them, the self is the agent (\*- $k\bar{a}ra$ ) of the action (\* $kriy\bar{a}$ ) of seeing visible things (\* $r\bar{u}pa$ ), etc., up to cognizing mental events (\**dharma*); it experiences (\**anu* $\sqrt{bh\bar{u}}$ ) the pleasurable (\**sukha*) and painful (\*duhkha) results (\*kārya) of good and bad deeds (\*kuśalākuśalakarman) that are responsible for one's entanglement in samsāra; the bondage ('chin ba = bandhana, samyojana?) that ties living beings (\*sattva) amounts to three factors: \*sattva, \*rajas, and \*tamas; once it has liberated itself from this threefold bondage, the self liberates itself (\*moksa), obtains nirvāna. Considering that Sthiramati refers here at least inter alia to Sāmkhya doctrines, Tib. 'chin ba may render an original Skt. bandha (see Frauwallner 1953:338); pre-classical Sāmkhya knew of a threefold bondage: prakrtibandha (bondage through Urmaterie) vai $k\bar{a}rikabandha$  (bondage through emotions), and daksin $\bar{a}bandha$  (bondage through the sacrificial fee; see Frauwallner 1953:337-339). In the doctrine alluded to by Sthiramati, the three basic constituents of matter have been substituted for the older three factors, so that one may interpret this threefold bondage as the soul's entanglement in matter and its processes (see Frauwallner 1953:374-380). As suggested above (see 1. Buddhist Personalism and its Critique, 1.4 and n. 18), there is no compelling reason to follow Sthiramati's opinion that the MSA(Bh) is now attacking non-Buddhist doctrines.
- According to MSAVBh *tsi* D168b7–169a5/P198b3–199a1, the self could be an agent in the sense of a master (\**svāmin*): In the same way as a master or a lord (*dpon po = pati*?) commands (\* $\sqrt{vas}$ -) the slave (\**dāsa*) and has his wishes fulfilled by the slave due to his command (\**vasa*), the self might be in command of cognitions such as the visual cognition (\**cakşurvijñānādivijñāna*); due to the power of the self, the cognitions (\**vijñāna*) would see visible things, etc. (\**rūpādi*), and the self in turn would see (\* $\sqrt{drs}$ -) or experience (\**anu* $\sqrt{bhu}$ -) visible things as they appear in the cognition (\**yathā vijñāne [prati]bhāsate*).
- 85 According to MSAVBh tsi D169a5-6/P199a1-3, a visual cognition (\*cakşurvijñāna) arises in dependence of something visible (\*rūpa) and the visual sense-faculty (\*cakşurindriya). Therefore, what is called the self cannot act as a causal condition in the rise of a visual cognition. To be compared with AKBh 464,12-14/LE 60,6-9 (Kośa V.241): sūtre hi nirdhāritam / dvayam pratītya vijñānasyotpādo bhavatīti\* / tathā cakşur bhikşo hetū rūpāni pratyayaś cakşurvijñānasyotpādāya / tat kasya hetoh / yat kimcid bhikşo cakşurvijñānam

Pudgalavādin:] For which reason [isn't the *pudgala* a causal condition in the production of a cognition]? [Answer:] *Because* [*it is*] *useless* [MSA 18.96c<sup>1</sup>], for one does not observe it to have any function (*sāmarthya*) in this [process].<sup>86</sup> Or, *if* [*the* pudgala] *presided over* [*the rise of a cognition*], *neither would it bring about an impermanent* [*pleasurable cognition, nor would it ever bring about*] *an undesirable one* [MSA 18.97ab].<sup>87</sup> Indeed, if this [*pudgala*] presided over the production of cognitions, it would [certainly] not bring about a desirable [but] impermanent cognition, and certainly no undesirable one. Therefore, since it is impossible in either of the two ways (*ubhayathāpi*) [i.e., either as a causal condition or as a master], *the* [pudgala] *cannot be a seer*, [*and this*] *up to a liberator* [MSA 18.96c<sup>2</sup>d].

Furthermore, if the *pudgala* exists as a [real] substance, *its operation and cha*racteristic are to be established [MSA 18.97a].<sup>88</sup> [And indeed,] one perceives

sarvam tac cakṣuḥ pratītya rūpāṇi ceti\*\*/. \*T 2, 54a23, SN IV.67 (XXXV, 93, 2) according to LE60n. 124; \*\*T 2, 57c18 according to LE60n. 125.

<sup>86</sup> According to MSAVBh *tsi* D169a5–6/P199a1–3, when fire has been brought about by the fuel, water is in no way (*\*na kathamcit*) necessary in order to produce it; in the same way, when a visual cognition has arisen in dependence of something visible (*\*rūpa*) and the eye (*\*cakşus*), the self is in no way necessary in order to produce it. In other words, the self is useless (*\*nirartha*), does not perform any action (*\*akimcitkara*).

<sup>87</sup> According to MSAVBh *tsi* D169b2–5/P199a6–b3, if the self experienced visible or audible things as they appear in cognition, then, since the living beings (\*sattva) always (\*nityam) long for pleasure (\*sukha) alone and wish never to be associated with suffering (\*duḥkha), the self would always produce pleasurable cognitions, and never undesirable (\*anista) and unpleasurable (\*asukha) ones. For if the self were in command of cognitions and experienced pleasure and suffering as they appear in the various cognitions, it would always bring about pleasurable cognitions and never painful ones. To be compared with *Traité* II.743: "Si l'Ātman était autonome (svatantra) et actif (kāraka), il devrait tout obtenir selon ses désirs. Or il n'obtient pas [toujours] ce qu'il désire, et il subit [souvent] ce qu'il ne désire pas. [...] En outre, tout être déteste la douleur (duḥkha); mais quiconque recherche le bonheur (sukha), trouve la douleur. C'est pourquoi, nous savons que l'Ātman n'est pas autonome, ni non plus actif."

<sup>88</sup> According to MSAVBh *tsi* D169b7–170a2/P199b6–200a1, the visual sense-faculty (*\*cakşurindriya*) and the visual cognition (*\*cakşurvijñāna*), which exist as real substances (*\*dravyasat*), possess an operation (*\*karman*) and a characteristic (*\*lakşaṇa*): their joint operation is to perform the action of seeing visible things; the characteristic of the visual sense-faculty is to manifest something visible (*\*rūpaprasāda*); the characteristic of the visual cognition is (*\*-svabhāva*) to cognize a colour such as blue (*\*nīlādirūpa*). If one accepts that what is called the self also exists as a real substance, one has to exhibit its

[both] the operation and the characteristic of [all] that which exists as a [real] substance. For example, [one perceives that] vision, etc., and the manifestation of visible [things], etc., [are respectively the operation and the characteristic] of the eye, etc.<sup>89</sup> But it is not so in the case of the *pudgala*. Therefore, it does not exist as a [real] substance. And if one accepts this [*pudgala*] as a [real] substance, [then] the Blessed One's *threefold complete awakening gets ruined* [MSA 18.97b],<sup>90</sup> [viz.] the complete awakening [that is extremely] profound, the complete awakening [that is] uncommon, and the complete awakening [that is] supra-mundane.<sup>91</sup> Indeed, if [it is] the *pudgala* [that he] perfectly understands,

