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THE ATTENUATION OF A CHINESE PHILOSOPHICAL CONCEPT: "T'I-YUNG" IN THE NINETEENTH CENTURY

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Beginning slowly in the 1840's, after the British show of technical prowess in the Opium War, and picking up speed by the end of the century, numbers of loyal Confucianists spoke out in favor of material innovation from the West. The most common apology of these traditionalists for their readiness to change the material culture of China was classically phrased, "Chung-hsüeh wei t'i, hsi-hsüeh wei yung," by Chang Chih-tung (1837–1909); he suggested that the heart of Chinese civilization, its spiritual values, would be defended, not jeopardized, by Chinese "self-strengthening" in the merely practical spheres of life where the Westerners had their eminence. "Chinese learning for essence (t'i), Western learning for use (yung)."

This psychologically appealing formula failed to produce what it promised. No clean line could mark off a material segment of culture from a spiritual segment, and the modern t'i-yung dichotomy, for all its traditional Confucian pedigree, was really a cover for essential change and the waning of tradition.²

But it was not simply that traditionalists, with the best of Confucian wills, used t'i-yung to ease a catalyst, Western industrialism, into their world, and thereby prepared the way for iconoclasm; there was more

^{1.} For discussions of the use of this rationalization in nineteenth-century China, see Hellmut Wilhelm, "The Problem of Within and Without, a Confucian Attempt in Syncretism," Journal of the History of Ideas XII, 1 (January, 1951), 48-60, esp. 59-60; and Ssu-yü Teng and John K. Fairbank, China's Response to the West, Cambridge, 1954, I, 50 and 164.

^{2.} I have discussed the social implications of modern t'i-yung thinking in "History' and 'Value': the Tensions of Intellectual Choice in Modern China," especially pp. 155-161, in Arthur Wright (ed.), Studies in Chinese Thought, Chicago, 1953.

to the paradox than that. For t'i-yung, in its nineteenth-century usage, not only had Confucian breakdown as an outer consequence but Confucian breakdown in its inner core. The Confucian formula which failed to contain industrialism also failed to express an authentic Confucianism. T'i-yung, as Chang Chih-tung invoked it, was a vulgarization of a Sung Confucian principle. The traditionalist tried to assure himself that Western machines were tame, but when the terms he used for reassurance were so strangely warped from their orthodox meanings, the ravages of Western intruders were exemplified, not belied.

In the Sung neo-Confucianism of Chu Hsi (1130–1200), which Chang Chih-tung implied he was perpetuating, yung might be described as the functional correlative of t'i. Both an essence and a function inhered in the single object; t'i and yung were two modes of identification of being, while the existing object of identification was one. This t'i-yung correlation was a fairly ordinary proposition, and one can find the sense of the neo-Confucian usage in non-Chinese philosophies. With perhaps differing degrees of stress, Goethe's definition of function as "existence conceived in activity", Whitehead's concept of functional activity ("that every actual thing is something by reason of its activity") were suggestions of yung as Chu Hsi understood it. And Aristotle and the great Aristotelians in effect decribed t'i when they spoke of that which is present in an individual as the cause of its being and unity, or of a name – that which is signified in a definition, or of the object of intuition, the scientifically undemonstrable apprehension of the intellect alone.

- 3. R. W. Meyer, Leibnitz and the Seventeenth-Century Revolution, Cambridge, 1952, 51.
- 4. Alfred North Whitehead, Modes of Thought, New York, 1938, 26.
- 5. Aristotle, Metaphysics, 1041 b.
- 6. Ibid., 1071 b; Maimonides, Guide of the Perplexed (M. Friedlander, tr.), New York, n.d., 178; Aquinas, Concerning Being and Essence (George G. Leckie, tr.), New York and London, 1937, 7.
- 7. W. D. Ross, Aristotle's Prior and Posterior Analytics, Oxford, 1949, 284, 660; Aquinas, op. cit., 44. In connection with notes 5-7, cf. the following passage (XVI, i-ii) in the Chungyung, Doctrine of the Mean, a classical text whose importance was greatly emphasized by Chu

A thing is, and it does. Essence, or substance -t'i – inexorably implies action, or function – yung –, and Aquinas gave us Chu Hsi's conviction when he wrote that a thing has a disposition towards an operation proper to the thing. "... no thing is lacking in its proper operation."