- 90 According to MSAVBh *tsi* D170a4–7/P200a3–7, this argument relies on ŚS (*sā lu ljaň pa'i mdo*) 30,16–19 (see also Okada/Kishi 2008:96–97 as well as Schoening 1995:I.237–239 and II.395). MSAVBh *tsi* D170a4–5/P200a4–5: *de la saňs rgyas bcom ldan 'das gaň že na / gaň gis chos thams cad thugs su chud pa'i phyir / saňs rgyas žes bya ste / des* (em.: P *des daň*, D *de daň*) 'phags pa'i chos kyi sku daň šes rab kyi spyan gyis byaň chub byed pa daň slob pa daň mi slob pa'i (em.: DP *pas*) *chos gzigs so //.* "Among these [things], what does a Blessed Buddha consist of? We call 'Buddha' the one who, because he comprehends (\**avabodha*) all *dharmas*, sees the [three] *dharmas* of that which enlightens, of those [still] undergoing training (\**śaikşa*) and of those no [longer] in need of training through the noble *dharma*-body and the eye of insight (\**prajñācakşus*)." According to Sthiramati, a Buddha is called 'Buddha' because he correctly comprehends the meaning of all *dharmas* as many as there are (\**yāvadbhāvika*). If the *pudgala* existed, this omniscient (\**sarvajña*) being would see it; but if he saw the *pudgala*, he could no longer be called a 'Buddha' due to comprehending this threefold salvational *dharma*.
- 91 According to MSAVBh tsi D170a7-b5/P200a8-b6, his complete awakening is termed "profound" due to the fact that he comprehends the \*dharmanairātmya (a feature that traditionally distinguishes him from the Arhats, Śrāvakas and Pratyekabuddhas), "uncommon" due to the fact that he comprehends the \*pudgalanairātmya (a feature that traditionally distinguishes him from the ātmavādin outsiders), and "supra-mundane" due to the fact that

operation and its characteristic, for in their absence, the self is simply similar to a rabbit's horn (*\*śaśavişāņa*) and to the son of a barren woman (*\*vandhyāputra*). On the rabbit's horn, see *Traité* II.738 and Venkata Ramanan 1953:193.

<sup>89</sup> Translated from the Tibetan version (MSABh<sub>tib</sub> P262b3): gan źig rdzas su yod pa de'i ni las dan mtshan ñid kyan dmigs te / dper na mig la sogs pa'i lta ba la sogs pa dan gzugs dad pa la sogs pa lta bu yin na /. Here is the text as edited by Lévi (L157,10–11), with the variant readings of MSS A (156a6–b1) and B (142b2–4) in brackets: yadi dravyato 'sti tasya karmāpy upalabhyate (upalabhyeta MS A, upalabhyet [sic] MS B)/ yathā cakşurādīnām darśanādilakşaņam ca rūpaprasādādi (MS A om. lakşaņam ca rūpaprasādādi) /. The reading of the Tibetan version can be reconstructed as follows: \*yad dravyato 'sti tasya karmalakşaņam apy upalabhyate / yathā cakşurādīnām darśanādi rūpaprasādādi (ca) /. Such a reading is perfectly consonant with Sthiramati's commentary (see above, n. 88), which reflects a dvandva analysis of °karmalakşaņam.

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[then] nothing profound [at all] is perfectly understood [by him], and [nothing] that is not common to the outsiders, and nothing [that is] unusual (*anucita*) in the ordinary world (*loka*), for such a grasping is accessible to all ordinary people (*loka*), adhered to by the outsiders, and adapted to/usual in (*ucita*) the long saṃsāra.

Moreover, the *pudgala*, if it is [an agent such as] a seer, [and this] up to a cognizer, might either entail exertion or lack exertion in order to see, etc.<sup>92</sup> Now if it belongs to a [*pudgala*] that entails exertion, this exertion might either be self-arisen, [i.e.,] spontaneous, or have this [*pudgala*] as its [causal] condition. *But its effort in order to see, etc., is not self-arisen, because of all the three* [*faults that are to be presented below*] [MSA 18.98ab]. And it is precisely because of the three faults that will be presented [below] that [we reject the second hypothesis also, i.e., the *pudgala*'s] *being the* [*causal*] *condition of this effort* [MSA 18.98c].<sup>93</sup> [Here in MSA 18.98d, the negation] "*na*" is to be supplied. Now if [the *pudgala*] is lacking exertion, [then] it is established [that this *pudgala* is not an agent. And indeed: if] *seeing, etc., lacks an effort* [MSA 18.98d], i.e., if there is no exertion [on the part] of the *pudgala* in order to see, etc., how [can] this [*pudgala*] be [an agent such as] a seer, [and this] up to a cognizer?

[The Pudgalavādin:] It has been stated [above]: "Because of three faults." [But] because of which three faults? [Answer:] Because the [*pudgala*] would no [longer] be an agent, because [the exertion] is impermanent, [and] because [exer-

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he comprehends both the \**dharma*- and the \**pudgalanairātmya* (a feature that traditionally distinguishes him from worldly or ordinary persons [\**laukika*, but also \**prthagjana* in MSAVBh *tsi* D171a1/P201a3]). Alternatively, his complete awakening is termed "profound" because he knows that the \**parikalpitasvabhāva* does not exist, "uncommon" because he comprehends the \**paratantra* (which is devoid of \**parikalpitadharmas* and \**parikalpitapudgala*), i.e., that the mind and the mental factors (\**cittacaitta*) simply exist (*yod pa tsam*), and "supra-mundane" because he comprehends the \**parinispannalakṣaṇa*.

<sup>92</sup> According to MSAVBh *tsi* D171a3-4/P201a5-7, "exertion" (*\*prayatna*) refers to one's opening (*\*unmeşa*, *\*unmīlana*) one's eyes, etc.

<sup>93</sup> At least as far as the soundness of the argument is concerned, MSABh 157,22 tad<sup>o</sup> cannot be taken to refer to *pudgala*, an interpretation shared by the Tibetan translations (MSABh<sub>tib</sub> P262b8 and MSAVBh tsi D171a7/P201b3: byed pa de'i rkyen [can<sub>MSABhtib</sub>]). Moreover (and contrary to MSABh 157,19: tatpratyayah), the compound tadyatnapratyaya- is better not interpreted as a bahuvrīhi.

tion] would occur [all] at once [and] permanently, [this *pudgala*'s] effort in order to see [visible things], etc., cannot be self-arisen [MSA 18.99]. If the exertion [made] in order to see [visible things], etc., [and] through which seeing, etc., [occurs,] is spontaneous [i.e., without cause nor condition], then the *pudgala* is not the agent of these [cognitions]; therefore, how [can] this *pudgala* be [an agent such as] a seer, [and this] up to a cognizer? Or, if it is spontaneous, [then,] since it does not depend [on any cause or condition, this] exertion would not occasionally fail to occur, would not be impermanent.<sup>94</sup> And if this effort were permanent, [then] seeing, etc., would occur both [all] at once and permanently.<sup>95</sup> Such is the [threefold] fault [alluded to above]. Therefore, the exertion [made] in order to see [visible things], etc., cannot be spontaneous.

Neither a [pudgala] that [always] remains as it is nor a perishable [pudgala] can be the [causal] condition [of the exertion aimed at producing seeing, etc., and this for three reasons:] because [this exertion] does not exist before [, hence cannot be due to a permanent cause]; because [this pudgala] would [ipso facto] be impermanent; and because there is no third hypothesis [i.e., the hypothesis of a pudgala that would be neither permanent nor impermanent] [MSA 18.100].<sup>96</sup>

- 94 According to MSAVBh *tsi* D172a4–5/P202b2–4, since that which depends on a cause (*\*kāraņam apekṣate*) arises when its cause is present and does not arise when its cause is absent, it can be lacking sometimes; on the contrary, causeless (*\*nirhetuka*) *dharmas*, since they do not depend on causes and conditions (*\*hetupratyaya*), can never be lacking at a certain point in time. Therefore, if it is spontaneous (*\*ākasmika*), the effort made in order to see something visible, etc., should not be impermanent, i.e., should be characterized as permanent (*\*nityalakṣaṇa*).
- 95 According to MSAVBh *tsi* D172a5–b1/P202b4–7, impermanent *dharmas* do not arise all at once (\*sakrt); some arise and some do not arise; sometimes they arise and sometimes not. On the contrary, permanent *dharmas* occur entirely (*thog thag tu khyab par*), like space (\*ākāśavat), and all the time (\*sarvadā).
- 96 According to MSAVBh *tsi* D172b1–7/P202b8–203b1, if the self serves as a causal condition (\**pratyaya*), then this causal condition could be either permanent or impermanent according to whether the self is permanent or impermanent. (1) Since the effects arisen from permanent causes cannot be occasional but occur permanently, the action of seeing should occur when the eyes actually see (or: when the eyes are opened, \**cakşurunmeşakāle*), but also already before, when they do not see yet (or: when the eyes are shut, \**cakşurunmeşakāle*; see also MSAVBh *tsi* D172b7–173a3/P203b1–3). (2) Since the self must be impermanent if the exertion is impermanent, the opponent's claim that the self is permanent is useless (see also MSAVBh *tsi* D173a6–7/P203b7–204a1). (3) There can be no third hypothesis according to which the self would be neither permanent nor impermanent because, since "permanent" and "impermanent" are contradictory properties (\**viruddhadharma*), that which is permanent"

But if the exertion [made in order to see something visible, etc.,] had the *pudgala* for its [causal] condition, [then] this [*pudgala*, if it always] remains as it is, cannot be the [causal] condition [of this exertion], because [this exertion] does not exist before [the wish to open one's eyes and see]. For if [this effort] had the [*pudgala*] for its [causal] condition, [then,] since the *pudgala* is never without existing, why would [this] exertion lack before, [i.e.,] when it has not [yet] arisen? [But] a perishable [*pudgala*] cannot be the condition [of exertion] either, for it would follow that the *pudgala* is impermanent. And there [can] be no third hypothesis according to which it could be neither enduring nor perishable. [Therefore,] the effort cannot have this [*pudgala*] for its condition either.

So far (*evam tāvat*), [it is] by resorting to reason(ing) [alone that it has been demonstrated that] the *pudgala* does not exist (*nopalabhyate*) as a [real] substance.<sup>97</sup> And [this can also be demonstrated by resorting to scripture,] because [the Blessed One has] taught [that] all dharmas are selfless, [that] ultimately [there is nothing but] emptiness, and [that] to perceive a self is harmful (ātmopalambhe doṣaḥ) [MSA 18.101]. Indeed, in the [four] summary statements of doctrine,<sup>98</sup> the Blessed One has taught that all *dharmas* are selfless. [And] in the [Sūtra entitled] *Paramārthaśūnyatā*,<sup>99</sup> [the Blessed One has] taught that the act<sup>100</sup> [really] exists, that the [result of its] maturation<sup>101</sup> [also] exists, but that

100 According to MSAVBh *tsi* D173b7/P204b3, the good (*\*kuśala*) and bad (*\*akuśala*) acts (*\*karman*) one has done.

nent is not impermanent, and that which is impermanent is not permanent: for one single *dharma* (or: entity, *\*vastu*) cannot be determined (*\*vyavasthita*) as being neither permanent nor impermanent. Moreover, if it is not permanent, then it is impermanent (or: one adopts the thesis of impermanence, *\*anityapakṣapāta*), and the first of the above-mentioned faults (see [1]) will ensue; if it is not impermanent, then it is permanent (or: one adopts the thesis of permanence, *\*nityapakṣapāta*), and the second of the above-mentioned faults (see [2]) will ensue (see also MSAVBh *tsi* D173a6–7/P203b7–204a1).

<sup>97</sup> According to MSAVBh *tsi* D173b2/P204a4–5, reason(ing) (\**yukti*) consists of arguments made on the basis of perception (\**pratyakşapramāņa*) and inference (\**anumānapramāņa*). In MSABh 158,16ff., our text turns to prove that the *pudgala* does not exist as a real substance by means of scripture (\**āgamapramāņa*).

<sup>98</sup> On the four *dharmoddāna*s, see MSAVBh *tsi* D173b3–4/P204a6–7 and 2. The Immediate Context of MSA(Bh) 18.92–103, 2.2.

<sup>99</sup> According to MSAVBh tsi D173b4/P204a7, in the Sūtra of the Śrāvakas entitled Paramārthaśūnya(tā). See LE92n. 355, which refers to T. 2, 92c18, and the passage quoted in Kośa V.259–260n. 5. See below, n. 102.

there is no (*nopalabhyate*) agent who [would] leave these *skandhas* [at death] and take up other *skandhas* [at rebirth] except a convention[al designation] for the [dependently originated] *dharmas*.<sup>102</sup> [And] in the *Pañcakas*,<sup>103</sup> [the Blessed