Chu Hsi's similar sense of the correlation between the quiddity of a thing and its proper operation is apparent in his analyses of classical Confucian qualities. For example, interpreting in a dialogue with his disciples the Lun-yü phrases, "Li chih yung ho wei kuei," be he treated the yung of the passage as establishing the functional tie of ho, "harmony" or Legge's "natural ease," to li, the principle of ordered human relationships. He held that li became manifest in the production of ho. The existence of ho was the outer test of the existence of li (the inner core of li was ching, "reverence"); if li was really in being, the operation of ho was naturally, necessarily implied."

This absolute naturalness of the correlation between inner essence and outer manifestation was insisted upon by Chu Hsi. Where Mencius, in listing the attributes of the great man, used the phrases, "to dwell in the wide house of the world," "to stand in the correct seat of the world," and "to walk in the great path of the world," "Chu Hsi gave as equivalents jen("human-heartedness"), li("propriety"), and i("right conduct"), respectively, and continued:

Hsi (the translation is that of James Legge, The Chinese Classics, Oxford, 1893, I, 397): "The Master said, 'How abundantly do spiritual beings display the powers that belong to them! We look for them, but do not see them; we listen to, but do not hear them; yet they enter into (t'i) all things, and there is nothing without them!" The meaning of the passage is obscure; but one should note the suggestion of contradiction between t'i and objects of sense-perception. Used here in a verbal sense, t'i is implicitly identifiable with "that which makes a thing what it generically is".

^{8.} Aquinas, op. cit., 5.

^{9.} Lun-yü I, xii; Legge, op. cit., I, 143: "In practicing the rules of propriety, a natural ease is to be prized."

^{10.} Chu-tzu ch'üan shu (Li Kuang-tid ed., 1714), 10. 37a-38a. Hereafter abbreviated CTCS.

^{11.} Mencius III, ii, 3; Legge, op. cit., Il (1895), 265.

In the case of the first and second phrases, "dwelling in the wide house" is t'i, "standing in the correct seat" is yung. In the case of the second and third phrases, then "standing in the correct seat" is t'i, "walking in the great path" is yung. If one knows how to dwell in the wide house of the world, he naturally can stand in the correct seat of the world and walk in the great path of the world.¹²

The "naturally" (tzu-jan) in this passage underscores the necessity of the tie between t'i and yung, and in this case between jen and li and i, the first bringing the second two in its train, since a yung may be also a t'i and have its own yung inevitably as a correlative. In the Mencius passage, it would seem that these qualities were cumulating to make the great man, but in the t'i-yung thinking of Chu Hsi the qualities were considered unequivocally not as independent and added to one another, but as interdependent and expressive of one another, inconceivable without one another. Thus, ai ("love"), as an emotion (ch'ingg), is the necessary projection into action — the yung, in short — of a human being's innate nature or predisposition (hsing); the innate nature which points towards ai is jen. Or, ai is yung to jen, one of the functional correlatives which Chu Hsi saw as implicitly bound to this particular t'i. 14

This authentically neo-Confucian interpretation of t'i-yung was still

^{12.} CTCS 20. 76b.