- According to MSAVBh tsi D173b7-174a2/P204b3-5, dharmasanketa (rendered chos su 102 brdar btags pa in MSABh<sub>tih</sub> P263b1-2, but chos kyi tha sñad in MSAVBh tsi D173b7/ P204b3 and D174a1/P204b4) refers to (re)birth in samsāra according to the twelve-membered scheme/principle (\* $dv\bar{a}das\bar{a}nganava$ ) of dependent origination (\* $pratityasamutp\bar{a}da$ ), i.e, "with nescience for their condition (\*avidyāpratyaya) arise the karmic forces (\*samskāra)," etc., up to "with rebirth as their condition (\*jātipratyaya) arise old age and death (jarāmarana)," etc. Outside/except for this twelvefold scheme/principle, there is nothing [...]. The same passage is quoted in AKBh 129,9–12 (see also Okada/Kishi 2008:98, AKVy 707,13-16, Kośa V.259-260) and AKBh 468,24-26/LE92,8-10, with no variant reading, but with a very useful remark to the effect that, according to Vasubandhu, dharmasanketa amounts to nothing but dependent origination: asti karmāsti vipākah kārakas tu nopalabhyate ya imāmś ca skandhān niksipaty anyāmś ca skandhān pratisandadhāty anyatra dharmasanketāt / tatrāyam dharmasanketo yad utāsmin satīdam bhavatīti vistareņa pratītyasamutpādah / (Note that Yaśomitra explains imān by aihikān, "this-worldly," and anyān by pāratrikān, "other-worldly"). The equivalence between dharmasanketa and the pratītyasamutpāda is strengthened by Yaśomitra's comments: sanketā hetuphalasambandhavyavasthāh (AKVy 283,7), and dharmasanketād iti pratītyasamutpādalaksanā[t] (AKVy 707,16). So according to Sthiramati, sanketa  $\approx$  naya (scheme, principle, method, behaviour); according to Yasomitra, sanketa  $\approx$  (hetuphalasambandha)vyavasthā (law, rule, status, condition) and sanketa  $\approx$  laksana (token, attribute, characteristic; the particle *iti* makes it difficult to understand pratītyasamutpādalaksanāt as a bahuvrīhi compound). Should we, then, understand *dharmasanketa* as the "convention(al designation) for the (dependently originated) dharmas" (as Paramārtha seems to do, see Kośa V.260n. 3), as the "(causal) law (governing) the dharmas," or simply as "causal origination of dharmas" (as does de La Vallée Poussin, see Kośa V.260)? See the passage of the Chinese Samyuktāgama quoted in Kośa V.259–260n. 5 and de La Vallée Poussin's comments on dharmasanketa in Kośa V.260n. 3. Candrakīrti quotes a small part of the same passage in MAV 262,1.
- 103 Both Sthiramati (MSAVBh tsi D173b5–7/P204a8–b2: ñan thos kyi gźuň gcig las brtsams pa daň / luň riň po žes bya ba la sogs pa gźuň maň du yod pa las luň riň po'i gźuň gi naň nas chos gcig las brtsams pa daň / gñis las brtsams pa daň / gsum las brtsams pa daň / bźi las brtsams pa daň / lňa las brtsams pa'i char gyi naň nas (P: D las) lňa lňas las brtsams pa'i lňa phrugs bśad pa'i skabs su / gaň gi phyir bdag tu bltas (D: P ltas) na ñes pa rnam pa lňa 'byuň ňo žes gsuňs te / de bas na gaň zag rdzas su yod pa ma yin no //) and Vairocanarakṣita (MS 42b4: ekottarikāgame pañcapañcadharmādhikāreņa nirdeśaḥ krtaḥ) refer to the Ekottarikāgama, but the passage seems to have resisted all attempts at identification so far. As pointed out by La Vallée Poussin (Kośa V.250–251n. 3), however, the passage presents a striking phraseological similarity with AN III.246 (CC, 5, and passim, Pañcakanipāta

<sup>101</sup> According to MSAVBh *tsi* D173b7/P204b3, the pleasurable (*\*sukha*) and painful (*\*duhkha*) result (*\*phala*) one experiences (*\*anubhūta*).

One has] taught that there are five evils in the perception of a self. [First,] the [false] view of a self [and] the [false] view of a soul ( $j\bar{v}va$ ) arise.<sup>104</sup> [Second, he who indulges in the perception of an  $\bar{a}tman$ ] is not different from the [substantialist] outsiders. [Third, he] engages himself in a wrong path.<sup>105</sup> [Fourth,] his mind does not penetrate<sup>106</sup> into emptiness, has no faith [in it], is not intent [upon it], is not convinced [of it].<sup>107</sup> [Fifth,] he does not purify his noble factors.<sup>108</sup> From scripture also it is thus incorrect [to claim that the *pudgala* exists as a real substance].

Section, to be compared with DN III.240 [XXXIII, 2, 1] and SN III.133 [XXII, 90, 5]): *cittam na pakkhandati na ppasīdati na santiţihati na vimuccati.* The same passage is quoted in AKBh 466,14–17/LE76,6–9 (see AKVy 704,32–705,2; *Kośa* V.250–251n. 4) with only a few variants: *ātmadrşţir bhavati sattvadrşţir jīvadrşţiś ca* for *ātmadrşţir bhavati jīvadrşţih; tīrthikaiḥ sārdham* for *tīrthikaiḥ; na vimucyate* for *nādhimucyate* (note, however, that AKVy 705,1 also reads *nādhimucyate*). Interestingly enough, the Vātsīputrīya/Sāmmatīya of AKBh 466,17–24/LE78,1–12 (*Kośa* V.251–252) does not accept this *locus* as a scriptural authority (*pramāņa*) on the grounds that "this [passage, *grantha*] is not read(/recited) in our sect" (*nāsmākam ayam nikāye paṭhyate*), that "it is not the word of the Buddha" (*na hi kilaitad buddhavacanam*), and that "it is not the word of the Buddha because we don't read(/recite) it" (*so 'smābhir apāthān na buddhavacanam*).

- 104 According to MSAVBh tsi D174a2/P204b5–6, if one accepts that the pudgala exists as a real substance (\*dravyasat), one will develop the false view of a self and a soul regarding the skandhas that are in themselves devoid of self (\*ātman) and one's own (\*ātmīya, or: "what belongs to the self").
- 105 According to MSAVBh *tsi* D174a4–5/P204b8–205a1, the right path is the one that leads to *nirvāņa* and liberation (\**mokşa*) by means of the mental cultivation (\**bhāvanā*) of the fact that all conditioned factors (\**saṃskāra*) are impermanent (\**anitya*), painful (\**duḥkha*), empty (\**sūnya*), and selfless (\**anātman*). As for the wrong path, it is that of rebirth in saṃsāra and the evil states of existence (\**apāya*). On *pratipanna*, see BHSD s.v. *pratipanna*.
- 106 On *pra√skand* (Tib. '*jug pa* in MSABh<sub>tib</sub> P263b3 and MSAVBh *tsi* D174a5–6/P205a2–3), see BHSD s.v. *praskanda*.
- 107 According to MSAVBh tsi D174a5–7/P205a2–4, śūnyatā is here to be understood as the absence of self and one's own (\*ātmātmīya). According to Sthiramati, na prasīdati na samtisthate nādhimucyate provides an explanation of na praskandati: "has no faith [in it]" refers to the time of listening (\*śrutakāla, i.e., the śrutamayī prajñā), "is not intent [upon it]" refers to the time of (rational) reflection (\*cintākāla, i.e., the cintāmayī prajñā), and "is not convinced [of it]" refers to the time of mental cultivation (\*bhāvanākāla, i.e., the bhāvanāmayī prajñā). On prasāda and adhimokşa/adhimukti, see Schmithausen 1969:179–181nn. 263–264 and BHSD s.v. prasāda and adhimukti.
- 108 According to MSAVBh *tsi* D174a7–b1/P205a5–6, since he does not rid himself of the defilements (*\*kleśa*) that are to be abandoned by (the path of) vision (*\*darśanaheya*) and by

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[The Pudgalavādin:] But (*hi*) the *pudgala* has also been taught by the Blessed One in such and such [Sūtras] through the classification of [various sorts of] *pudgalas* such as the one who knows thoroughly (*parijñātāvin*), the burdenbearer (*bhārahāra*) and the one who follows faith (*śraddhānusārin*). Therefore, if [the *pudgala*] does not exist as a [real] substance, why [has it been] taught [by the Blessed One]?<sup>109</sup> [Answer:] *Because by* [*resorting to the designation of*] pudgala, *one* [*can, as did the Blessed One,*] *indicate differences in addiction and* [*mental*] *series concerning defilement and purification, which vary* [*each*] *according to degree and party* [MSA 18.102].<sup>110</sup> For in the absence of a designation [such as that] of "*pudgala*," one couldn't, with regard to impurity and purification, [both of] which differ according to degree and party, point to the differences in the addiction to them as well as to the differences in the [mental]

<sup>(</sup>the path of) cultivation (*\*bhāvanāheya*) and hence fails to directly realize (*\*sākṣātprāpti*) nirvāņa, he does not purify his noble factors.

<sup>109</sup> MSAVBh tsi D174b2–4/P205a7–b2 also mentions the dharmānusāripudgala. On the form parijñātāvin, see BHSG §22.51; on śraddhānusārin, dharmānusārin and the classification (vyavasthāna, AKBh 353,12) of other types of pudgalas in whose mental series the noble path has arisen (utpannāryamārga), see AK 6.29ab and AKBh 353,12–18. See also above, n. 53.

<sup>110</sup> According to MSAVBh tsi D174b4-175b1/P205b2-206a8, if the name (\*nāma) and the conventional expression (\*vyavahāra) of "pudgala" were not available, the differences pertaining to samkleśa, vyavadāna, avasthā, cheda, vrtti and santāna could not be indicated. The one who is endowed with *dharmas* belonging to pollution (*\*samkleśadharma*) will be called "a pudgala endowed with pollution" (\*samkleśavān pudgalah), whereas the one who is endowed with *dharmas* belonging to purification (\*vyavadānadharma) will be called "a pudgala endowed with purification" (\*vyavadānavān pudgalah). But pollution and purification entail many ( $bahu[vi]dh\bar{a}$ ) degrees ( $avasth\bar{a}$ ): those abiding in little, moderate or great pollution will be called "*pudgalas* of little, moderate or great desire ( $*r\bar{a}ga$ )," while those abiding in little, moderate or great purification will be called "pudgalas having obtained a little, moderate or great path (\*-mārgaprāpta)." Those who engage in bad actions only (\*ekāntākuśalakarmakārin) will be called "inclined towards (\*samkleśapakṣapatita), siding with pollution," whereas those who engage in good actions only (\*ekāntakuśalakarmakārin) will be called "inclined towards (\*vyavadānapaksapatita), siding with purification" (see also Vairocanarakșita MS 46b5-6: yah pudgala ekāntakuśalakārī sa samkleśacchedabheda[ka]h / yaś caikāntavyavadānakarmakārī sa vyavadānacchedabhedakah /). In the same way, those who are addicted to pollution and to purification will respectively be called "pudgalas given up to pollution" and "pudgalas given up to purification." Or, one may say, concerning those who abide in pollution or purification: "This pudgala has a polluted mental series," "This pudgala has a purified mental series." See also MSAVBh tsi D175b1-5/P206a8-b5.

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series. In this [connection], in the *Parijñāsūtra*, the factors that are to be known are the impurity, [whereas] the knowledge is the purification.<sup>111</sup> In the *Bhāra-hārasūtra*, the burden and the taking up of the burden [belong to] impurity, [whereas] the laying down of the burden [belongs to] purification.<sup>112</sup> In the ab-

- 111 According to MSAVBh tsi D175b5–176a2/P206b5–207a2, in the Parijñāsūtra (yon's su ses pa'i mdo sde), the factors that are to be known (\*parijñeyadharma) and the knowledge  $(*parij\tilde{n}a)$  are twofold each: the truth of suffering (\*duhkhasatya) and the truth of origin (\*samudayasatya) are the factors that are to be known; they side with pollution (kun nas ñon mon's pa'i phyogs su bsdu = samklesapaksasangrhīta?). As for the truth of destruction (*\*nirodhasatya*) and the truth of the path (*\*mārgasatya*), they are the knowledge itself, and side with purification (rnam par by an ba'i phyogs su  $bsdu = vyavad\bar{a}napaksasangrhita?$ ). In the absence of the designation "pudgala," it would be impossible to indicate the different addictions (\*vrtti) and (mental) series (\*santāna) of those who are said to be persons who are (still) to be taught the things to be known (?yon's su see par by a ba'i gan zag) and persons who know thoroughly (yon's sur see par by ed pa'i gan zag = parijñātāvipudgala?). Among them, the persons who are (still) to be taught the things to be known, whose mental series is endowed with *dharmas* belonging to pollution, are engaged on the side of pollution (kun nas ñon mons pa'i phyogs la zugs pa = samklesapaksapravrtta?); as for the person who knows thoroughly, whose mental series is endowed with *dharmas* belonging to purification, he is engaged in the *dharmas* belonging to purification (\*vyavadānadharmapravrtta). On parijñātāvin, see above, n. 109. For the Pāli Pariññāsutta, see SN III.26 (= no. XXII.23). The sūtra begins thus: pariññeve ca bhikkhave dhamme desissāmi pariññañca /. Here, the parijñeyadharmas consist of corporeity (rūpa), affective sensation (vedanā), ideation (saññā  $= sam j \tilde{n} \bar{a}$ , conditioned factors (sankhāra = sam skāra), and direct awareness (viñnāna = *vijñāna*), whereas *parijñā* consists of the destruction of desire ( $r\bar{a}gakkhaya = r\bar{a}gaksaya$ ), the destruction of defilements (dosakkhaya = dosaksaya) and the destruction of error (mohakkhaya = mohakşaya).
- 112 According to MSAVBh tsi D176a2-6/P207a2-7, in the Bhārahārasūtra (khur khyer ba'i mdo sde), the pudgala who bears the burden and the pudgala who lays down the burden are twofold each. Here, the truth of suffering (\*duḥkhasatya) is called the burden (\*bhāra), whereas the truth of origin (\*samudayasatya) is called either the bearing (\*bhārahāra) or the taking up of the burden (\*bhārādāna). Both side with pollution. The laying down of the burden (\*bhāranikṣepa[na]) is also twofold: when (\*kadā) the burden is laid down (\*nikṣipta; at the time of destruction, \*nirodhakāla), and by means of what (\*kena) the burden is laid down (by means of the truth of the path, \*mārgasatya). Both side with purification. Those pudgalas who haven't yet eliminated (\*aprahīņa) suffering and its origin are said to be engaged (\*pravrtta) in the bearing of the burden (\*prāpta) the truth of destruction and the truth of the path are said to be engaged in the laying down of the burden and to have a mental series endowed with the laying down of the burden and to have a mental series endowed with the laying down of the burden and to have a mental series endowed with the laying down of the burden and to have a mental series endowed with the laying down of the burden and to have a mental series endowed with the laying down of the burden and to have a mental series endowed with the laying down of the burden. Note Vairocanarakşita's explanation (MS 46b6): bhāro duḥkhasatyam / bhārādānam samudayasatyam / nikṣepaṇam hānir vyavadānam nirodhamārgasatyam /. For the Pāli Bhārasutta, see SN

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sence of the designations of *pudgala*s [such as] the *parijñātāvin* and the *bhārahāra*, it would be impossible to point to their different addictions and different [mental] series. [As for the thirty-seven] factors that are aids to awakening, they possess various degrees according to the different paths [in which they are cultivated, viz., those] of preparation, of vision, of cultivation and of culmination; [and] in the absence of the designation of *pudgalas* such as the *śraddhānusārin*, it would be impossible to point to their different addictions and different [mental] series.<sup>113</sup> This is to be known as the reason (*naya*) why the