^{13.} Elsewhere (Mencius IV A, xxvii, 2), in a passage which Chu Hsi discussed approvingly more than once, Mencius seems to have defined essentials functionally – a t'i-yung interpretation without, however, the use of those terms. The translation of the passage is as follows (Legge, op. cit., II, 313-314): "Mencius said, 'The richest fruit (shihe) of benevolence (jen) is this – the service of one's parents. The richest fruit of righteousness (i) is this – the obeying one's elder brothers. The richest fruit of wisdom (chihf) is this – the knowing those two things, and not departing from them. The richest fruit of propriety (li) is this – the ordering and adorning those two things'. 'See CTCS 10.13 b and 21.8 a. It is doubtful whether, at least for Chu Hsi's interpretation, the translation, "the richest fruit," gives the full functional force of shih; it implies here rather the concept of "bringing into practical being".

^{14.} CTCS 10. 13b.

preserved in the thought of Tseng Kuo-fan (1811-1872), perhaps the ablest of nineteenth-century Chinese statesmen. Tseng was a powerful advocate of Western technical achievement for China, along the line of reasoning to which the t'i-yung dichotomy would soon be misapplied; but Tseng, still an early figure in the history of Chinese westernization, reserved this terminology for his "li-hsüeh," an attempted synthesis of Chinese philosophies, and thus kept both concepts in the realm of "spirit" instead of allotting one to the realm of "matter". Tseng's lihsüeh, which was designed to put an end to Chinese intellectual warfare, was a philosophy of wholeness, drawing together complementary pairs of classical concepts (hsiu chi chih jeni, nei sheng wai wangi, yu t'i yu yungk), all of them ancient expressions of a working dichotomy of "inner" and "outer". There were, first and foremost, t'i and yung, substance and function, what one is and what one does; and there were sheng and wang, the sage in spirit and the king in action, whose t'i was evinced in hsiuchi, his inner cultivation of the self, and whose yung was evinced in chihjen, the governing of men in the outer world. Li was the common noumenon underlying the self-nurturing, world-pacifying sage-king's being and activity; without li, from the standpoint of the inner there was no tao or te, metaphysical truth or rightness, and from the standpoint of the outer there was no cheng-shih, 1 no governing. 15

For Tseng Kuo-fan, then, t'i-yung was still an orthodox, neutrally equivalent substance-and-function, not a normatively differentiated

15. Hsiao I-shan^m, Tseng Kuo-fan, Chungking, 1944, 37, 46. The parallel to Chu Hsi is striking: cf. CTCS 13. 2b-3a, where wai-mien, "outside," identified as the sphere of yung, is juxtaposed with hsin-chung, "within the mind," and it is maintained that the establishment of outer equilibrium is necessarily correlated with the existence of an inner equilibrium. Chu defines functionally the inner quality, jen, as that which perfectly regulates the t'ien-hsia, the outer world.

Cf. also a passage from a classic very important to Sung Confucianism, Ta-hsüeh, 4 (Legge, op.cit., I, 357): "The ancients who wished to illustrate illustrious virtue (ming-te) throughout the kingdom first ordered well their own states (chih ch'i kuo)" This, the beginning and end of a famous circular chain of scorites, seems more comprehensible from the point of view of t'i-yung logic than from any other; good government is the necessary external manifestation of illustrious virtue, an essence; ming-te is that which is evidenced in chih-kuo.

end-and-means. The idea that yung was merely yung, as means are merely means in relation to a cherished end, was Chang Chih-tung's ominous note of departure from the neo-Confucian world in which Tseng had still lingered. Western technology, something useful for the material defense of the home of Chinese spiritual values, was the yung that Chang accepted for the sake of superior t'i. Chu Hsi would never have recognized it.

For Chu Hsi had a word for such an instrument, a means, not end—and the word was not yung, but ch'i.ⁿ Commenting on Lun-yü II, xii ("The master said, 'The accomplished scholar [chün-tzu] is not a utensil [ch'i]'"), ¹⁶ Chu Hsi said that a chün-tzu had te ("virtue") as his t'i and ts'ai ("talent") as his yung. Man fell short of being a chün-tzu, and hence remained a mere utensil (ch'i), when the t'i appropriate to a chün-tzu (i. e., te) was only approached, so that its yung, or manifestation in action, was incomplete. ¹⁷ With Chu Hsi, then, in this example, it was not the existence of yung but the incompleteness of yung that made an object, seen under these categories of t'i and yung, a utensil, means, or instrument. Yung here was clearly a different concept from what Chang Chih-tung made of it; it was not an equivalent of "instrument," but a necessary antidote to instrumentalization.