113 According to MSAVBh *tsi* D176a7–b6/P207a8–b8, these 37 factors are cultivated by the candidate while abiding in the path of preparation (*\*prayogamārgāvasthāyām*), in the path of vision (*\*darśanamārgāvasthāyām*), in the path of cultivation (*\*bhāvanāmārgāvasthāyām*) and in the path of culmination (*\*niṣthāmārgāvasthāyām*). In other words, the degrees of their cultivation (*\*bhāvanāvasthā*) are manifold (*\*bahu[vi]dhā*). Among these paths, the path of preparation refers to the four *\*adhimukticaryābhūmis*, and the *pudgala* 

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III.25–26 (= no. XXII.22). For the Chinese versions of the Bhārahārasūtra (T. 2, no. 99 [19a15ff] and 125 [631c11ff]), see Okada/Kishi 2008:101-102, and Frauwallner 2010:16 for a translation). In the Pali version of the sutra, the burden consists of the five constituents-of-(pseudo-)personality to which one clings ( $pa\tilde{n}cup\bar{a}d\bar{a}nakkhandha = pa\tilde{n}cop\bar{a}d\bar{a}naskandha$ ); the taking up of the burden consists of craving  $(tanh\bar{a} = trsn\bar{a})$ ; the laying down of the burden consists of cessation (*nirodha*). As for the laying hold of the burden (*bhārahāra*), "it is the person [...], that venerable one of such and such a name, of such and such a family" (puggalo... yoyam āyasmā evamnāmo evamgotto). On the Bhārahārasūtra, see also AKBh 468,1-9/LE88,1-14 (Kośa V.256-257), and especially the long quotation in AKVy 706,3-12: bhāram ca vo bhiksavo deśayisyāmi bhārādānam ca bhāraniksepanam ca bhārahāram ca / tac chrnuta sādhu ca susthu ca manasikuruta bhāsisye / bhārah katamah / pañcopādānaskandhāļ / bhārādānam katamat / trsnā paunarbhavikī nandīrāgasahagatā tatratatrābhinandinī / bhāraniksepanam katamat / yad asvā eva trsnāyāh paunarbhavikyā nandīgatasahagatāvās tatratatrābhinandinvā asesaprahānam pratinihsargo vyantībhāvah ksayo virāgo nirodho vyupašamo 'stamgamah / bhārahārah katamah / pudgala iti syād vacanīvam / vo 'sāv āvusmān evamnāmā evamjanva evamgotra evamāhāra evamsukhaduhkhapratisamvedy evamdīrghāyur evamcirasthitika evamāyusmanta iti /. Note also AKBh 465,15–16/LE70,1–3: sa āvusmān evamnāmā evamjātva evamgotra evamāhāra evamsukhaduhkhapratisamvedy evamdīrghāyur evamcirasthitika evamāyuhparyanta iti /. The proper exegesis of the *Bhārasūtra* is also dealt with in TS 349 and TSP K130,1-21/\$165,1-19 (see Schayer 1931–1932:88–91). The TSP contains two quotations. (1) TSP K130,1–3/\$165,1–4: bhāram vo bhiksavo deśavisvāmi bhārādānam bhāraniksepam bhārahāram ca / tatra bhārah pañcopādānaskandhā bhārādānam trptir (K: Ś trsnā) bhāraniksepo mokso bhārahārah pudgalā iti /. (2) TSP K130,15-16/Ś165,12-14: yo 'sāv āyuşmann [sic] evaņnāmā evamjātir evamgotra evamāhāra evamsukhaduhkhapratisamvedy evamdīrghāyur iti [...]. According to Bareau 1955:115, the Bhārahārasūtra belonged to the scriptural texts most oft-quoted by the Vātsīputrīyas (see Venkata Ramanan 1953:170-171 and 178-179).

Blessed One] has taught the *pudgala* although [it] does not exist as a [real] substance.

Otherwise,<sup>114</sup> the [Blessed One's] teaching of the *pudgala* [would] indeed be pointless. <sup>115</sup>First, it cannot have been aimed at generating the [false] view of a self [in the living beings], because *the view of a self is not to be generated* [*in the living beings*] [MSA 18.103a], since it has arisen well before.<sup>116</sup> <sup>117</sup>Nor was it aimed at [allowing the living beings to] cultivate this [already existing but not yet cultivated view of a self], for of [this] view of a self, [*their*] *cultivation is beginningless* [MSA 18.103b]. And if it had been taught because [one achieves]

who cultivates the 37 factors at that time is called an *\*adhimukticāripudgala*. At the time of the \*darśanamārga, the bodhisattvas have obtained the first stage (\* $bh\bar{u}mi$ ), whereas the śrāvakas have obtained the \*srotaāpattimārga (the srotaāpanna is the one who has not yet abandoned the bhāvanāheyakleśas, AKBh 356,1 and Kośa IV.200; for an etymology of srotaāpanna, see AKBh 356,4-5 and Kośa IV.200) and the pudgala who cultivates the 37 factors at that time (*\*tatkāle*) is called a *\*darśanamārgasthapudgala*. According to the method of the Great Vehicle (\*mahāyānanayena), the \*bhāyanāmārga starts at the second stage, whereas according to the method of the Lesser Vehicle (\*hīnayānanayena), it starts at the obtention of the *\*srotaāpattiphala*, and the *pudgala* who cultivates the 37 factors at that time is called a *\*bhāvanāmārgasthapudgala*. According to the method of the Great Vehicle, the *\*nisthāmārga* refers to the *\*abhisambodhi*, whereas according to the method of the Lesser Vehicle, it refers to the obtention of the \*arhat(tva)phala, and the pudgala who cultivates the 37 factors at that time is called \*aśaiksapudgala (on the arhat or aśaiksa, see AK 6.45ab, AKBh 365,16–20 and Kośa IV.230–231). For an outline of the non-Mahāyānist path, see Kośa IV.iv-xi; for an account of the stages in the bodhisattva's career, see Dayal 1970:270-291; on the 37 bodhipaksya/bodhipāksikadharmas, see 2. The Immediate Context of MSA(Bh) 18.92-103, 2.1 and n. 34.

- 114 I.e., if there were no rationale behind the Buddha's resorting to this *flatus vocis*.
- 115 According to MSAVBh *tsi* D176b7–177a1/P208a2–3, the Pudgalavādin now objects that the Blessed One has taught the *pudgala* in order to generate the view of a self (*\*ātmadrṣṣi*) in the mental series (*\*cittasantāna*) of those living beings (*\*sattva*) in whose mental series the view of a self has not yet arisen (*\*anutpannātmadrṣṣicittasantāna*).
- 116 According to MSAVBh *tsi* D177a3–4/P208a6, the false view of a self (*\*ātmadrṣṭi*) is no longer to be generated since it has been present in their mental series (*\*cittasantāna*) since the beginningless time (*\*anādikāla*) of saṃsāra.
- 117 According to MSAVBh *tsi* D177a4–5/P208a6–7, the Pudgalavādin now objects that, although the view of a self (\*ā*tmadrșți*) has arisen in the mental series (\**cittasantāna*) of the living beings, these do not cultivate (\**abhyāsa*) it; the Blessed One has taught the *pudgala* so that these living beings may cultivate the view of a self.

liberation through the vision of the self,<sup>118</sup> then [it would mean that] *all* [*living beings could*] *achieve liberation without effort* [MSA 18.103c], for all those who have not [yet] seen the [noble] truths have the [false] view of a self.<sup>119</sup> *Or*, it results that there is simply *no liberation* [MSA 18.103d<sup>1</sup>]. For no one, having first<sup>120</sup> grasped the self as non-self, [would] grasp [it] as the self when fully comprehending the truth<sup>121</sup> in the same way as [someone] who, having first failed to grasp suffering as suffering, [will] grasp [it as suffering] afterwards.<sup>122</sup> Thus, [since] after [the full comprehension of truth the non-grasping of the self as the self would be exactly the same] as before, there would be no liberation [at all]. And if the *ātman* [really] exists, [then,] due to the notion of "I" and the notion of "mine," craving for the [pleasure of the] self and [all] the other defilements caused by this [very view of a self] will necessarily arise. For this reason also,

- 118 According to MSAVBh *tsi* D177a6/P208b1–2, although the living beings (\**sattva*) cultivate the view of a self (\*ā*tmadrșși*) and are only familiar with the self through its name (\**nāmamātra*), they cannot reach liberation (\**mokşa*; \**apavarga*; \**nirvāna*) because they don't really see the self, which has the size of a thumb (\**anguṣthamātra*) or the size of a mustard-seed (\**sarṣapamātra*). The Blessed One has taught the *pudgala* so that the living beings, by seeing this tiny self, may achieve liberation. Sthiramati alludes here to Upaniṣadic speculations about the size of the *ātman*: *anguṣthamātra* in *Katha Upaniṣad* 4.12; *sarṣapa* in Śvetāśvatara Upaniṣad 3.14.3. For a similar discussion in the context of the polemic against the Pudgalavādin, see *Traité* II.744 and n. 1; on *sarṣapa*, see also Venkata Ramanan 1953:189.
- 119 According to MSAVBh tsi D177b2-4/P208b5-7, if one achieves liberation through the view of a self (\*ātmadṛṣți), then, since ordinary persons (\*pṛthagjana) who per definitionem have not seen the truths (i.e., have not yet reached the path of vision) are possessed with the view of a self, all living beings (\*sattva) would achieve liberation (\*mokṣa; \*nirvāṇa) without making any effort towards listening (śruta, i.e., the śrutamayī prajñā), reflection (cintā, i.e., the cintāmayī prajñā) and cultivation (bhāvanā, i.e., the bhāvanāmayī prajñā).
- 120 I.e., still as an ordinary person, before the full comprehension of truth (satyābhisamaya).
- 121 According to MSAVBh *tsi* D177b5–7/P209a1–3, in the religious doctrine (*dharma*) according to which the self exists (*bdag yod pa'i chos*), one does not see the self before engaging in the cultivation (*\*bhāvanā*) process, but rather sees selflessness(/sees that the self does not exist: *bdag med par mthon ba*) and does not, therefore, achieve liberation (*\*mokşa*). But once cultivation has taken place, one sees that the self exists and hence achieves liberation.
- 122 According to MSAVBh tsi D177b5–7/P209a1–3, since one does not see the four truths of suffering, etc. (\*duhkhādisatyacatuştaya) before engaging in listening (śruta, i.e., the śruta-mayī prajñā), reflection (cintā, i.e., the cintāmayī prajñā) and cultivation (bhāvanā, i.e., the bhāvanāmayī prajñā), one does not achieve liberation (\*mokşa), but after these have taken place, one sees the four truths and achieves liberation.

there would be no liberation [at all].<sup>123</sup> One should *rather not* (na vā) accept that *the* pudgala [MSA 18.103d<sup>2</sup>] [really] exists, for if it exists, [all] the [aforementioned] evils [will] necessarily follow.

# 5. Text-critical remarks

L155,25 reads: *nopalabdhā*, against MS A 154b3 (*nopalabdho*) and MS B 141a5 (*nopalabdho*). Read: *nopalabdho* (see already Lévi 1911:261n. 1).

L155,29 reads: *na ca viparyāsaḥ*, against MS A 154b3 (*na cāviparyāsaḥ*), MS B 141b1 (*na cāviparyāsaḥ*), MSABh<sub>tib</sub> P262b1–2 (*phyin ci ma log pa* [...] *ma yin no*) and MSAVBh *tsi* D164b6–7/P193b4 (*phyin ci ma log pa* [...] *mi* [...]). Read: *na cāviparyāsaḥ* (to be compared with Lévi 1911:261n. 2).

L155,30 reads: na caişa samkleśa iti, against MS A 154b6 (sa caişa samkleśa iti), MS B 141b1 (sa caişa samkleśa iti), MSABh<sub>tib</sub> P261b2 (de kun nas ñon mońs pa yin no źes bya bar) and MSAVBh tsi D165a1/P193b7 (de kun nas ñon mońs pa yin par). Read: sa caişa samkleśa iti (see already Lévi 1911:261n. 3).

L156,3 reads: *ekatvānyatvato vācyas*, against L154,30 (*ekatvānyatvato 'vācyas*), MS B 141b3 (*ekatvānyatvato 'vācyas*), MSABh<sub>tib</sub> P261b3–4 (*gcig dan gźan du brjod bya min*), MSAVBh *tsi* D165b2/P194b1 (*gcig dan tha dad mi brjod de*); the reading of MS A 155a2 (*ekatvānyatvato vācyas*) is of course no argument in favour of *ekatvānyatvato vācyas*. Read: *ekatvānyatvato 'vācyas* (see already Lévi 1911:259n. 93.1.*a*).

L157,3, MS A 156a3, MS B 142a7 and MSAVBh *tsi* D169a5/P199a2 (*gñis la brten nas*) read: *dvayaṃ pratītya*, against L155,3 (*dvaye sati ca*) and MSABh<sub>tib</sub> P268a7–8 (*gñis yod* [\**dvaye sati ca*]). I have read: *dvayaṃ pratītya*.

123 To be compared with AKBh 472,7–11/LE118,3–7: yadi cātmā bhavet tathāgatā eva suvyaktam paśyeyuh / paśyatām cātmagrāho drdhatarah syāt / ātmani ca saty ātmīyam bhavatīti sūtre vacanād\* ātmīyagrāho 'py eşām skandheşv adhikam pravarteta / saişām syāt satkāyadrşţih / ātmīyadrşţau ca satyām ātmīyasnehah / evam eşām drdhatarātmātmīyasnehaparigāhitabandhanānām mokşo dūratarībhavet /. \*LE118n. 534 refers to SŚ (462b27), T 1, 765b28, MN I.138, sutta no. 22.