Chang Chih-tung, seeking a material shield for spiritual values, told Confucianists more conservative than he that t'i and yung belonged together. To that extent, he sounded like Chu Hsi, who had once condemned the Buddhists for allegedly defending "empty stillness" ($k'ung-chi^o$), or "t'i without yung," i. e., complete abstraction. ¹⁸ Chang might see himself as deriving from Chu in his activist insistence that t'i was not enough, that the classics and railroads were needed in China, but when he seemed to suggest that t'i and yung were where one found

^{16.} Legge, op. cit., I, 150.

^{17.} CTCS 12. 24a.

^{18.} CTCS 22. 37a-37b. This particular discussion of t'i-yung developed from Mencius VI, xi, 1 (Legge, op. cit., II, 414): "Mencius said, Benevolence is man's mind, and righteousness is man's path'."

them, and that he found t'i in Chinese learning and yung in Western, he showed how little the neo-Confucian logic met his case. For Chang's sum of a t'i from here and a yung from there never added up to be Chu Hsi's indivisible entity, a t'i-in-yung, or yung-in-t'i. Chang was pleading for a coupling of concepts on the authority of an imprecise analogy with an earlier dichotomy, which had really referred to an internal symbiosis, not an externally-contrived aggregation.

In short, Chang Chih-tung, without any conscious acknowledgment of what he was doing, changed the significance of the t'i-yung dichotomy in a very important way. Chu Hsi's emphasis had been metaphysical: t'i and yung, substance and function, jointly defined the one object. But Chang Chih-tung's emphasis was sociological. He was concerned really not with the character of things but the character of cultures, and t'i and yung were separate in nature (as they were not for Chu Hsi) and fused only in mind. Man, that is, had something (Chinese) for t'i and something (Western) for yung; while according to Chu Hsi, all "somethings" had both t'i and yung.

Such, then, was Chang Chih-tung's use of an orthodox formula to characterize his effort, by a Sino-Western syncretism, to preserve orthodoxy. It betrays a traditionalists' contribution to the wearing away of tradition. In fact, orthodoxy was not preserved by Chinese action taken under cover of the *t'i-yung* sanction; and an orthodoxy had to be mishandled so that, in fancy, a belief in its preservation might be entertained.

As an easy, conventional conceit in Chinese thinking, the prescription of Chinese spirit plus Western matter has never quite lost its appeal since Chang Chih-tung expressed it in his t'i-yung terminology. But in more rigorous, formal thought, the self-destructive implications of a t'i-yung defense of Chinese culture were soon exposed. There were thinkers who came to hold that if there was any t'i involved in combination with the yung of Western applied science, it was Western pure science, and Western philosophy, literature, and art, not their Chinese counter-

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parts. Or, in a further refinement, the applied science and industrialism which were yung from the standpoint of scholarship were t'i from the standpoint of general social reform. This was how the catalytic power of science and industrialism, which Chang had ignored when he asked them in to protect his spiritual heritage, came to be recognized; and the very recognition of that power was one of the latter's subversive effects.

19. Fung Yu-lanp, Hsin shih lunq (Discussions of new issues), Changsha, 1940, 50-51.

- 中學為體西學為用
- b 禮之用和為貴
- c数
- d 李光地
- e 音
- f智

- g 情
- h 禮學
- · 修己治人
- · 内聖外王
- k 有體有用
- 1 政事

- ™ 満一山
- 。空寂
- p 馮友蘭
- 9 新事論