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L157,5 (but also MS A 153a5 and MS B 140b4, which correspond to L155,5!) reads: *svāmitve sati vā*-, against L155,5 (but also MS A 156a4 and MS B 142b1, which correspond to L157,5!), which reads: *svāmitve sati cā*-. In other words, L155,5 reads *cā*- against the manuscripts (*vā*-), and L157,5 reads *vā*- against the manuscripts (*vā*-). Neither MSABh<sub>tib</sub> P260b7 = P262a8 (*bdag po ñid cig yin na ni // mi rtag mi 'dod 'byuň mi byed*) nor the *pratīka* in MSAVBh *tsi* D169b1–2/P199a6 (*bdag po yin na mi rtag daň // mi 'dod pa ni 'byuň mi byed*) does not allow a decision. I have read: *svāmitve sati vā*-.

L157,6 reads: *svāmībhavann aniṣṭaṃ vijñānam*, against MS A 156a5 (*svāmī bhavan* [sic] *iṣṭaṇijñānam* [sic]), MS B 142b1 (*svāmī bhavan* [sic] *iṣṭaṃ vijñānam*), MSABh<sub>tib</sub> P262a8–b1 (*bdag po ñid gcig yin na ni rnam par śes pa 'dod pa*). Read: *svāmī bhavann iṣṭaṃ vijñānam* (see already Lévi 1911:262n. 4*a*).

On L157,10–11 (yadi dravyato [...] rūpaprasādādi), see above, n. 89.

L157,15, MS A 156b3 and MS B 142b6 read:  $t\bar{t}rthy\bar{a}^{\circ}$ , against MSABh<sub>tib</sub> P262b5 (*gźan mu stegs can* [\**anyatīrthyā*°] (no *pratīka* in MSAVBh)). Read:  $t\bar{t}rthy\bar{a}^{\circ}$ .

L157,17, MS A 156b5, MS B 142b7 and MSAVBh *tsi* D171a2/P201a4 (*lta ba la sogs pa*) read: *darśanādişu*, against MSABh<sub>tib</sub> P262b6–7 (*de lta ba la sogs pa la* [\*sa darśanādişu]). Read: *darśanādişu*.

L157,18–19, MS A 156,5–6 and MS B 142b7–143a1 read: *svayambhūr vā* bhaved ākasmikaḥ (ākasmika MSS A and B) / tatpratyayo [...], against MSABh<sub>tib</sub> P262b7 (see also MSAVBh tsi D171a5/P201a7–8), which reads: ran byun ba glo bur ba'am / de'i rkyen las [...], i.e., \*svayambhūr vā bhaved ākasmikas tatpratyayo vā /. I have read: svayambhūr vā bhaved ākasmikas tatpratyayo vā / (see already Lévi 1911:263n. 5).

L158,13, MS A 157b1, MS B 143b1 and MSAVBh *tsi* D171a2/P201a4 (*de'i rkyen las byun ba yin na ni*) read: *sati hi tatpratyayatve*, against MSABh<sub>tib</sub> P263a5–6 (*de de'i rkyen ñid yin na ni* [\**sati hi tasmin tatpratyayatve*]). Read: *sati hi tatpratyayatve*.

L158,21, MS A 157b7, MS B 143b5 and MSAVBh *tsi* D173b7/P204b2 (*rnam par smin pa*) read: *vipāka*ḥ, against MSABh<sub>tib</sub> P263b1 (*las kyi rnam par smin pa* [\*karmavipākaḥ]). Read: *vipāka*ḥ.

L158,23 and MS B 143b6 read: *iti deśitā*, which makes no sense in the absence of a feminine subject. MS A 157b9 reads *iti dejitā*h [sic], which might be construed with *pañcādīnavā*h (*ātmopalambha [iti]* being, then, in the nominative ["five evils have been taught to be/as the perception of a self"]). MSABh<sub>tib</sub> P263b2, however, clearly interprets *ātmopalambha* as a locative (*bdag tu dmigs pa la ñes dmigs lna ste* [...] *źes bśad de* /). Moreover, and in the same context, L158,20 and L158,22 read: *iti deśitam*. I have read: *iti deśitam*.

L159,3, MS A 158a4 and MS B 144a2 read: samklese vyavadāne ca, against L155,15, MS A 154a3 and MS B 140b7 (and L159,5, MS A 158a5 and MS B 144a3), which read: samkleśavyavadāne ca. samkleśe vyavadāne ca can only be in the locative case, while samklesavyavadane ca can also be, as a dual, in the nominative case (this might have been Sthiramati's understanding, who puts the six terms involved on the same level in MSAVBh tsi D174b6-7/P205b4-5 (kun nas ñon mons pa tha dad pa dan / rnam par byan ba tha dad pa dan / gnas pa tha dad pa dan / chad pa tha dad pa dan / 'jug pa tha dad pa dan / rgyud tha dad pa dag bstan du mi run gi [...]). I am inclined to read both as locatives (for the singular dvandva, see Renou 1996:104, §86B and BHSG §23.2-3), as MSABh<sub>tib</sub> P263b5-6 and MSABh<sub>tib</sub> P263b6 (both with genitive particles: "of pollution and purification" in the sense "in(/concerning) pollution and purification") also seem to reflect. (The pratīkas in MSAVBh tsi D174b5-6/P205b3-4 and MSAVBh tsi D175b1-2/P206a8-b1 are of little use.) In the reading: samkleśe vyavadāne ca, the particule ca needs not be interpreted as connecting MSA 18.102 to MSA 18.101, a function that seems to be that of hi. Although the singular dvandva is represented twice (L155,15 and L159,5), I have read: samkleśe vyavadāne ca.

L159,11–12, MS A 158b2, MS B 144a7 read: *yenāsati dravyato 'stitve*, against MSABh<sub>tib</sub> P264a3 (*ci'i phyir [kena*?] instead of *yena*) and MSAVBh *tsi* D176b6/P207b8 (*de'i phyir [tena*?] instead of *yena*). Read: *yenāsati dra-yyato 'stitve*.

L159,15 reads: anūtpādyā. Read: anutpādyā.

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L159,20 reads: sarveşām na drstasatyānām, against MS A 158a6–7 (sarveşāmm [sic] adrstasatyānām), MS B 144b3 (sarveşām adrstasatyānām) and MSABhtib P264a5 (bden pa ma mthon ba thams cad). Read: sarveşām adrstasatyānām.

L160,2 reads: yathāpūrvam. Read: yathā pūrvam.

## Daņdas

L155,27: viparyāsāt tathā. Read: viparyāsāt / tathā L156,17: ekatvenānyatvena ca agnir. Read: ekatvenānyatvena ca / agnir L156,21: upalabdhes tathā. Read: upalabdheḥ / tathā L156,22: tatrendhanam iti ata. Read: tatrendhanam iti / ata L156,23: dvayena hi upalabdher. Read: dvayena hi / upalabdher L157,7: aniṣṭaṃ ca / naiva. Read: aniṣṭaṃ ca naiva / L157,18–19: ākasmikaḥ / tatpratyayo. Read: ākasmikas tatpratyayo (vā) L158,6: vijñātā sati. Read: vijñātā / sati L158,20: deśitaṃ paramārtha°. Read: deśitam / paramārtha° L158,22: pratisaṃdadhāti / anyatra. Read: pratisaṃdadhāty anyatra L158,23: jīvadṛṣṭiḥ nirviśeṣo. Read: jīvadṛṣṭiḥ / nirviśeṣo

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